

**BUILDING  
ADAPTIVE  
CAPACITY  
TO CLIMATE  
CHANGE**

**POLICIES TO SUSTAIN LIVELIHOODS AND FISHERIES**



# **BUILDING ADAPTIVE CAPACITY TO CLIMATE CHANGE**

The aim of this policy brief is to:

Identify the pathways through which climate variability and climate change may influence how fisheries contributes to poverty reduction in the future

Report a global assessment of possible future climate change effects on the fisheries sector

Review livelihood and institutional responses to past climate variability and change in fisheries, and existing attempts to maintain or enhance fisherfolk's capacity to adapt to climate change

Propose policy actions and initiatives that can help maintain and build adaptive capacity to climate change

## POVERTY REDUCTION, FISHERIES AND CLIMATE CHANGE

Fisheries and poverty reduction are tightly linked in many developing countries. Fisheries and related industries employ over 155 million people worldwide; 98 percent of these are from developing countries. Fisheries are also important for economic growth. African export earnings are calculated to be over US\$2.5 billion, and fisheries sectors in countries such as Ghana, Namibia, Senegal and Uganda, contribute over 6 percent to their national GDP. Fish is also an important and inexpensive source of protein, providing forms at least 50% of the essential animal protein and mineral intake for 400 million people from the poorest African and South Asian Countries.

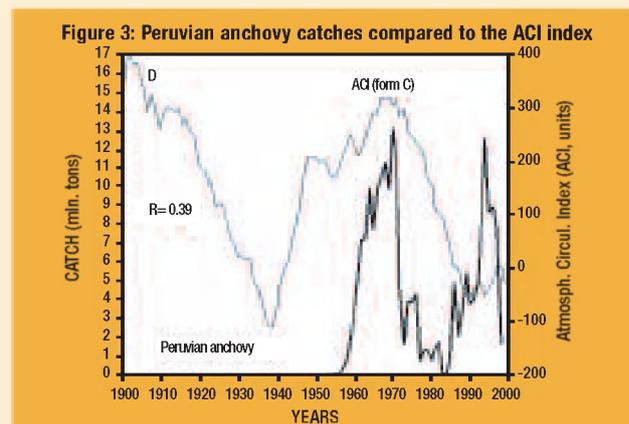
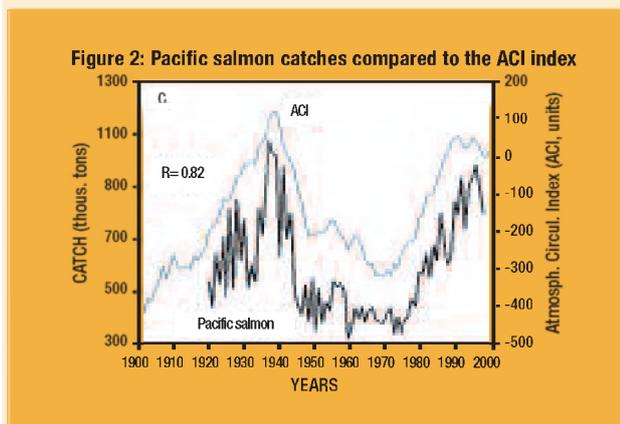
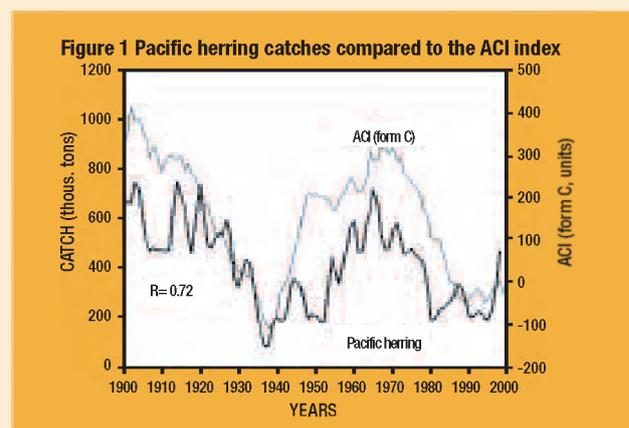
There is also a well-known link between fishery yields and climate variability. Both fisherfolk and fishery managers face ongoing challenges in dealing with this variation to manage stocks and sustain fisheries-dependent livelihoods. The key concern is that existing coping and adaptive livelihood and resource management strategies will prove inadequate to deal with the anticipated impacts of rapid, human-induced global climate change.

Fisheries resources fluctuate as a result of variable environmental and climatic conditions. This is especially the case with small pelagic fisheries in coastal upwelling regions, flood-plain fisheries, and migrating ocean stocks.

### EXAMPLES OF FISH STOCK FLUCTUATIONS WITH CLIMATE VARIATION

Fluctuations in wind patterns in 30 year cycles (the ACI index ) appear to be related to abundance of fish species. In years characterized by a north-south or south-north wind direction across the Atlantic Ocean there is a cooling of global temperatures and a corresponding increase in abundance of a group of fish species consisting of Atlantic cod, Atlantic and Pacific herring, South African sardine, and Peruvian anchovy. Conversely, years where the wind blows in a west-east or east-west direction are associated with increases in global temperature and peaks in abundance of Japanese, Californian and Peruvian sardine, Pacific salmon, Alaskan pollock, Chilean jack mackerel and European sardine.

Patterns for the Pacific herring and Pacific salmon are illustrated in Figures 1 and 2. Pacific herring and other species in this group peaked in abundance in 1960s which is associated with global warming trends. Species within Group B such as the Pacific Salmon peaked in abundance in the 1930s and the 1990s with minimum catches in the 1960s. Patterns for species such as the Peruvian anchovy are influenced in addition by El Niño patterns, for example there was a strong El Niño in 1998 (see sharp decline in catches on Figure 3 below).



Source: Klyashtorin, L.B. (2001). Climate change and long-term fluctuations of commercial catches: the possibility of forecasting. FAO Fisheries Technical Paper. No. 410. Rome, FAO. 86p.

<sup>1</sup> ICLARM (1999) Annual Report

<sup>2</sup> World Bank (2004) Saving Fish and Fishers Toward Sustainable and Equitable Governance of the Global Fishing Sector May 2004, Agriculture and Rural Development Department

<sup>3</sup> Atmospheric Circulation Index (ACI) which describes wind and circulation patterns across the Atlantic Ocean. It is also related to other climate indexes such as the Length of Day (LOD) index.

<sup>4</sup> The El Niño Southern Oscillation is a change in the Pacific Ocean atmospheric pressure system, which affects weather and ocean behaviour. In normal years the pressure system results in prevailing offshore winds that blows warm water off the east coast of Latin America allowing cool nutrient water to flow upwards ('upwelling'). This supports a productive pelagic fishery (e.g. anchovies & sardines) off the coasts of Chile and Peru. In El Niño years, changes in pressure weaken the trade winds and upwelling, decreasing productivity of the fishery.

# CLIMATE CHANGE PREDICTIONS

There is now a widely-held consensus among scientists and policy makers that human activities are increasing the level of carbon dioxide and other 'greenhouse' gases in the atmosphere which is leading to a rise in the temperature of the earth's atmosphere. The magnitude of surface temperature rise varies with latitude and topography. Temperature differences between land and sea, and across latitudes, drive the world's weather and climate systems. This uneven warming is predicted to have disruptive effects on weather and climate.

## PROJECTED CHANGES IN SELECTED CLIMATE AND WEATHER PHENOMENA, WITH ESTIMATED CONFIDENCE IN PROJECTIONS

CHANGES IN PHENOMENON	CONFIDENCE IN PROJECTED CHANGES
Higher maximum temperatures and more hot days over nearly all land areas	Developed countries Very likely (90-99% chance)
Higher minimum temperatures, fewer cold days and frost days over nearly all land areas	Very likely
More intense precipitation events	Very likely, over many areas
Increased summer continental drying and associated risk of drought	Likely (66-90% chance), over most mid-latitude continental interiors
Increase in tropical cyclone peak wind intensities	Likely, over some areas
Intensified droughts and floods associated with El Niño events	Likely
Increased variability in Asian summer monsoon precipitation	Likely

## RANGE OF PREDICTED GLOBAL MEAN TEMPERATURE AND SEA LEVEL RISES FOR 2015 AND 2050

Year	GLOBAL TEMPERATURE CHANGE	GLOBAL SEA LEVEL RISE
2015	0.20 - 0.70° C	0.04 - 0.06 m
2050	0.75 - 2.50° C	0.08 - 0.25 m

Source: IPCC (2001). Climate Change 2001: Synthesis Report. Summary for Policy Makers, WTO/UNEP/IPCC, Geneva. 34 p.

The world's oceans are affected by changes in precipitation, wind and currents, themselves the result of geographical differences in temperature and humidity of the atmosphere. Thus, important oceanic weather systems such as the El Niño Southern Oscillation (ENSO) and the Indian Ocean monsoon, will be affected by global warming. Other direct effects of warming on aquatic systems will be changes in river flows, lake and sea levels, with the latter expected to rise due to both thermal expansion and the melting of the polar ice caps.

## SYNTHESIS OF PREDICTIONS ON THE DIFFERENT DIMENSIONS OF CLIMATE CHANGE

	TODAY	10 YEARS FROM NOW	50+ YEARS FROM NOW
CLIMATE VARIATION	Climatic variation: inter & intra annual, decadal variation, El Niño	Climatic variation more severe	Climate variation highly severe
	Extreme events: storms, flooding, droughts	More severe and frequent extreme events	Highly severe & highly frequent events
TRENDS	Slight increases in water temperatures		Large increases in water temperatures
SHIFTS	Threat of loss of coastal habitats e.g. mangroves, estuaries, coral reefs,	Gradual loss of coastal habitats	Rapid loss of coastal habitats
	Threats of dramatic shifts e.g. glacial melts, flood river basins & deltas, current shifts		Dramatic shifts highly likely

## CLIMATE CHANGE AND FISHERIES: PATHWAYS OF IMPACT

Climate change can affect the productivity or distribution of fishery resources of both marine and inland waters in a variety of ways:

- Changes in water temperature and precipitation affect the dynamics of ocean currents, the flow of rivers and the area covered by wetlands. This will have effects on ecosystem structure and function and on the distribution and production of fish stocks.
- Increased incidence of extreme events such as floods, droughts and storms will affect fishing operations and increase damage and disruption to coastal and riparian homes, services and infrastructure.
- Sea level rise, melting of glaciers at the headwaters of major rivers and other large-scale environmental changes will have unpredictable effects on coastal and wetland environments and livelihoods.
- Complex links between climate change, fisheries and other sectors will have indirect effects on fisheries ranging from fisheries being affected by changing water demands from agriculture to diversion of government and international financial resources away from fisheries management and into emergency relief after extreme weather events.

### EXAMPLES OF CLIMATE IMPACT PATHWAYS ON FISHERIES

TYPE OF CHANGES	CLIMATIC VARIABLE	IMPACTS	POTENTIAL OUTCOMES FOR FISHERIES
Physical Environment	Changes in pH	- Effects on calciferous animals e.g. molluscs, crustaceans, corals, echinoderms & some phytoplankton	Potential declines in <i>production</i> for calciferous marine resources
	Warming upper layers of the ocean	- Warm water species replacing cold water species	Shifts in <i>distribution</i> of plankton, invertebrates, fishes and birds, towards the north or south poles.
		- Plankton species moving to higher latitudes	
	Sea level rise	- Timing of phytoplankton blooms changing	Potential mismatch between prey (plankton) and predator (fish populations) and declines in <i>production</i>
- Changing zooplankton composition		Reduced <i>production</i> of coastal and related fisheries	
Fish stocks	Higher water temperatures	- Changes in sex ratios	Timing and levels of <i>productivity</i> across marine and fresh-water systems possibly affected
		- Altered time of spawning	
	Changes in ocean currents	- Altered time of migrations	Reduced <i>production</i> of target species in marine and fresh water systems
- Altered time of peak abundance		Abundance of juvenile fish affected and therefore <i>production</i> in marine and fresh water	
Ecosystems	Reduced water flows & increased droughts	- Increased invasive species, diseases and algal blooms	Reduced lake <i>productivity</i>
		- Affects fish recruitment success	Reduced river <i>productivity</i>
	Increased frequency of ENSO events	- Changes in lake water levels	Changes in pelagic fisheries <i>distribution</i>
Coastal infrastructure and fishing operations	Sea level rise	- Changes in timing and latitude of upwelling	Reduced coral-reef fisheries <i>productivity</i>
		- Coral bleaching and die-off	Costs of adaptation make <i>fishing less profitable</i> , risk of storm damage <i>increases costs</i> of insurance and/or rebuilding, coastal households' <i>vulnerability increased</i> .
	Increased frequency of storms	- Coastal profile changes, loss of harbours, homes, - Increased exposure of coastal areas to storm damage	Increased risks of both fishing and coastal fish-farming, making these less viable livelihood options for the poor; <i>reduced profitability</i> of larger-scale enterprises, insurance premiums rise.
Inland fishing operations and livelihoods	Changing levels of precipitation	- More days at sea lost to bad weather, risks of accidents increased	Reduced <i>diversity</i> of rural livelihoods; greater risks in agriculture; greater reliance on non-farm income
		- Aquaculture installations (coastal ponds, sea cages) more likely to be damaged or destroyed	Increased <i>vulnerability</i> of riparian and floodplain households and communities
	More droughts or floods	- Where rainfall decreases, reduced opportunities for farming, fishing and aquaculture as part of rural livelihood systems	
Less predictable rain/dry seasons	- Damage to productive assets (fish ponds, weirs, rice fields etc) and homes.	Decreased ability to plan livelihood activities – e.g. farming and fishing seasonality	

This table is not intended to be comprehensive but to give examples of potential impact pathways that can affect the distribution and production of fish stocks, the risk and viability of fishing operations and livelihoods and the economic contribution of fisheries to poverty reduction.

Source: Modified from Allison, E.H., et al. (2005). Effects of climate change on the sustainability of capture and enhancement fisheries important to the poor: analysis of the vulnerability and adaptability of fisherfolk living in poverty. Summary Report (Project No. R4778J). Fisheries Management Science Programme / Department for International Development. [www.fmslp.org.uk](http://www.fmslp.org.uk).



In the short-term, climate change is anticipated to impact freshwater fisheries through incremental changes in water temperature, nutrient levels and lower dry season water levels. Dry-season flow rates are predicted to decline in South Asia and in most African river basins, resulting in reduced fish yields. In the longer-term larger changes in river flows are anticipated as glaciers melt, reducing their capacity to sustain regular and controlled water flows.

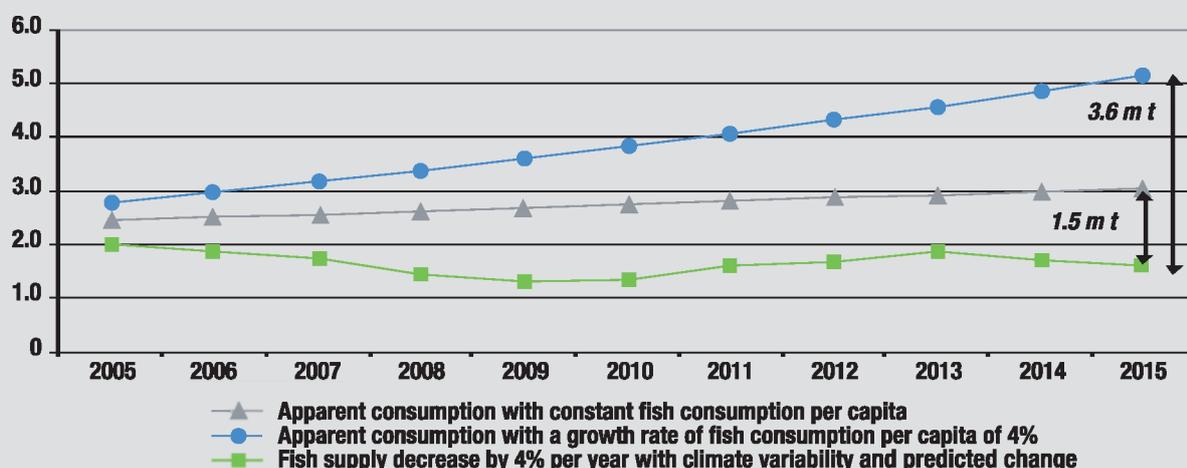
There is a particular concern for river fisheries in downstream impacts from adaptations within other sectors. In particular, conflicts exist between agricultural irrigation needs and fish productivity in river systems. Summer flows in the Ganges are predicted to reduce by two thirds with climate change, causing water shortages for 500 million people and 37 percent of India's irrigated land.

The loss of coastal habitats and resources is likely through sea level rise, warming sea temperatures, extremes of nutrient enrichment (eutrophication), and invasive species. Coastal fishing communities face a double exposure of reduced fisheries resources and increased risks of coastal flooding and storm surges. Fifty million people could be at risk by 2080 because of climate change and increasing coastal population densities. Projections suggest that these combined pressures will result in reef loss and a decline in fish availability for per capita consumption of approximately 15 percent by 201 .

The impacts of climate change on fishing communities are an additional burden to other poverty drivers such as declining fish stocks, HIV/AIDS, lack of savings, insurance and alternative livelihoods. Climate change is also predicted to add health burdens to the poor. For example, cases of cholera outbreaks in Bangladesh coastal communities have been found to increase following El Niño-related flooding. Climate change also affects agriculture and water resources, potentially decreasing water and food security. Projected climate and population changes, together with market changes, may affect future fish supply in regions like West Africa, where fish is an essential component of peoples' diet.

In summary, the many impacts of climate change are likely to increase pressure on fish stocks in many cases, and reduce fisherfolk's resilience and ability to cope with existing stresses on their livelihoods.

#### PROJECTED FISH AVAILABILITY (CATCHES) AND CONSUMER DEMAND WITH CLIMATE VARIABILITY (2005-2015) IN WEST AFRICA



The combination of increasing exports and reduced fish production weakening due to upwelling, based on a decadal cycle, will give an undersupply of 1.5 million tonnes in 2015 if the apparent consumption is maintained at its 2003 level and of 3.6 million tonnes if the apparent consumption would be expected to continue at its current 4% actual annual increase. The occurrence of weak upwelling accentuates the decrease of fish supply and puts population at risk, while strong upwelling improves fish supply in West African countries. Upwelling in the region is predicted to weaken under the influence of global warming.

The area of West Africa here relates to the 15 countries of the Economic Community of West African States (Benin, Burkina Faso, Cape Verde, Côte d'Ivoire, The Gambia, Ghana, Guinea, Guinea Bissau, Liberia, Mali, Nigeria, Senegal, Sierra Leone and Togo) plus Mauritania.

## CLIMATE CHANGE IS ALREADY AFFECTING FISHERIES

A number of ecosystems important to small scale fisheries in developing countries, such as lakes, rivers and coastal fisheries may already be experiencing the effects of climate changes that could reduce livelihood opportunities.

### African inland fisheries

Inland fisheries provide important livelihood opportunities in the Rift Valley, including lakes Victoria, Tanganyika and Malawi, and in the major water bodies of Central and West Africa, such as Lake Chad, Lake Volta and the Niger Inland Delta and the Niger and Congo Rivers.

#### CLIMATE CHANGE IMPACTS ON AFRICAN INLAND FISHERIES:

**Lake fisheries already experience high levels of climatic variability, which cause fluctuations in primary production and fish production. Underlying these fluctuations is a trend of declining rainfall and surface water availability in some parts of Africa, and other factors such as changing wind regimes that affect productivity:**

- **Lake Chilwa, in Malawi, is a 'closed-basin' lake which periodically dries out when rainfall is low but supplies up to a quarter of Malawi's fish in good years. With rainfall levels declining over southern Africa in recent years, dry periods have become more frequent and fish yields are declining accordingly.**
- **Lake Tanganyika has important fisheries for small pelagic species. Declining windspeeds and rising water temperatures have reduced mixing of nutrient-rich deep waters with the surface waters that support pelagic fish production. This, along with overfishing, may be responsible for the declining fish yields from the lake.**
- **Lake Chad fluctuates extensively, but around a declining trend. In 2005 it occupied only 10% of the area it was in 1963, with further decreases predicted for the coming century. Fish catches have not declined to the same extent, due to increasing levels of exploitation, but the overall productive potential of the lake is declining.**

Sources: Njaya, F. and Howard, C. (2006). Climate and African fisheries. *Tiempo* 59: 13-18. [www.tiempocyberclimate.org](http://www.tiempocyberclimate.org)  
O'Reilly, C. M., Alin S. R., Plisnier P.-D., Cohen, A. S. & McKee B. A. (2003). Climate change decreases aquatic ecosystem productivity of Lake Tanganyika, Africa. *Nature* 424: 766-768.  
de Wit, M. and Stankiewicz, J. (2006). Changes in surface water supply across Africa with predicted climate change. *Science* 311: 1917-1921.

### Coral reef

Coastal fisheries include estuarine, wetlands, coral reef and pelagic fisheries and support poor coastal communities around the world. Two-thirds of the world's coral reefs occur in the territorial waters of developing nations, and 30 million of these people depend directly on coral reef fisheries and aquaculture for their livelihoods.

#### CLIMATE CHANGE, CORAL BLEACHING AND REEF FISHERIES

**In 1998 the biggest ENSO-driven bleaching event killed an estimated 16% of the world's corals, including reefs in the Indian Ocean and Pacific. Fishing, hurricanes, bleaching and disease have resulted in a loss of 80% of Caribbean hard coral cover. As climate change is expected to increase the frequency and severity of ENSO events and hurricanes and incidence of coral bleaching, reef-associated fisheries, often important to the coastal poor, are likely to be negatively impacted.**

Sources: Goreau, T., McClanahan T., Hayes R. and Strong, A. E. (2000). Conservation of coral reefs after the 1998 global bleaching event. In *Conservation Biology* 14(1): 5-15.  
Gardner, A. et al. (2003). Long-term region-wide declines in Caribbean corals. *Science* 31: 958-960.



# GLOBAL ANALYSIS OF FISHERY SECTOR VULNERABILITY TO CLIMATE CHANGE

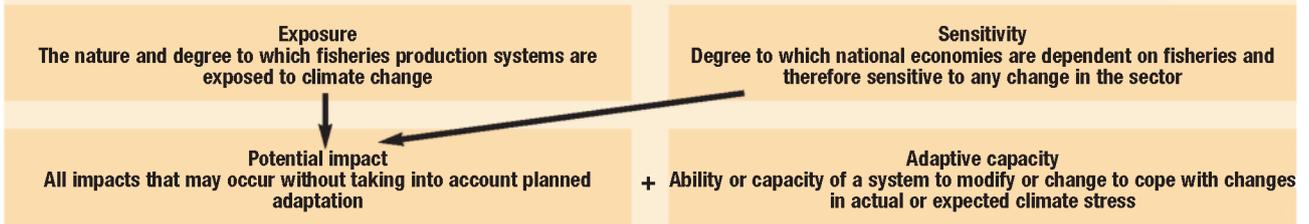
A recent study on the vulnerability of national economies and food systems to climate impacts on fisheries reveals that African countries are the most vulnerable to the likely impacts of climate change on fisheries. This may seem counter-intuitive, given the fact that over 80 percent of the world's fisherfolk are found in South and Southeast Asia, and fish catches are greater in Latin America and Asia. What makes African fisheries so vulnerable? The analysis reveals that semi-arid countries with significant coastal or inland fisheries are vulnerable due to their high exposure to future increases in temperature (and linked changes in precipitation, hydrology and coastal current systems), high catches, exports and high nutritional dependence on fish for protein, and low capacity to adapt to change due to their comparatively small or weak economies and low human development indices. These countries include Angola, Congo, Mali, Mauritania, Niger, Senegal and Sierra Leone.

Fisheries provide employment for up to ten million people in Africa and provide a vital source of protein to 200 million people. Protein may be particularly limited in these countries resulting in high dependency on wild caught fish and bushmeat. Other vulnerable nations include Rift Valley countries such as Malawi, Mozambique and Uganda and Asian river-dependent fishery nations including Bangladesh, Cambodia and Pakistan. Countries such as Columbia, Peru and the Russian Federation are sensitive to climate changes due to their high catches and reliance on exports or high employment from fisheries, but their larger economies and higher human development indices mean they are likely to have a stronger adaptive capacity to deal with potential impacts.



**GLOBAL VULNERABILITY OF FISHERIES SYSTEMS TO CLIMATE CHANGE**

Vulnerability to climate change is defined by the Intergovernmental Panel on Climate Change as a combination of the potential impact (sensitivity plus exposure) and adaptive capacity.



National exposure to climate change was measured as the average predicted surface air temperature in 2050. Sensitivity represented the national relative importance of fisheries and was a composite of: number of fisherfolk, fish export value as a proportion of total export value, size of fisheries employment sector, total catch and contribution of fish to daily protein intake. Adaptive capacity (resilience) was a composite of human development indices and economic performance, including; life expectancy, literacy rates, school attendance, size of economy, political stability and good governance, law, accountability and corruptibility.

Figure A

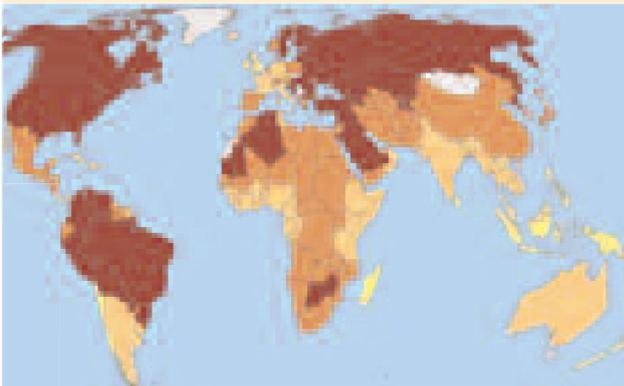


Figure B

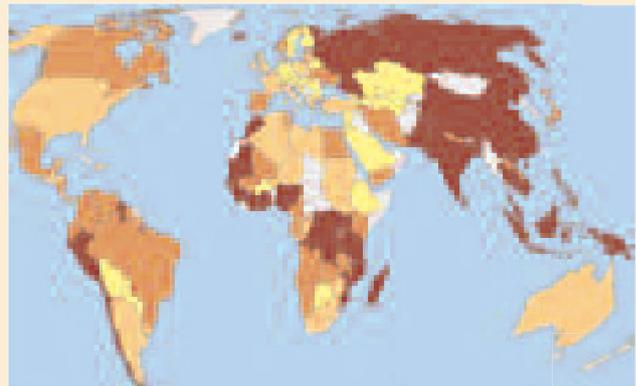


Figure C

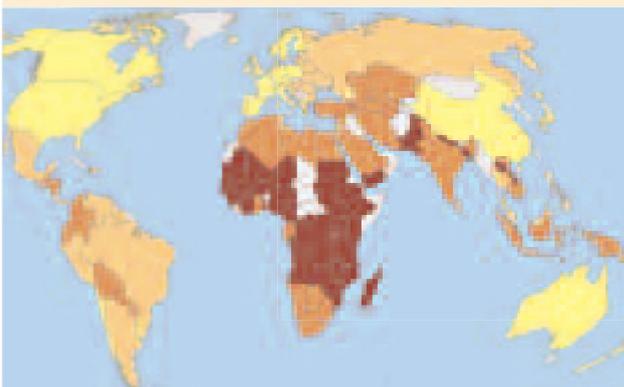
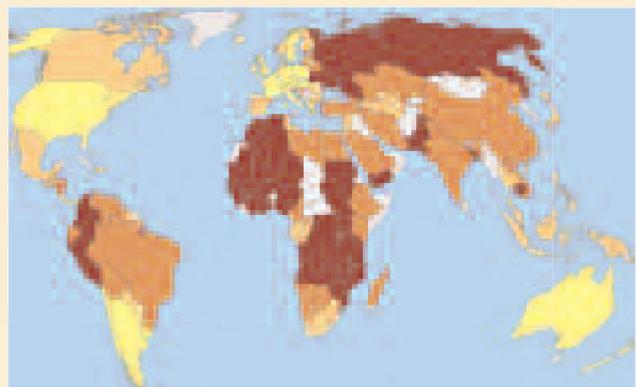


Figure D



In the figures above the darker colours represent higher exposure to climate change (Figure a), higher sensitivity (Figure b), lower adaptive capacity (Figure c) and higher vulnerability (Figure d). West African and Central African fisheries form the bulk of the countries whose economies are most vulnerable to climate impacts on fisheries. Countries shaded in grey are those for which data are missing.

Source: Allison, E.H., et al. (2005). Effects of climate change on the sustainability of capture and enhancement fisheries important to the poor: analysis of the vulnerability and adaptability of fisherfolk living in poverty. Summary Report (Project No. R4778J). Fisheries Management Science Programme/Department for International Development. [www.fmslp.org.uk](http://www.fmslp.org.uk).

## UNCERTAINTIES IN CLIMATE CHANGE IMPACTS

Although there is increasing awareness of the potential risks of climate change to the fisheries sector and to the livelihoods of the poor in fishing-dependent areas, and there are documented examples of change, there are many remaining uncertainties regarding the nature and scale of future impacts.

More detailed predictions of climate change effects on specific fisheries systems are needed to determine the net climate-induced changes for the fisheries of countries identified as vulnerable. This requires increased spatial resolution of both ocean and land temperature forecasts. Regional rainfall forecasts would help planning and management in river basins. Understanding the potential impact of climate change on poverty will require a better understanding of the contribution of fisheries to poverty reduction, and better data on the number of people reliant on small-scale fisheries.

It cannot be assumed that all climate change impacts will be negative. Redistribution of fish stocks may mean that one country's loss is another one's gain. The global fishing fleet is mobile, markets for many fishery products are globalized, and management systems such as access agreements, and internationally traded quotas increasingly facilitate adaptation. In this dynamic economic context, it is countries and firms with greater resources and adaptive capacity that stand to gain most from positive changes. In this sense, poorer countries and poorer people are still vulnerable – to missing out on the benefits of positive changes.

### CLIMATE CHANGES WITH POTENTIALLY POSITIVE IMPACTS ON FISHERIES

**Declining winter and spring snow cover over Eurasia is causing a land-ocean thermal gradient favourable to stronger southwest (summer) monsoon winds over the Arabian Sea. This is leading to an increase in upwelling of nutrient-rich waters and an increase in phytoplankton production of over 300% was observed from 1997 to 2004. This may benefit pelagic fisheries production, or may cause fish kills and affect benthic fish production due to the harmful effects of more frequent algal blooms.**

**Snow and glacier melt in the Eurasian mountains (including the Himalaya) may also result in changes in the flows of the Indus, Brahmaputra, Ganga and Mekong, which sustain major river and floodplain fisheries, as well as supplying nutrients to coastal seas. Predictions for consequences of flow regimes are uncertain but increased run-off and discharge rates may boost fish yield through more extensive and prolonged inundation of floodplains. In Bangladesh, a 20-40% increase in flooded areas could raise total annual yields by 60 000 to 130 000 tonnes. These potential gains may be counter-balanced by greater dry-season losses due to lower dry-season flows and greater demands on dry-season water resources for irrigation, threatening fish survival and making the fish more susceptible to capture. Damming for hydropower, irrigation and flood control may also offset any potential fishery gains.**

Sources: Goes, Joaquim I. et al. (2005). Warming of the Eurasian Landmass Is Making the Arabian Sea More Productive. *Science* 308: 545-547.  
Mirza M.M.Q., Warrick R.A. and Ericksen N.J. (2003). The implications of climate change on floods of the Ganges, Brahmaputra and Meghna Rivers in Bangladesh. *Climatic Change* 57(3): 287-312.

A greater understanding of how fisherfolk cope and adapt to fisheries systems that are naturally exposed to extreme variations in productivity would assist in developing appropriate adaptation strategies to the additional impacts of future climate change. There is also a clear need to assess the relative risk of climate change on fisheries sectors in the context of impacts on other natural resource sectors and on other hazards that result in high levels of poverty, including food insecurity, epidemic disease, conflict, political marginalisation, inequity and poor governance (issues that are specifically addressed in other briefs in this series).

## EXISTING RESPONSES TO CLIMATE VARIABILITY

### Diverse and flexible livelihood strategies

Fishing communities have often developed adaptation and coping strategies to deal with fluctuating environmental conditions.

FISHERY	INDIVIDUAL AND HOUSEHOLD ADAPTIVE STRATEGIES AND COPING RESPONSES
Coastal artisanal fisheries for small pelagic species, West Java, Indonesia	<ul style="list-style-type: none"> <li>• On the South Java Coast individuals switch between rice-farming, tree-crop farming and fishing in response to seasonal and inter-annual variations in fish availability</li> <li>• Full-time fishers from the north coast (Java Sea) villages track seasonal and spatial variations in fish stock availability by long-shore and inter-island migrations</li> </ul>
Ansa Chambok, Great Lake (Tonle Sap) area, Cambodia	<ul style="list-style-type: none"> <li>• Livelihoods are sustained by use of both private and common property, including fisheries resources, with intra-household division of labour to optimise complementary livelihood activities.</li> <li>• Production activities in one environment are subsidised by inputs supplied by other environments</li> </ul>
Coastal artisanal fisheries, Galicia, NE Spain	<ul style="list-style-type: none"> <li>• Diverse pattern of fishing activities with respect to the species exploited, location of fishing grounds and gear used</li> <li>• Seasonal fishing supplements incomes of a range of people – e.g. retired persons, taxi drivers, shopkeepers, the unemployed</li> </ul>
Lake Victoria, Kenya	<ul style="list-style-type: none"> <li>• “Fishing and farming [and livestock herding] have become inextricably linked over many generations in the overall objective of achieving household nutritional security ... In a typical year, oscillations occur between the components of this tri-economy”</li> </ul>

Source: Allison, E.H. & Ellis, F. (2001) The livelihoods approach and management of small-scale fisheries. *Marine Policy* 25: 377-388

### Flexible and adaptable institutions

Co-management approaches to fisheries can benefit local communities by giving them more control over their resources. However if new institutions for management are not based on an understanding of livelihood and current coping strategies vulnerability to climate variability can increase. Traditional institutions (rules, customs, taboos) in climate-sensitive environments have tended to be flexible, to accommodate the impacts of climate variability

FISHERY	INSTITUTIONAL AND REGULATORY - STRATEGIES AND RESPONSES
Reefs and atolls, Palau, Micronesia	<ul style="list-style-type: none"> <li>• Land and sea tenure are integrated</li> <li>• Fishing in inland lagoons is limited to when bad weather prevents fishing in the open sea</li> <li>• Flexible redistribution of fishing rights among neighbouring municipalities, according to needs and surpluses</li> <li>• Access, in times of local scarcity, to neighbouring community-controlled fishing grounds in exchange for part of the catch</li> </ul>
Subsistence fisheries of the Cree, northern Canada	<ul style="list-style-type: none"> <li>• No rigid territorial system, thus allowing greater flexibility in catch distribution and maximizing the yield</li> <li>• Gear limited to small units to maintain mobility</li> </ul>
Peruvian sardine and anchoveta fisheries	<ul style="list-style-type: none"> <li>• Improved El Nino forecasting services, accessible to all</li> <li>• Government fishing bans in periods of resource scarcity, to aid recovery of stocks during favourable climate conditions</li> </ul>

Source: Allison, E.H. & Ellis, F. (2001) The livelihoods approach and management of small-scale fisheries. *Marine Policy* 25: 377-388

### NORTHERN NIGERIA: VARIABLE ACCESS RIGHTS TO DEAL WITH A VARIABLE CLIMATE

The Nguru-Gashua Wetlands in Northern Nigeria are an important source of fisheries resources for surrounding villages. During the flood season there is an open access regime to the river fisheries. When the floods recede the deep sections of the river are managed by village water management councils. Fishers either pay for the right to use the deep sections or give up part of their catch to the council; outsiders must seek permission. River sectors are fished one at a time in rotation. Floodplain pools are owned by individuals or families, who must also give up some of their catch to the village which uses the proceeds for community development projects.

Source: Neiland, A.E., Madakan, D., Bene, C. et al. (2005). Traditional management systems, poverty and change in the arid zone fisheries of northern Nigeria. *Journal of Agrarian Change* 5: 177-148.



## Risk reduction initiatives

Risk reduction initiatives seek to address vulnerabilities through early warning systems, disaster recovery programmes and reducing risk exposure by enhancing coastal and flood defences, including natural ones that also help to enhance ecological resilience.

### BENEFITS FOR FISHERIES THROUGH DISASTER PROTECTION AND EARLY WARNING SYSTEMS

**SRI LANKA:** The presence of natural barriers such as sand dunes, mangrove forests and coral reefs protected coastlines from the full impact of the Indian Ocean tsunami by dampening the energy of the waves. Where these barriers were absent, the damage was greater. This applies to storm damage also, and throughout Asia deforestation of mangroves and reef damage has removed natural storm barriers making coastal livelihoods more vulnerable.

**Vietnam:** The Red Cross has assisted coastal communities to replant mangrove, improving physical protection from storms. This has reduced the cost of maintaining coastal defences (dykes) and saved lives and property during typhoon seasons. Restoration of the mangroves has also improved fisheries livelihoods through the harvesting of crabs, shrimps and molluscs.

**In the Bay of Bengal:** Fishermen receive up-to-date weather forecasts and severe weather warnings via mobile phone message, reducing the number of vessels caught at sea by typhoons.

Sources: Adger, W.N. et al. (2005). Social-ecological resilience to coastal disasters. *Science* 309: 1036-39.  
International Federation of Red Cross and Red Crescent Societies. *World Disasters Report: Focus on Reducing Risks*. Geneva, 2001.  
Fishermen on the net, *The Economist*, 8 November 2001.

## Planned adaptation

National Adaptation Programmes of Action (NAPAs) are being funded by the World Bank/United Nations Environment Programme Global Environment Facility to address the urgent national needs of Least Developed Countries (LDCs) for adapting to the adverse impacts of climate change. Coastal and fishery sector management plans are often only partly considered frequently due to lack of appropriate knowledge on the sector.

It has been increasingly recognized that reducing the vulnerability of fishing communities as a whole can help address poverty and resource degradation, and enhance adaptive capacity to a range of shocks, including those resulting from climate variability and extreme events.

### NATIONAL ADAPTATION PROGRAMMES OF ACTION (NAPAS)

**Guyana** has completed a National Climate Change Adaptation Policy and Implementation Plan. This identifies potential climate change threats to fisheries including the impacts of increased flooding and sea level rise on infrastructure, and potential negative impacts on mangroves – a vital habitat supporting the shrimp export market. However adaptation strategies focus on coastal management without specific attention to the fisheries sector. Since coastal erosion is a particular concern in Guyana, adaptation strategies to this problem might be planned that are detrimental to the fisheries resources.

**Bangladesh** has also drafted a NAPA and held a National Stakeholder Consultation Workshop to discuss it. The adaptation options for fisheries focuses on aquaculture, but does not consider options for mitigating adverse effects of river floods or droughts on river fisheries.

Source: <http://www.undp.org/cc/napa.htm>

## RESPONDING TO THE THREAT OF CLIMATE CHANGE

Despite the uncertainty of climate change impacts on the contribution of fisheries to poverty alleviation, there are opportunities to reduce the vulnerability of fishing communities to climate variability that will also reduce their poverty. Although fisherfolk and some fishery management systems have already adapted to climate-driven fluctuations, there are doubts, in the context of overfishing and other pressures on fishery resources and fishing communities, that existing adaptive capacity will be sufficient to respond to additional vulnerability resulting from global climate change. This brief concentrates on addressing adaptation to climate change. This does not imply that mitigation of climate change (reducing CO<sub>2</sub> emissions to slow or reverse global warming) is unimportant or irrelevant to fisheries. But the contribution that the sector can make to mitigation is minor, while the challenge of adaptation is both significant and urgent.

### CLIMATE CHANGE MITIGATION

**The fisheries sector can only play a small part in reducing CO<sub>2</sub> emissions to mitigate against future climate change, but there may be synergies between emissions reductions, energy savings and responsible fisheries. For example, policy support for the following measures could contribute to all these goals:**

- Raising awareness of the impacts of climate change, to ensure that the special risks to the fishery sector are understood and used to plan national responses to climate change, including the setting of mitigation targets through mechanisms such as the Kyoto Protocol.
- Reducing fuel subsidies granted to fishing fleets, to encourage energy efficiency and assist towards reducing over-capitalization in fisheries.
- Supporting the use of static gear – pots, traps, longlines and gillnets, which uses less fuel than active gear such as trawls and seines – and therefore emits less CO<sub>2</sub>
- Restoring mangroves and protecting coral reefs, which will contribute to CO<sub>2</sub> absorption, coastal protection, fisheries and livelihoods
- The world's marine fishing fleets are estimated to burn 1.2% of global fuel-oil use per year, equivalent to that consumed annually by the Netherlands.

Source: Tyedmers, P., Watson, R., Pauly, D. (in press). Fuelling global fishing fleets. *Ambio*.

### Ministries and other national-level and international stakeholders responsible for fisheries management can:

- Support initiatives to reduce fishing effort in over-exploited fisheries. Lightly fished stocks are likely to be more resilient to climate change impacts than heavily fished ones
- Build institutions that are able to consider and respond to climate change threats along with other pressures such as overfishing, pollution and changing hydrological conditions. This requires integrating research and management programmes across these sectors and ensuring that regulations limiting access to resources are sufficiently flexible to respond to both the threats and benefits of future climate variability.
- Establish institutional mechanisms to enhance the capacity of fishing interests (fleets, processing capacity, quota ownership) to move within and across national boundaries to respond to changes in resource distribution. This implies developing bilateral and multilateral agreements. This can only be recommended in the context of functional trans-boundary fishery governance regimes and effective systems to control illegal, unreported and unregulated fishing.
- Link with disaster management and risk reduction planning especially concerning planning coastal or flood defences.
- Enhance resilience of fishing communities by supporting existing adaptive livelihood strategies and management institutions that are designed to support adaptation to climate change and variability, such as reciprocal access arrangements.

- Address other issues contributing to the vulnerability of fishing communities, such as access to markets and services, political representation and improved governance.
- Engage in long-term adaptation planning, including the National Adaptation Programmes of Action NAPAs process, to address longer-term trends or potential large-scale shifts in resources or ecosystems.

### NGOs and community-based organizations can:

- Communicate to policy makers the importance of fisheries for poverty alleviation and the risks of climate change (e.g. the WWF briefing 'Are We Putting Our Fish in Hot Water?').
- Build and support the resilience of coastal and other fisheries communities by supporting community-level institutional development and vulnerability reduction programmes.
- Support risk reduction initiatives within fishing communities, including conservation of natural storm barriers (reefs, mangroves, wetlands).

### Adaptation planners, donor organizations and economic analysts can:

- Assess risks of future fish stock variation and likelihood of resource collapse and produce sectoral and food security plans accordingly.
- Incorporate fisheries issues within National Adaptation Programmes of Action NAPAs for the Least Developed Countries (LDCs)



## RESOURCES

This policy brief has been developed on the basis of the following report:

Allison, E.H., W.N. Adger, M-C. Badjeck, K. Brown, D. Conway, N.K. Dulvy, A. Halls, A. Perry & J.D. Reynolds. (2005). Effects of climate change on the sustainability of capture and enhancement fisheries important to the poor: analysis of the vulnerability and adaptability of fisherfolk living in poverty. *Fisheries Management Science Programme* Project No. R4778J. MRAG, London. <http://www.fmsp.org.uk>

### Climate change

CIESIN – Center for International Earth Science Information Network (CIESIN), Columbia University, New York  
<http://www.ciesin.org/>

FAO Climate Change Pages <http://www.fao.org/clim/default.htm>

IGBP (2003) Marine Ecosystems and Global Change. Global Ocean Ecosystem Dynamics (GLOBEC). IGBP Science Reports No. 5, 34 p. <http://www.igbp.kva.se>

Intergovernmental Oceanic Commission (UNESCO) <http://ioc.unesco.org/iocweb/climateChange.php>

Intergovernmental Panel on Climate Change (IPCC) <http://www.ipcc.ch>

Science and Development Network. Dossiers: Climate Change. <http://www.scidev.net/dossiers/>

Tyndall Centre for Climate Change Research <http://www.tyndall.ac.uk/index.shtml>

UNDP Climate Change Pages <http://www.undp.org/climatechange/>

UNEP Climate Change Network <http://climatechange.unep.net/>

### Climate and fisheries

FAO-FIGIS (2006) Fisheries and Global Climate Change <http://www.fao.org/figis/servlet/topic?fid=13789>

Glantz, M.H., editor (1992) *Climate Variability, Climate Change and Fisheries*. Cambridge University Press.

Onefish Information Portal <http://www.onefish.org> [Topic on Economic Impacts of Climate Change]

Sharp, G. (2004) *Future climate change and regional fisheries: a collaborative analysis*. Fisheries Technical Paper 452, FAO, Rome.

WWF (2005) *Are We Putting Our Fish in Hot Water?* WWF Climate change Programme.

[http://assets.panda.org/downloads/fisherie\\_web\\_final.pdf](http://assets.panda.org/downloads/fisherie_web_final.pdf)

### Climate change, adaptation and poverty reduction

Adger, W.N., S. Huq, K. Brown, D. Conway, and M. Hulme (2003) *Adaptation to climate change in the developing world*. *Progress in Development Studies* 3(3): 179-195

SEI et al (2003). *Livelihoods and climate change: combining disaster risk reduction, natural resource management and climate change adaptation in a new approach to the reduction of vulnerability and poverty*. Stockholm Environment Institute, IUCN, IISD, Intercooperation. <http://iisd.org/publications/publication.asp?pno=529.10/05/04>.

World Bank and ten other international development and environment agencies (2003). *Poverty and Climate Change: Reducing the Vulnerability of the Poor through Adaptation*. World Bank, Washington, DC. 43 pp  
<http://lnweb18.worldbank.org/ESSD/envext.nsf/46ByDocName/KeyThemesVulnerabilityandAdaptationPovertyandClimateChange>

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Security (website???)  
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