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- 1 Title: Red knots (Calidris canutus islandica) manage body mass with dieting and activity
- 2 **Running title**: Dieting and activity in knots
- 3
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- 17 Keywords: mass regulation in birds, mass loss, food quality, diet, activity

- 18 **Summary Statement:** We show that activity and dieting both contribute to mass regulation in
- 19 red knots; including predation-related decreases in body mass, and maintenance of body mass
- 20 under changing food conditions.

21 Abstract

22 Mass regulation is birds is well documented. For example, birds can increase body mass in response to lower availability and/or predictability of food and decrease body mass in response 23 to increased predation danger. Birds also demonstrate an ability to maintain body mass across 24 25 a range of food qualities. Although the adaptive significance of mass regulation has received a great deal of theoretical and empirical attention, the mechanisms by which birds achieve this 26 have not. Several non-exclusive mechanisms could facilitate mass regulation in birds. Birds 27 could regulate body mass by adjusting food intake (dieting), activity, baseline energetic 28 requirements (basal metabolic rate, or BMR), mitochondrial efficiency, or assimilation 29 efficiency. Here, we present the results of two experiments in captive red knots (Calidris 30 canutus islandica) that assess three of these proposed mechanisms: dieting, activity, and 31 32 up/down-regulation of metabolic rate. In the first experiment, knots were exposed to cues of 33 predation risk that led them to exhibit presumably adaptive mass loss. In the second experiment, knots maintained constant body mass despite being fed on alternating high- and 34 low-quality diets. In both experiments, regulation of body mass was achieved through a 35 combination of changes in food intake and activity. Both experiments also provide some 36 evidence for a role of metabolic adjustments. Taken together, these two experiments 37 demonstrate that fine-scale management of body mass in knots is achieved through multiple 38 39 mechanisms acting simultaneously.

40 Introduction

41 The ability to regulate body mass in the face of changing conditions has major functional 42 importance for birds. Both theoretical (Bednekoff et al., 1994; Bednekoff and Houston, 1994; Houston and McNamara, 1993; Lima, 1986) and empirical work (Bednekoff and Krebs, 1995; 43 44 Cuthill et al., 2000; Ekman and Hake, 1990) have shown that increasing fat stores is an adaptive 45 response to decreased availability or predictability of food. However, carrying fat stores also incurs costs. The metabolic cost of activities increases with increasing body mass (Nagy, 2005; 46 Song and Beissinger, 2020), and flight performance may be especially compromised (Bednekoff, 47 1996; Houston and McNamara, 1993; Kvist et al., 2001; Pennycuick, 1989). All else being equal, 48 carrying more fat should decrease takeoff angle and speed, which can reduce the ability to 49 evade predators (Pennycuick, 1989). However, scenarios of "all else being equal" may not be 50 51 the norm. For example, many studies show that birds maintain near constant flight 52 performance under changing body mass by invoking compensatory mechanisms such as adjustment in pectoral muscle mass or changes in flight efficiency (Dietz et al., 2007; Kvist et al., 53 2001; van der Veen and Lindström, 2000; Walters et al., 2017). Nonetheless, as long as these 54 55 compensatory mechanisms incur costs, it remains that birds must balance the survival benefit of carrying more fat via its effect on the probability of starvation, against the cost of fat on 56 escape flight performance (McNamara and Houston, 1990). Such costs may either be direct 57 survival costs or costs incurred to evoke compensatory mechanisms. 58

59 Indeed, birds show an exceptional capacity to fine-tune their body mass in response to 60 variation in the availability and/or predictability of food and variation in predation danger. For 61 example, within a single day, birds can increase body mass by up to 10% between dawn and 62 dusk, with fat stores accumulated over the foraging period being subsequently lost during 63 overnight fasting (Lilliendahl, 2002; MacLeod et al., 2005; Moiron et al., 2018; Thomas, 2000). 64 Furthermore, the timing of mass gain during the day depends on the predictability of access to food and the local predator landscape (Bednekoff and Houston, 1994). Under elevated 65 66 predation danger, birds delay mass gain until later in the foraging period (McNamara et al., 67 1994).

68 Birds also regulate body mass across longer timescales. For example, across the annual cycle, individual body mass may vary more than two-fold in relation to migration stage 69 (Karagicheva et al., 2016; Piersma et al., 2008; Piersma and Gill, 1998; Piersma and Jukema, 70 71 2002). Body mass can also vary over longer-time scales, such as across generations. For 72 example, westerns sandpipers (Calidris mauri) at stopover sites on migration (Ydenberg et al., 73 2004) and Eurasian golden plovers (*Pluvialis apricaria*) on autumn staging grounds (Piersma et 74 al., 2003b) have both shown decreases in body mass of approximately 10% over a period of 20 years. These multi-generation shifts are thought to be responses to changes in the predator 75 landscape as they coincide with the recovery of populations of a major avian predator, 76 77 peregrine falcons (Falco peregrinus).

78 Even in long-term captive studies, birds continue to exhibit strong body mass regulation 79 that reflects the trade-off between the costs and benefits of carrying extra fat. Captive birds exhibit circadian (Dall and Witter, 1998) and circannual patterns (Karagicheva et al., 2016) of 80 body mass, and adjust their body mass in response to variation in perceived predation risk (van 81 den Hout et al., 2010) and experimental manipulations of food availability (Cuthill et al., 2000; 82 Ekman and Hake, 1990). Even under conditions of unrestricted access to food, unlike mammals, 83 birds rarely become "overweight" (Halsey, 2018). The adaptive significance of mass regulation 84 in birds has received a great deal of attention in both theoretical and empirical studies, 85 however, the mechanisms by which birds regulate their body mass have not (Halsey, 2018; but 86 87 see Kelly and Weathers, 2002).

88 Several non-exclusive mechanisms could contribute to mass regulation in birds. Birds 89 could regulate their body mass using several non-exclusive mechanisms (reviewed in Halsey, 90 2018). All else being equal, mass loss could be facilitated by decreased food intake, increased 91 activity, higher metabolic rate, lower mitochondrial efficiency or lower digestive efficiency. 92 Here, we present the results of two experiments to evaluate the relative importance of three of these mechanisms for mass regulation in red knots (Calidris canutus islandica). In the first 93 94 experiment, knots exhibited presumably adaptive changes in body mass in response to 95 perceived predation risk (Mathot et al., 2009; van den Hout et al., 2010). We use activity budget

96 observations to evaluate whether predation-related decreases in body mass were driven by decreased food intake, increased activity, or both. In the second experiment, knots maintained 97 their body mass across large experimental manipulations in the quality of their diet (Mathot et 98 99 al., 2019). We use activity budget observations and respirometry data to evaluate whether variation in diet quality was associated with changes in food intake, activity, BMR or a 100 101 combination of the three. Taken together, our results provide evidence that both dieting and 102 activity are key mechanisms underlying mass regulation in captive red knots, but also hint at a 103 potential role of metabolic adjustments.

104

105 Materials and methods

Study species. Red knots (*Calidris canutus*) are medium-sized migratory shorebirds, and are
 ideally suited for studies of the mechanisms of mass regulation (Karagicheva et al., 2016).
 Previous work in red knots has shown that they exhibit adaptive changes in body mass in
 response to manipulations of perceived predation danger (Mathot et al., 2009; van den Hout et
 al., 2010), and that they maintain regular seasonal variation in body mass even after extended
 periods of time in captivity (Karagicheva et al., 2016).

112 EXPERIMENT 1: ADAPTIVE CHANGES IN BODY MASS IN RESPONSE TO PERCEIVED PREDATION113 DANGER

Study subjects. Fifty knots of the *islandica* subspecies were captured using mistnets on the mudflats of Richel (53°16′57″N, 05°23′82″E) and Simonszand (53°29′28″N 06°24′19″E) in the Wadden Sea, The Netherlands, on 8 August and 3 September 2005. Prior to experiments, birds were housed at NIOZ Royal Netherlands Institute for Sea Research in outdoor aviaries and given an *ad libitum* diet of mudsnail, *Peringia ulvae* (formerly *Hydrobia ulvae*).

Experimental procedure. Experiments were carried out between 21 August and 28 November
 2005. Birds were randomly assigned to flocks, with 8 flocks of 6 birds being tested (N = 48
 birds). Experiments were conducted in the indoor experimental shorebird facility at NIOZ (7.0 X

122 7.0 X 2.8m high), under constant photoperiods with light on from 6h00 to 21h00, and 'moonlight' mimicking illumination being provided during the dark phase. Flocks were tested 123 124 sequentially. After being introduced to the mudflat facility, the focal flock was given two days to 125 acclimate to the mudflat before the start of experiments, which consisted of a 5-day control treatment (no disturbance) and a 5-day experimental period (exposure to model predator). 126 Treatment order was randomized, with half of flocks receiving the control treatment first, and 127 half of flocks receiving the experimental treatment first. During the experimental treatment, 128 129 flocks were subjected to two manipulations of perceived predation each day: one presentation 130 of a gliding sparrowhawk (Accipiter nisus), and one presentation of a perching sparrowhawk. 131 The timing and order of events was randomized, and behavioural observations were conducted 132 before and after each predator exposure. The control period consisted of no disturbances, but 133 observation periods were time-matched to the disturbances during the treatment periods to 134 control for temporal variation in activity budgets.

135 Activity budget observations. During both the control and experimental phases of the experiment, 5-minute continuous, focal observations were made on each flock member four 136 times each day; before and after each of the two simulated predator encounters. For the 137 control phase, there were no simulated predator encounters, and observations were made at 138 time-matched periods to those of the predator phase. Individual observation order was 139 140 randomized. Observations were conducted from behind a one-way mirror, dictated into a 141 microcassette recorder, and later transcribed using The Observer 3.0 Event Recorder (Noldus Information Technology, Wageningen, the Netherlands). All observations were made by a single 142 observer (KJM). 143

During focal observations, we noted both feeding and activity. Feeding was defined as the focal bird being present in the food tray and pecking at the food. Although we could not evaluate individual intake rates, we have previously shown that treatment related differences in proportion of time spent foraging coincide with treatment related differences in food intake; when knots spend less time "feeding", less food is consumed (Mathot et al., 2009). 149 We used movement in a familiar environment as our measure of activity (sensu Réale et al., 2007). In this experiment, "activity" comprised both walking with the head oriented 150 151 upwards, as well as walking with the head oriented downwards, including walking while 152 pecking at the substrate as long as this was not within the food tray (which was scored as "feeding"). Although "flying" would also be considered an active or movement generating 153 behaviour, it was not observed during any of the focal observations included in this analysis, 154 155 and thus did not contribute overall to our estimated of proportion of time spent active. 156 Additional behaviours were scored including vigilance (standing still with the bill parallel to the 157 horizon or higher with accompanying side to side movement of the head), resting (motionless 158 with the head up), roosting (motionless with bill tucked into a wing), and preening (all 159 behaviours related to plumage maintenance). However, as these behaviours are assumed to be 160 neither indicative of feeding or activity, they are not discussed further.

161 Data selection and statistical analysis. We previously showed from this experiment that knots adjusted body mass in response to manipulations of perceived predation danger as expected 162 from theory. At the population-level body mass was lower following the predator treatment 163 compared to the control treatment (van den Hout et al., 2010). We also previously showed that 164 at the population-level, knots showed dramatic short-term behavioural responses to perceived 165 predation danger by decreasing their investment in foraging immediately following 166 167 experimental presentations of predator models (Mathot et al., 2009). Here we ask how much of 168 the treatment-related changes in body mass can be explained by long-term behavioural adjustments of foraging and activity. We do this in two steps. First, we evaluate long-term 169 responses to the predator treatment relative to control in terms of 1) mass trajectories, 2) 170 proportion of time spent foraging, and 3) proportion of time spent active. To do this, we 171 constructed three separate linear mixed-effect models using the 'Imer' function in R with 172 treatment (predator or control) as a fixed effect. We included individual and flock id as random 173 174 effects to account for non-independence of repeated measures on the same individuals, and on 175 individuals within the same flock, respectively. Because we were explicitly interested in long-176 term responses, we estimated mass trajectories as the change in body mass across each 5-day 177 treatment period (end body mass minus starting body mass, in grams) such that negative values 178 within a given treatment reflected mass loss, and positive values within a given treatment 179 reflected mass gain. Because the experimental design included measures of behaviour both 180 before and after presentation of sparrowhawk models, repeated over 5 days, we captured both 181 short- and long-term behavioural responses to manipulations of perceived predation danger. For a schematic of the experimental set up and the data included in this study, see ESM Figure 182 183 S1. As we were explicitly interested in the long-term response to manipulations of perceived predation danger, we chose to use the first daily behavioural observation period from days 2-5 184 185 of each treatment block because these captured long-term changes in behaviour (i.e., carried over from previous day). We did not include day 1 of each treatment block, as knots had not yet 186 187 experienced a predator treatment at the start of day 1. Estimates of time spent foraging within 188 each treatment period was done by summing the proportion of time spent feeding across each observation and dividing by the number of observations (N = 4). The same was done for time 189 190 spent active.

Next, we asked whether within-individual changes in mass trajectory across the two 191 treatments was predicted by within-individual changes in time spent foraging and/or time 192 spent active. We calculated the difference between treatments as the average proportion of 193 time feeding during the predator treatment minus the average proportion of time spent 194 feeding during the control treatment, such that negative values reflect relatively lower feeding 195 196 during the predator compared with the control treatment. The same was done for proportion 197 of time active (i.e. walking when not feeding). We constructed a linear mixed effect model to test whether within-individual changes in mass trajectory (dependent variable) were predicted 198 by within-individual changes in time spent foraging (independent variable) or within-individual 199 200 changes in time spent active. Each individual only appeared in the data set once, therefore, we 201 did not model individual id. However, we included flock id as a random effect to account for non-independence among-flock members. 202

For all models, we used the "sim" function of the "arm" package to simulate the
 posterior distribution of the model parameters. Ninety-five percent credible intervals (95% CrI)
 around the mean (β) were extracted based on 1000 simulations (Gelman and Hill, 2007). Effects

were considered significant when the 95% CrI did not overlap 0. Estimates whose credible
intervals were biased away from zero, but whose credible intervals overlapped zero by up to
15%, were interpreted as showing moderate support for an effect, as these provided more than
five times greater support for the interpretation of an effect than they did for the interpretation
of no effect (Cumming and Finch, 2005). Bayesian p-values were calculated when estimates
were biased away from zero but 95% CrI overlapped zero. Adjusted among-flock repeatability
was calculated following Nakagawa and Schielzeth (2010).

213

214 EXPERIMENT 2: MAINTAINING BODY MASS UNDER CHANGING DIET QUALITY

Study subjects. Eighty-four red knots were captured using mist nests on the mudflats of Richel 215 (53°16' N, 05°23' E), Griend (53°14'N, 05°15' E) and Schiermonikoog (53°28' N, 06°10' E) in the 216 217 Wadden Sea, The Netherlands, between August and October 2015 and transported to the experimental shorebird facilities at the NIOZ. Birds were housed in eight outdoor aviaries (4m 218 219 deep x 1.9m wide x 2.3m high at one end, sloping down to a height of 1.9m at the other end) in 220 flocks of between 14 and 17 individuals (including individuals of the C.c. islandica subspecies 221 which were part of another study). Knots were maintained on an *ad libitum* diet of protein-rich 222 trout pellets (Trouvit, Produits Trouw, Vervins, France). Birds were handled each week to assess 223 overall health (Milot et al., 2014) and to allow the aviaries to be cleaned. Further details on 224 husbandry conditions are provided in Mathot et al. (2019).

225 Experimental procedure. Experiments were conducted over two years between October 2015 226 and March 2017. Data collection was restricted to between October and March in each year. 227 This is outside the migration period of the *islandica* red knots (Dietz et al., 2007), and thus, 228 knots were expected to exhibit relatively little adaptive seasonal variation in body mass during 229 this time. Birds experienced 4 diet manipulations in each year (8 total). Diets alternated 230 between high digestive quality fish pellets (Trouvit; the same high digestive quality food provided outside the experimental period) and low digestive quality mudsnails (*Peringia ulvae*). 231 232 Mudsnails are low digestive quality because they must be consumed whole, including the

233 indigestible shell. As a result, Trouvit has a circa 4-fold higher energy density (17.66kJ per gram, value from manufacturer) compared with Peringia ulvae (4 kJ/g) (van Gils et al., 2005a). The 234 235 diet manipulations were used to induce variation in gizzard mass as part of another study (Kok 236 et al., 2019; Mathot et al., 2019). Diet manipulations explained only <1% of the observed variation in overall body mass (Mathot et al., 2019), indicating that knots on average 237 maintained similar body mass across diet manipulations. In this study, we follow up on that 238 239 finding to ask what are the relative contributions of changes in time spent foraging, activity, and 240 metabolism in allowing for this diet-independence of body mass. Body mass and basal metabolic rate (BMR) was measured for up to 4 birds per night at the end of each staple diet 241 242 period (see below) in both years (N = 80). However, activity budgets scoring was only 243 implemented during the staple diet periods in blocks 2, 3 and 4 of year two (N = 59 birds).

244 Activity budget observations. Behavioural observations were conducted on birds in their home 245 aviary beginning in year 2 of the study, and were carried out in blocks 2, 3 and 4 of that year. Observations were conducted during three time blocks: morning (11:00-12:00), mid-day (13:40-246 14:40), and afternoon (15:30-16:30). Observers (N= 4) were randomly assigned to an aviary (N= 247 8) on any given observation session, and observations were made through a one-way mirror in 248 the aviary door. All birds present in the aviary were assigned a random observation order. Prior 249 to commencing observations, the observer would record the aviary and the focal bird in 250 251 JWatcher (http://www.jwatcher.ucla.edu/index.html). Next, they would scan the flock until the 252 randomly assigned focal bird was located. Once the bird was located, the observation 253 commenced for 5 minutes. If the randomly assigned bird could not be located within one minute, the observer moved on to the next bird on the list, and the missed bird was attempted 254 again at the end of the observation session. This could occur, for example, if several birds, 255 256 including the focal bird, were in the roosting posture with one leg tucked under the belly, preventing reading of the full combination of leg bands. 257

During observations, the following behaviours were recorded: walking, flying, resting, roosting, vigilance, preening, stretching, ruffle, eating, drinking, pecking, searching, pooping, bathing, vocalizing, social interactions, miscellaneous and out of sight. The ethogram with 261 description of each behaviour are provided in ESM Table S1. As with experiment 1, we focused on changes in foraging and activity as potential mechanism of body mass regulation. Similar to 262 263 experiment 1, "activity" was defined as the sum of the proportion of time engaged in 264 movement behaviours. In experiment 2, this included spent walking, flying, and searching. This differs slightly from experiment 1 in that no flying was observed in experiment 1, hence it was 265 not included in the time spent active total in experiment 1. However, in experiment 2, 266 267 proportion of time spent flying made up a very small amount of the total activity budget (mean: 268 0.06%, range 0.00% to 0.70%). As such, including it neither qualitatively nor quantitatively changed any of the results discussed (analyses not shown). However, as we a priori considered 269 270 flying an active behaviour, we present analyses including it in the main text. Although 271 observations were meant to be 5 minutes each, a number of observations terminated early 272 when the bird was lost from view. Proportion of time spent active and proportion of time spent 273 feeding were calculated based on the total observed duration of the relevant behaviours 274 divided by the total observation duration (i.e., excluding time when bird was out of sight).

275 Basal metabolic rate measurements. Basal metabolic rate (BMR) was measured using flow-276 through respirometry to evaluate whether BMR was adjusted in response to changes in diet quality. Knots were allowed three-weeks adjustment to their current diet before BMR 277 measurements were taken, to ensure that birds had sufficient time to physiologically adjust to 278 279 diet quality. We were able to measure BMR for 3 birds per night in the first year, and 4 birds 280 per night in the second year because we obtained an additional mass flow controller in year 2. 281 The order in which BMR was recorded for each bird was randomly determined. BMR measurements occurred one day after birds were subjected to a 30 minute behavioural 282 observation in a novel environment to score exploration as part of another study (Kok et al., 283 284 2019). On the day that an individual was scheduled to have their BMR recorded, individuals were removed from their home aviary at approximately 9h00 and placed in a holding crate 285 286 without food until BMR measurements commenced later that afternoon. This duration of food 287 deprivation was necessary to ensure that knots had attained a post-absorptive state prior to 288 the start of metabolic rate measurements because red knots have naturally cyclical foraging 289 routines and may require several hours to clear their digestive tracts. In the wild, knots undergo natural fasts that coincide with the tidal cycle; they do not forage during high tides when their
foraging grounds are inundated, and forage intensively during low tides (van Gils et al., 2005b;
van Gils et al., 2006). At 16h00, birds were weighed to the nearest 0.1g.

293 Next, birds were placed in individual air-tight metabolic chambers (6.8 L) which were 294 placed within an environmental cabinet (Weiss Enet Model HETK 3057.S, Wijk bij Duurstede, The Netherlands). The environmental cabinet was kept at 21°C, which is within the 295 thermoneutral zone of red knots (Piersma et al., 1995; Wiersma and Piersma, 1994). Outdoor 296 297 air was dried (Drypoint membrane dryer model 1201, DPP, Beko, Worcestershire, UK) before being pumped through each chamber at a rate of 50 mL min-1. Flow rates were measured by 298 299 mass flow controllers (Brooks Instruments, Model 5850Z, Veenendaal, The Netherlands), which 300 were calibrated using a Bubble-O-Meter (Dublin, OH, USA). Effluent air was scrubbed of H₂O 301 using a molecular sieve (2mm granules, Merck, Darmstadt, Germany). The O₂ and CO₂ 302 concentrations of influent and effluent airstreams was measured using O₂ (Servomex Model 303 4100, Zoetermeer, The Netherlands) and CO₂ (Servomex Model 1400, Zoetermeer, The Netherlands) analyzers. An automatic valve switched between airstreams so that 10 minutes of 304 baseline was recorded followed by 30 minutes of effluent air from each of two chambers (60 305 306 minutes total). This sequence was repeated through the 16-hour measurement period for each of two analyzers, allowing up to 4 birds to be measured per night. 307

308 Analyzers were calibrated daily. Pure nitrogen was used as the low reference for both 309 the O_2 and the CO2 analyzers. A standard gas of 0.499% CO₂ was used as the high reference for 310 the CO₂ analyzer, and dry air (assumed to contain 20.95% O_2) was used as the high reference 311 for the O_2 analyzer. The following morning at circa 8h00, birds were removed from the 312 chamber, weighed and scored for subcutaneous fat before being returned to their home 313 aviaries with *ad libitum* access to food and water. V_{O2} and V_{CO2} were calculated using the 314 equations provided in Piersma et al. (2004). The lowest 10-minute run of V_{02} was used as our measure of BMR. Calculations were performed using ExpeData (Sable Systems, Las Vegas, USA). 315

Data selection and statistical analysis. Using data collected in the same set of experiments, we
 previously showed that body mass did not vary substantively as a function of diet treatment,

but gizzard mass and pectoral muscle mass did (Kok et al., 2019; Mathot et al., 2019). Here, we
present analyses on previously unpublished activity budget data and metabolic rate data.

To evaluate diet-related differences in body mass (N = 80 individuals), foraging (N = 53 320 individuals), activity (N = 53 individuals), and metabolic rate (N=80 individuals), we constructed 321 322 four separate linear mixed effect model using the "Ime" function of the "Imer" package in the R 323 statistical environment. Sample sizes for behavioural data were lower than for body mass and BMR data because focal observations were only initiated in year 2, and some birds had been 324 325 removed from the study either due to *Staphylococcus* infections, or to make room for new birds that were brought into the experimental shorebird facility (Kok et al., 2019). For these 326 327 univariate models, we included diet as a fixed effect, and individual and aviary as random effects. For analyses of metabolic rates, we included the additional random effect of chamber 328 329 id to account for possible analyzer and/or chamber related effects which would have 330 introduced non-biological noise to the data. Inclusion of this random effect did not alter our interpretation of the effect of diet, but reduced the model residual variance (analyses not 331 shown). We did not include date as a fixed effect in the model because our study design was 332 balanced. During any given observation session, half the birds received the high-quality food 333 treatment and half received the low-quality food treatment. Thus, treatment and seasonal 334 effects (e.g., photoperiod) were not confounded, though we account for potential seasonal 335 336 variation by including "block" as a random effect. Proportion of time spend foraging and 337 proportion of time active were log (n+0.01) transformed prior to analyses. We used the "sim" function of the "arm" package to simulate the posterior distribution of the model parameters. 338 Ninety-five percent credible intervals (95% CrI) around the mean (β) were extracted based on 339 1000 simulations (Gelman and Hill, 2007). Effects were interpreted in the same way as for 340 experiment 1 based on the effect size and the degree of overlap between the 95% CrI and 0. 341 Adjusted repeatability was calculated following Nakagawa and Schielzeth (2010). 342

343

344 *Post-hoc analyses*

345 In both experiments, mass regulation was achieved through changes in the proportion of time spent feeding and changes in the proportion of time spent active (see **Results**). Coincident 346 increases in activity with decreases in feeding could be due to the fact that proportions are 347 348 bounded between 0 and 1, and that increases in one force a concomitant decrease in the other. If that was the case, then shifts in feeding should be directly offset by shifts in activity (i.e., the 349 within-individual correlation should be r = -1). Within-individual correlations of 0 > r > -1 would 350 351 indicate that there was scope for partial independence in the investment towards these two components of the total activity budget, while a correlation of r = 0 would be indicative of 352 353 complete independence.

To evaluate the scope for independent regulation of activity and feeding, we constructed bivariate mixed effects models using the MCMCglmm function in the R statistical environment. The proportion of time spent active and the proportion of time spent feeding (both natural log + 0.01 transformed) were fitted as the response variables, and we modelled a random intercept for individual identity. We did not include additional random effects because none of the effects that were found to be important varied within-individuals (Tables 1 and 3), and therefore, would not influence our ability to estimate the within-individual correlation.

Results presented use an inverse gamma prior. However, we confirmed that the prior specification did not unduly influence the results. Results were quantitatively similar when using an inverse wishart prior, and were not sensitive to nu (degrees of belief in the prior). We present estimates obtained when nu =2. Models were run for 103,000 iterations (i.e., nitt), a burn-in period of 3000, and a thinning interval of 100 to produce a total of 1000 estimates. The estimates were used to calculate the posterior mode of the distribution (i.e. the most likely value), as well as the 95% credible interval.

368

369 Results

370 EXPERIMENT 1

371 Knots had significantly lower mass trajectories during the predator treatment compared to the control treatment, and spent significantly less time foraging and less time active (Table 1, Figure 372 373 1). At the within-individual level, increased investment in feeding was associated with 374 significantly more mass gain (β = 19.98, 95% CrI = 7.20, 43.60). That is, for every 0.1 increase in the proportion of time spent feeding during the predator treatment, knots gained an average of 375 2.00 g (Figure 1A). In contrast, increased investment in activity was associated with lower rates 376 377 of mass gain (β = -22.93, 95% CrI = -56.05, 20.53, Bayesian p-value = 0.15). That is, for every 0.1 378 increase in the proportion of time active during the predator treatment, knots gained on average 2.29 g less than the mean weight gain (Figure 1B). Further, our multivariate analyses 379 380 revealed that increasing activity did not force a concomitant decrease in feeding, or vice versa, 381 as the estimated within individual correlation from the bivariate model was 0.48 (95%Crl 0.27, 0.66). However, even for knots that showed no net change in proportion of time feeding or 382 383 proportion of time active, there was moderate support that exposure to predators resulted in a 384 decrease in body mass trajectory (intercept estimate: $\beta = -3.81g$, 95% CrI = -11.40, 1.00, Bayesian p-value = 0.04, Table 1). 385

386

387 EXPERIMENT 2

388 There was moderate support for body mass varying with diet when analyzing the subset of

birds (N = 59 individuals) for which we had BMR data available (Table 2, β = 1.09, 95% CrI = -

390 0.27, 2.33, Bayesian p-value = 0.06). We interpret this small estimated effect size, together with

the fact that diet explained a negligible proportion of the overall variance in body mass in this

sample of individuals (marginal $r^2 = 0.001$, estimated using the r.squaredGLMM function from

the MuMIn package in R), as support for diet-independent body mass.

394 Knots exhibited large and significant changes in their activity budgets in response to

- experimental manipulations in diet. Knots spent significantly more time feeding (β = 3.21, 95%
- 396 CrI = 2.98, 3.38) and significantly less time active (β = -0.50, 95% CrI = -0.73, -0.40) when
- 397 provided with low quality diets. Again, bivariate analyses indicate that there was scope for
- 398 activity and feeding to be adjusted partially independently of one another. The estimated

399 within-individual correlation was r - -0.32 (95% CrI = -0.44, -0.18). Finally, there was no support 400 for an effect of diet quality on BMR (β = 0.02, 95% CrI = -0.02, 0.06) (Table 2 and Figure 2).

401

402 Discussion

403 Here, we report the results of two studies that address the mechanisms of mass regulation 404 birds. We show that decreases in body mass in knots exposed to cues of predation coincide 405 with decreased foraging effort and increased activity, and further, that within-individual 406 changes in mass trajectories can be predicted by individual differences in the extent of foraging 407 restraint and activity upregulation. We also provide evidence that both dieting and activity play a role in maintaining body mass under changing diet quality. When provided with low quality 408 409 diets, knots increased their time spent foraging, and decreased their time spent active. 410 Although we found no support for diet-related differences in metabolic rate, we discuss the 411 potential for metabolic adjustments to contribute to mass regulation in knots in light of our 412 results (see below).

When exposed to model sparrowhawks over 5 consecutive days, red knot mass 413 414 trajectories shifted significantly downwards. Reduction in body mass in response to increased perceived predation has been documented in several other studies (e.g., Abbey-Lee et al., 2016; 415 416 Lilliendahl, 1997; MacLeod et al., 2005; Witter et al., 1994). However, such reductions are often 417 assumed to come about because increased investment in vigilance or other anti-predator behaviours limits opportunities to search for food (Brown, 1999; Houston et al., 1993; Lima, 418 419 1998). While this may be the case in free-living birds, we show here that reductions in foraging 420 effort also occur in captive birds, with ad libitum access to food. We previously showed that the 421 long-term upregulation of vigilance in response to manipulated perceived predation danger 422 meant that knots shifted the percent of time spent vigilant from circa 55% during the control treatment to 75% during the predator treatment (Mathot et al., 2009). Given that the mean 423 424 proportion of time spent feeding and active during the control was < 10% each, neither of these 425 necessarily had to be downregulated to accommodate the increased vigilance. For example,

time allocated to other behaviour (e.g., resting, roosting), could have decreased instead. We
suggest that the predation-related decrease in mass trajectory appears to be brought about in
part via reduced intake (i.e., dieting), and appears similar to the restraint in eating shown in a
captive knot undergoing seasonal mass loss even while provided with *ad libitum* access to food
(Piersma and Poot, 1993).

Importantly, time allocation tradeoffs did not force a negative correlation between time 431 spent active and time spent foraging. In red knots, walking and probing the substrate increases 432 433 energy expenditure by approximately 35% compared to resting (Piersma et al., 2003a). As such, 434 we predicted that upregulation in activity in response to the predator treatment might also contribute to mass loss. In contrast, we found that at the population-level, activity decreased 435 436 significantly during the predator treatment, though the magnitude of the decrease was small 437 (Figure 1C). This small decrease in percent of time spent active was coincident with a large 438 decrease in the percent of time spent foraging. Post-hoc analyses corroborate this result; at the 439 within-individual level, there was a positive correlation between proportion of time spent active and proportion of time spend feeding (r = 0.48, 95% CrI = 0.27, 0.66). Importantly 440 however, when considering within-individual changes in mass trajectories, time spent foraging 441 and time spent active, there was strong support that both restraint in foraging and increased 442 activity contributed to mass regulation (Figure 2). Knots that showed the greatest decrease in 443 444 the proportion of time foraging between predator and control treatments also showed the 445 greatest decrease in mass trajectory. At the same time, knots that showed the greatest increase in time spent active between these treatments showed the greatest decrease in mass 446 trajectories. Interestingly, these two mechanisms had very comparable effects on mass 447 trajectories in terms of the magnitude of change in mass trajectory brought about per unit 448 change in effort spent foraging or active (Table 1), suggesting that they are equally effective 449 means of managing body mass in knots. 450

451 Our within-individual analyses also revealed moderate support for the interpretation 452 that there was a downward shift in mass trajectory in knots between the predator and control 453 treatment, even when there was no net change in foraging or activity (Table 2; intercept 454 estimate). This could be due to the additional effects of the acute, short-term responses to 455 predator exposure during which time steeper reductions in food intake occurred (i.e., indicating 456 that the long-term response was an underestimate of the overall average effect of predator 457 treatment on knots) (Mathot et al., 2009). It may also indicate that additional, unmeasured, 458 mechanisms, also contributed to the shift in mass trajectory, such as changes in digestive efficiency, or changes in metabolism (Halsey, 2018; Zanette et al., 2014). Although digestive 459 460 efficiency is often stable across different food intakes and food qualities (Karasov, 1996; McWilliams and Karasov, 1998), we are not aware of any study investigating digestive efficiency 461 as a function of predation risk. However, many birds defecate immediate prior to anti-predator 462 463 escape flights (van der Veen and Sivars, 2000). While this may result in an immediate, small, 464 decrease in body mass (van der Veen and Sivars, 2000), it also implies that birds can plastically adjust gut transit time. All else being equal, decreasing gut transit time would provide a means 465 of reducing the amount of energy extracted per unit of food consumed (i.e., reduce digestive 466 467 effiiciency; see Levey and Karasov, 1992; McWilliams and Karasov, 1998). Similarly, fecal energy loss has recently been proposed as may be an important mechanism contributing to energy 468 homeostasis in humans (Lund et al., 2020). However, the role of changes in gut transit time as a 469 470 mechanism of mass management in birds requires investigation.

Predator-related decreases in mass trajectories may also have resulted from metabolic 471 472 adjustment. Indeed, when exposed to predators over short-time scales, many organisms have 473 been shown to exhibit increases in metabolic rate (Beckerman et al., 2007; Okuyama, 2015; Steiner and Van Buskirk, 2009). Though this is often interpreted as an unavoidable consequence 474 of predator-induced stress, upregulation of metabolic rates in response to increased perceived 475 predation danger may also facilitate adaptive decreases in body mass over intermediate time 476 scales. Studies tracking changes in metabolic rate across different time scales are needed to 477 address this possibility. 478

In a second experiment, we manipulated diet quality provided to knots. We previously showed that large changes in the quality of the diets had no appreciable effect on overall body mass (Mathot et al., 2019). In the subset of birds included in the present study (n=59), we again 482 find that the diet manipulations used here had no biologically important effect on body mass 483 when birds are given sufficient time to acclimate to the diet. The maintenance of body mass 484 under decreasing energetic quality of food was achieved through significant upregulation in 485 foraging effort (from circa 1% of time spent foraging to circa 45% of time spent foraging), and a concomitant decrease in the proportion of time spent active (from circa 25% to 15%). Several 486 487 studies have shown that the increased foraging intake necessitated by low quality diets results 488 in substantive increases in the gizzard (i.e., muscular stomach used for food processing) (e.g., 489 Bijleveld et al., 2014; Dekinga et al., 2001; Mathot et al., 2017). This was also true in this cohort of birds; changes in diet quality were associated with a 2-fold difference in gizzard mass (Kok et 490 491 al., 2019; Mathot et al., 2019). Importantly, the magnitude of the shift in the percentage of time 492 spent foraging observed in this study (from 1.5% to 47.5%) was not sufficient to force a coincident change in activity. The percent of time active during the high-quality diet treatment 493 494 (27%) could have been maintained on the low-quality diet while still allowing nearly 25% of the overall activity budget for other behaviours such as preening or roosting. Post-hoc analyses 495 confirm this interpretation. Although there was a negative within-individual correlation 496 between proportion of time spent active and proportion of time spent feeding (r = -0.32, 95%497 498 CrI = -0.44, -0.18), the correlation was moderate. This suggests that there was at least partial 499 independence in how time was allocated to these two categories of behaviour, as complete dependence would have resulted in a correlation of -1. Taken together, we suggest that the 500 501 changes in activity patterns may have been adopted as a means of conserving energy under 502 conditions of low diet quality.

503 We also investigated whether diet induced changes in metabolic rate could have 504 contributed to the observed mass regulation. All else being equal, lower BMR under conditions 505 of low food quality would facilitate mass regulation. We found no support for diet-induced changes in BMR. However, changes in diet are known to induce changes in body composition, 506 507 which may complicate interpretation of this null result. For example, in knots, low quality diets 508 result in increases in gizzard mass (Bijleveld et al., 2014; Dekinga et al., 2001; Mathot et al., 509 2019), and decreases in pectoral muscle mass (Mathot et al., 2019). These muscles each have high metabolic activity, though gizzard is more metabolically active compared with pectoral 510

muscle (i.e., contributes more to basal metabolic rate per gram of tissue) (Daan et al., 1990;
Kersten and Piersma, 1987; Piersma et al., 1996). In a previous analysis with the same set of
birds, we showed that diet induced changes in the mass of gizzard and pectoral muscle were
roughly equal, but in opposite directions; for each 1 g increase in gizzard mass withinindividuals, there was on average a 1.26 g decrease in pectoral muscle mass (Mathot et al.,
2019). Thus, any diet-induced changes in BMR due to increased gizzard mass may have been
offset by the concomitant changes in pectoral muscle mass.

518 In contrast with our results, an earlier study found that knots switched from Trouvit (the same high quality food as used in the current study) to blue mussels (Mytilus edulis) exhibited 519 520 increases in total body mass, gizzard mass, and decreases in BMR after 3 weeks on the low quality diet (Piersma et al., 2004). However, there are key differences between our study and 521 522 the study by Piersma et al. (2004) that may account for the contrasting results. The knots used 523 in the current study were recently wild-caught, and repeatedly shifted between high and low 524 digestive quality diets. In contrast, the knots used in the study by Piersma et al. (2004) had been in captivity for five to six years prior to the experiments and maintained on ad libitum Trouvit 525 since capture. Given that knots generally exhibit a reluctance to switch from high quality to low 526 quality food (Piersma et al., 1993), in the earlier study by Piersma et al. (2004), three weeks on 527 a diet of blue mussels may not have been sufficiently long for knots to fully acclimate to the 528 529 change in diet. Studies tracking changes in gizzard mass and metabolic rate in response to diet 530 quality manipulations over different time scales would help clarify whether metabolic 531 responses to diet quality [in knots and other birds] are indeed time-dependent.

Taken together, these two experiments both provide evidence that adjustments in foraging effort and activity in red knots contribute to patterns of mass regulation. Foraging decreased under increased predation danger, which facilitated mass loss relative to the low danger treatment. Foraging also decreased when knots were provided higher quality food. However, the absolute investment in foraging on the low-quality diet was markedly different across the two experiments; proportion of time spent foraging was 0.10 in experiment 1 versus 0.40 in experiment 2 (see Figures 1 and 2). Although some of this might reflect variation in the quality of *Peringia* provided, differences the housing densities of knots across the two
experiments (experiment 1: 0.16 knots/m²; experiment 2: 1.75 knots/m²) likely also resulted in
differences in perceived competition and the degree of interference competition, which has
previously been shown to result in increased time spent searching in a food patch (Bijleveld et
al., 2012).

Interestingly, voluntary flight did not appear to play an important role in mass 544 regulation in either experiment. In the first experiment, no voluntary flight was observed, and 545 in the second experiment, voluntary flight made up a very small percentage of the total activity 546 budgets (<1%). Voluntary flight can result in energy expenditure of 9 -10 times basal metabolic 547 rate (Piersma and van Gils, 2011), and would therefore be a very effective means of increasing 548 energy expenditure. Our failure to detect voluntary flight as a mechanisms of mass 549 550 management may be because our focal observations were too short to capture this relatively 551 rare behaviour, or because voluntary flight is not used to manage body mass in captive knots. 552 The latter might be the case because the experimental arena and aviaries used in the present study are too small to allow for unencumbered flight. Alternatively, flight may impose 553 554 additional, non-energetic costs. For example, voluntary flight for mass loss may increase an individual's vulnerability to predation by reducing flock cohesion (Mathot et al., 2009). 555 Ultimately, longer observation sessions are needed to assess the potential for small shifts in 556 557 voluntary flight as a mechanism of body mass management.

558 Conclusion

559 We show that both adaptive changes in body mass, and maintenance of body mass under 560 changing conditions, coincide with changes in foraging effort and activity. Loss of body mass is often seen as a consequence of missed foraging opportunities when mitigating predation 561 danger (e.g., due to increase of vigilance, or avoiding risky activities). However, our results 562 suggest that birds are able to exhibit restraint in foraging, analogous to "dieting". Under 563 conditions of *ad libitum* access to food, knots spent less time feeding when weight reduction 564 was adaptive (Experiment 1), and when the food provided was of higher energetic quality 565 566 (Experiment 2). Although, the second experiment provides no evidence that mass regulation

567 was achieved through metabolic adjustment, the regulation of body mass was studied over a long-time period, once body mass had the opportunity to stabilize (i.e. > 3 weeks' adjustment 568 569 to the new diet). Thus, we cannot rule out the possibility that metabolic adjustments 570 contributed to mass regulation over shorter time scales (e.g., the first few days adjusting to a new diet). Indeed, Experiment 1 provides some evidence that additional mechanisms, such as 571 572 metabolic adjustment, could have contributed to mass regulation, and other studies have also suggested that metabolic adjustments have time-dependent effects. Understanding whether, 573 574 and under what circumstances, metabolic adjustments plays a role in mass regulation in birds requires further investigation. 575

576

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589

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592

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599

600 Data availability

- All data and r-scripts required to reproduce the analyses presented in this manuscript are
- available in an Open Science Framework digital repository (<u>https://osf.io/uswk7/</u>). Link for peer
- 603 review: <u>https://osf.io/uswk7/?view_only=686b5d2c38a24a769fc3fa307fa2c5b0</u>. Data and
- scripts will be deposited on Dryad upon final acceptance.
- 605

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Table 1: Experiment 1. Treatment related differences in body mass trajectories, proportion of

time spent feeding, and proportion of time spent active in knots exposed to experimental

manipulations of perceived predation risk. Foraging and activity data were In transformed prior

to analyses to meet assumptions of normality for model residuals. The intercept estimate is for

the control treatment.

	Mass trajectory	Ln (proportion of time feeding)	Ln (proportion of time active)
Fixed effects	β (95% Crl)	β (95% Crl)	β (95% Crl)
Intercept	4.66 (2.55, 6.15)	-2.32 (-2.75, -2.02)	-2.20 (-2.28, -1.75)
Predator treatment	-6.26 (-7.67, -3.52)	-0.44 (-0.70, -0.08)	-0.48 (-0.74, 0.22)
Random effects	σ² (95% Crl)	σ² (95% Crl)	σ² (95% Crl)
Bird ID	1.18 e-14 (7.11 e -15, 1.88 e-14)	0.023 (0.016, 0.041)	0.07 (0.04, 0.10)
Flock ID	1.94 (0.33, 6.76)	0.16 (0.06, 0.49)	0.63 (0.18, 1.23)
Residual	31.46 (21.55, 38.45)	0.60 (0.49, 0.85)	0.41 (0.31, 0.55)

779

780 **Table 2: Experiment 1.** Sources of variation in relative mass trajectory and relative activity

781 trajectory in red knots exposed to manipulation of perceived predation danger. Relative mass

trajectory refers to the mass trajectory during the 5-day predator treatment minus the mass

trajectory during the control treatment, such that negative values indicate a relative decrease

in mass during the predator treatment.

Fixed effect	Delta mass trajectory
	β (95% Crl)
Intercept ¹	-7.75 (-11.95, 0.34)
Change in proportion of time spent foraging ²	25.97 (7.71, 45.96)
Change in proportion of time spent active ²	-22.49 (-56.83, 17.27)
Random effects	σ² (95% Crl)
Flock ID (N = 8 levels)	73.31 (46.14, 96.39)
Residual (N = 48 levels)	27.00 (17.37, 41.25)

1. Intercept estimates the average change in body mass trajectory in perceived predation

periods relative to control periods for individuals that show no adjustment in the proportion oftime spend feeding.

2. Change in proportion of time spent feeding/active in predator treatment relative to control,
where zero equals no net change, positive values indicate increase in time allocation during
predator treatment, and negative values indicate decrease in time allocation in predator
treatment.

Table 3: Experiment 2. Sources of variation in foraging, activity and metabolic rate.

	Body mass (g)	Ln (proportion of time foraging)	Ln (proportion of time active)	Metabolic rate (Watts)
Fixed effects	β (95% Crl)	β (95% Crl)	β (95% Crl)	β (95% Crl)
Intercept ¹	132.29 (126.26, 137.78)	-4.08 (-4.19, -3.91)	-1.40 (-1.59, -1.26)	1.17 (0.87, 1.45)
Diet (Low quality)	1.09 (-0.28, 2.32)	3.21 (2.98, 3.38)	-0.50 (-0.73, -0.40)	0.01 (-0.03, 0.06)
Random effects	σ ² (95% Crl)	σ² (95% Crl)	σ ² (95% Crl)	σ² (95% Crl)
Individual	188.02 (165.78, 214.55)	0 ³	0.010(0.006, 0.014)	0.021 (0.017, 0.028)
Aviary	4.83 (0.82, 14.40)	0.0030 (0.0006, 0.0077)	0.0015 (0.0004, 0.0044)	0.0005 (0.0001, 0.0019)
Treatment Block	24.19 (18.61, 31.22)	0 ³	0.0015 (0.0004, 0.0044)	0 ³
Respirometry Chamber	NA	NA	NA	0.061 (0.047, 0.073)

Year	0 ³	NA	NA	0.013 (0.006, 0.020)
Residual	49.99 (44.90, 57.45)	0.45 (0.35, 0.53)	0.35 (0.29, 0.44)	0.062 (0.05, 0.068)
Repeatability ²	r (95% Crl)	r (95% Crl)	r (95% Crl)	r (95% Crl)
Individual	0.79 (0.76, 0.82)	0 ³	0.025 (0.018, 0.037)	0.27 (0.22, 0.32)

1. Intercept estimates are for high quality diet. Diet estimate is the change in response variable for knots on low quality diet relative to the high quality diet.

2. Adjusted repeatability calculated after correcting for fixed effects.

3. Variance components non-estimable.

Figure legends

Figure 1: Experiment 1. Treatment related differences in (A) mass trajectory, (B) proportion of time spent feeding, and (C) proportion of time spent active. The same individuals (N= 48) were included in both "control" and "predator" treatments.

Figure 2: Experiment 1. Within-individual changes in (A) the proportion of time spent feeding and (B) the proportion of time spent active predict within-individual changes in mass trajectory in response to manipulations of perceived predation danger in red knots. Each point represents an individual (N = 64 total). The lines fitted to the data are derived from the intercept and slope estimates from the mixed effects model presented in Table 1.

Figure 3: Experiment 2. Treatment related differences in (A) body mass (N = 80), (B) the proportion of time spent feeding (N= 59), (C) the proportion of time spent active (N = 59), and (D) basal metabolic rate (BMR) in Watts as a function of diet quality (N= 80).







Figure 2



Diet quality

Figure 3

Electronic supplementary material

Table S1: Ethogram used for behavioural observations in Experiment 2. Type refers to whether the behaviour was scored as a state or point event. State events are scored for their whole duration; any given state event continues until a new state event is registered. Point events are scored for their number of occurrences. These were generally behaviours that were too short in duration to allow for accurate duration estimates. Activity was estimated as the sum of time spent walking, flying and searching (see main text).

Behaviour	Description	Туре
Walking	Stepping forward, backward, or on one side in order to move to another location	State
Flying	Taking off by flapping, and move to another location	State
Resting	Standing still without any visible action	State
Roosting	Standing with its bill between the feathers, often on one leg	State
Vigilance	Looking around with a horizontal bill and/or looking up by tilting the head	State
Preening	Cleaning or rearranging its feathers with the bill or by flapping, scratching with one of its feet	State
Stretching	Stretching wings and/or legs	Point
Ruffle	Shaking all its feathers	Point
Eating	Pecking some food of the feeder and swallowing it	State
Drinking	Putting its bill in the water, then turning its head up to send the water to the back of his throat	State
Pecking	Pecking solid objects with the bill (often repeatedly)	State
Searching	Looking at the ground in order to find food with the bill forming a 45° angle with the horizontal, or probing in the sand	State
Pooping	The bird releases excrement from the body	Point
Bathing	Cleaning its feathers using water, often shaking the body	State
Vocalizing	Producing vocalisations	Point
Interaction initiator	The bird attacks one of the other bird	Point
Interaction victim	The bird is being attacked by one of the other birds	Point
Miscellaneous	Behaviour that does not fit the descriptions above	Point
Out of sight	The bird cannot be seen by the observer	State

Figure S1. Schematic representation of an experimental block in experiment 1. Black rectangles represent each day of the block (1 through 5). On each day, two predator events occured (purple = presentation of a perching sparrowhawk, green = presentation of a gliding sparrowhawk), with behaivoural observations conducted immediately before and after each presentation (small grey and yellow rectangles). Only the observation periods shown in yellow were used to calculate long-term behavioural responses, as they reflected carry-over responses from presentations on the previous day. Mass of all indivdiuals ws calculated at the begining and end of each block. For the control (i.e., non-predator) block of the experiment, the same sampling design was used except that no predators were presented (blank controls).

