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This is a postprint of:

Meer, M.T.J. van der, Benthien, A., French, K.L., Epping, E., Zondervan, I., Reichart, G.-J., Bijma, J., Sinninghe Damsté, J.S., & Schouten, S. (2015). Large effect of irradiance on hydrogen isotope fractionation of alkenones in *Emiliana huxleyi*. *Geochimica et Cosmochimica Acta*, 160, 16-24

Published version: [dx.doi.org/10.1016/j.gca.2015.03.024](https://doi.org/10.1016/j.gca.2015.03.024)

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**Large effect of irradiance on hydrogen isotope fractionation of alkenones in  
*Emiliana huxleyi*.**

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1 **Abstract**

2           The hydrogen isotopic ( $\delta D$ ) composition of long-chain alkenones produced by  
3 certain haptophyte algae has been suggested as a potential proxy for reconstructing  
4 paleo sea surface salinity. However, environmental parameters other than salinity may  
5 also affect the  $\delta D$  of alkenones. We investigated the impact of the level of irradiance on  
6 hydrogen isotopic fractionation of alkenones versus growth water by cultivating two  
7 strains of the cosmopolitan haptophyte *Emiliana huxleyi* at different light intensities.  
8 The hydrogen isotope fractionation decreased by approximately 40‰ when irradiance  
9 was increased from 15 to 200  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$  above which it was relatively  
10 constant. The response is likely a direct effect of photosystem I and II activity as the  
11 relationship of the fractionation factor  $\alpha$  versus light intensity can be described by an  
12 Eilers-Peters photosynthesis model. This irradiance effect is in agreement with  
13 published  $\delta D$  data of alkenones derived from suspended particulate matter collected  
14 from different depths in the photic zone of the Gulf of California and the eastern  
15 tropical North Pacific. However, haptophyte algae tend to bloom at relatively high light  
16 intensities ( $> 500 \mu\text{mol photons m}^{-2} \text{s}^{-1}$ ) occurring at the sea surface, at which hydrogen  
17 isotope fractionation is relatively constant and not affected by changes in light intensity.  
18 Alkenones accumulating in the sediment are likely mostly derived from these surface  
19 water haptophyte blooms, when the largest amount of biomass is produced. Therefore,  
20 the observed irradiance effect is unlikely to affect the applicability of the hydrogen  
21 isotopic composition of sedimentary long chain alkenones as a proxy for paleosalinity.

22

23 **1. Introduction**

24           The oxygen and hydrogen isotopic composition of ocean water is strongly  
25 correlated with salinity because phase changes between seawater, water vapor and  
26 precipitation involves oxygen and hydrogen isotope fractionation. For instance, water  
27 vapor is depleted in  $^{18}\text{O}$  and D relative to water and evaporation thus results in increased  
28 salinity and  $^{18}\text{O}$  and D content of seawater in evaporative regions. The isotopically  
29 depleted water vapor will condense and precipitate over continents and thus river runoff  
30 and precipitation result in both a decrease in salinity and  $^{18}\text{O}$  and D content of the  
31 seawater. Therefore, for most parts of the ocean-atmosphere interface water isotopes are  
32 linearly correlated with salinity (Craig and Gordon, 1965) and thus paleosalinity can be  
33 reconstructed from either the oxygen or hydrogen isotopic composition of water using  
34 this relation.

35           The hydrogen isotopic composition of water may be recorded in the non-  
36 exchangeable hydrogen in biological organic matter although with a considerable  
37 biosynthetic isotopic fractionation effect (Yakir and DeNiro, 1990; Hayes 2001).  
38 Nevertheless, as long as this fractionation can be constrained,  $\delta\text{D}$  analyses on marine  
39 organic matter could provide a means to reconstruct  $\delta\text{D}$  of seawater and, thus, if the  
40 relation between  $\delta\text{D}$  and salinity is known, seawater paleosalinity. Long-chain  
41 alkenones produced only by haptophyte algae such as *Emiliana huxleyi* (Volkman et  
42 al., 1980; Marlowe et al., 1984; Volkman et al., 1995) possess only covalently bound  
43 hydrogen atoms, which are not likely to be exchanged during diagenesis (Sessions et al.,  
44 2004), making them excellent candidate compounds for stable hydrogen isotope  
45 analysis. Initially the idea was to reconstruct paleo seawater  $\delta\text{D}$  directly from the  
46 measured alkenone  $\delta\text{D}$  assuming a fixed difference between the alkenone and water  
47 isotopic composition. This idea was motivated by the relatively constant fractionation of

48 approximately 225‰ between alkenones and water for batch cultures of the haptophyte  
49 *Emiliania huxleyi* grown on medium spiked with different levels of deuterated water, at  
50 constant salinities (Paul 2002; Englebrecht and Sachs 2005). However, experiments  
51 with *E. huxleyi*, and other alkenone-producing haptophytes, i.e. *Gephyrocapsa*  
52 *oceanica*, *Isochrysis galbana* and *Chrysotila Lamellosa*, cultured at different salinities  
53 showed that the biological hydrogen isotope fractionation between alkenones and water,  
54 expressed as the fractionation factor  $\alpha$ , depends on salinity (Schouten et al., 2006;  
55 M'Boule et al., 2014; Chivall et al., 2014). Therefore, as salinity increases not only the  
56 hydrogen isotopic composition of water increases but  $\alpha$  increases as well, both resulting  
57 in an increased D content of alkenones with increasing salinity. This indicates the  
58 potential of the  $\delta D$  of alkenones as a paleo sea surface salinity proxy. Several studies  
59 indicate that salinity dependent hydrogen isotope fractionation might be a general  
60 phenomenon in phototrophic organisms. For instance, hydrogen isotope fractionation in  
61 cyanobacterial lipids from naturally occurring microbial mats decreases with increasing  
62 salinity (Sachse et al., 2008) . The fractionation associated with dinosterol in the  
63 Chesapeake Bay estuary (Sachs and Schwab, 2011) and dinosterol and brassicasterol  
64 from saline and hypersaline lakes in North America (Nelson and Sachs, 2014) also  
65 decreased with increasing salinity.

66         Consequently, the hydrogen isotopic composition of  $C_{37}$  alkenones has been  
67 used to estimate paleo sea surface salinity (SSS) changes in the Aegean Sea at the time  
68 of sapropel S5 deposition (van der Meer et al., 2007). Here the  $\delta D$  record of combined  
69  $C_{37}$  alkenones ( $C_{37:2}$  and  $C_{37:3}$ ) showed a large and abrupt shift to lower  $\delta D$  values at the  
70 onset of sapropel deposition similar to the shift observed for foraminiferal  $\delta^{18}O$  values  
71 measured on the carbonate tests of surface dwelling foraminifera (Marino et al., 2007).

72 This shift towards more D depleted alkenones suggests that this proxy does indeed  
73 record the drop in SSS caused by the significantly increased input of freshwater from  
74 the continent at the onset of sapropel formation. The  $\delta D$  alkenone proxy has  
75 subsequently been used to assess paleo SSS changes in the Black Sea (van der Meer et  
76 al., 2008; Giosan et al., 2012; Coolen et al., 2013) and glacial-interglacial salinity  
77 changes in the Agulhas leakage area (Kasper et al., 2014) and Mozambique channel  
78 (Kasper et al., 2015).

79         Despite these successful applications of the  $\delta D$  alkenone proxy for the  
80 reconstruction of paleo SSS, several complications exist. Firstly, the  $\delta D$  of alkenones in  
81 the Chesapeake Bay estuary and from saline and hypersaline lakes in continental North  
82 America shows a correlation with the  $\delta D$  of the water, but does not reveal a relation of  
83 the fractionation factor  $\alpha$  between alkenones and growth water with salinity as observed  
84 in for cultures (Schwab and Sachs, 2011; Nelson and Sachs, 2014). Secondly, factors  
85 other than salinity have been shown to also affect the fractionation factor  $\alpha$  between  
86 alkenones and growth water. For example, *E. huxleyi*, *G. oceanica*, *I. galbana* and *C.*  
87 *lamellosa* all show differences in  $\alpha$  at the same salinity (Schouten et al., 2006; M'Boule  
88 et al., 2014; Chivall et al., 2014) and the relationships between  $\alpha$  and salinity are  
89 different for cultures harvested during different growth phases (Wolhowe et al., 2009;  
90 Chivall et al., 2014). Additionally, it has been suggested that growth rate also affects  $\alpha$   
91 (Schouten et al., 2006). A yet unexplored factor in determining hydrogen isotope  
92 fractionation is light intensity, which might have an effect because the production of  
93 NADPH, the major source of hydrogen in biosynthesis (Zhang et al., 2009), is directly  
94 linked to photosynthetic activity (Allen 2002). Here, we examined the impact of

95 irradiance on the hydrogen isotope fractionation in *E. huxleyi* and discuss the  
96 implication of our findings for hydrogen isotopic fractionation in natural settings.

97

## 98 **2. Materials and methods**

### 99 *2.1 Incubation experiments*

100 Two sets of light experiments were carried out. Monospecific cultures of the  
101 haptophyte algae *E. huxleyi* (strain PML B92/11) were grown at a constant temperature  
102 of 15°C, at a constant salinity of 32.5, and varying light intensities of 15, 30, 50, 100  
103 and 200  $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$ . In a second experiment, batch cultures of *E. huxleyi*  
104 (strain RCC1238) were grown in triplicate at four different light intensities (100, 200,  
105 400, and 600  $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$ ) in autoclaved 1 L bottles at a constant temperature  
106 and salinity of 15°C and 32.2, respectively. The two strains show similar growth  
107 responses relative to nutrients, temperature, light etc. The main difference between the  
108 two strains has to do with differences in their carbonate chemistry (Langer et al., 2009).  
109 All cultures were grown in Rumed cabinets, providing cool-white fluorescent light with  
110 a 16:8 h light:dark cycle. The seawater medium prepared according to F/2 (Guillard,  
111 1975) for the first and F/2R for the second experiment, respectively. The enriched  
112 medium was sterile filtered using a 0.45 $\mu\text{m}$  filter cartridge in the first experiment and  
113 0.2 $\mu\text{m}$  filter cartridge in the second experiment. All cultures were allowed to acclimate  
114 to the experimental conditions in a pre-culture before being used to inoculate the main  
115 batch cultures to provide an initial cell density between  $0.5\text{-}7\times 10^3 \text{ cell ml}^{-1}$  for the first  
116 experiment and a target initial cell density of approximately  $0.9\times 10^2 \text{ cell ml}^{-1}$  for the  
117 second experiment. Cultivation took place in bottles that were closed and incubated for  
118 4 to 12 days depending on algal growth rate.

119 Cells were counted daily using a Beckman Coulter Multisizer 3 particle counter.  
120 Cell numbers were log transformed and plotted versus time, growth rate  $\mu$  ( $d^{-1}$ ) was  
121 estimated by linear regression. The cultures were harvested by filtration over ashed 0.7  
122  $\mu m$  GF/F filters (Whatman) when the cultures were in exponential growth phase and  
123 had achieved cell densities within the range of  $0.55-1.5 \times 10^5$  cells  $ml^{-1}$ . Filters and  
124 aliquots of the culture medium were frozen immediately and stored at  $< -25^\circ C$  until  
125 analysis. The culture waters were stored with no headspace in 12 mL exetainers (Labco)  
126 in the dark at  $\sim 5^\circ C$  until analysis.

127

## 128 *2.2 Alkenone preparation*

129 Filters from the first experiment were extracted ultrasonically using first  
130 methanol, followed by methanol:dichloromethane (DCM) 1:1 (v:v) and finally DCM. A  
131 ketone fraction was obtained by purifying the total lipid extracts by passing them over a  
132 silica gel cartridge (Varian Bond Elut;  $1\text{ cm}^3/100\text{ mg}$ ), followed by saponification in 0.3  
133 mL of 0.1 M KOH in methanol : water 9:1 (v/v) at  $80^\circ C$  in a capped vial for 2 hours.  
134 The alkenone containing fraction was subsequently obtained by partitioning in hexane  
135 (Benthien et al., 2002). The alkenone fractions were analyzed by gas chromatography  
136 (GC) and GC/mass spectrometry (GC/MS) (van der Meer et al., 2007). The alkenone  
137 hydrogen isotopic composition was determined by GC thermal conversion isotope ratio  
138 monitoring MS (GC/TC/irMS).

139 Filters from the second experiment were freeze dried for 24 h prior to automated  
140 solvent extraction by a Dionex ASE using a 9:1 (v:v) DCM:methanol mixture. Total  
141 lipid extracts (TLEs) were dried down using a rotary evaporator. The TLEs were  
142 subsequently saponified by adding methanol and 1 ml 0.1 M KOH and heating at  $80^\circ C$



143 for 2 h. The saponified alkenone fraction was analyzed by gas chromatography with  
144 flame ionization detection (GC-FID).

145

### 146 *2.3 Instrumental analysis*

147 The algal culture media  $\delta D$  water values were determined by Elemental Analysis  
148 (EA)/Thermal Conversion (TC)/irmMS using a Thermo Electron EA/TC coupled to a  
149 Thermo Electron DELTA<sup>Plus</sup> XL mass spectrometer for the first experiment according to  
150 Schouten et al., 2006. In short, about 1  $\mu$ l of water was injected into a glassy carbon  
151 filled ceramic tube at a temperature of 1425 °C. The  $H_3^+$  -factor was determined daily  
152 and was approximately  $8.0 \pm 0.3$  ppm  $mV^{-1}$ . Waters were analyzed with at least ten  
153 replicate analyses. Hydrogen gas with a predetermined isotopic composition was used  
154 as reference and the water isotope values were calibrated against in-house lab standards  
155 (North Sea water: +5‰ and bidistilled water: -76‰ that were calibrated by using  
156 Vienna Standard Mean Ocean Water (VSMOW) and Greenland Ice Sheet Precipitation  
157 (GISP) standards). The hydrogen isotopic composition of the medium used in the  
158 second experiment was determined by the hydrogen gas-water equilibrium method  
159 using a gas bench coupled to a Thermo Electron DELTA<sup>Plus</sup> XP (Wong and Clarke,  
160 2012) at the University of Utrecht.

161 Compound-specific hydrogen isotopic compositions for the combined  $C_{37}$   
162 alkenones (cf. van der Meer et al., 2013) from the first experiment were measured by  
163 GC/TC/irmMS using a Thermo Electron DELTA<sup>Plus</sup> XL mass spectrometer using a  
164 CPSil 5 GC column with a 0.4  $\mu$ m film thickness and a constant flow of He of 1 ml  
165  $min^{-1}$ . Compounds were converted to hydrogen gas and graphite at 1425 °C in an empty  
166 ceramic tube which was pre-conditioned by injecting 0.2  $\mu$ l of hexane several times (~5)

167 in the first week after installing a new reactor tube. Hydrogen gas with a predetermined  
168 isotopic composition was used as reference gas at the beginning and end of each  
169 analytical run and a C<sub>16</sub>-C<sub>32</sub> *n*-alkanes mixture with offline determined isotopic  
170 compositions (ranging from -42‰ to -256‰ vs. VSMOW, Schimmelmann MixB )  
171 was used to monitor the system performance daily. The average offsets between the  
172 measured  $\delta D$  values of the C<sub>16</sub>-C<sub>32</sub> *n*-alkanes and their offline determined values were  
173 generally 5‰ or less. Samples were analyzed at least in duplicate and the  
174 reproducibility was typically better than 5‰ (Table 1). As additional control, squalane  
175 was co-injected with every analysis and the average squalane value typically was -166 ±  
176 3 ‰, while the offline determined value was -170‰.

177 Compound-specific hydrogen isotope values for the alkenones from the second  
178 experiment were determined by GC/TC/irmMS with a Thermo Electron DELTA<sup>Plus</sup> XP  
179 mass spectrometer using high temperature conversion at the University of Utrecht.  
180 Compounds were converted to hydrogen gas and graphite in an empty ceramic tube  
181 heated to 1400 °C. The hydrogen isotopic composition of the combined C<sub>37</sub> alkenones  
182 was corrected using the Schimmelmann *n*-alkane mix, Mix A. A squalane standard was  
183 co-injected with every sample and its average value was -166.3 ± 5.1 ‰, which  
184 compared well with its offline determined value of -169‰.

185

#### 186 *2.4 Modelling*

187 A modified Eilers-Peeters formulation (Eilers and Peeters, 1988) was used to  
188 describe both growth rate  $\mu$  and fractionation factor  $\alpha$  in response to irradiance. This  
189 model can be applied directly to describe growth rate  $\mu$ :

$$\mu = \mu_{max} * \frac{2 * (1 + \beta) * I/I_{opt}}{\left(I/I_{opt}\right)^2 + 2 * \beta * I/I_{opt} + 1} \quad (Eq. 1)$$

190 where  $\beta$  is a shape factor and  $\mu_{max}$  represents the maximum growth rate. Growth rate  $\mu$   
 191 attains a maximum value at optimal irradiance ( $I_{opt}$ ). The shape factor  $\beta$  determines the  
 192 'peakedness' or rounding of the production curve (e.g. Soetaert et al., 1994).

193 The model cannot be applied directly to describe hydrogen isotope fractionation,  
 194 as the  $\alpha$  value does not equal zero in the dark. Therefore the basic equation was  
 195 extended with an offset value,  $\alpha_0$ , which defines the fractionation at zero light intensity:

$$\alpha = \alpha_0 + \gamma * \frac{2 * (1 + \beta) * I/I_{opt}}{\left(I/I_{opt}\right)^2 + 2 * \beta * I/I_{opt} + 1} \quad (Eq. 2)$$

196 where  $\alpha$  attains a maximum value at  $I_{opt}$  equal to  $\alpha_{max} = \alpha_0 + \gamma$ . Parameter values  
 197  $\mu_{max}$ ,  $\alpha_0$ ,  $\alpha_{max}$ , and  $I_{opt}$  were estimated by minimizing the sum of squared differences  
 198 between the model and experimental data using the Excel Solver routine.

199

### 200 3. Results

201 We analyzed the  $\delta D$  values of alkenones produced by *E. huxleyi* grown in batch  
 202 cultures at different irradiance levels. For the first experiment, where *E. huxleyi* strain  
 203 PML B92/11 was grown with light intensities ranging from 15 to 200  $\mu\text{mol photons m}^{-2}$   
 204  $\text{s}^{-1}$ , the relationship between the growth rate and irradiance indicates that *E. huxleyi* is  
 205 growing under light limitation at light intensities  $< 100 \mu\text{mol photons m}^{-2} \text{s}^{-1}$ . Growth  
 206 rate is approximately  $0.5 \text{ d}^{-1}$  at the lowest irradiance and increases to approximately  $1.0$   
 207  $\text{d}^{-1}$  at  $50 \mu\text{mol photons m}^{-2} \text{s}^{-1}$ . The growth rates level off at approximately  $1.1 \text{ d}^{-1}$  for  
 208 irradiances exceeding  $100 \mu\text{mol photons m}^{-2} \text{s}^{-1}$  (Table 1; Fig. 1). For the second  
 209 experiment with *E. huxleyi* strain RCC1238 and irradiance levels ranging from 100 to

210 600  $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$ , a relatively constant growth rate of approximately  $1.3 \text{ d}^{-1}$  was  
211 observed (Table 1; Fig. 1). The growth rates for *E. huxleyi* strain RCC1238 in  
212 experiment 2 are slightly higher than for strain PML B92/11 in experiment 1 at the  
213 corresponding irradiances of 100 and 200  $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$ .

214 The hydrogen isotopic composition of the combined  $\text{C}_{37:2}$  and  $\text{C}_{37:3}$  alkenones  
215 ranged from approximately  $-230 \text{ ‰}$  at the lowest level of irradiance to  
216 approximately  $-189 \text{ ‰}$  at an irradiance of 200  $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$  for the first  
217 experiment (Table 1). For the second experiment the isotopic composition of the  
218 combined  $\text{C}_{37:2}$  and  $\text{C}_{37:3}$  alkenones ranged from approximately  $-212 \text{ ‰}$  at 100  $\mu\text{mol}$   
219  $\text{photons m}^{-2} \text{ s}^{-1}$  to  $-188 \text{ ‰}$  at 200  $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$ . At irradiance levels  $> 200 \mu\text{mol}$   
220  $\text{photons m}^{-2} \text{ s}^{-1}$  the  $\delta\text{D}$  alkenones was approximately  $-193 \text{ ‰}$ .

221 The fractionation factor  $\alpha$  between the hydrogen isotopic composition of the  
222 alkenones and the culture medium ranged from approximately 0.77 at the lowest level  
223 of irradiance to approximately 0.82 at an irradiance  $>200 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$  (Table  
224 1). A strong and positive linear relationship between the fractionation factor  $\alpha$  and  
225 irradiance is observed for the first set of experiments up to an irradiance level of 200  
226  $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$  (Fig. 2). For the second experiment, the fractionation factor shows  
227 values similar to those of experiment 1 at corresponding irradiance levels of 100 and  
228 200  $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$  (Fig. 2). This suggests that the two strains fractionate similarly  
229 at similar irradiance levels. At light intensities exceeding 200  $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$   $\alpha$   
230 decreases slightly from approximately 0.815 to approximately 0.805 (Table 1).

231

## 232 **4. Discussion**

233 *4.1 Influence of the level of irradiance on the hydrogen isotopic fractionation.*

234 Our culture results demonstrate that the level of irradiance affects both the growth  
235 rate of *E. huxleyi* (Fig. 1) and the hydrogen isotope fractionation between the alkenones  
236 produced and the water (Fig. 2). The growth rate increased linearly with irradiance up to  
237 between 50 and 100  $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$ , and leveled off at irradiances above 100  
238  $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$ . Even though the data are from experiments using two different *E.*  
239 *huxleyi* strains, the combined data of growth rates versus irradiance can be described by  
240 a single fit with the Eilers-Peeters model (Eq. 1) ( $R^2 = 0.89$ ; Fig. 1). Based on these  
241 results, it seems growth of *E. huxleyi* is not inhibited by irradiance levels of up to 600  
242  $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$ .

243 The decrease in  $\alpha$  at higher irradiance levels ( $> 200 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$ ; Fig. 2) is  
244 similar to what is typically observed in Photosynthesis-Irradiance curves and is typically  
245 attributed to light inhibition (e.g. Eilers and Peeters, 1988). A modified Eilers-Peeters  
246 type of equation (Eq. 2) was used to describe the observed relationship of irradiance  
247 with  $\alpha$ , yielding a good fit ( $R^2 = 0.94$ ; Fig. 2). This fit predicts a maximum fractionation  
248 factor of 0.814 at an optimum irradiance ( $I_{\text{opt}}$ ) of approximately 310  $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$ ,  
249 which is in the range of saturation irradiance ( $I_{\text{sat}}$ ) values (200-400  $\mu\text{mol photons m}^{-2}$   
250  $\text{s}^{-1}$ ) reported for photosynthesis in *E. huxleyi* strains (Flameling and Kromkamp, 1998;  
251 Feng et al., 2008; Harris et al., 2005). However, higher and lower  $I_{\text{sat}}$  values have also  
252 been reported (Nanninga and Tyrrell 1996, and references therein). Because the  
253 modified Eilers-Peeters equation describes our data well, we suggest that irradiance is a  
254 major factor influencing the fractionation factor  $\alpha$  between the alkenones and growth  
255 water of the haptophytes grown in our culture experiments.

256 Schouten et al. (2006) showed that  $\alpha$  decreases with increasing growth rate (Fig. 3)  
257 potentially suggesting that our observed correlation may be due to changing growth

258 rates controlled by the level of irradiance. However, plotting the growth rate against the  
259 fractionation factor  $\alpha$  for all irradiances from both experiments performed here shows  
260 no clear correlation between  $\alpha$  and growth rate (Fig. 3). Fractionation factor  $\alpha$  increases  
261 from growth rates of 0.4 to approximately 1.2 d<sup>-1</sup> after which it decreases a little,  
262 although there is some scatter at these higher growth rates. These results suggest that in  
263 our experiments  $\alpha$  does not change because of changing growth rates, but that both  $\alpha$   
264 and growth rate are a function of irradiance. These findings are different from the  
265 results of Schouten et al. (2006; Fig. 3), where *E. huxleyi* was grown at constant  
266 irradiance but different salinities and temperatures, suggesting that hydrogen isotope  
267 fractionation in alkenone biosynthesis in these experiments is more likely controlled by  
268 downstream biosynthetic effects.

269 A possible explanation for this effect of irradiance on the hydrogen isotopic  
270 fractionation of *E. huxleyi* could be the central role NADPH has as hydrogen source for  
271 biosynthesis (Yakir and DeNiro, 1990; Hayes, 2001), i.e. approximately 50% of non-  
272 exchangeable hydrogen in lipids is derived from NADPH (Zhang et al., 2009). The  
273 initial biosynthetic isotopic fractionation effect from water to the primary photosynthate  
274 is considerable, ca. 171 ‰, suggested to be largely due to the reduction of NADP<sup>+</sup> to  
275 NADPH (Yakir and DeNiro, 1990; reviewed by Hayes, 2001). The reduction of  
276 NADP<sup>+</sup> to NADPH in photosynthetic organisms is directly linked to photosystem  
277 activity (Allen 2002 and references therein) and therefore potentially light intensity.  
278 This probably explains the link between irradiance level and hydrogen isotopic  
279 fractionation, although the exact biochemical mechanisms responsible for this irradiance  
280 depended hydrogen isotope fractionation effect is unclear and subject for future  
281 research.

282

283 *4.2 Potential implications for the natural environment.*

284 The magnitude of the change in  $\delta D_{\text{alkenones}}$  between cultures grown at 15 and 200  
285  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$  ( $\sim 40 \text{‰}$  or  $0.2 \text{‰}$  per  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$ ) is relatively large and  
286 comparable in magnitude to the change observed for cultures grown in salinities varying  
287 by  $\sim 20$  salinity units (i.e.  $1\text{-}3 \text{‰}$  change per salinity unit observed in cultures; Schouten  
288 et al., 2006; M'Boule et al., 2014; Chivall et al., 2014). This suggests that an irradiance  
289 effect could be large enough to limit the applicability of  $\delta D_{\text{alkenones}}$  as a proxy for paleo  
290 salinity. An important constraint will be the overall *in situ* irradiance level during  
291 biomass formation and alkenone synthesis (Wolhowe et al., 2015), as well as how much  
292 variability in irradiance, which is related to seasonal variability and water depth, is  
293 captured by sedimentary alkenones, especially when averaged over geological time  
294 scales.

295 Depending on season, latitude and depth, photosynthetically available radiation in  
296 the ocean will range from 0 to approximately  $810 \mu\text{mol photons m}^{-2} \text{s}^{-1}$  (Frouin and  
297 Murakami 2007), a range almost entirely covered by our irradiance experiments. Our  
298 results show that irradiance has the strongest effect on the hydrogen isotopic  
299 fractionation at light intensities from 15 to  $200 \mu\text{mol photons m}^{-2} \text{s}^{-1}$ . This irradiance  
300 effect is in agreement with  $\alpha_{\text{alkenones/water}}$  in suspended particulate matter from the photic  
301 zone of the Gulf of California and the eastern tropical North Pacific which show  
302 decreasing values with increasing depth and thus decreasing light levels (Wolhowe et  
303 al., 2015).

304 Algae, including alkenone producing haptophytes, tend to form large blooms when  
305 the growth conditions, specifically nutrient levels, temperature and irradiance, are

306 optimal. *E. huxleyi*, for instance, is thought to thrive under high light conditions, at  
307 mixed layer depths generally <30 meter (Tyrrell and Merico, 2004; Harris et al., 2005).  
308 They outcompete other algal species that suffer from photoinhibition under these  
309 conditions, a process that is apparently absent in *E. huxleyi* (Nanninga and Tyrrell,  
310 1996). In fact, based on field data collected during *E. huxleyi* blooms, mesocosm studies  
311 and culture experiments, *E. huxleyi* is thought to only form large blooms at light  
312 intensities  $>530 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$  (Nanninga and Tyrrell, 1996 and references  
313 therein; Harris et al., 2005). This is in the range of irradiance levels in our experiments  
314 where  $\alpha$  is relatively constant (Fig. 2), indicating that the  $\delta\text{D}$  of alkenones synthesized  
315 during blooming would show only minor variation due to variations in the level of  
316 irradiance. If the majority of alkenones in the sediment are derived from haptophytes  
317 blooming at the surface, this indicates that variations in the level of irradiance would  
318 only have a minor effect on the  $\delta\text{D}$  of sedimentary alkenones. Indeed, it has been  
319 shown often that the degree of unsaturation of alkenones, the  $U^{K'}_{37}$ , which is used as a  
320 paleo sea surface temperature proxy, correlates on a global scale best with annual mean  
321 sea surface temperatures rather than deeper water temperatures, i.e. at the bottom of the  
322 photic zone (e.g. Müller et al., 1998). Furthermore, during bloom conditions when  
323 growth becomes limited by nutrient limitation, but photosynthesis continues as long as  
324 there is enough light, the haptophyte algae produce more alkenones per cell to store the  
325 reducing equivalents (i.e. NADPH) produced during photosynthesis (Eltgroth et al.,  
326 2005). High cell densities during bloom conditions might also promote grazing and  
327 packaging of cells and alkenones in fecal pellets, cell aggregation and increase the  
328 possibility of cell material attaching to sinking particles, increasing the transport  
329 efficiency of haptophyte cell material, including alkenones, to the underlying sediment.



330 Therefore it seems likely that the majority of alkenones in the sediment are derived from  
331 haptophyte blooms and reflect high light conditions.

332 Nevertheless, the conditions under which the majority of the sedimentary alkenones  
333 are produced together with the environmental significance of irradiance on the hydrogen  
334 isotope fractionation should be further tested in nature by sampling suspended  
335 particulate matter from different water depths (c.f. Wolhowe et al., 2015) and bloom  
336 and non-bloom derived alkenones using sediment traps and analyzing core tops from  
337 close to the equator to high latitudes to capture seasonal variability in irradiance.

338

## 339 **5. Conclusion**

340 Cultivation of two *E. huxleyi* strains show that when growth rate is irradiance-  
341 limited, increasing growth results in decreased hydrogen isotope fractionation, the  
342 opposite response to temperature/salinity-limited growth rate. Rather, our results  
343 suggest that irradiance is directly affecting the hydrogen isotopic fractionation of *E.*  
344 *huxleyi* up to levels of 200  $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$  after which it remains relatively  
345 constant. *E. huxleyi* usually thrives under relatively high light conditions and is thought  
346 to bloom at light intensities  $> 500 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$ . Therefore, it seems unlikely  
347 that light affects the use of the hydrogen isotopic composition of sedimentary long chain  
348 alkenones as a proxy for paleosalinity, assuming that the majority of sedimentary  
349 alkenones are derived from surface water haptophyte blooms. The actual conditions  
350 under which most of the sedimentary alkenones are produced, together with the  
351 significance of irradiance on the hydrogen isotopic composition of long chain alkenones  
352 in natural settings should be further investigated.

353

354 **6. Acknowledgements**

355 We would like to thank the associate editor, Dr. Canuel, and Dr. Sessions, Dr.  
356 Wolhowe and two anonymous reviewers for their constructive comments. This work  
357 was supported by the Dutch Organization for Scientific Research (NWO) through a  
358 VIDI grant to Marcel van der Meer. A Fulbright research grant was awarded to  
359 Katherine French to work at the AWI. Part of this work was funded by the European  
360 Science Foundation (ESF) under the EUROCORES Programme “EuroCLIMATE”,  
361 through contract No. ERAS-CT-2003-980409 of the European Commission, DG  
362 Research, FP6. NWO is also acknowledged for supporting the Dutch part of this  
363 program. This work was carried out under the program of the Netherlands Earth System  
364 Science Centre (NESSC).

365

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502

### 503 **Figure legends**

504 Figure 1: Growth rate  $\mu$  ( $\text{d}^{-1}$ ) plotted against irradiance  $I$  ( $\mu\text{mol photons m}^{-2} \text{s}^{-1}$ ) for both  
505 the first experiment with *E. huxleyi* strain PML B92/11 (●) and second experiment  
506 with strain RCC1238 (▲) and the model fit using the Eilers-Peeters equation (Eq.  
507 1) (---)(Eilers and Peeters, 1988) .

508 Figure 2: Fractionation factor  $\alpha$  alkenones versus medium water plotted against  
509 irradiance  $I$  ( $\mu\text{mol photons m}^{-2} \text{s}^{-1}$ ) for both the first experiment with *E. huxleyi*  
510 strain PML B92/11 (●) and second experiment with strain RCC1238 (▲) and the  
511 model fit using a modified Eilers-Peeters equation (Eq. 2) (---)(Eilers and Peeters,  
512 1988).

513 Figure 3: Fractionation factor  $\alpha$  for alkenones versus medium water plotted against  
514 growth rate  $\mu$  ( $\text{d}^{-1}$ ) for both the first with *E. huxleyi* strain PML B92/11 (●) and  
515 second experiment with strain RCC1238 (▲) in which both  $\alpha$  and  $\mu$  were  
516 controlled by light intensity. Included are also the  $\alpha$  and  $\mu$  data from Schouten et  
517 al., 2006 (×) for *E. huxleyi* grown at different salinities and temperatures at a single  
518 light intensity.



519 **Table 1:** Results from two culture experiments in which two strains of *E. huxleyi* (PML  
520 B92/11 and RCC1238) were cultured at different light intensities to study the effect of  
521 light intensity on hydrogen isotope fractionation. All cultures were harvested in the  
522 exponential growth phase after 4 to 12 days depending on the cell numbers.  
523

Irradiance <i>I</i> ( $\mu\text{mol photons m}^{-2} \text{s}^{-1}$ )	Growth rate $\mu$ ( $\text{d}^{-1}$ )	$\delta\text{D}_{\text{water}}$ (‰ vs. VSMOW)	Stdev	$\delta\text{D}_{\text{alkenones}}$ (‰ vs. VSMOW)	Stdev	$\alpha$	Error
<i>Experiment 1</i>							
<i>Strain PML</i>							
<i>B92/11</i>							
15	0.47	-1.5	2.5	-233.2	3.2	0.768	0.004
15	0.48	-2.9	2.2	-229.3	0.1	0.773	0.002
30	0.76	-0.7	1.5	-231.4	0.1	0.769	0.001
30	0.87	-2.0	2.3	-231.8	2.2	0.770	0.003
50	0.94	-1.1	1.8	-231.6	2.3	0.769	0.003
50	0.95	-0.7	1.4	-218.6	2.3	0.782	0.003
100	1.02	-1.7	1.7	-209.2	0.8	0.792	0.002
100	1.13	-1.3	2.0	-209.5	0.8	0.792	0.002
100	1.08	-2.3	1.3	-209.9	1.5	0.792	0.002
200	1.05	-2.0	1.4	-186.8	2.4	0.815	0.003
200	1.14	-0.9	1.8	-191.1	1.8	0.810	0.002
<i>Experiment 2</i>							
<i>Strain</i>							
<i>RCC1238</i>							
100	1.26	-0.3	1.3	-213.6	2.1	0.787	0.002
100	1.30	0.2	1.6	-214.8	1.1	0.785	0.002
100	1.28	-1.1	2.1	-209.8	1.8	0.791	0.002
200	1.24	-2.4	1.9	-186.3	1.0	0.816	0.002
200	1.25	-0.7	0.0	-189.8	1.1	0.811	0.001
200	1.24	-1.8	2.6	-187.1	2.0	0.814	0.003
400	1.24	1.2	1.3	-192.9	5.0	0.806	0.005
400	1.27	0.4	1.2	-192.3	0.5	0.807	0.001
400	1.24	0.3	1.2	-188.5	5.4	0.811	0.006
600	1.30	-2.8	1.5	-196.8	2.7	0.805	0.003
600	1.32	-0.9	0.2	-196.4	2.9	0.804	0.003
600	1.31	0.1	4.8	-192.6	3.1	0.807	0.005

524  
525

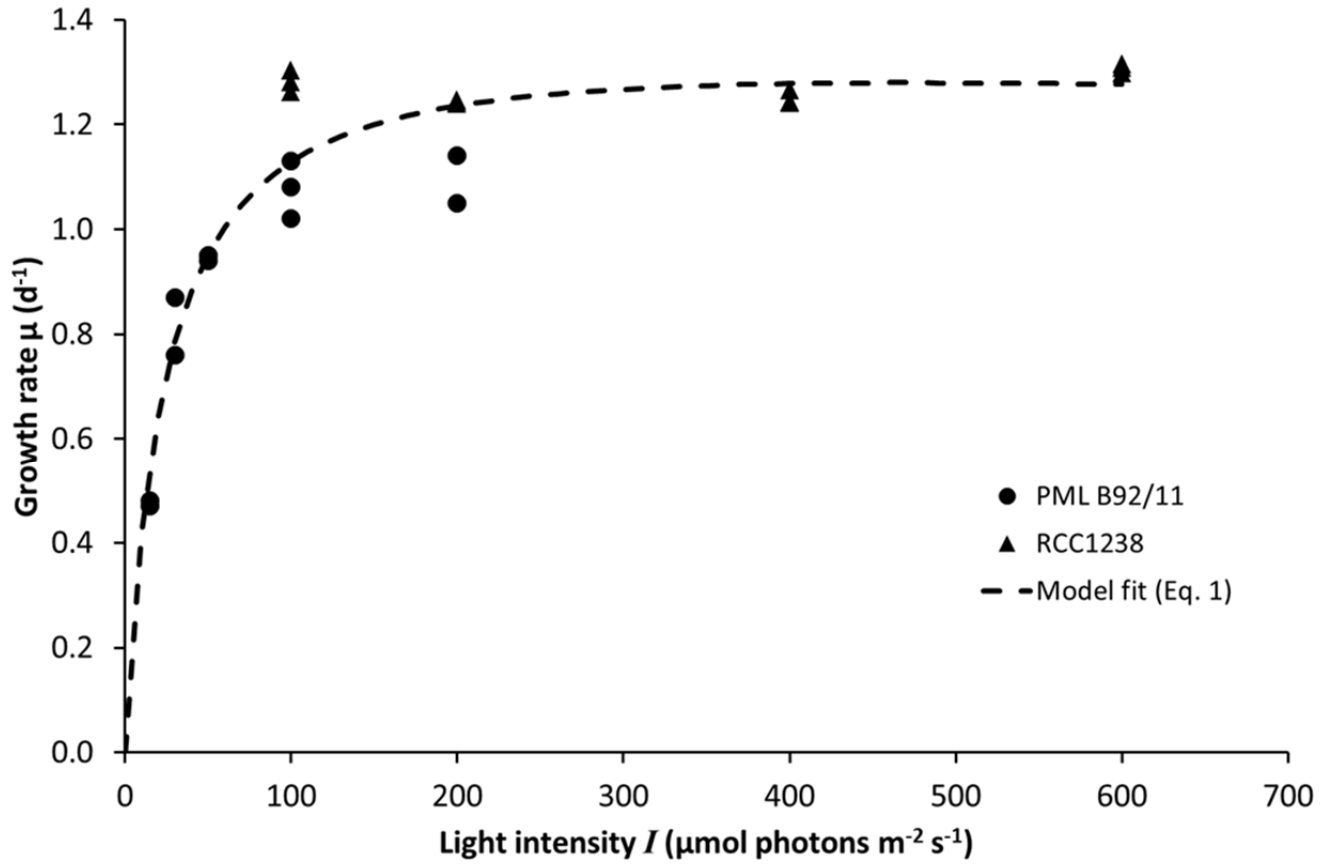


Figure 1

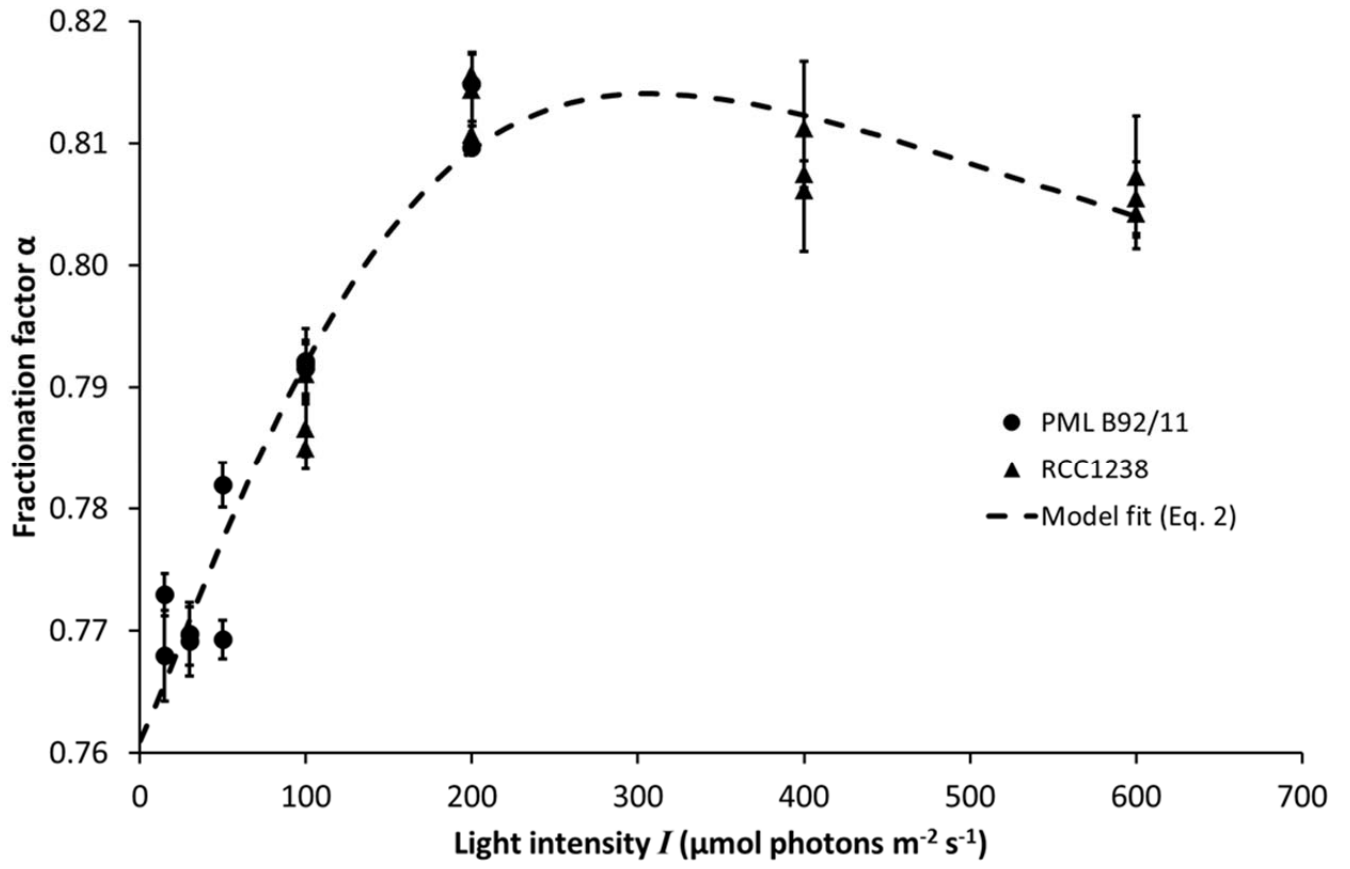


Figure 2

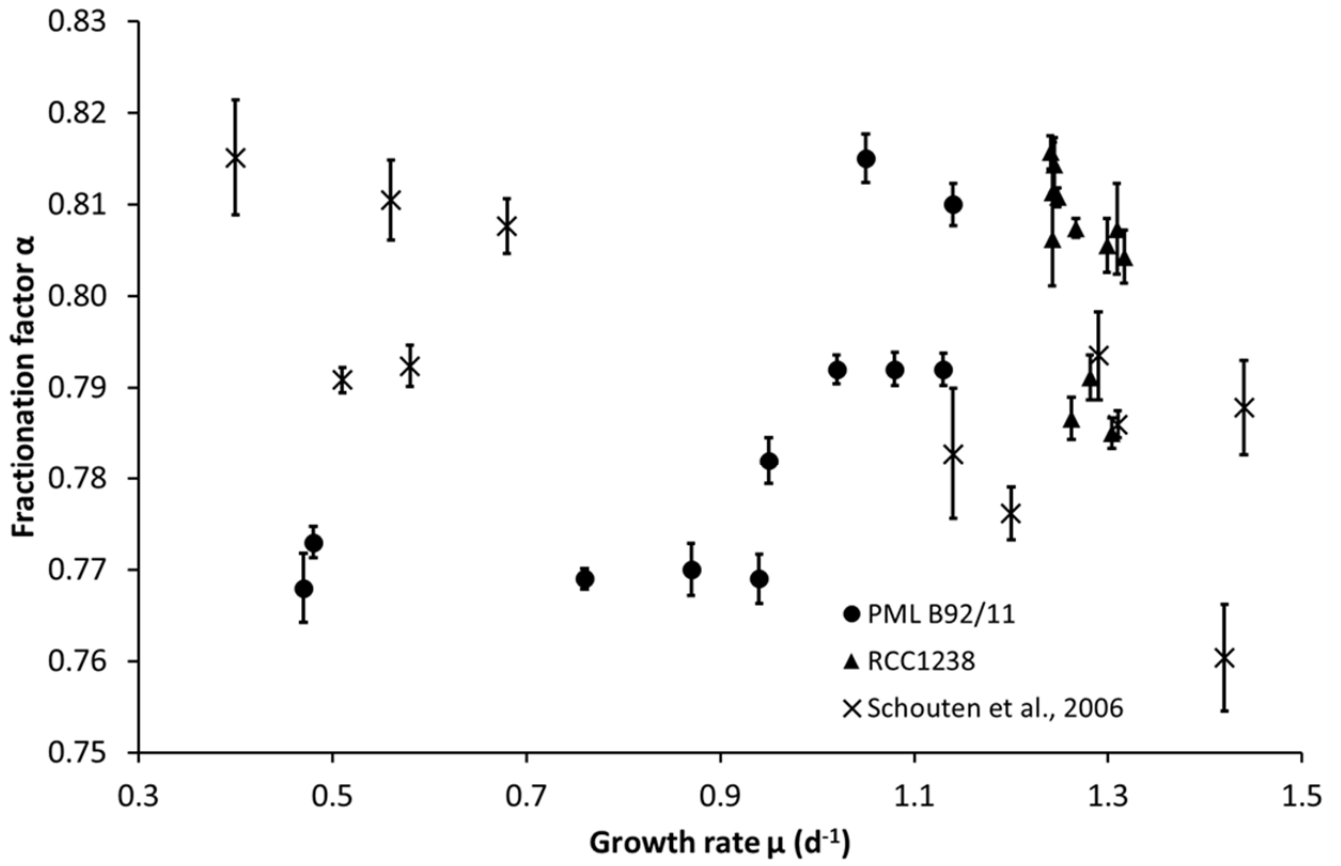


Figure 3