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SCICOM STEERING GROUP ON ECOSYSTEM PRESSURES AND IMPACTS

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## Interim Report of the Working Group on the Effects of Extraction of Marine Sediments on the Marine Ecosystem (WGEXT)

24–27 April 2017

Norwich, UK



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## Executive summary

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The Working Group on the Effects of Extraction of Marine Sediments on the Marine Ecosystem (WGEXT) met in Norwich, UK, 24–27 April 2017, chaired by Ad Stolk, the Netherlands. Eighteen participants from ten ICES member countries attended the meeting. Contributions were provided by correspondence from Portugal, Estonia and Lithuania whose representatives could not attend.

The objective of WGEXT is to provide a summary of data on marine sediment extraction (ToR A1), marine resource and habitat mapping, changes to the legal regime, and research projects relevant to the assessment of environmental effects (ToR A2). The data on marine sediment extraction will be reported annually. WGEXT previously defined nine other ToRs which WGEXT has identified as important issues to be addressed.

Data reports (ToR A1) were discussed from 18 (of 20) member countries. Although not all of the member countries provided reports, the available data is thought to provide a representative assessment of the overall total of material extracted from the ICES area. The status of the other ToRs (A2 and B to L) were reviewed.

WGEXT will hold next meeting in Copenhagen, Denmark, 16–19 April 2018, as guest of the Ministry of Environment and Food.

## 1 Administrative details

<p><b>Working Group name</b></p> <p>Working Group on the Effects of Extraction of Marine Sediments on the Marine Ecosystem (WGEXT)</p> <p><b>Year of Appointment within the current cycle</b></p> <p>2017</p> <p><b>Reporting year within current cycle (1, 2 or 3)</b></p> <p>1</p> <p><b>Chair(s)</b></p> <p>Ad Stolk, the Netherlands</p> <p><b>Meeting dates</b></p> <p>24–27 April 2017</p> <p><b>Meeting venue</b></p> <p>Norwich, UK</p>
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## 2 Terms of Reference

ToR	DESCRIPTION
A1	Review data on marine extraction activities. Provide a summary of data on marine sediment extraction for the OSPAR region to OSPAR.
A2	Review of development in marine resource mapping, legal regime and policy, environmental impact assessment, research and monitoring and the use of the ICES Guidelines on Marine Aggregate Extraction.
B	Create an ICES aggregate database comprising all aggregate related data, including scientific research, EIA, licensing and monitoring data.
C	Incorporate MSFD into WGEXT
D	Ensure outputs of the WGEXT are accessible by publishing as a group and creating a webpage on the ICES website.
E	Discuss the mitigation that takes place across ICES countries and where lessons can be learned or recommendations taken forward
F	Study the implications of the growing interest in deep sea mining for the WGEXT (legislation, environmental, geological)
I	Cumulative assessment guidance and framework for assessment should be developed.
K	Impacts of marine aggregate extraction on fish and fisheries
L	Implications of Marine Spatial Planning on marine sediment extraction

### 3 Summary of Work plan

Year 1	A1, B, F, I
Year 2	A1, B, C, D, E, K, L
Year 3	A1, A2, B, C, D, E,F, I, K, L

### 4 Progress report on ToRs and workplan

#### 4.1 Term of Reference A1: Review data on marine extraction activities and provide a summary of data on marine sediment extraction for the OSPAR region to OSPAR

ICES WGEXT have again attempted to provide information for all ICES countries on the annual amounts of sand and gravel extracted but have still found difficulty in obtaining information from countries not regularly represented in person at ICES WGEXT meetings. WGEXT members again attempted to contact those countries who were unable to submit data for inclusion in the annual report (Annex 1). A summary of available information is included in Table 4.1.

**Table 4.1. Summary Table of National Aggregate Extraction Activities in 2016.**

Country	A) Construction/ industrial aggregates (m <sup>3</sup> )	B) Beach replenishment (m <sup>3</sup> )	C) Construction fill/ land reclamation (m <sup>3</sup> )	D) Nonaggregate (m <sup>3</sup> )	E) Total Extracted (m <sup>3</sup> )	F) Aggregate exported (m <sup>3</sup> )
Belgium (OSPAR)	2,73,181	298,229	0	0	3,031,410	1,425,000
Canada	N/d	N/d	N/d	N/d	N/d	N/d
Denmark <sup>1</sup> (HELCOM)	2,518,610	0	2,164,260	0	4,682,870	148,706
Denmark <sup>1</sup> (OSPAR)	1,741,988	2,148,111	2,553,576	0	6,443,675	217,656
Denmark <sup>1</sup> (total)	3,874,552	2,148,111	3,551,183	0	9,573,846	366,362
Estonia (HELCOM)	0	0	0	0	0	0
Finland (HELCOM)	0	0	0	0	0	0
France (OSPAR)	2,740,816	N/d <sup>2</sup>	N/d	265 400 <sup>3a</sup>	3,006,216 <sup>3b</sup>	0
France (Med)	0	N/d <sup>2</sup>	N/d	0	N/d	0
Germany (HELCOM)	N/d	N/d	N/d	N/d	N/d	N/d

Germany (OSPAR)	N/d	N/d	N/d	N/d	N/d	N/d
Greenland and Faroes (OSPAR)	N/d	N/d	N/d	N/d	N/d	N/d
Iceland (OSPAR)	34,490 <sup>7</sup>	0	179,047 <sup>7</sup>	77,770	293,307	0 <sup>8</sup>
Ireland (OSPAR)	N/d	N/d	N/d	N/d	N/d	N/d
Latvia (HELCOM)	N/d	N/d	N/d	N/d	N/d	N/d
Lithuania (HELCOM)	0	0	0	0	0	0
Netherlands (OSPAR)	6,689,005	9,004,289	0	210,551 <sup>4</sup>	15,693,294	2,927,774
Norway (OSPAR)	N/d	N/d	N/d	N/d	N/d	N/d
Poland (HELCOM)	360,578	470,000	0	0	830,578	0
Portugal (OSPAR)	148,323	30,865	0	0	179,179	0
Spain (OSPAR)	N/d	N/d	N/d	N/d	N/d	N/d
Spain (MED)	N/d	N/d	N/d	N/d	N/d	N/d
Spain (Canary Islands)	N/d	N/d	N/d	N/d	N/d	N/d
Sweden (OSPAR)	0	0	0	0	0	0
Sweden (HELCOM)	0	0	0	0	0	0
United Kingdom <sup>5</sup> (OSPAR)	10,146,869	650,863	522,449	0	11,328,547	1,666,943
United States <sup>6</sup>	0	4,828,404	0	2,485,568	7,313,972	0

**Table Definitions and notes:**

**A. Construction/industrial aggregates - marine sand and/or gravel used as a raw material for the construction industry for building purposes, primarily for use in the manufacture of concrete but also for more general construction products.**

**B. Beach replenishment/coastal protection – marine sand and/or gravel used to support large-scale soft engineering projects to prevent coastal erosion and to protect coastal communities and infrastructure.**

**C. Construction fill/land reclamation – marine sediment used to support large scale civil engineering projects, where large volumes of bulk material are required to fill void spaces prior to construction commencing or to create new land surfaces.**

**D. Non-aggregates – comprising rock, shell or maerl.**

**E. Total Extracted – total marine sediment extracted by Member Countries**

**F. Aggregates Exported - the proportion of the total extracted which has been exported i.e. landed out-side of the country where it was extracted.**



<sup>1</sup>The OSPAR area and the HELCOM area are overlapping in Denmark. The Kattegat area from Skagen to north of Fyn-Sjælland is included in both Conventions. Therefore the figures from the two Convention-areas cannot be added. The total for Denmark has been reported separately.

<sup>2</sup> No information is available for extraction quantities although sand extraction for beach replenishment is likely to have occurred.

<sup>3a</sup> Licensed data (maximum permitted) because extracted data is subject to statistical confidentiality.

<sup>3b</sup> Included licensed data (maximum permitted) for non-aggregate because extracted data is subject to statistical confidentiality.

<sup>4</sup> Total shell extraction including Western Scheldt and Wadden Sea

<sup>5</sup> Conversion from reported tonnes to m<sup>3</sup> achieved using density / specific gravity conversion factor of 1.66 tonnes/m<sup>3</sup>

<sup>6</sup> Figures reported for USA pertain to northern areas of the eastern seaboard only

<sup>7</sup> The fraction of total extraction attributed to “construction aggregate” and that to “construction fill/reclamation” has been estimated. Most construction aggregate was used in concrete, and most of the aggregates used for fill and reclamation were used in harbor construction.

<sup>8</sup> Although marine aggregates are not exported from Iceland, maerl (non-aggregate) is commercially extracted in Bíldudalur, Arnarfjörður and exported.

WGEXT will again circulate a copy of the WGEXT 2017 interim report to contact points provided by OSPAR in order that the accuracy of the information presented can be assured.

As in previous years, Table 4.2 provides information on countries with data adjustments.

**Table 4.2. Specific matters highlighted in response to OSPAR request for ICES WGEXT to supply national data.**

<b>DATA ADJUSTMENTS FOR SPECIFIC COUNTRIES NECESSARY TO DISTINGUISH DATA FOR THE OSPAR REGION</b>	
SPAIN	Atlantic coast activities only (note separation of Mediterranean data). Corrections to the data reported in 2015 were made (see Annex 3).
FRANCE	Atlantic and Channel coast activities only (note separation of Mediterranean data)
GERMANY	North Sea activities only (exclude Baltic)
SWEDEN	Delineate activities in the Baltic area (Kattegat) which fall within the boundaries of the OSPAR
DENMARK	Delineate activities in the Baltic area (Kattegat) which fall within the boundaries of the OSPAR

Table 4.3a summarizes information on spatial extent of areas licensed for extraction where available, for ICES WGEXT member countries. Although the data are incomplete at this time, it is important to note that the areas in which extraction occurred were much smaller than the areas licensed and the actual spatial footprint should be used to assess impacts.

**Table 4.3a. Spatial extent of areas licensed for extraction.**

Country	2006	2007/08	2009	2010	2011	2012	2013	2014	2015	2016
	Licensed Area Km <sup>2</sup>									
Belgium	273	273	273	273	319	319	319	203.2	203.20	203.20
Denmark	N/d	429	430	789	650	700	N/d	N/d	N/d	686
Estonia	N/d	N/d	N/d	N/d	N/d	N/d	N/d	N/d	N/d	51.02 <sup>7</sup>
Finland	6	10/10	10	10	10	10	12	12	12.1	12.1
France <sup>1</sup>	73.08	72.97/74.97	74.87	67.87	67.87	135.34	168.54	165.4	169.4	170.17
Iceland	N/d	N/d	20.55	20.50	20.57	20.57	20.55	20.57	20.62	20.58
Netherlands <sup>2</sup>	453	456/585	564	490	456	439	462	470	480	524
Poland	51.10	51.10	51.10	51.10	25.66	25.66	25.66	25.66	25.33	25.33
Portugal	N/d This is not controlled in Portugal.									
Sweden	0	0	0	0	9.70	0	0	9.70	9.70	9.70
UK <sup>3</sup>	1316	1278	1286	1291	1274	711	739	726	912	930.2

**Table 4.3b. Actual areas over which extraction occurs.**

Country	2006	2007/08	2009	2010	2011	2012	2013	2014	2015	2016
	Area in which extraction activities occur km <sup>2</sup>									
Belgium	N/d	N/d	N/d	N/d	105.7	106.2	113.7	61.5	61.5	24
Denmark	N/d	N/d	N/d	N/d	N/d	N/d	N/d	N/d	N/d	N/d
Estonia	N/d	N/d	N/d	N/d	N/d	N/d	N/d	N/d	N/d	0
Finland	N/d	0	0	0	0	0	0	0	0	0
France <sup>4</sup>	N/d	N/d	N/d	N/d	N/d	N/d	N/d	N/d	N/d	N/d
Iceland	N/d	N/d	N/d	N/d	N/d	N/d	N/d	N/d	N/d	N/d
Netherlands	47	383/ 35.3	86	86	71	64	86 <sup>3</sup>	90	88	90
Poland	N/d	N/d	N/d	N/d	N/d	N/d	N/d	N/d	N/d <sup>5</sup>	N/d <sup>6</sup>
Portugal	N/d This is not controlled in Portugal.									
Sweden	0	0	0	0	9.70	0	0	9.70	0	0
UK	141	138	124	105	114	97	99	86		87.5

**Notes Table 4.3a. and 4.3b**

<sup>1</sup> 46.79 sand and gravel extraction area and 21.08 non aggregate area in 2010 and 2011; 128.14 sand and gravel extraction area and 7.2 non aggregate area in 2012; 162.96 sand and gravel extraction area and 5.579 non aggregate area in 2013; 162.96 sand and gravel extraction area and 2.48 non aggregate area in 2014; 162.96 sand and gravel extraction area and 6.48 non aggregate area in 2015, and 162.96 sand and gravel extraction area and 7.209 non aggregate area in 2016.

<sup>2</sup> 90% of material extracted in the Netherlands is taken from 7.5 km<sup>2</sup> (2006) and 9.2 km<sup>2</sup> (2007) and 8.3km<sup>2</sup> (2008), and 23 km<sup>2</sup> (2009), 38 km<sup>2</sup> (2010), 23 km<sup>2</sup> (2011) and 45 km<sup>2</sup> (2013).

<sup>3</sup> 90% of material extracted in UK is taken from 46 km<sup>2</sup> (2003) and 43 km<sup>2</sup> (2004), 49.2 km<sup>2</sup> (2006) 49.95 km<sup>2</sup> (2007), and 39.2 km<sup>2</sup> (2013)

<sup>4</sup> French dredging vessels are fitted with EMS but the information is not treated to make area in which extraction activity occur available.

<sup>5</sup> There were extraction 2015 in part of 10 licensed areas which are 8.54 km<sup>2</sup>, but no extraction in 16 licensed areas which are 12.08 km<sup>2</sup>.

<sup>6</sup> There were extraction 2015 in part of 10 licensed areas which are 8.54 km<sup>2</sup>, but no extraction in 16 licensed areas which are 12.08 km<sup>2</sup>.

<sup>7</sup> 38.18 km<sup>2</sup> licensed as perspective areas

WGEXT again noted that this type of information has to be taken from an analysis of electronic monitoring data and this is not a straightforward task to achieve and therefore not possible for all WGEXT members to provide.

The last part of the ToR A1 concerns the collection of geospatial data on licensed and extraction locations in the form of shape files. OSPAR is currently working on the OSPAR Data and Information Management Strategy, which will include a web portal and metadata catalogue for all OSPAR data streams. OSPAR requested these data as shapefiles; if exact data is not available, OSPAR asks if approximate shapefiles can be created and sent. Ultimately, they will be aiming to undertake a full cumulative effects assessment which will require pressure layers for all human activities and for that it will be essential to have spatial data.

Countries that have shapefiles are listed in Table 4.4. OSPAR countries are asked to provide available shapefiles for 2016 to OSPAR at < Chris.moulton@ospar.org > or

< John.mouat@ospar.org > by 1 October, 2017. WGEXT requests that shapefiles be provided on the WGEXT SharePoint site in the "06 Data" folder annually from all ICES countries including those which are not in OSPAR, and reported to both < Johan.nyberg@sgu.se > and to < ad.stolk@rws.nl >. Johan Nyberg has contact HELCOM and offer to provide them these data. Joni Kaitaranta, the HELCOM Data Coordinator, would be interested in getting an update from this year's WGEXT meeting to cater for 2016 data needs of HOLAS II and Baltic Sea pressure index. Spatial data files (e.g. shapefiles) would be required for the pressure index analysis.

**Table 4.4. Geospatial Shapefile information.**

COUNTRY	Shapefiles licensed	Shapefiles extracted	Delivered to ICES	Delivered to OSPAR
Belgium	Yes	Yes	Yes	Yes
Canada	No	No	No	No
Denmark	Yes	No	Yes	Yes
Estonia	N/d	N/d	No	No
Finland	Yes	No	Yes	No
France	Yes	No	Yes	Yes
Germany	Yes	Yes	No	No
Greenland and Faroes	N/d	N/d	No	No
Iceland	Yes	No	Yes	Yes
Ireland	N/d	N/d	No	No
Latvia	N/d	N/d	No	No
Lithuania	N/d	N/d	No	No
Netherlands	Yes	Yes	Yes	Yes
Norway	No	No	No	No
Poland	Yes	No	Yes	No
Portugal	N/d	N/d	No	No
Spain	N/d	N/d	No	No
Sweden	Yes	Yes	Yes	No
United Kingdom	Yes	No	Yes	Yes
United States	No	No	No	No

More details on Terms of Reference A1 are given in Annex 3.

## 4.2 Terms of Reference A2 – L

ToRs G, H and J have been completed. The following section provides a narrative of discussions concerning each active ToR and outputs from the 2017 meeting.

### 4.2.1 ToR A2: Review of development in marine resource mapping, legal regime and policy, environmental impact assessment, research and monitoring and the use of the ICES Guidelines on Marine Aggregate Extraction

Details on ToR A2 are given in Annex 4. Reports have now been provided by nine countries, Belgium, Finland, France, Ireland the Netherlands, Poland, Portugal, the United Kingdom, and the United States.

### 4.2.2 ToR B: Create an ICES aggregate database comprising all aggregate related data, including scientific research and EIA licensing and monitoring data

Data is reported every year in the WGEXT annual report. Two data tables are proposed (Annex 5). Johan Nyberg has been in contact with the ICES data-base manager, Carlos Pinto < carlos@ices.dk >. Mr. Pinto has started building the ICES database and provided the advice given in Annex 5.

#### 4.2.3 ToR C: Incorporate the MSFD into WGEXT

“Pressure” is defined as “the mechanism through which an activity has an effect on any part of the ecosystem” and is determined by activity type, intensity and distribution (Robinson *et al.* 2008). Within the UK, methods have been developed to produce pressure maps of habitat structural changes removal of substratum) and/or disturbance of the substrate below the surface of the seabed. The published methods outline the data types available to map pressures caused by fishing as well as by aggregate extraction. Areas of two, high priority pressures occurring within UK waters are discriminated. They are being used within the OSPAR common indicator ‘BH3 – Extent of Physical damage to predominant and special habitats’ which aims to assess the current spatial extent and level of disturbance that pressures on the seafloor at the sub-regional scale. This will be used to inform the assessment of GES for Descriptor 6.

MSFD have been incorporated into the ongoing deliberations of WGEXT (Annex 6) as embodied in our draft review article on Marine Aggregate Extraction and Marine Strategy Framework Directive: A review of existing research. In addition we note that HELCOM is preparing a report on MSFD which is expected to be completed within the year as a holistic assessment of the ecosystem health of the Baltic Sea (HOLAS II).

#### References

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- Church, N.J., Carter, A.J., Tobin, D., Edwards, D., Eassom, A., Cameron, A., Johnson, G.E., Robson, L.M. & Webb, K.E. 2016. JNCC Pressure Mapping Methodology. Physical Damage (Reversible Change) - Penetration and/or disturbance of the substrate below the surface of the seabed, including abrasion. JNCC Report No. 515. JNCC, Peterborough. < <http://jncc.defra.gov.uk/page-7358> >
- Robinson, L.A., Rogers, S. & Frid, C.L.J. 2008. A marine assessment and monitoring framework for application by UKMMAS and OSPAR – Assessment of pressures (Contract No. F90-01-1075 for the Joint Nature Conservation Committee). Lowestoft: University of Liverpool and Centre for the Environment, Fisheries and Aquaculture Science.

#### 4.2.4 ToR D: Ensure outputs of the WGEXT are accessible by publishing as a group and creating a webpage on the ICES website

ToR D1. Revisions were made to review article on *Marine Aggregate Extraction and Marine Strategy Framework Directive: A review of existing research* under the leadership of Michel Desprez (Annex 6). The initial draft report of 2014 has been completed with the addition of references to 2017. The introduction has been revised. A table summarizes WGEXT results since the production of the ICES Guidelines (2003) with indication of their relevance for MSFD descriptors 1, 3, 4, 6, 7 and 11. Additional revisions are being considered for the discussion of the sections on prevention, impact, monitoring and compliance with MSFD, mitigation and recovery, gaps in knowledge, and for the conclusions.

ToR D2. In February 2016 the Cooperative Research Report (CRR 330) was published on “Effects of extraction of marine sediment on the marine environment 2005–2011”.

WGEXT intend to begin a new Cooperative Research Report to cover the years 2012 to 2018.

ToR D3. The review of the WGEXT page on the ICES website has been completed.

ToR D4. Ad Stolk, Keith Cooper, and Michel Desprez convened the WGEXT session titled *Making marine sediment extraction sustainable by mitigation of related processes with potential negative impacts* at the ICES Annual Science Conference (Theme Session K) in Latvia in September 2016 (Annex 7). We will anticipate offering another at the conclusion of this current three-year reporting period.

#### **4.2.5 ToR E: Discuss the mitigation that takes place across ICES countries and where lessons can be learned or recommendations taken forward**

ToR E will be continued with (E1) a specific inventory in each member country of mitigation, compensation, and avoidance to prevent, reduce and offset any serious harmful effects. Keith Cooper will re-examine the progress made to date from the 2014 and 2015 reports. Mark Russell will coordinate ToR E with the help of Camille Vogel, Maria Alvarez, Keith Cooper, Laure Simplet, and Louise Pell-Walpole based on “Good Practice Guidance: Extraction by dredging of marine aggregates from England’s seabed”. Marine Minerals Guidance 1 (MMG1) was published by the Office of the Deputy Prime Minister (subsequently replaced by the Department for Communities and Local Government) in July 2002. This, in turn, mirrored the guidelines produced by ICES WGEXT. The guidance provided ‘...a statement of the Government’s policies on the extraction of marine sand and gravel and other minerals from the English seabed’. This included high level policy objectives around supporting the sustainable use of marine aggregate resources and the need for a long-term view to support this, balanced against the importance of ensuring that fisheries and the marine environment in general was not significantly harmed and other legitimate marine users were not unacceptably affected.

To deliver these outcomes, MMG1 formalized a number of best practice principles which remain valid today, including minimizing the area of seabed licensed/dredged, the careful location of new dredging areas, the scope of EIA studies, and the adoption of dredging practices that minimize the impacts of dredging. At the time of introduction, the British marine aggregate industry was regulated through a non-statutory Government View arrangement which mirrored the requirements of the EIA and Habitats Directives. An accompanying document (MMG2) provided procedural guidance on the Government View process.

MMG2 was superseded with new procedural guidance once the statutory Marine Mineral Regulations were introduced in 2006, but MMG1 remained the only statement of Government’s policies on marine aggregate extraction until the publication of the UK Marine Policy Statement (MPS) in March 2011. While the MPS provides a high-level summary of the key policy expectations regarding various activities and uses that take place in the marine environment (including marine aggregates), it is understood that the MPS was never intended to replace the detailed content of existing policy guidance.

Rather, the MPS provides the framework for preparing statutory Marine Plans. Paragraph 1.1.3 of the MPS notes that ‘The MPS does not provide specific guidance on every activity which will take place in, or otherwise affect, UK waters. The MPS provides a framework for development of Marine Plans to ensure necessary consistency in policy

goals, principles and considerations that must be taken into account, including in decision making’.

English Government is embarking on a process of ‘Better Regulation’, a central component of which is a substantial reduction in centrally provided guidance. Consequently, there was no provision for MMG1 to be formally updated – indeed there was growing pressure for it to be removed entirely.

From a marine aggregate industry perspective, there was considerable concern that many of the principles and general guidance MMG1 contains are not replicated in any other policy or guidance documents. Therefore, if MMG1 was withdrawn without a suitable replacement, these guiding principles and the reasoning behind them would also potentially be lost. This potential loss has implications not only to the aggregates industry and a vast number of associated interests, but also to Government policy makers, planners, regulators, statutory advisors – particularly given the rate of personnel change and the challenges of retaining corporate memory.

Recent experience had shown that retaining a touch point for best practice principles associated with the management of marine aggregate extraction activities remains critically important. This ensures that the industry can be regulated and managed in a consistent and proportionate manner, which recognizes the considerable and significant developments that have taken place over the last decade. Maintaining clarity about these best practice principles continues to professionalize the sector and promote and maintain the quality of proposals put forward by industry in the development process.

Recognizing that there was still a need for a key reference document to help inform not only industry, but also policy makers, regulators and advisors, the marine aggregate industry (BMAPA) and the marine mineral owner (The Crown Estate) have produced a new Good Practice Guidance document that takes the original content of MMG1, but substantially updates it to reflect modern practice in English waters. This includes EIA, management, mitigation, monitoring and stakeholder liaison.

The process of doing this has involved extensive consultation with Defra, MMO, Natural England, JNCC and Historic England, and while it is not formally endorsed by these agencies their participation in its production has been acknowledged. This is crucial, as without buy-in from Government agencies the value of the new document would be substantially reduced.

The new Good Practice Guidance will be formally launched as a replacement for MMG1 in June 2017 and will be available at: <http://www.bmapa.org/>

#### **4.2.6 ToR F: Study the implications of the growing interest in deep sea mining for the WGEXT (legislation/environmental/geological)**

The early stages of development appear to be taking place for various locations around the globe. For example, a Norwegian report on progress in deep sea mining can be found at:

<http://www.miljodirektoratet.no/Documents/publikasjoner/M532/M532.pdf>

However, there remains a considerable amount of uncertainty around the precise nature of the extraction activities that are being proposed in terms of their geographical setting

and scale (particularly the wide variability in water depths that are being considered), the associated physical and environmental conditions that will be present, the potential pressures that may arise from the extraction operations that are being proposed and the potential sensitivity of the physical and biological receptors that may be exposed. Except for differences in water depth and in the stability and sensitivity of the environments, the general nature of the deep-sea mining operations being proposed are broadly comparable to those associated with marine sand and gravel extraction. Both activities involve the removal of seabed sediments resulting in physical disturbance to the environment. In turn, this can be expected to result in a combination of primary, direct or near-field, pressures arising from the removal of the seabed sediments themselves which will tend to be localized to the point of extraction, and secondary, indirect or far-field, pressures resulting from the suspension of seabed sediments into the water column, which can either be from the extraction process itself or from subsequent processing, and their subsequent settlement outside of the point of extraction.

Given the significant time, effort and investment that has taken place over the last forty years to better understand the nature and significance of environmental impacts arising from marine sand and gravel extraction and how these impacts can be assessed, mitigated, and monitored, principles associated with the management of marine sand and gravel extraction may equally apply to the emerging deep-sea mining activities. ICES WGEXT will therefore continue collecting information and sharing knowledge and follow up/give input to the background document which will be prepared in the framework of OSPAR.

#### **4.2.7 ToR I: Cumulative assessment guidance and framework for assessment should be developed**

During the visit of WGEXT to Cefas, Adrian Judd gives a presentation on a pragmatic approach to cumulative effects assessment called the Bow-tie analysis. See section 19.7

No additional progress was made to date on dredging intensity. However, Annelies de Backer will continue to lead work on the quantification of dredging intensity.

#### **4.2.8 ToR K: Impacts of marine aggregate extraction on fish and fisheries**

A template was sent to all WGEXT members to get information on the:

- existence of monitoring data
- existence of monitoring guidelines
- type of funding (public/private)
- scale of monitoring)
- frequency of monitoring
- type of monitoring
- fishing activity (logbook data)
- impact on fish and fisheries
- bibliographic references

Seven countries responded (Annex 10). Information has been gathered from Belgium, Denmark, France and the United Kingdom (UK). Monitoring is locally done in France, but done on a regional basis in the UK. Annual monitoring is done in UK and monitoring



is seasonal in Belgium and France. In France and Belgium, the whole demersal community is monitored, with specific fish resources being targeted in the UK as well as in France. Temporal and spatial restrictions of dredging activity are employed in the UK and in France as mitigation to protect vulnerable species and habitats

Three countries could not provide information consequently to an absence of extraction (Sweden) or of monitoring (Finland, Portugal). In Denmark, no data of monitoring are presently available, but EIA has to include an impact assessment of the extraction on important fish habitats, spawning and nursery areas. Information from Iceland should soon be available. Additions and revisions were solicited

#### **4.2.9 ToR L Implications of Marine Spatial Planning on marine sediment extraction**

Ad Stolk will send instructions to the WGEXT on how to proceed.

ToR L1. Inventory of countries policy development.

ToR L2. Review of the incorporation of marine sediment extraction in Marine Spatial Planning in member countries.

## **5 Presentations given to the WGEXT**

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Presentations (Annex 12) were given to WGEXT by:

- Ad Stolk on monitoring.
- Brigitte Lauwaert on deep-sea mining.
- Matt Kinmond and Craig Loughlin on the jurisdiction of the Marine Management Organization and Marine licensing.
- Louise Pell-Walpole and Maria Alvarez on responsibilities of the Joint Nature Conservation Committee and Natural England.
- Jyrki Hamalainen on the sustainable use of Marine minerals and aggregates in Finland.
- Bryndis Guorun Robertsdottir on Granting offshore licenses in Iceland for non-energy mineral resources: Geological and environmental issues.
- Adrian Judd (Cefas) on cumulative effects assessment in the OSOPAR Quality Status Report.
- Tony Dolphin (Cefas) on shingle radiofrequency ID.
- Sven Kupschus (Cefas) on integrated modelling.
- Keith Cooper and Jon Barry (Cefas) on a big-data approach to macrotidal baseline assessment, monitoring and sustainable development of the sea bed.

Available abstracts of the presentation can be found in Annex 12. The presenters are asked to provide their Power Point presentations on the WGEXT SharePoint site.

## 6 Closure of the Meeting and Adoption of the Report

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The Chair thanked members of WGEXT for attending and again offered thanks to the BMAPA, The Crown Estate, and Cefas for hosting the meeting and to Henry Bokuniewicz for continuing to serve as rapporteur.

The Working Group on the Effects of Extraction of Marine Sediments on the Marine Ecosystem (WGEXT), chaired by Ad Stolk, will have the next annual meeting in Copenhagen, Denmark, 16–19 April, 2018. The 2019 meeting may be held in Portugal (Rui Quartau).

## Annex 1: List of participants and contributors

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## Annex 2: Agenda

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<b>Mon. 24<sup>th</sup> April 2017</b>	
09.30 – 09.45	Meet at Defra’s Dragonfly House, Norwich U.K.
09.45 – 10.30	Welcome by WGEXT chair
	Apologies for absence
	Terms of Reference
	Adoption of Agenda
10.30 – 10.45	Coffee break
10.45 – 12.30	Term of Reference A1a: OSPAR Summary of Extraction Statistics
12.30 – 13.30	Lunch
13.30 – 15.30	Term of Reference A1b: Review data on marine extraction activities
15.30 – 15.45	Coffee break
15.45 - 17.00	Presentations
19.30	Group Dinner
<b>Tues. 25<sup>th</sup> April 2017</b>	
09.00 – 11.00	Round up on Terms of Reference B, C, D and E
11.00 – 11.15	Coffee break
11.15 – 13.00	Round up on Terms of Reference F, I, K and L
13.00 – 14.00	Lunch
14.00 – 15.30	Subgroup discussions on Terms of References
15.30 – 15.45	Coffee and Tea
15.45 – 17.00	Presentations
<b>Wed. 26<sup>th</sup> April 2017</b>	
08.00 – 10.00	Travel to Lowestoft

10.00 – 12.15	Presentations at CEFAS Lowestoft, incl. lunch
12.15 – 16.30	Excursion at Orford Ness
16.30 – 18.30	Travel to Norwich
<b>Thurs. 27<sup>th</sup> April 2017</b>	
09.00 – 10.30	Agree initial text of WGEXT Interim Report 2017
10.30 – 10.45	Coffee break
10.45 – 12.00	Cooperation with other ICES WG's. Next meeting, outstanding actions, closing remarks
12.00 – 13.00	Lunch
13.00	End of meeting

## Annex 3: ToR A1: Review of National Marine Aggregate Extraction Activities

A detailed breakdown of each country’s sediment extraction dredging activities is provided here.

**Belgium.** Due to the change to the marine sand and gravel legislation by the entry into force of the marine spatial plan (12 June 2014), the maximum amount which can be extracted from zone 2 (Figure 10.1.1), which is lying in a habitat area, during 2016 is 1,629,000 m<sup>3</sup>. This amount decreases by 1% every year from 2014 ‘til 2019 corresponding to a decrease of 17,000m<sup>3</sup> per year. Gravel extraction is prohibited in zone 2.

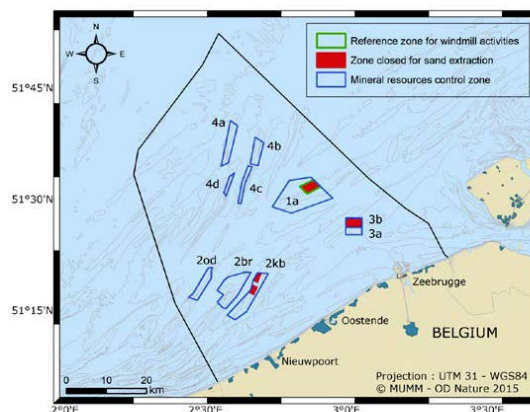


Figure 10.1.1 Extraction areas in the Belgian part of the North Sea from 12 June 2014 onwards.

In 2016, a total amount of 3,031,410 m<sup>3</sup> of sand and no gravel was extracted from the Belgian Continental Shelf both by the private sector and the Flemish Region, Coastal Division and Division Maritime Access (Table 10.1.1). Thirteen private license holders in the private sector extracted 2,733,181 m<sup>3</sup> of sand which is mainly used for industrial purposes.

Two licenses were also granted to the Flemish Region, Coastal Division and Division Maritime Access. The licenses for the Flemish Region have the same conditions (reporting, black-boxes, etc.) as licenses for the private sector with the exception that they are exempted from the fee system. The Flemish Region-Coastal Division extracted 298,229 m<sup>3</sup> of sand, which were used solely for beach nourishment and originated mainly from zone 3a. The increase of the total amount extracted in 2016 compared to 2015 is mainly due to the increased extraction by private license holders.

Table 10.1.1. Marine aggregate extraction figures for 2016 from FOD Economie, KMO, Middenstand en Energie. (Includes aggregate extraction for beach nourishment).

Dredging area	Amount (m <sup>3</sup> )
Thorntonbank (1a)	1,842,000
Gootebank (1b)	0
Kwintebank (2ab)	112,000
Buiten Ratel (2c)	238,000
Oostdyck (2c)	308,000
Sierra Ventana (3a)	529,000
Hinderbanken (4c)	2,000
TOTAL	3,031,000

In 2016, 1,425,000 m<sup>3</sup> of sand for industrial purposes were exported to France, UK and the Netherlands (Table 10.1.2). The other 1,248,000 m<sup>3</sup> of industrial sand was landed in the Belgian coastal harbours of Brugge (including the harbour of Zeebrugge), Oostende and Nieuwpoort.

Table 10.1.2. Export of marine aggregates in 2016 from FOD Economy, KMO, Middenstand en Energie.

Landing country	Amount (m <sup>3</sup> )
France	240,000
UK	213,000
Netherlands	793,000
TOTAL	1,486,000

Sand extraction on the Belgian Continental Shelf started in 1976 and data are available since then (Figure 10.1.2). From 2007 onwards the extra quantities extracted by the Flemish Region are included in the graph.

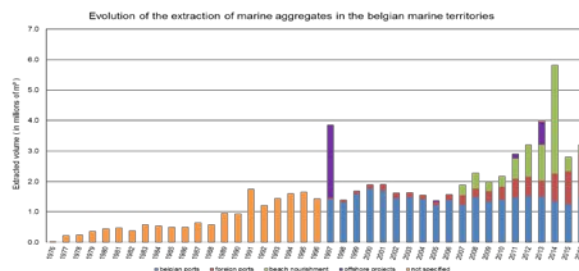


Figure 10.1.2. Volumes of sand and gravel extracted from the Belgian Continental Shelf between 1976 and 2016.



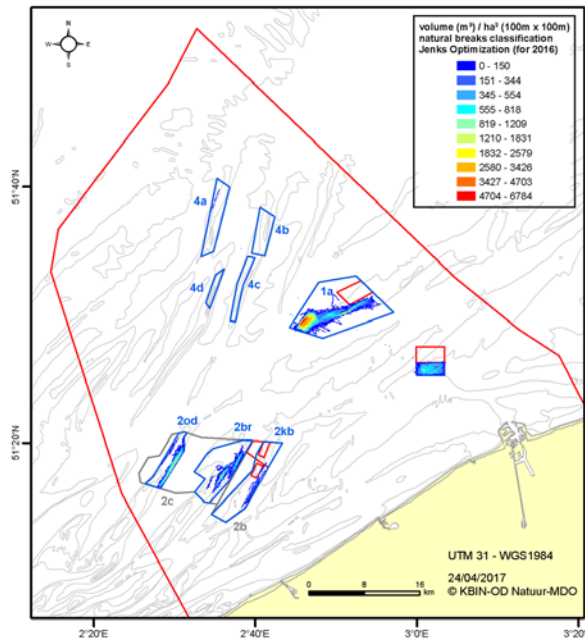


Figure 10.1.3. 2016 EMS data: real surface used for sand and gravel extraction at sea Actual extraction areas were determined from the black-box data.

**Canada.** No report.

**Denmark.** See Table 4.

**Estonia.** There were no extractions in 2016 (Table 4), based on the records of mineral resources of the Environmental Register which is a database of resources on the land, sea, lakes and rivers and economic land. The principal, authorized processors of these data are the Ministry of the Environment and Estonian Land Board (ELB).

**Finland.** There was no extraction in 2016. There were preliminary plans to extract 700,000 m<sup>3</sup> for the city of Helsinki but eventually another source for building purpose.

Sand and gravel extraction from Finnish coastal areas between 1995 and 2004 was negligible. The Port of Helsinki extracted 1.6 million m<sup>3</sup> off Helsinki (Gulf of Finland) in 2004, 2.4 million m<sup>3</sup> in 2005 and 2.2 million m<sup>3</sup> in 2006 (Table 10.5.1). Since then, there has been only a small experimental dredging operation in 2010 and a 5,800 m<sup>3</sup> exploratory extraction in 2012 in the Loviisa area, Eastern Gulf of Finland.

Table 10.5.1. Historic patterns of marine aggregate extraction (m<sup>3</sup>).

YEAR	Amount	YEAR	Amount
2002	0	2010	0
2003	0	2011	0
2004	1,600,000	2012	5,800
2005	2,388,000	2013	0
2006	2,196,707	2014	0

2007	0		2015	0
2008	0		2016	0
2009	0		Total (1996-2016)	6,190,507

At the moment there are four valid permits issued by the Regional State Administrative Agencies (AVI). These are:

(1) Loviisa: Permission to extract 8 million (Mm<sup>3</sup>) of marine sand from the Loviisa-Mustasaari area was accepted in April 2007 by the Environment Permit Authority to Morenia Ltd. Extraction has not yet started except for a small experimental dredging exercise done in May 2010 and another feasibility excavation of 5800 m<sup>3</sup> in 2012. The permit is valid until 30 April 2017.

(2) Soratonttu and Itä-Tonttu (off Helsinki): In 2010 The Regional State Administrative Agency of Southern Finland issued a permit to Morenia Ltd. for extracting 5 Mm<sup>3</sup> marine sand and gravel in the Itä-Tonttu and Soratonttu areas off the city of Helsinki. According to the permit, the extraction should start within four years of issuing the permit. The permit is valid until 31 August 2020. In 2014 The Regional State Administrative Agency of Southern Finland extended the starting time for extraction until 20 June 2020.

(3) Yppäri: A permit application was sent by Morenia Ltd. to authorities in December 2011 concerning the extraction of 10 Mm<sup>3</sup> of material within the next 15 years in the Yppäri area, the Bay of Bothnia. After the request by the authorities, Morenia Ltd. conducted additional studies and delivered further information concerning the application in 2012. The work was undertaken and a permit was issued for 10 years in 2013. There was a complaint against the decision, but the Administrative Court of Vaasa decided in October 2014 not to take up the subject. Thus, the permit is now valid.

(4) Iijoki river mouth: Southern Ii partition unit sent an application in October 2015 to extract 240,000 m<sup>3</sup> of sand within next 12 years in Iijoki river mouth, Bay of Bothnia. The Regional State Administrative Agency of Northern Finland issued the permit in March 2016 to extract the applied amount of material from an area covering 10 hectares. The permit is valid until 31 December 2027.

Metsähallitus, who administers and manages the state owned areas including natural resources, has sold its affiliated company Morenia Ltd, which was the permit holder for the above mentioned marine aggregate areas. All permits are moved to a new affiliated company called MH-Kivi Ltd.

A nuclear power plant is planned to be built in Pyhäjoki, on the coast of the Bay of Bothnia. If the project goes ahead, marine aggregates may be used from the nearby Yppäri area. There are preliminary plans to build a LNG terminal to Hamina, where the aggregates from Loviisa extraction site may be used. In the Helsinki metropolitan area there are currently several major tunnel construction sites, e.g. the metro line extension to west of Helsinki. As a consequence, crushed rock from tunneling projects has been available in the area, reducing the need for marine aggregates. However, there are plans for several large building projects in the Helsinki area, possibly increasing the need of construction aggregates in near future.

## France

**Table 10.6.1. Construction industrial aggregate (sand and gravel) extraction figures for 2016**

DREDGING AREA	AMOUNT *
Channel	711,842 m <sup>3</sup>
Atlantic	2.028,974 m <sup>3</sup>
Brittany	0 m <sup>3</sup>

France does extract sand for beach replenishment but data is not available because these extractions are in the jurisdiction of the local/regional authorities. An environmental assessment must be done but mining license is not required. No data available for construction fill or land reclamation in France. No extraction of maerl took place in 2016. Maerl extraction was prohibited by the end of 2013.

**Table 10.6.2. Non-aggregate (e.g. shell, maerl, boulders etc.) extraction figures for 2016.**

DREDGING AREA	MATERIAL	AMOUNT *
Brittany	Shelly sand	265 400 m <sup>3</sup> <sup>(1)</sup>

<sup>1</sup> Licensed data (maximum permitted) because extracted data is subject to statistical confidentiality.

**Table 10.6.3. Historic patterns of marine aggregate extraction.**

Description of historic extraction activities for 2010-2015.

YEAR	QUANTITIES EXTRACTED (M <sup>3</sup> )			TOTAL EXTRACTED (M <sup>3</sup> )	MAXIMUM QUANTITIES PERMITTED BY AUTHORITIES (M <sup>3</sup> )
	<i>Channel</i>	<i>Brittany</i>	<i>Atlantic</i>		
2010	545 881	225 400	2 598 423	3 369 704	6 448 662
2011	592 539	196 393	2 688 844	3 477 776	6 550 746
2012	406 594	175 264	2 750 178	3 332 036	11 320 746
2013	768 999	230 068	2 557 782	3 556 849	10 597 877
2014	358 686	200 800 <sup>1</sup>	2 157 738	2 700 629 <sup>2</sup>	12 431 000
2015	689 367	250 800 <sup>1</sup>	2 003 261	2 943 428 <sup>2</sup>	13 184 800
2016	711 842	265 400 <sup>1</sup>	2 028 974	3 006 216 <sup>2</sup>	13 184 800

<sup>1</sup> Licensed data (maximum permitted) because extracted data is subject to statistical confidentiality.

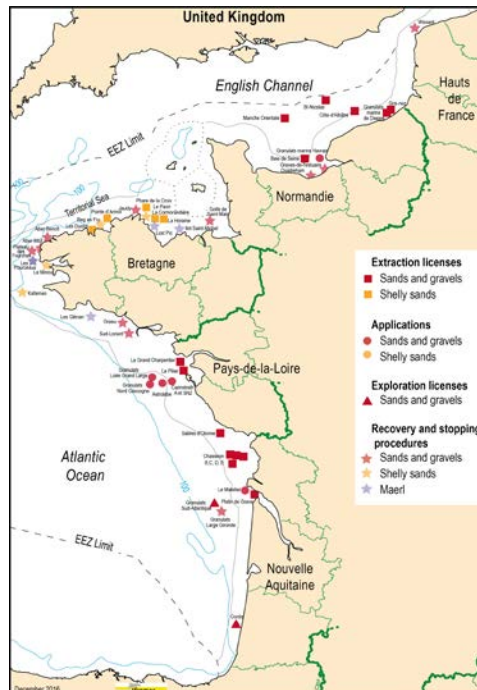
<sup>2</sup> Included licensed data (maximum permitted) for non-aggregate (Brittany) because extracted data is subject to statistical confidentiality.

Nineteen extraction licences (170.169 km<sup>2</sup>), 1 research license (431.43 km<sup>2</sup>) and 1 prospection (42 km<sup>2</sup>) authorisation have been issued by local administration (Préfectures).

10 applications (2 for exploration, 3 on actual extraction area for a renewal of license, 5 on new extraction perimeter) for aggregate extraction are being considered by Ministry of the Economy (Figure 10.6.1). These applications represent 39.753 km<sup>2</sup> for extraction sites, with a potential increase for new licensed area of 36.544 km<sup>2</sup>. Table 10.6.4 includes 95.27 research licenses and 168.539 extraction licenses in 2013, 95.27 research licenses and 165.44 extraction licenses in 2014, 95.27 research licenses and 169.44 extraction licenses in 2015 and 473.43 research licenses and 170.169 extraction licenses in 2016.

**Table 10.6.4. Exploration and exploitation Licensed**

Area, km <sup>2</sup>			
2013	2014	2015	2016
263.809	260.71	264.71	643.6



**Figure 10.6.1. Extraction, application, exploration and recovery areas.**

**Germany.** No report.

**Greenland and the Faeroes.** No report.

**Iceland.**

**Table 10.9.1. Extraction history.**

Volumes in cubic meters.				
	Marine Aggregate	Marine Non-Aggregate		Total Extraction
Year	gravel & sand	shell sand	maerl	
2000	1,435,665	147,280	0	1,582,945
2001	1,189,950	133,640	0	1,323,590
2002	861,315	114,250	0	975,565
2003	1,155,485	83,920	0	1,239,405
2004	1,412,430	118,340	0	1,530,770
2005	1,259,157	143,780	13,740	1,416,677
2006	1,253,464	151,460	20,535	1,425,459
2007	1,145,390	158,300	21,666	1,325,356
2008	921,000	134,680	50,445	1,106,125
2009	374,885	69,360	25,435	469,680
2010	125,800	39,760	54,450	220,010
2011	138,700	40,740	46,415	225,855
2012	145,070	12,780	58,800	216,650
2013	182,115	7,100	64,230	253,445
2014	179,440	11,140	77,605	268,185
2015	174,750	5,680	69,036	249,466
2016	215,537	8,520	69,250	293,307

**Ireland.** No report.

**Latvia.** No report.

**Lithuania.** No report.

### The Netherlands.

**Table 10.13.1. Marine aggregate (sand) extraction figures for 2016.**

DREDGING AREA	AMOUNT (m <sup>3</sup> )
Euro-/Maas access-channel to Rotterdam	0*
IJ-access-channel to Amsterdam	0*
Dutch Continental Shelf	6,689,005
TOTAL	6,689,005

\* No sand was extracted for commercial use, but maintenance dredging was done

**Table 10.13.2. Non-aggregate (shell) extraction figures for 2016.**

DREDGING AREA	MATERIAL	AMOUNT (m <sup>3</sup> )
Wadden Sea	Shells	52,242
Western Scheldt	Shells	0
Voordelta of the North Sea	Shells	15,120
North Sea	Shells	143,189
TOTAL	Shells	210,551

The National Policy for shell extraction defines the maximum permissible amounts of shells to be extracted annually. These are:

- in the Wadden Sea, a maximum of 85,000 m<sup>3</sup>(but no more than 50% of the total quantity (The Wadden Sea and Sea Inlets))
- in the Voordelta (North Sea), 40,000 m<sup>3</sup>
- in the Western Scheldt, 40,000 m<sup>3</sup>

In the rest of the North Sea shell extraction is unlimited in waters deeper than 5 m water depth out to distance of 50 km offshore.

**Table 10.13.3. Exports of marine aggregate in 2016.**

Destination (Landing)	AMOUNT (m <sup>3</sup> )
Belgium	2,861,021
France	50,562
United Kingdom	16,191

TOTAL	2,927,774
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Table 10.13.4. Amount of material extracted for beach replenishment projects in 2016.

DREDGING AREA	MATERIAL	AMOUNT (m <sup>3</sup> )
Netherlands coast (general)	sand	9,004,289
TOTAL	sand	9,004,289



Figure 10.13.1 Licensed sand extraction areas 2016.

Table 10.13.5. Historic patterns of marine aggregate extraction in Mm<sup>3</sup>.

Extraction Area	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Euro-/Maas channel	0.49	0.65	1.94	1.22	0.06	0.32	0	0.8	1.8	0	0
IJ-Channel	0	0	0	0	0	0.75	0.83	1.5	1.2	0	0
Channel Voordelta	-	-	-	-	-	-	0.05	-	0.03	0	0
Dutch Continental Shelf	22.88	28.25	24.53	119.59	122.47	66.88	66.89	10.63	8.9	8.1	6.7
Total extracted	23.37	28.9	26.47	120.81	122.53	69.95	67.87	12.96	12.1	8.1	6.7

Table 10.13.6. Dutch sand extraction (Commercial and beach replenishment) 1974–2016.

YEAR	TOTAL EX-	YEAR	TOTAL EX-
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	TRACTED m <sup>3</sup>		TRACTED m <sup>3</sup>
1974	2,787,962	1996	23,149,633
1975	2,230,889	1997	22,751,152
1976	1,902,409	1998	22,506,588
1977	757,130	1999	22,396,786
1978	3,353,468	2000	25,419,842
1979	2,709,703	2001	36,445,624
1980	2,864,907	2002	33,834,478
1981	2,372,337	2003	23,887,937
1982	1,456,748	2004	23,589,846
1983	2,252,118	2005	28,757,673
1984	2,666,949	2006	23,366,410
1985	2,724,057	2007	28,790,954
1986	1,955,491	2008	26,360,374
1987	4,346,131	2009	120,700,339
1988	6,954,216	2010	122,532,435
1989	8,426,896	2011	62,948,704
1990	13,356,764	2012	41,899,276
1991	12,769,685	2013	23,167,720
1992	14,795,025	2014	51,271,582
1993	13,019,441	2015	25,895,775
1994	13,554,273	2016	15,693,294
1995	16,832,471		



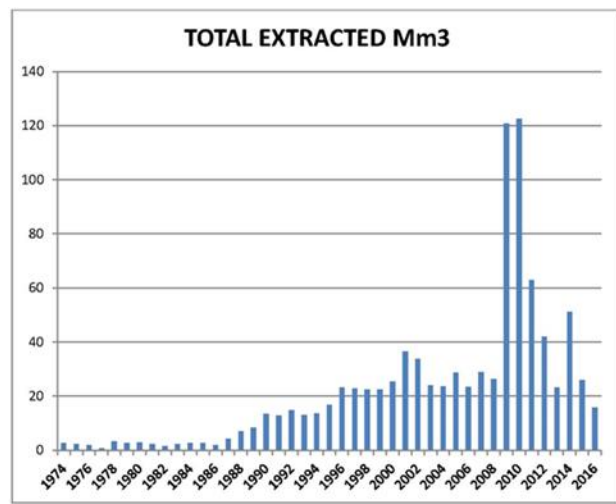


Figure 10.13.2. Dutch sand extraction (Commercial and beach replenishment) 1974–2016.

Table 10.13.7. Licenses considered and issued licenses Rijkswaterstaat North Sea.

In the year:	Amount	In the year:	Amount
1998	35	2008	38
1999	30	2009	23
2000	25	2010	15
2001	25	2011	26
2002	42	2012	10
2003	26	2013	19*
2004	20	2014	20*
2005	33	2015	15*
2006	33	2016	12*
2007	24		

\* one of the issued licenses is a general permit for beach nourishments/replenishments in which several extraction areas for the next 5 years are covered in one single permit.

Norway. No report.

**Poland.****Table 10.15.1. Extraction history.**

Year	Beach Nourishment m <sup>3</sup>	Construction Aggregate m <sup>3</sup>	Total m <sup>3</sup>
1990	1 046 358	0	1 046 358
1991	766 450	0	766 450
1992	817 056	17 270	834 326
1993	974798	0	974 798
1994	251 410	2 222	253 632
1995	280,720	0	280,720
1996	134,000	0	134,000
1997	247,310	1,112	248,422
1998	88,870	0	88,870
1999	375,860	70,000	445,860
2000	241,000	265,556	506,556
2001	100,253	85,000	185,253
2002	365,000	112,222	477,222
2003	438,414	0	438,414
2004	1,042,896	0	1,042,896
2005	1,043,925	0	1,043,925
2006	548,856	0	548,856
2007	977,358	0	977,358
2008	238,948	51,667	290,615
2009	702,590	0	702,590
2010	970,923	0	970,923

2011	531,218	316,111	847,329
2012	396,086	155,000	551,086
2013	232,695	161,111	393,806
2014	457,731	429,000	886,731
2015	355,500	269,167	624,667
2016	360,578	470,000	830,578

## Portugal.

**Table 10.16.1. Extraction history.**

Extraction	1998	1999	2000	2001	2002	2003	2004	2005	2006	
Area										
Azores archipelago		6083	145519	146791	115613	176285	197636	159968	181691	
Madeira archipelago					562352.95	683521	910179	703620	478473	
Administração da região hidrográfica do Norte (northern continental shelf)										
Administração da região hidrográfica do Centro (central continental shelf)										
Administração da região hidrográfica do Tejo (southern central continental shelf)										
Administração da região hidrográfica do Alentejo (southwestern continental shelf)										
Administração da região hidrográfica do Algarve (southern continental shelf)	1285000								370000	
Extraction	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Area										
Azores archipelago	141991	144647	134021	124132	126381	69392	50729	45964	61266	59553
Madeira archipelago	369008	345890	291290	276090	210720	114360	117980	115262	100935	88770
Administração da região hidrográfica do Norte (northern continental shelf)										
Administração da região hidrográfica do Centro (central continental shelf)										
Administração da região hidrográfica do Tejo (southern central continental shelf)	500000	1000000	1000000					1000000		
Administração da região hidrográfica do Alentejo (southwestern continental shelf)										30856
Administração da região hidrográfica do Algarve (southern continental shelf)				1250000	600000			340000	140000	
<i>Beach nourishment</i>										
<b>civil construction</b>										

**Spain.** No report for 2016, but the following corrections were made to the extraction data reported in 2015. In 2015, a total amount of 693,301 m<sup>3</sup> of sand was placed on beaches, comprised of 383,469 m<sup>3</sup> in the OSPAR area (Figure 11.7.1) and 309,832 m<sup>3</sup> in the Mediterranean (Figure 11.7.2). The sources of these materials were essentially the marine deposits, the sand redistribution within the beach and harbours dredged material.

During 2015, extractions of marine sand were carried out in seven areas; six areas were in the OSPAR region of Cádiz and one in Pontevedra. No extractions took place in the Canary Islands.

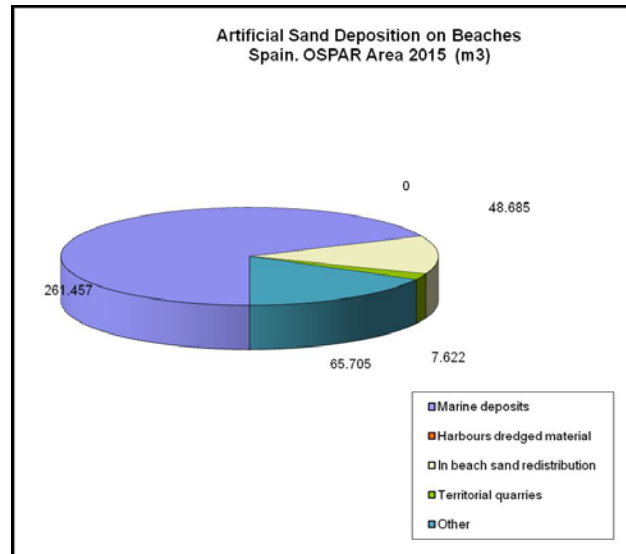


Figure 10.7.1. Distribution of the material source in the OSPAR region on Spain.

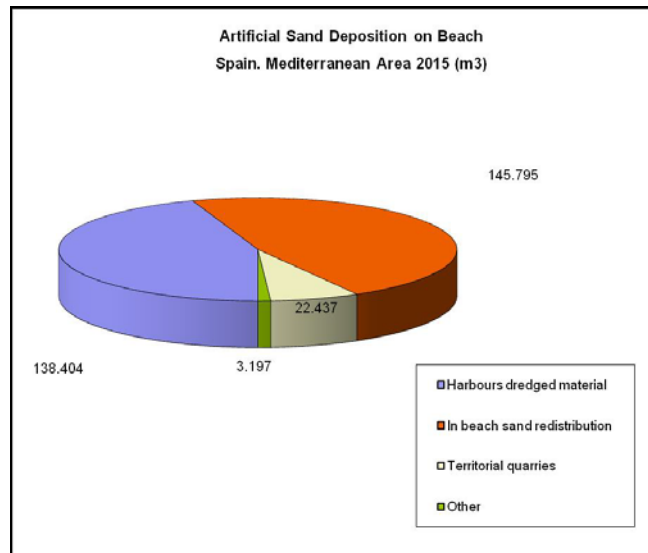


Figure 10.7.2. Distribution of the material source in the Mediterranean region of Spain.

**Sweden.** See Table 4.

**United Kingdom.** In their capacity as the owner of the UK seabed out to 12 nautical miles, and the owner of non-energy minerals out to 200 nm, The Crown Estate have published two new documents.

In the latest Marine Aggregates Capability & Portfolio 2016, a range of information is presented about the location, scale and contribution of the marine aggregate industry around England and Wales. Of particular interest is a table that presents permitted reserves, permitted offtake and average annual offtake at a regional scale. This shows that the permitted primary marine aggregate reserve base is over 370 million tonnes, which equates to a national reserve life of 22 years (based on 10 year average annual produc-

tion). The data shows some regional variation in reserve life, ranging between 3.5 years in the Bristol Channel to over 40 years in the Irish Sea.

The Crown Estate Marine Aggregate Summary of Statistics 2016 has also recently been released. This presents annual marine aggregate production data across each of the seven geographical regions where activity takes place, and also details the regional landings statistics – including exports and contract fill/beach replenishment activity. In 2016, total production increased slightly to 18.8 million tonnes, with landings to the Thames (8.7Mt) and South coast (3Mt) continuing to represent the largest domestic market for marine sourced material. Exports of marine sand and gravel remained depressed (2.7Mt), while beach nourishment and contract fill projects took just under 2 million tonnes, including major coast defence schemes at Bournemouth and Lincshire and the Liverpool2 port development.

The 19th annual ‘Area Involved’ report covering activity in 2016 will be published in Summer 2017. [http://www.bmapa.org/documents/BMAPA\\_18th\\_Annual\\_Report.pdf](http://www.bmapa.org/documents/BMAPA_18th_Annual_Report.pdf)

10.20 United States. There was no marine aggregate (sand and gravel) for construction extraction in 2016. The only active operating for the extraction of marine sand to be used for aggregate continues to be that done by a private company; Amboy Aggregates went out of business in 2014. However, 4,828,404 m<sup>3</sup>were extracted for beach nourishment projects in the region (Figure 10.20.1).

**Table 10.20.1 Amount of sand extracted for beach replenishment projects in 2016.**

SMITH POINT COUNTY	1,690,431
<b>PARK</b>	
Kismet to Seaview	1,253,870
Robert Moses State Park	1,190,376
Sea Gate Staten Island	397,569
Assateague,MD	66,792
Long Beach Island, NJ	229,367
<b>TOTAL</b>	<b>4,828,404</b>

An additional 2,485,568 m<sup>3</sup> of mud, sand, gravel and rock were dredged from navigation channels in and around New York Harbor; this dredged sediment (Table 10.20.2) was used as submarine capping material in the restoration of a former, offshore disposal site known as the Historic Area Remediation Site (HARS), approximately 22 km outside on New York Harbor.

**Table 10.20.2 Remediation capping.**

	Material	Volume m <sup>3</sup>
HARS	Sand	1,876,218

HARS	Mud	609,350
TOTAL		2,485,568

There were no exports of marine aggregate in 2016.

**Table 10.20.2. Historic patterns of marine aggregate extraction.**

Year	Millions of m <sup>3</sup>
1990	0.2
1991	0.8
1992	0.8
1993	1.5
1994	1.7
1995	1.4
1996	1.4
1997	1.4
1998	1.3
1999	1.3
2000	1.1
2001	1.3
2002	1.1
2003	1.4
2004	1.6
2005	1.4
2006	1.2
2007	1.2
2008	1.0
2009	0.7
2010	0.8
2011	0.8
2012	0.8
2013	0.8
2014	0.2
2015	0
2016	0

## **Annex 4: ToR A2: Review of development in marine resource mapping, legal regime and policy, environmental impact assessment, re-search and monitoring and the use of ICES Guidelines on marine aggregate extraction**

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**Belgium.** In the framework of the Transnational and Integrated Long-term Marine Exploitation Strategies research project (TILES), a geological knowledge base is being built for the Belgian and southern Netherlands part of the North Sea. Partners in this effort include the Royal Belgian Institute of Natural Sciences; Ghent University, Department of Geology and Department of Telecommunications and Information Processing; and TNO - Geological Survey of the Netherlands, with the active cooperation with FPS Economy, Continental Shelf Service.

Voxel models of the subsurface are used for predictions on sand and gravel quantities and qualities, to ensure long-term resource use. The voxels are filled with geological data from boreholes and seismic lines, but other information can be added also. The geology provides boundary conditions needed to run environmental impact models that calculate resource depletion and regeneration under various scenarios of aggregate extraction. Such analyses are important in monitoring progress towards good environmental status, as outlined in the Marine Strategy Framework Directive. By including uncertainty, data products can be generated with confidence limits, which is critical for assessing the significance of changes in the habitat or in any other resource-relevant parameter. All of the information is integrated into a cross-domain, multi-criteria decision support system optimised for user-friendliness and online visualisation. More information: <http://odnature.naturalsciences.be/tiles>

### Reference

Van Lancker, V., Francken, F., Kint, L., Terseleer, N., Van den Eynde, D., De Mol, L., De Tré, G., De Mol, R., Missiaen, T., Chademenos, V., Bakker, M., Maljers, D., Stafleu, J. & van Heteren, S. (2017). Building a 4D Voxel-Based Decision Support System for a Sustainable Management of Marine Geological Resources. pp. 224-252. In: Diviacco, P., Leadbetter, A. & Glaves, H. (eds.). Oceanographic and Marine Cross-Domain Data Management for Sustainable Development. IGI Global.

**Canada.** No report.

**Denmark.** No report.

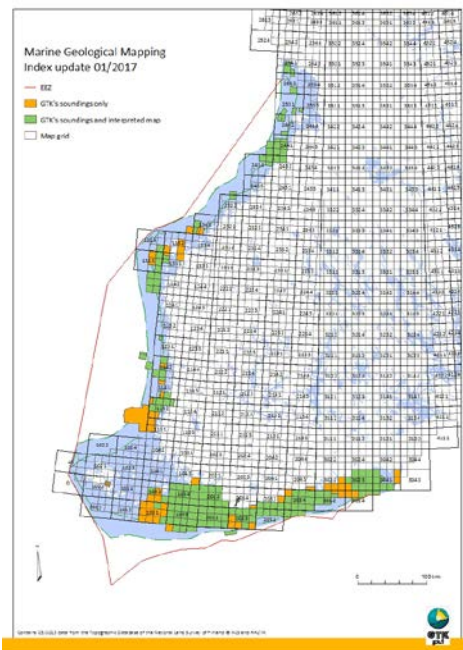
**Estonia.** No report.

**Finland.** The seabed mapping program (Figure 11.5.1) is undertaken by the Geological Survey of Finland (GTK). A study of marine geology by the Geological Survey of Finland (GTK) concerning late-Quaternary deposits on the seabed is being conducted using acoustic and seismic methods: echo sounders, single-channel seismic and side-scan sonar and multibeam sonar equipment. Investigations are supplemented with seabed sampling and visual observations. The study was established to acquire data on the distribution and thickness of various types of sediments and information on stratigraphy, mineralogy and geochemistry of the deposits. New methods of sounding and sampling as well as data processing and analyses of samples are also developed and tested. The aim of the

study is to increase knowledge of the physical properties and the geochemical variations in seabed sediments induced by both nature and human activity, while insuring that the demand of various practical and scientific needs arising in a surrounding community should be met.

One of the least studied marine areas of Finland has been the Åland Sea. After negotiations with the authorities of Åland a pilot project was set up together with the Geological Survey of Finland, Åbo Akademi University and the Government of Åland to start both geological and habitat mapping in the area.

The mapping information as well as a generalized seabed substrate map is available using GTK's map service Hakku < <http://hakku.gtk.fi/> >.



**Figure 11.5.1.** The marine geological mapping index.

The Ministry of Environment has assigned the Geological Survey of Finland (GTK) to prepare a background paper on sustainable use of marine minerals and aggregates in Finland. This is part of the Programme of measures of Finnish marine strategy which aims at achieving Good Environmental Status (GES) in Finnish waters by 2020. The background paper will be used as a starting point for future work with a view to create new policy and national guidelines for sustainable use of marine mineral and aggregate resources.

**France.** Three national organizations are responsible for seabed mapping. These are:

(1) the Institut Français de Recherche pour l'Exploitation de la Mer (Ifremer), Z.I. Pointe du Diable, CS 10070, 29280 Plouzané, France. Contact person: Laure Simplet; e-mail: [laure.simplet@ifremer.fr](mailto:laure.simplet@ifremer.fr).

(2) the Service Hydrographique et Océanographique de la Marine (SHOM), CS 92803-29 228 BREST Cedex 2, France. Contact person: Thierry Garlan, email: [thierry.garlan@shom.fr](mailto:thierry.garlan@shom.fr).



(3) the Bureau de Recherches Géologiques et Minières (BRGM), 3 avenue Claude Guillemin, BP 36009, 45060 Orléans Cedex 2, France. Contact persons: Isabelle Thinon: tel: +33 2 38643345; e-mail: [i.thinon@brgm.fr](mailto:i.thinon@brgm.fr), and Fabien Paquet: e-mail: [f.paquet@brgm.fr](mailto:f.paquet@brgm.fr).

Ifremer is in charge of mapping offshore aggregates and publishing atlases of coastal areas dealing with seabed type, morpho-bathymetry, morpho-sedimentary, geology, sediment thickness, and bedrock morphology. Ifremer is also involved in mapping the continental shelf, slope, and abyssal plain.

The French Naval Hydrographic and Oceanographic Service (SHOM) is in charge of bathymetric surveys dedicated to marine safety. Their nautical charts and seabed sedimentological charts ("G" type maps) cover the area between 5 and 15 nautical miles from the coast at various scales (typically 1:50 000). These are compiled from existing data, for example, derived from tallow-lead samples that cover 95% of the continental shelf, grab samples, cores, sidescan sonar, multibeam bathymetry and reflectivity, and aerial photography, in collaboration with universities and national organisations.

The French Geological Survey, BRGM, is in charge of the offshore geological ("hard substrate geology") mapping of the continental shelf at scales of 1:50,000, 1:250,000, and 1:1,000,000. The geological mapping of the continental shelf continues through the RGF national programme (Référentiel Géologique de la France)

BRGM and Ifremer were involved in the second phase of the EMODNet Geology Project (2013-2016). Seafloor geology and seabed substrate have been mapped at 1:1,000,000 and 1: 250,000 scales, within the French EEZ for European seas. SHOM and Ifremer were involved in EMODNet Bathymetry lot. Ifremer also coordinated the Habitat mapping lot of EMODNet Project (2013-2016). Data can be downloaded from EMODnet website < <http://www.emodnet.eu/> >. EMODNet has just begun its third phase of its two-year project duration.

Since 2014, eight seabed substrate and geomorphological maps have been issued. These are

(1) Ehrhold A. coord. (2015). Cartes sédimentologiques et morpho-bathymétriques de la baie de Morlaix et de sa région. *Éd. Quae*. 3 feuilles, échelle 1/30 000 et une clé USB.

(2) Gregoire Gwendoline, Ehrhold Axel, Le Roy Pascal, Jouet Gwenael, Garlan Thierry (2016). Modern morpho-sedimentological patterns in a tide-dominated estuary system: the Bay of Brest (west Brittany, France) . *Journal Of Maps* , 12(5), 1152-1159 . <http://doi.org/10.1080/17445647.2016.1139514>

(3) Cirac Pierre, Gillet Hervé, Mazières Alaïs, Simplet Laure (2016). Carte des formations superficielles du plateau aquitain (2016). EPOC-Université de Bordeaux. <http://doi.org/10.12770/602a30c5-c338-4e75-a591-baccb8ba1f79>

(4) Bourillet Jean-Francois, De Chambure Laurent, Menot Lenaick, Simplet Laure, Loubrieu Benoit (2016). Classification Géomorphologique de la pente continentale du Golfe de Gascogne (1/500,000). Ifremer - Géosciences Marines. <http://doi.org/10.12770/d5da916a-163c-47b9-8a8e-73dcaec7986>

(5) Bourillet Jean-Francois, De Chambure Laurent, Menot Lenaick, Simplet Laure, Loubrieu Benoit (2016). Classification Géomorphologique de la pente continentale de

la façade méditerranéenne (1/500 000). Ifremer - Géosciences Marines. <http://doi.org/10.12770/7a96a6c4-fcbe-4969-b554-5a94fe49e8ee>

(6) Simplet Laure, Gautier Emeric (2016). Carte des formations sédimentaires superficielles de l'anse de la Mondée (Biéroc la Mondrée, 2014). Ifremer. <http://doi.org/10.12770/049fad57-7595-48c7-a4f0-d40bee1a5dc6>

(7) Bourillet Jean-Francois, Simplet Laure, Sterckman Aurore, Moreau Julien, Veslin Mathieu, Biville Romain (2017). Formations superficielles du Plateau aquitain (2017) au 1/20,000 (projection de Mercator à N44°45'). Ifremer. <http://doi.org/10.12770/2efa6d8b-7caf-444f-813a-c4178215b2ce>

(8) Simplet Laure, Gautier Emeric, Salaun Jessica (2017). Carte des formations sédimentaires superficielles au large de la baie de Somme (2017). Ifremer. <http://doi.org/10.12770/de87d248-d217-4b32-9ee3-fa40980cdaf6>

Publications can be ordered from IFREMER: Editions QUAE < <http://www.quae.com/fr/c75-atlas-cartes.html> >, BRGM: Editions < <http://www.brgm.fr/editions.jsp> >, and SHOM: Editions < <http://www.shom.fr/les-produits/produits-nautiques> >. Further information is available online at <http://sextant.ifremer.fr/fr/>, <http://sextant.ifremer.fr/fr/web/granulats-marins>, <http://infoterre.brgm.fr/viewer/MainTileForward.do>, and <http://data.shom.fr/>.

The French Mining code was created in 1956 (based on resumption of the law of 1810). Its recodification in 2011 resulted in the current order 2011-91. Its reformation is in progress to bring it into conformity with national environmental requirements. The proposal for an act to adapt Mining code to environmental rights includes the consideration of environmental challenges in the issuance of mining titles, the enhancement of information-sharing and conciliation procedure, the creation of a high council for mines and the definition of a national policy for resources and mining purpose. It was debated in a public meeting at National Assembly on January 24 and 25, 2017 and remains currently pending before the Senate.

More information can be found at <https://www.senat.fr/dossier-legislatif/ppl16-337.html> and [http://www.assembleenationale.fr/14/dossiers/droit\\_environnement\\_adaptation\\_code\\_minier.asp](http://www.assembleenationale.fr/14/dossiers/droit_environnement_adaptation_code_minier.asp).

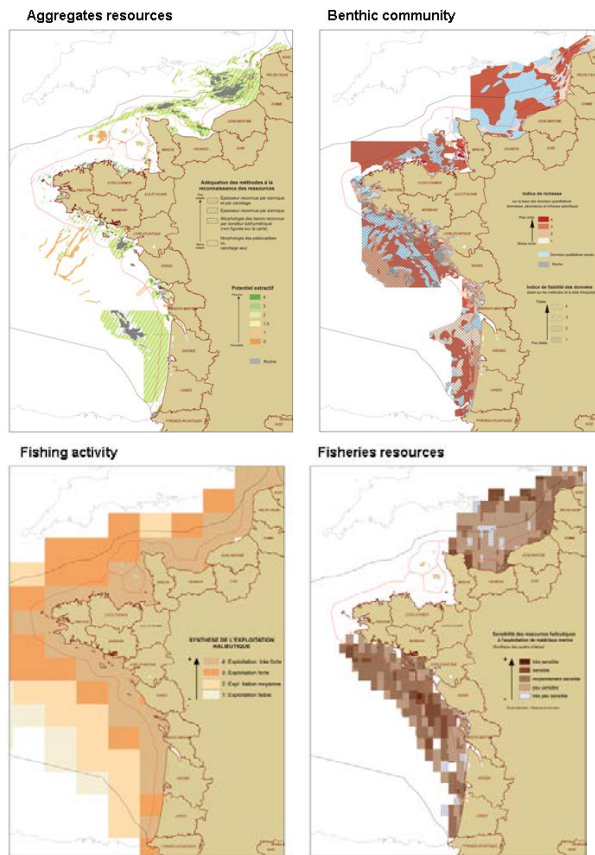
The law 2016-1087 for biodiversity, nature and landscape restoration of August 8, 2016 introduced an article in the Mining code. This new article created a specific licensing fee for the exploitation of non-energy mineral resources, including marine aggregates, on the French continental shelf and EEZ seafloor. The licensing fee should be calculated on the basis of the advantages of any kind provided to the license-holder, the environmental impact of the activity, water depth and distance to the coastline of the licensed area, and the amount of expenditure incurred during the duration of exploration and extraction license. The license-fee could be increased for exploitation occurring in a marine protected area (as defined in article L. 334-1 of Environment code). It will be applied as of 2019 on the basis of quantities extracted in 2018 and will be returned to the French Agency for Biodiversity to help preservation, management and restoration of marine biodiversity.

More information can be found at:

<https://www.legifrance.gouv.fr/eli/decret/2017/1/12/ECFL1630724D/jo/texte>

[https://www.legifrance.gouv.fr/affichCodeArticle.do;jsessionid=CEB2D33D4DF5C9076FC6A050D587028A.tpdila09v\\_3?idArticle=LEGIARTI000033028884&cidTexte=LEGITEXT00023501962&dateTexte=20170303](https://www.legifrance.gouv.fr/affichCodeArticle.do;jsessionid=CEB2D33D4DF5C9076FC6A050D587028A.tpdila09v_3?idArticle=LEGIARTI000033028884&cidTexte=LEGITEXT00023501962&dateTexte=20170303)

Ifremer completed a study, commissioned by French Environment Ministry, whose aim was to define and identify areas for sand and gravel extraction with minimal constraints for benthic fauna, fishing activity and fisheries resources. The results are available at: <http://sextant.ifremer.fr/fr/web/granulats-marins>.



**Figure 11.6.1. Synthesis maps for aggregates resources, benthic fauna, fishing activity and fisheries resources (Ifremer 2005-2012).**

The ESPEXS (2007-2013) project, led by the Languedoc-Rousillon Regional authority with the collaboration of Ifremer and the University of Perpignan, published its final reports. This project aimed to complete knowledge on marine environment and to define environmental issues on two areas of potential sand extraction for beach replenishment identified in the European BEACHMED project. Reports can be downloaded at: <http://littoral.languedocroussillon.fr/ESPEXS-Phase-2.html>

The SCOOTER (2012-2015) project studied the effect of marine aggregate extraction on water quality due to the remobilization of contaminants from sediments. The objectives of this project were (1) to bring information on the kinetic of contaminant remobilization within the dredging-induced turbid plume and on the fate of contaminant between the

dissolved and particulate phase, and (2) to examine water quality evolution under natural and dredging conditions to identify any need for long-term monitoring in period covered by the mining license. Final report can be downloaded at: <http://archimer.ifremer.fr/doc/00310/42078/41381.pdf>

The IMPECAPE project (2016-2018), funded by the French Agency for Biodiversity, tackles to assess ecological impacts on benthic habitat due to physical disturbance, including sediment extraction and scallop dredging. It aims to produce indicators for environmental status of coastal benthic habitat in relation with the Habitats and Marine strategy framework directives and to propose monitoring program:

<http://www.sb-roscoff.fr/fr/observation/programmes/impecape> .

France does not incorporate ICES Guidelines in a formal way in its legal regime but takes into account all of them for its marine aggregate extraction management, such as requirements for an EIA before authorization, and monitoring prior to and during the period covered by the license and after the extraction takes place to examine restoration of the area.

**Germany.** No report.

**Greenland and the Faeroes.** No report.

**Iceland.** No report.

**Ireland.** No report.

**Latvia.** No report.

**Lithuania.** No extractions in 2016.

**The Netherlands.** In the framework of the research project TILES (Transnational and Integrated Long-term Marine Exploitation Strategies) a geological knowledge base is built for the Belgium and southern Netherlands part of the North Sea (Stolk, 2015). For details see the above section "11.1 Belgium".

The main development in policy in the last years is the regulation of other activities in the area reserved for sand extraction. In the Policy Document on the North Sea 2016-2021 (I&E and EA, 2015) it is formulated as follows:

*The zone between the continuous NAP -20m isobath and the 12-mile boundary is regarded as a reserve area for sand extraction for the purposes of coastal replenishment and flood protection as well as for sand extraction for filling purposes and concrete and masonry sand for construction and infrastructure.*

*The spatial pressure in this area will increase due to the construction of wind farms at sea and the laying of electric cables through the areas with the most cost-effective sand reserves and where sand extraction has the highest priority.*

*If parties engaged in other activities of national interest, such as oil and gas extraction and wind energy, wish to use the area reserved for sand extraction, then a solution tailored to the specific situation will be sought.*

*In the case of cables and pipelines, including interconnector and telecommunications cables, the following will be examined in succession: 1) whether a route is possible with the new cables and pipelines being bundled with existing cables and pipelines; and 2) whether a route is possible*

*without appreciably affecting the supply of extractable sand. These preferred routes are shown on the framework vision map and are based on:*

- *location of less suitable sand extraction zones (thin package);*
- *existing bundling of cables and pipelines, enabling maintenance zone to be limited;*
- *landing points for gas, oil and electricity;*
- *location of sand extraction sites that have already been depleted.*

*If use of a preferred route is impossible for economic or environmental reasons, or if no route has been designated in an area, then customised work will be necessary. In exceptional cases it may be possible to extract sand in this area prior to it being used for cables or pipelines. If this is not possible and the new route will force the sand extraction activities out to another site entailing extra costs, the initiator will have to compensate these extra costs.*

The far-field effects on benthos of the sand extraction (ca.200 million m<sup>3</sup>) for the construction of Maasvlakte 2, an extension of Rotterdam harbour, are analysed by Heinis and Van Tongeren (2016). The main conclusion is that, in the area where a significant increase was seen in the silt content in the second and third years of sand extraction (the high-impact area), there was a small change in the composition of the benthos. However, this was a subtle change involving a slight increase in the biomass of a small number of silt-tolerant species and a slight decrease in the biomass of species that are averse to silt. In the area with significantly increased silt content (high-impact area), there was no emergence or disappearance of species that could not be accounted for by autonomous development (emerging from a comparison of the baseline years and the effect years). The conclusion with respect to the possible knock-on effect on animals higher in the food chain (including birds) is that any possible effects of higher silt content in the seabed can be excluded.

In the framework of 'Building with Nature' a small part inside the deep (20m) extraction pit for the sand extraction for Maasvlakte 2 was not extracted. As a result a ridge was formed in the pit. On and around this ridge research was done on fish and benthic fauna to investigate the short-term effects of deep sand extraction and ecological landscaping (De Jong, 2016).

#### References

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Stolk, A. (2015) Synthesis and future course of monitoring marine sand extraction in the Netherlands. Proceedings EMSAGG 2015 Conference: Marine sand and gravel – finding common grounds, 4-5 June 2015, Delft, The Netherlands.

**Norway.** No report.

**Poland.** Polish Geological Institute - National Research Institute is now planning a long-term Geological inventory of Polish maritime areas. The program is directed towards multidisciplinary, high resolution geological mapping of the Baltic seafloor for the purposes of integrated national maritime policy. An important issue is the verification of areas perspective for natural resources, including aggregates, and sand for beach nourishment, as well as supporting of maritime spatial planning processes and seabed management.

Geoenvironmental maps of Polish maritime areas for rational seabed resources management are being developed. The results of planned geological mapping campaign will be presented in scale 1:100,000.

**Portugal.** No new information to report.

**Sweden.** From an assignment by the Department of Enterprise, the Geological Survey of Sweden (SGU) has mapped the marine geology in nine areas on the Swedish continental shelf. These had been identified as possible for sustainable marine sand and gravel extraction. The nine areas are chosen primarily from marine geological data retrieved by SGU through a systematic and regularly overview mapping of the Swedish seabed between the late 1970s and 2010, although resolutions and methodology varied over time. The nine areas are located from Kattegatt, in the southwest to the Bothnian Bay, in northeast (Figure 11.18.1).

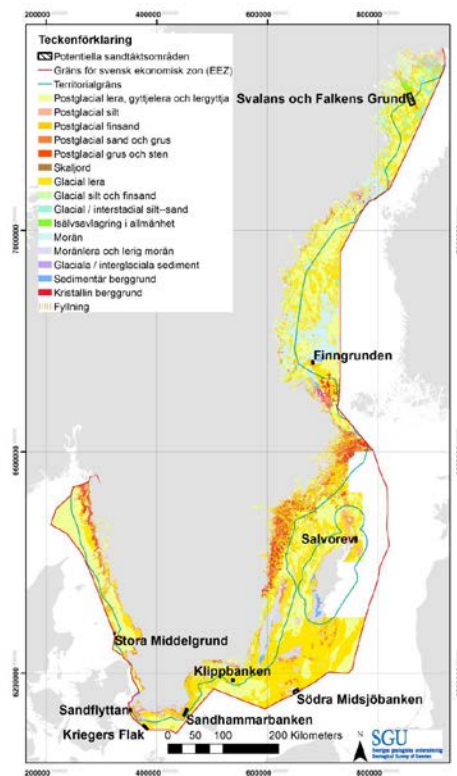


Figure 11.18.1. The nine areas identified as possible for marine sand and gravel extraction, from a sustainable point of view, that were surveyed by SGU during 2016.

The multibeam, side-scan sonar, sub-bottom profiler and seismic data, sampling data and observations of the seabed retrieved by SGU in 2016, as well as qualitative environmental assessments the Swedish Agency for Marine and Water Management (HaV) made from the data, show that environmentally sustainable extraction of marine sand and gravel may be possible in parts of the four areas of Sandflyttan, Sandhammar bank and Klippbanken in the southern Baltic Sea, and Svalans /Falkens grund in the Gulf of Bothnia. The areas that are identified as suitable

- are located on slopes and depressions deeper than the photic zone
- consist of larger and thicker sand and gravel deposits, up to the seabed
- have seabed substrates consisting mainly of the sand and gravel fractions
- have such a high movement in the bottom water that larger transports and accumulations of sand and gravel occur on the seabed
- are located at such distance from shore that the risk of increased coastal erosion is negligible
- have material with the right quality for use in the construction industry.

Shallow, biologically sensitive hard seabed, located closer to shore, is to be avoided, thus, enhancing the likelihood that the ecosystem services and biodiversity in those areas are maintained. Below the photic zone, substrates predominantly of sand and gravel are delineated and volumes of aggregates are estimated from the thickness of the deposits.

The sediment dynamics for potential resource areas and water depths have also been estimated through observations of movement patterns of sand and gravel. Continued biodiversity and ecosystem services after extraction are estimated also. The conflict of interests and distances to regions where the material primarily could be used for each investigated area are shown. The report with an English summary could be found at [www.sgu.se](http://www.sgu.se).

**United Kingdom.** In many cases, the area available to be dredged within a licence area will be restricted through zoning. This may be as a result of a licence condition or as a voluntary initiative introduced by the dredging operator. The value of such zoning lies in minimising the spatial footprint of marine aggregate dredging activity, which in turn can reduce the potential footprint of environmental impact, and reduces the potential for spatial impacts with other users of the sea. Zoning also allows operators to manage their resources more effectively.

Since 2003, BMAPA and The Crown Estate have undertaken to produce Regional Active Dredge Area (RADA) charts for all dredging regions on a bi-annual basis. These charts provide a snapshot of the extent of active dredge areas on the 31 January and 31 July, with any changes to working areas highlighted in red.

Where there is a need to highlight regional changes to existing marine aggregate production licence areas, the industry will occasionally also issue updated RADA charts outside of the bi-annual cycle. This ensures that the most up-to-date information on active dredge areas is available to other marine users.

The charts are distributed to the fishing industry through the District offices of the Marine Management Organisation, and the latest versions can also be downloaded here:

[http://www.bmapa.org/issues/other\\_sea\\_users.php](http://www.bmapa.org/issues/other_sea_users.php).

*Background.* English marine aggregate operators have increasingly been required to undertake a range of marine surveys (bathymetry, side scan sonar, seabed sediment sampling and benthic sampling) to deliver the compliance conditions attached to site specific marine licences. Often, the scope and frequency of these compliance requirements would vary between individual licences, and as a consequence the surveys would be designed and commissioned by individual industry operators in consultation with regulators and advisors at a licence specific scale. Given the proximity of many marine licence areas to one another, this approach resulted in considerable duplication of time and effort by all parties involved in the process together with inconsistent data outcomes. This duplication of effort was also reflected in the costs expended by industry to undertake such work, as a consequence of multiple surveys being commissioned to acquire data from adjacent sites at different times.

In 2014, the marine aggregate industry commissioned a series of Regional Seabed Monitoring Plans (RSMP) to determine the baseline environmental conditions across five geographic regions; the Humber, the Anglian, the Outer Thames, the Eastern English Channel and the South coast.

These works were undertaken to fulfil the seabed sampling conditions attached to marine licences for marine aggregate extraction issued by the Marine Management Organisation (MMO) from 2013 onwards. Additionally, marine aggregate operators chose to apply this new approach to a number of existing marine aggregate licence and application areas



that were present in each region. In total the RSMP programme applies to over 60 marine aggregate production licence and application areas operated by 10 operating companies, and has required seabed data to be collected from 3,500 sample stations.

For each region, a baseline array of sample stations focussing on primary and secondary impact zones of the licence/application areas being surveyed has been defined, together with a supporting array of regional context sample stations and regional reference areas.

*Development of a wider approach to Regional Monitoring & Management.* The practical delivery of the RSMP baseline surveys, simultaneously across five regions during 2014/15, highlighted the significant time, effort and costs that were involved for industry and also for the regulators and advisors that would ultimately receive and review the data for compliance purposes. Repeat monitoring surveys would be required to deliver the compliance requirements throughout the term of each marine licence, which are typically 15 years, but with the potential for licences being renewed for a further 15 years. As a result, there was the potential for the workload and cost to be concentrated into particular years with implications for practical resourcing and delivery.

Given the practical savings in time, effort and cost that could be realised through a more coordinate approach, it was agreed that the benefits derived from the RSMP approach, of planning, undertaking and reporting the compliance surveys required at a licence specific scale using a common standard, could be extended across to all the standard compliance monitoring requirements that applied to all licences. For this to occur in practice, it was recognised the common monitoring requirements that applied to every licence area would need to be standardised, so their scope and frequency was consistent. In turn, this would allow the timings of all standard monitoring survey events to be aligned at a regional scale so that all licences were required to deliver the same surveys at the same time. By aligning the timings at a regional scale, it should then be possible to stagger the various regional survey events across multiple years so the pressures on workload and cost could be spread more evenly, rather than being concentrated into particular years.

An agreed monitoring plan is now being developed by the industry for each region, with the South Coast region representing the first of these. This will define the management blueprint that sets out the timings and scope of all the various standard compliance and reporting events that will apply to all existing marine licences for aggregate extraction in a region. This framework is also intended to apply to any new marine licences that may be permitted in the future.

Given the potential long term benefits of this approach, the marine aggregate sector has been working closely with MMO and their advisors to agree the terms of reference for each regional monitoring plan.

The regional monitoring approach is intended to apply across the full term of all marine licences for marine mineral extraction, typically 15 years. During this period, interim regional multibeam bathymetry will be required in the second, seventh and twelfth years. Full multibeam bathymetry, sidescan sonar and seabed monitoring will be required in the fourth, ninth and fourteenth years. The results from the interim and full regional surveys will be used to inform the substantive reviews for site specific marine licences undertaken by regulators every five years in the sixth, eleventh and sixteenth years.

The integrated approach used to define each regional survey array will allow acoustic coverage and/or sample stations data acquired to be applied across multiple licence areas, therefore reducing duplication of effort. This approach also increases the robustness and consistency of the baseline data that is being acquired, and of any monitoring data obtained thereafter. The principle benefits derived through this new approach arise through a combination of factors:

- (1) Reduction in compliance survey effort – The regional monitoring surveys will be designed to take into account the direct and indirect impact footprints from all of the licence and application areas that are present. Due to their proximity to one another, survey coverages can often overlap with one another therefore the regional data will be able to fulfil the requirements of multiple licence areas, reducing amount of survey time that has to be expended. This reduces survey time and associated weather risk.
- (2) Reduction in compliance survey data analysis – As the scope of the regional monitoring will encompass all licensed interests, the regional data acquired should be able to be processed to the same consistent standard.
- (3) Simplified compliance reporting – Licence-specific compliance surveys will be able to be reported on a more consistent basis, drawing on a single regional survey report.
- (4) Spread of time/effort/cost over time – By phasing the regional survey requirements across a number of years, the time/effort/costs associated with delivering the requirements should be able to be managed more effectively. This allows the resourcing requirements within operators, regulators and advisors to be managed more effectively as the workload over time will be more consistent. Staggering the delivery regional surveys also delivers more practical advantages given the capacity available within the survey contractors can vary.
- (5) Reduction in survey costs – By commissioning a single regional survey rather than multiple site specific surveys, savings are realised by reducing the number of mobilisation events and the general management associated with delivering a survey. A larger survey also enables economies of scale to be realised when booking vessel time.

**United States.** The Federal Bureau of Ocean Energy Management (BOEM, formerly the Mineral Management Agency) completed reconnaissance geophysical track lines and geologic sample locations along the Atlantic Outer Continental Shelf (OCS) for a national OCS sand inventory. Thirty-six survey areas were identified (Figure 11.20.1); survey areas 1 to 22 are considered as being in the ICES territory (these comprise the North Atlantic Division of the U.S. Army Corps of Engineers). In this area, the jurisdiction of individual States (Maine, New Hampshire, Massachusetts, Rhode Island, New York, New Jersey, Delaware, Maryland and Virginia) to the marine natural resources extends 3 nautical miles (5.6 km) into the Atlantic. The BOEM study area begins 5.6 km offshore within water depths less than 30 m or to 14.8 km offshore whichever is closer to shore. The limitation of 30-m water depth is the maximum practical dredging capability of U.S. dredges. Data is managed in the Marine Minerals Information System (MMIS) with the goals of (1) collecting geophysical and geological mapping data, (2) identifying and analysing sediment/sand resources, (3) resource planning and administration, and (4) facilitating coastal restoration requiring offshore sand extraction.

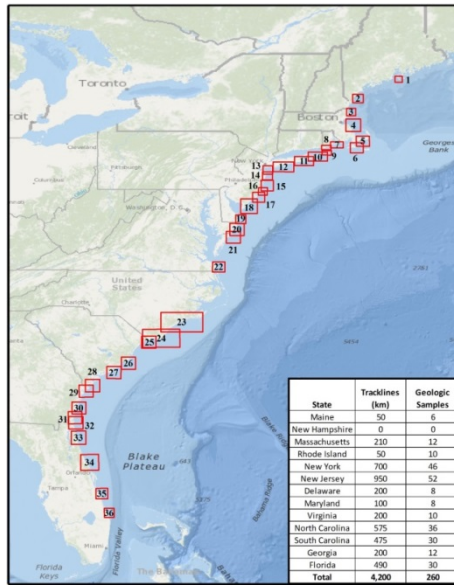


Figure 11.20.1. BOEM survey areas.

Geophysical and geological mapping data was collected over 5600 km of geophysical (seismic, sidescan, interferometric, bathymetry magnetometer) survey lines, 250 vibrocores and 100 grab samples were collected. In total, 75% (4200 km of geophysical data collection and 260 geologic samples) was at a reconnaissance level; 25% (1400 km of geophysical data collection and 90 geologic samples) at a design level. 40% of the data was offshore New York and New Jersey. There are four areas leased for sand extraction currently, one each in areas 16, 20, 21 and 22.

There are five areas leased for sand extraction currently in the OCS, offshore Florida, South Carolina, North Carolina, Virginia and New Jersey (Figure 11.20.2).



Figure 11.20.2. BOEM has active federal leases on the outer continental shelf within the Atlantic in the states of FL, SC, VA, NC, NJ. And, in the Gulf of Mexico, in MS, LA).

Some marine sand extractions in State waters can be found at:

- (1) Northeast <http://www.nj.gov/dep/shoreprotection/projects.htm>

(2) Virginia <http://www.nao.usace.army.mil/About/Projects/>

(3) Southeast

Wilmington: <http://www.saw.usace.army.mil/Missions/Regulatory-Permit-Program/Public-Notices/Tag/12934/shore-protection-project/>

Charleston: <http://www.sac.usace.army.mil/Missions/Civil-Works/>

Savannah: <http://www.sas.usace.army.mil/>

Florida: <http://www.saj.usace.army.mil/Missions/Civil-Works/Shore-Protection/>

<http://www.sac.usace.army.mil/Missions/Civil-Works/Hurricane-and-Storm-Damage-Reduction/>

## Annex 5: ToR B: Create an ICES aggregate database comprising all aggregate related data, including scientific research and EIA licensing and monitoring data

The database is proposed to contain two levels of information with associated data fields. The first level should contain information at the national scale for each year and each region (Table 12.1).

**Table 12.1. Information proposed for the first-level (national scale) database.**

FIELDS FOR WGEXT DATA-BASE	"FLAGS"	COMMENTS FOR ICES DATABASE MANAGERS
Country		
Region (Convention)		i.e. HELCOM, OSPAR BARCELONA if applicable "TOTAL per country" (special case of Denmark with overlap of HELCOM and OSPAR, for others countries "total" is the sum of the values for each regions),
Contact point for the national level per country		Institute of reference
Legislative Authority		Add a link to official website
Year		Year of the data for extraction (link to the annual WGEXT report >year N+1)
Total extracted (m3) within HELCOM area	Real value	Maximum permitted Estimate value
Total extracted (m3) within OSPAR area	Real value	Maximum permitted Estimate value
Total extracted (m3) within Mediterranean (Barcelona) area	Real value	Maximum permitted Estimate value
Total extracted per country (m3)	Real value	Maximum permitted Estimate value
Construction/Industrial within HELCOM area	(m3) Real value	Maximum permitted Estimate value
Construction/Industrial	(m3) Real	Maximum Estimate value

within OSPAR area	value	permitted		
Construction/Industrial within Mediterranean (Barcelona) area	(m3) Real value	Real value	Maximum permitted	Estimate value
Total Construction/Industrial (m3) per country	(m3) Real value	Real value	Maximum permitted	Estimate value
Beach replenishment within HELCOM area	(m3) Real value	Real value	Maximum permitted	Estimate value
Beach replenishment within OSPAR area	(m3) Real value	Real value	Maximum permitted	Estimate value
Beach replenishment within Mediterranean (Barcelona) area	(m3) Real value	Real value	Maximum permitted	Estimate value
Total Beach replenishment per country	(m3) Real value	Real value	Maximum permitted	Estimate value
Fill/land reclamation within HELCOM area	(m3) Real value	Real value	Maximum permitted	Estimate value
Fill/land reclamation within OSPAR area	(m3) Real value	Real value	Maximum permitted	Estimate value
Fill/land reclamation within Mediterranean (Barcelona) area	(m3) Real value	Real value	Maximum permitted	Estimate value
Total Fill/land reclamation per country	(m3) Real value	Real value	Maximum permitted	Estimate value
Non aggregate HELCOM area	(m3) within Real value	(m3) within Real value	Maximum permitted	Estimate value
Non aggregate OSPAR area	(m3) within Real value	(m3) within Real value	Maximum permitted	Estimate value
Non aggregate Mediterranean (Barcelona) area	(m3) within Real value	(m3) within Real value	Maximum permitted	Estimate value
Total Non-aggregate per country	(m3) per Real value	(m3) per Real value	Maximum permitted	Estimate value
Licensed Area (km2)	Area of the permit			
Area extracted (km2)	The area within the area of permit where extraction takes place			
EIA required (Y/N)				
Monitoring in place (Y/N)				

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Black box/EMS data (Y/N)

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Mitigation (Y/N)

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The second level, which is more detailed and focused on licensed areas, is proposed to contain the information listed below (Table 12.2).

**Table 12.2. Information proposed for the second-level (licensed area) database (link to shape file for visualization)**

FIELDS FOR WGEXT DATABASE	"FLAGS"		COMMENTS FOR ICES DATABASE MANAGERS
Country			
Point Latitude Coordinate	Coord. System	Estimate position	Central point of polygon
Point Longitude Coordinate	Coord. System	Estimate position	Central point of polygon
Contact point for the regional level	(Local authority; i.e lander in Germany)		
Name/code of license area	Unique code per licensed area (IT-XXX)		
Permitting Authority			
Year			
Grain size/Type (i.e Sand, Gravel..)			
End Use	(i.e. Beach Replenishment, Construction...)		
Licensed Area (km2)			
Area extracted (km2)	?		
EIA required	?		
Monitoring in place	?		
Black box/EMS data	?		
Mitigation	?		

Carlos Pinto < carlos@ices.dk > provided the following advice. All data, where applicable, for each country should be mandatory. The mitigation field is intended to show whether or not mitigation was done but it might be useful to know the mitigation method and provide links or contacts to the information. Some of the fields need to be converted to vocabularies, for example:

**End use**

A) Construction/ industrial aggregates (m <sup>3</sup> )	B) Beach replenishment (m <sup>3</sup> )	C) Construction fill/ land reclamation (m <sup>3</sup> )	D) Non-aggregate (m <sup>3</sup> )
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**Region**

HELCOM	OSPAR	Mediterranean	
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All the data in Table 12.2 should also be stored such as in Table 12.1. For the next year, we should plan to produce an empty shape-file to put the data in and deliver.



## Annex 6: ToR C: Incorporate MSFD into WGEXT

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The following text is the eighth working draft of a collaborative contribution of the WGEXT members.

*Marine Aggregate Extraction and Marine Strategy Framework Directive: A review of existing research*

### INTRODUCTION

Global biodiversity is threatened by human activities which are increasingly impacting marine ecosystem (Halpern *et al.*, 2008). These impacts are usually cumulative and can lead to degrading habitats and ecosystem functionality (Ban *et al.*, 2010).

Understanding relationships between human pressures and ecosystems is the second major challenge identified by Borja (2014) for future research within the field of marine ecosystem ecology.

The European Marine Strategy Framework Directive aims at Good Environmental Status (GES) in marine waters, following an ecosystem-based approach, focused on 11 descriptors related to ecosystem features, human drivers and pressures. Pressures predominantly relate to anthropogenic activity, also referred to as endogenic managed pressures (Elliott *et al.*, 2014). For a single, specific pressure, such as aggregate extraction, the relationship between pressure and impact varies according to the pressure level (e.g. spatial extent, duration and/or frequency, intensity), the habitat type and component species and their recovery potential (Foden *et al.*, 2010; Lambert *et al.*, 2014; Duarte *et al.*, 2015). Effects may initially be apparent at the individual or population level but, if sustained, can ultimately change abundance, biomass and function at community or ecosystem level (Thrush *et al.*, 2016). Finally, the effects of dredging can result in human welfare being affected through the reduction in the provision of ecosystem services and societal benefits (Smith *et al.*, 2016)

ICES Guidelines for the Management of Marine Sediment Extraction (2003) already encouraged an ecosystem approach to the management of extraction activities and the identification of areas suitable for extraction. Moreover, these guidelines, as adopted by OSPAR, provide for the implementation of mitigation and monitoring programmes ensuring that methods of extraction minimise the adverse effects on the environment and preserve the overall quality of the environment once extraction has ceased.

This review is providing information on research aspects related to various effects of marine aggregate extraction on the seafloor and the water column, and the connection with criteria for good environmental status which is relevant to the following descriptors of the MSFD: biological diversity (D1), commercial fish and shellfish resources (D3), marine food webs (D4), sea-floor integrity (D6), hydrographical conditions (D7), contaminants (D8) and underwater noise (D11).

The following table is summarizing the impacts on the marine ecosystem, developed in different chapters, and the links between these impacts and the descriptors:

Effects of Aggregate extraction:	Impact on:	Potentially influenced MSFD descriptors:
Seabed removal	Topography/Bathymetry	(D1), D6, D7
	Sediment composition	D1, (D3), D6
	Habitat & biological communities	D1, (D3), D4, D6
Sediment plumes	Turbidity	D3, D4, (D8)
	Deposition	D1, D3, D4, D6, (D8)
Ship activities	Underwater noise	D11

This review also aims to highlight gaps to expand on the current knowledge to fulfill MSFD requirements.

***Descriptor 1: Biological diversity is maintained. The quality and occurrence of habitats and the distribution and abundance of species are in line with prevailing physiographic, geographic and climate conditions. Assessment is required at several ecological levels: ecosystems, habitats and species.***

Approaches to support the conservation of marine biodiversity include measures of rarity, diversity, identification of the number and abundances of species and habitats in different locations, but also the identification of biological indicators (Hiscock and Tyler-Walters, 2006).

The Working Group for Marine Habitat Mapping (ICES, 2016) is mainly reporting on national mapping progress including mapping techniques and modelling, data analysis, habitat classification schemes used in seabed mapping; this group is also reviewing practice about the use of habitat maps (for the MSFD (Cogan *et al.*, 2009), marine spatial planning, management of MPAs) and is a major support for the development of common and candidate OSPAR biodiversity indicators for benthic habitats.

Ambitious mapping programmes of biological characteristics of marine habitats were recently developed at international, national and regional scales (Coggan and Diesing, 2010; Vasquez *et al.*, 2015; Michez *et al.*, 2015; Strong *et al.*, 2015; Baffreau *et al.*, 2016; Delage and Lepape, 2016; Galparsoro *et al.*, 2016; La Rivière *et al.*, 2017), much bigger than research permits and extraction areas.

The urgent need for large-scale spatial data on benthic species and communities resulted in an increasing application of distribution modelling (Reiss *et al.*, 2014).

The marine sediments -searched by the extraction industry- correspond to sand and gravel bottoms which represent only a fraction of the high diversity of habitats and marine life (variety of bottom types, habitats of common interest, rare and endangered species). In general, the biodiversity of the seabed tends to increase with the size and heterogeneity of the sediment (microhabitats) and with the stability of the substrate.

- Sandy bottoms, with low diversity in microhabitats, particularly mobile

banks of coarse sand searched for extraction, are typically poor in species and biomass.

- Gravelly bottoms are the most diversified among the marine habitats, the larger size of gravel allowing settling and providing shelter for many sessile and mobile organisms. This knowledge resulted in many studies related to the commercial extraction of marine aggregates (Seiderer and Newell, 1999; Desprez, 2000; Cooper *et al.*, 2007). The deep gravel habitats are more diverse than those closer to the coast, with a diverse and abundant epifauna with sponges, tunicates, bryozoans, hydroids and polychaetes. Biogenic reefs under threat and of high heritage value are associated with these gravels.

Potential impacts of marine aggregate extraction on key habitats and species of the European Directive Natura 2000 were summarized in the following table (Posford Duvivier Environment, 2001):

Potential Impact	Habitats (Ann. I)		Species (Ann. II)	
	Sand Banks	and Gravel	Fish	Mammals
Benthos and substrate loss	M		M	M
Turbidity	S		S	S
Sediment	ML		ML	

**Table 1: Potential impacts of marine aggregate extraction on key habitats and species of the European Directive Natura 2000 (S = Short Term, M = Mean term L = Long term).**

#### Effects

A loss of 60 % for the number of benthic species is generally observed within dredging sites (Newell *et al.*, 1998; Desprez, 2000; Boyd *et al.*, 2002; Boyd and Rees, 2003; Newell *et al.*, 1998, 2004; ICES, 2009, 2016; Krause *et al.*, 2010; Desprez *et al.*, 2014).

This loss of structural biodiversity is local and its duration varies according to extraction strategy:

- it is local and important in coarse bottoms where intensive extraction takes place (cumulative effects);

- it is counterbalanced in the case of extensive extractions (< 50 % of the total licensed area) by the increase in diversity of benthic communities linked to the diversification of habitats (Thrush *et al.*, 2006; Hewitt *et al.*, 2008; de Backer *et al.*, 2014). Such an increase in the number of EUNIS habitats and associated communities was observed in the eastern Channel (Desprez *et al.*, 2014) with three new Natura 2000 habitats favouring benthos and fish diversity in a geographical context of coarse shelly sands with *Branchiostoma* (EUNIS Habitat A5.135): shingle crests and muddy furrows (Natura 1160) within the dredging area, mobile fine sands (Natura 1110) in the surrounding deposition area,

heterogeneous sediments (Natura 1170) in the fallow and recovery areas.

Cusson *et al.* (2014) observed that changes within community assemblages in terms of structure are generally independent of biodiversity.

#### Recovery

The lower impact of extensive extraction is favoring the benthic recovery, notably through spatial and temporal zoning which enable the recolonization by drift from adjacent areas (Birchenough *et al.*, 2010)

In the case of intense deposit of fine sediments due to screening, the damage by dredging to functional diversity and to the capacity of the macrofaunal assemblage to recover is immediate and not so dependent on dredging intensity (Barrio-Frojan *et al.*, 2008).

Moreover, the return to the initial biodiversity can be artificially accelerated by creating a heterogeneous substrate with the seeding of shells or gravel (Collins and Mallinson, 2007; Cooper *et al.*, 2010a) but the cost of these works is questioning (Cooper *et al.*, 2010b).

Habitat engineering can exert facilitating and inhibiting effects on biodiversity (Bouma *et al.*, 2009; de Jong *et al.*, 2015).

#### Biodiversity and ecosystems functionality

The study of the ecological function of biodiversity is very recent but has been recognised to have fundamental implications for predicting the consequences of biodiversity loss. This missing of the functional aspects of biodiversity was highlighted by the WG GES (EC, 2010).

Understanding the role of biodiversity in maintaining ecosystems functionality is a main challenge in marine ecosystem ecology (Borja, 2014). Theoretically, a higher number of functional group types will provide higher functional biodiversity organization to the system and contribute to more stable and resilient ecosystems (Cusson *et al.*, 2014).

The MARBEF project demonstrated that alteration of key species abundances affects ecosystem functioning more than changes in species diversity (Heip *et al.*, 2009).

It is now fully recognised that understanding the entire ecosystem requires the study of all biodiversity components (Borja, 2014), from species to habitats, including food-webs (descriptor 4) and complex bio-physical interrelationships within the system.

#### Biodiversity indicators

Biodiversity can be seen as an overarching descriptor and a too broad topic to list all possible indicators and in any case not all indicators can be applied everywhere. There is therefore a need for more guidance on which habitats and species to consider (EC, 2010). The value of an ecological indicator is no better than the uncertainty associated with its estimate; indicator uncertainty is seldom estimated, even though legislative frameworks such as the European Water Framework Directive stress that the confidence of an assessment should be quantified (Carstensen and Lindegarth, 2016).

In the marine assessments like MSFD, biodiversity is defined on the level of species, communities, habitats, and ecosystems, as well as in the genetic level (Cochrane *et al.*, 2010).

Whilst their population equivalents do not always reflect biodiversity changes, the

sample Simpson, Shannon and Richness indices are useful indicators of changes in biodiversity (Barry *et al.*, 2013).

Impact indicators for major drivers of marine biodiversity loss are currently lacking (Woods *et al.*, 2016). With increased knowledge and understanding about the strengths and weaknesses of competing index approaches, the field needs to unify approaches that provide managers with the simple answers they need to use ecological condition information effectively and efficiently (Borja *et al.*, 2009, 2016).

Demersal fish communities consisting mainly of mobile species, neither the habitat-level indicators nor the single species distribution indicator, explicitly directed at sessile/benthic species, are pertinent; appropriate fish biodiversity metrics cannot be derived to support this D1 indicator (Greenstreet *et al.*, 2012).

According to a decreasing gradient of impact, Browning (2002) identified three main classes of anthropogenic pressures on biodiversity in the English Channel-North Sea area:

- a class of maximal impact is including fishing activity (threatened species, destruction of protected biotopes) ;
- a class of higher medium impact is including many types of pollution ;
- a class of lower medium impact is including marine aggregate extraction and deposition of harbour maintenance sediments.

### **Conclusion**

With respect to descriptor (1) WGEXT recognises that extraction of marine aggregates can potentially be a serious threat to biodiversity when exploitation projects affect gravelly areas either of small size or under-represented in the geographical area (loss of habitat).

The ICES Guidelines for the Management of Marine Sediment Extraction (2003), as adopted by OSPAR, provide for the adoption of appropriate extraction site locations, with the aim to prevent any harmful effect on habitats of prime importance.

### ***Descriptor 3: Commercial fish and shellfish resources***

The proposed indicators mortality and biomass are the base for this descriptor, while the third one (size) should be linked to the ones on food webs (D 4).

Species such as herring (*Clupea harengus*), black bream (*Spondyllosoma cantharus*), sand eel (Ammodytidae), and crabs require certain substrate conditions for spawning or breeding activity. Changes in or loss of a preferred grain size can disturb mobile species in these areas. In addition, ovigerous female brown crabs prefer to overwinter on coarse gravelly material and are, therefore, susceptible to direct dredging impacts. Studies such as de Groot (1979) have highlighted the importance of historical spawning grounds for herring and its specialist requirement for coarse gravel (ICES, 2011), increasing its vulnerability to disturbance if marine aggregate extraction occurs within spawning areas.

Stelzenmüller *et al.* (2010) investigated the vulnerability of 11 species of **fish and shellfish** to aggregate extraction. The authors calculated a Sensitivity Index (SI) for each species and modelled their distribution around the UK. These species were likely to be affected by aggregate extraction and had either commercial or conservational im-

portance; target fish communities include the flatfish sole, thornback ray and plaice, the gadoids cod and whiting, and the bivalve mollusc queen scallop. The highest sensitivity occurred in coastal regions and where nursery and spawning areas of four important commercial species occurred [cod (*Gadus morhua*), plaice (*Pleuronectes platessa*), sole (*Solea solea*), and whiting (*Merlangius merlangus*)].

In 2003, the Franco-British project CHARM (Eastern Channel Habitat Atlas for Marine Resource Management) was initiated to support decision-making for the conservation, protection and/or management (anthropogenic disturbances) of essential fish habitats such as spawning grounds, nurseries or areas carrying bio-diverse fish communities (Vaz *et al.*, 2007).

An inventory of coastal areas of conservational importance was defined in France to protect commercial fish resources and functional areas of prime importance for their life cycle, to maintain their renewal and the associated fishing activity (Delage and Le Pape, 2016).

Turbid plumes can cause avoidance behavior in visual predatory fish, such as mackerel and turbot; for herring and cod, critical levels were demonstrated at very low silt concentrations (3 mg/l). They can also cause mortality of larvae of herring and cod at slightly higher levels (20 mg/l), while eggs can tolerate concentrations >100 mg/l (Westerberg *et al.*, 1996).

There have been few direct studies on changes in fish populations due to marine aggregate extraction (ICES, 2016).

Experimental fish monitoring in the eastern Channel between 2007 and 2011 showed a strong impact of an intensive aggregate extraction on fish presence, both for the number of species (-50%) for abundance and biomass (-92%). On the contrary, the impact of an extensive dredging (spatial and temporal zoning) was limited, without any decrease in species number and biomass, and abundance reduced by 35 % (Desprez *et al.*, 2014).

Dab (*Limanda limanda*) and whiting (*Merlangius merlangus*) were the two fish species most adversely affected by dredging; however, sole and rays appeared to flourish in areas where the sediment had been modified by the deposition of sandy material, allowing a permanent fishing activity.

The impact of aggregate extraction activities on the displacement of fishing activities was based primarily on anecdotal evidence, till changes in fishing patterns were studied in the Eastern English Channel following the start of aggregate extraction activities in the area. Three different approaches considered temporal changes and could not identify any significant reduction of activity within the licensed aggregate extraction sites. Overall an increase of activity was observed within these areas and the wider English Channel (Vanstaen *et al.*, 2010).

The effects of dredging intensity and the distance to extraction sites on the distribution of fishing effort were more recently investigated for a broad selection of French and English demersal fleets operating in the Eastern English Channel. The most prominent result was that most fleets fishing near to aggregate extraction sites were not deterred by extraction activities (Marchal *et al.*, 2014). The fishing effort of dredgers and potters could be greater adjacent to marine aggregates sites than elsewhere, and also positively correlated to ex-

traction intensity. The distribution of fishing effort of French netters remained consistent over the study period and increased substantially in the impacted area of the Dieppe site.

Mobile species are also more likely to be influenced by other impacts or anthropogenic activities outside of a licence area, again making direct predictions between marine aggregate extraction and mobile species difficult. A study by Kenny *et al.* (2010) looked at the long term trends of the ecological status of the east coast aggregate producing region, which included consideration of fish stocks. This study noted that long term trends appear to be dominated by wider factors that govern trends at the North Sea scale, as declining fish stocks were observed in both the North Sea and east coast aggregate producing region.

### **Conclusion**

Recent studies suggest that fishing activity is not deterred by extraction activities. However, WGEXT recognises that extraction of marine aggregates can potentially be a serious threat to commercial fish species when functional impacts can affect sensible and threatened species (e.g. through loss of spawning areas).

The ICES Guidelines for the Management of Marine Sediment Extraction (2003), as adopted by OSPAR, provide for the adoption of appropriate extraction site locations, with the aim to prevent any harmful effect on habitats of prime importance.

***Descriptor 4:*** All elements of the marine food webs, to the extent that they are known, occur at normal abundance and diversity and levels capable of ensuring the long-term abundance of the species and the retention of their full reproductive capacity.

This descriptor concerns important functional aspects such as energy flows and the structure of food webs (size and abundance).

Thompson *et al.* (2012) emphasize that food-web ecology will act as an underlying conceptual and analytical framework for studying biodiversity and ecosystem function, if some challenges are addressed such as relating food-web structure to ecosystem function, or understanding the effects of biodiversity loss on ecosystem function.

### **Indirect effects of substrate loss**

Functionally, the qualitative and quantitative depletion of benthic communities may affect the higher trophic levels (e.g. fish & birds), as the increase in extraction surface in a given geographical area leads to the loss of habitat and potential food web (Birklund & Wijsman, 2005). Several fish species are more or less closely related to the bottom by their way of feeding ; plaice, sole, dab, gurnard, red mullet, haddock, whiting and cod, feed primarily on benthic organisms, like bivalves, worms, crustaceans and sea urchins. Coastal bottoms actually are important feeding areas for diving birds (ducks, terns, penguins, northern gannet...), due to their high productivity (Michel *et al.*, 2013).

Top predators, such as seabirds and mammals, can be highly sensitive to changes in the abundance and diversity of their primary prey; nevertheless, many bird species are able to switch to alternative prey (Rombouts *et al.*, 2013).

More than 48 species of fish in the north-east Atlantic area are associated with sandy gravel bottoms for spawning (herring, black bream, sole...); about forty others are associated with these habitats (rays, dogfish, plaice, sand eels, sharks...). On the other side, most flatfish species of commercial interest develop and reproduce in fine and silty sands without interest for extraction.

Shellfish make up an important component of the coastal food web, for example for shellfish-eating birds such the common scoter as well as demersal fish (Kaiser *et al.*, 2006; Tulp *et al.*, 2010). As such, the impacts of aggregate extraction on shellfish species are being investigated in the Netherlands; the American razor shell (*Ensis directus*) was taken as a model organism because of its high dominance in biomass in the Dutch coastal zone.

Predicting the disturbance of mobile fish species is particularly difficult as there are few studies that have directly investigated disturbance in relation to marine aggregate extraction, or suggested that significant impact will occur (Stelzenmüller *et al.*, 2010; Vanstaen *et al.*, 2010; Marchal *et al.*, 2014).

In a French experimental site (Desprez *et al.*, 2014), fish monitoring between 2007 and 2011 showed a strong negative impact of aggregate extraction on fish presence, either in the number of species (-50 %), or in abundance and biomass (-92 %). However, such a strong impact was not observed in the commercial site of Dieppe (respectively +50 %, -35 % and +5 %) and could be explained by the difference in extraction strategy (zoning), with a low intensity in Dieppe (<1h/ha/year), whereas medium to high (4 to 10 h/ha/year) in the Baie de Seine.

In Korea, significantly lower species richness (-60 %), species diversity and fish abundance (-90 %) were associated with bottom disturbance related to the mining of seabed sediments (Hwang *et al.*, 2013).

In a Dutch deep sand extraction site (de Jong *et al.*, 2014), significant differences in demersal fish species assemblages were associated with variables such as water depth, median grain size, fraction of very fine sand, biomass of shells and time after the cessation of sand extraction. One and two years after cessation, a significant 20-fold increase in demersal fish biomass, dominated by plaice, was observed in deeper muddy parts of the extraction site colonised by high densities of white furrow shell (*Abra alba*).

Trophic structure is an important driver of community functioning and biological traits, in particular body size, in turn determine which species interact (Nordström *et al.*, 2015).

A study by Boyd *et al.* (2001) compared the commercial fish landings for fish caught in an aggregate zone, to those obtained from ports distant to dredging. A localised decline in catches in Dover sole was observed, and the study considered that this may be a result of the reduced abundance of prey items within the extraction area as Dover sole derive much of their food from benthic species.

A study by Pearce (2008) investigated in UK sites the importance of benthic communities within marine aggregate areas as a food resource for higher trophic levels. The study noted that the alterations to the benthos due to dredging were likely to cause alterations to the diet of demersal fish, which may be unfavourable. However, given the natural levels of trophic adaptability observed, a change in dietary composition may not be damaging to the fish population as the majority of species studied were likely to switch prey sources, providing sufficient biomass was available to support them.



Between 2004 and 2011, three combined studies (benthos, fish, and stomach contents monitoring) were undertaken at two French sites (Dieppe and Baie de Seine) of the eastern Channel (Desprez *et al.*, 2014). Evidence of trophic adaptability was mainly observed with an increase in the abundance of sole within the extraction and especially the deposition areas.

In Dieppe, black sea bream, gurnards and cod were absent from the sandy reference and deposition areas, but were attracted to dredging ones by the abundance of opportunistic benthic species (mainly opportunistic crab species *Pisidia* and *Galathea*), which recolonize dredging areas between extraction periods (fallow areas) and after cessation of activity. Red mullet (*Mullus surmuletus*) was the key species to characterize the different habitats linked to the extensive dredging activity (dredging, oversanding deposits, and fallow and recolonization areas).

#### Effects of turbid plume

Only a large scale continuous extraction activity may cause an indirect impact through a persistent turbidity plume which can:

- reduce the primary production of phytoplankton;
- disrupt the feeding and respiration of zooplankton;
- cause avoidance behavior in visual predatory fish, such as mackerel and turbot. For herring and cod, critical levels were demonstrated at very low silt concentrations (3 mg/l);
- cause mortality of larvae of herring and cod at slightly higher levels (20 mg/l), while eggs can tolerate concentrations >100 mg/l (Westerberg *et al.*, 1996).

A direct consequence of increased turbidity from aggregate extraction is the reduction of light penetration into the water column, which can **negatively affect phytoplankton** growth. Phytoplankton constitutes the basis of the food web, thus a decreased availability can affect higher trophic levels. In addition to a reduced phytoplankton abundance in the water column, elevated silt concentrations may impede the intake of phytoplankton by shellfish, and potentially cause additional stress (i.e. higher energetic costs) to these organisms as they need to excrete silt in the form of pseudo-faeces (Michel *et al.*, 2013).

Cook and Burton (2010) reviewed the potential impacts of aggregate extraction **on seabirds**. One direct effect was the issue of increased turbidity, and to what extent this affects a bird's ability to see prey. Vision for foraging is important for a number of species of seabirds, including terns, the common guillemot and the northern gannet. However, for the most part, material falls out of suspension relatively quickly (mostly within 500 m), meaning this increased turbidity is short term and within a limited area.

In a review of impacts of marine dredging activities on marine mammals, Todd *et al.* (2014) conclude that sediment plumes are generally localized, and marine mammals reside often in turbid waters, so significant impacts from turbidity are improbable. However, entrainment, habitat degradation, noise, suspended sediments, and sedimentation can affect benthic, epibenthic, and infaunal communities, which may impact marine mammals indirectly through changes to prey.

### Food web indicators

Many food web indicators are also relevant to other MSFD descriptors 1, 3 (groups/species targeted by human activities) and 6 (early warning indicators)

The existing suite of indicators gives variable focus to the three important food web properties (structure, functioning and dynamics) and more emphasis should be given to the latter two. Indicators based on the structure and processes of benthic groups can help to describe trophic functioning. Whereas the currently proposed indicator 4.1.3 is suggested to a single group/species, biomass can be considered over several trophic levels simultaneously and can therefore become an ecosystem-based indicator (Rombouts *et al.*, 2013).

The proposed indicators, in particular those based on abundance and biomass, can inform on the structural properties of food webs but they may provide only partial information about its functioning. Hence, the development of criteria for D4 should be directed towards more integrative and functional indicators that consider (1) multiple trophic levels or whole-system approach (i.e. ecosystem-based indicators), (2) processes and linkages (e.g. trophic transfer efficiencies) and (3) the dynamics of food webs in relation to specific anthropogenic pressures.

### Conclusion

With respect to descriptor 4, direct and indirect effects of m.a.e. are proportional to the size of dredging areas, with “limiting” factors like the trophic adaptability of fish and bird species and their mobility to avoid disturbed areas, or like the tolerance of marine mammals for turbidity.

**Descriptor 6:** Sea-floor integrity is at a level that ensures that the structure and functions of the ecosystems are safeguarded and benthic ecosystems, in particular, are not adversely affected.

#### **D 6.1. Physical damage, having regard to substrate characteristics**

The physical impact of the extraction is site-specific and linked to many factors such as the hydrodynamics, the sediment grain size, the dredging method and intensity.

- The action of extracting aggregate alters the topography with creation of isolated furrows (dredge tracks) in extensive sites (Cooper *et al.*, 2005; Le Bot *et al.*, 2010) up to durable depressions of several meters deep after several years of localized extractions (Degrendele *et al.*, 2010; de Jong *et al.*, 2015).
- Removal of aggregate can lead to a change in the seabed substrate, by removing surficial layers of sediment to leave a new substrate exposure of coarser sediments (Cooper *et al.*, 2007; Le Bot *et al.*, 2010) or by altering the particle size distribution as a result of intensive deposition from overflow (Boyd *et al.*, 2005; Cooper *et al.*, 2007; Krause *et al.*, 2010; Barrio- Frojan *et al.*, 2011; Wan Hussin *et al.*, 2012; de Jong *et al.*, 2015).
- Extraction generally results in an increased variability in terms of particle size composition within both high and low dredging intensity sites (Cooper *et al.*, 2007).

As the distribution of marine organisms and communities is strongly related to hydrodynamic, morphological and sediment parameters (McLusky and Elliott, 2004; Baptist *et al.*, 2006; Degraer *et al.*, 2008; Pesch *et al.*, 2008), any physical changes in the sea bed will lead to a response in the composition of its natural benthic assemblages. This will affect the habitat quality in a wider area, the transport of fish larvae and the abundance of food for fish, birds and mammals.

The direct removal of surface aggregate sediments and associated fauna results in an immediate and local loss of the benthic fauna in the order of 60% for the number of species and 80-90% for the abundance and biomass (Newell *et al.*, 1998; Desprez, 2000; Newell *et al.*, 2004; Boyd and Rees, 2003; ICES, 2009; Krause *et al.* 2010; Desprez *et al.*, 2014). This may range from almost total defaunation (Simonini *et al.*, 2007) to a more subtle and less significant change (e.g. van Dalfsen *et al.*, 2000; Robinson *et al.*, 2005).

Extensive dredging will have the less pronounced impact without functional consequences (e.g. no reduction in biomass) on the higher trophic levels (Bonvicini *et al.* 1985; Desprez *et al.*, 2014). In sandy areas of the North Sea and the Baltic Sea, the effects of sand extraction only became evident when the annual extractions affected 50 % of the licensed area, causing a drop in biomass values (Birklund and Wijsman, 2005).

The cumulative impact, in time and/or space, of multiple extractions results in a continuous disruption of benthic communities, which are reduced to their simplest form (few tolerant species, reduced abundance and minimal biomass due to the elimination of long living bivalves and echinoderms) (Newell *et al.*, 2004a; Boyd and Rees, 2003; Robinson *et al.*, 2005; Cooper *et al.*, 2007; Barrio-Frojan *et al.*, 2008).

Differences in impact and subsequent recovery also depend on local hydrodynamics (Mestre *et al.*, 2013), sediment characteristics, as well as on the nature and type of stress to which the community is adapted in its natural environment (ICES, 2009). In the sandy bottoms of the North Sea, small-scale disturbances in seabed morphology and sediment composition result in limited effects on the benthic community (van Dalfsen *et al.*, 2000), but large scale and deep sand extractions (de Jong *et al.*, 2015) result in a net increase of the fraction of very fines in the sediment and of the biomass of the white furrow shell (*Abra alba*) biomass.

In gravelly areas, the impact is higher as a consequence of the heterogeneity and the stability of the sediment which favour more diversified and abundant communities (Seiderer and Newell, 1999; Newell *et al.*, 2001; Cooper *et al.*, 2007).

The main indirect impact of dredging is linked to the deposition of sediment from the overflow or screening plume, which can cause smothering / damage to sensitive benthic receptors. Extensions of deposits have been calculated for spring tides conditions in the English Channel: 800m for sand and 6.5km for silt (Duclos *et al.*, 2013).

The majority of studies (Desprez, 2000; Newell *et al.*, 2004b; Boyd and Rees, 2003; Cooper *et al.*, 2007; Desprez *et al.*, 2010) suggest that adverse biological change is constrained to the 100 - 200 m from the dredge area, even where sedimentary change has been detected at greater distances up to 2 km from the dredge site in the direction of and after remobilisation by strong local tidal currents (Robinson *et al.*, 2005; Cooper *et al.*, 2007; Desprez *et al.*, 2010).

Several types of effects have been observed depending on the intensity of the oversanding and the nature of the bottom:

- On gravelly bottoms, the elimination of the benthic fauna can be almost complete, identical to that observed in the dredged area (ICES, 2009; Desprez *et al.*, 2010), the original communities being unable to withstand a big deposition of fine sands. Due to the permanent extraction activities and remobilization in areas under strong hydrodynamic conditions, the original stable bottom is replaced by a continuously remobilized substrate (Newell *et al.*, 2004b; Robinson *et al.*, 2005; Desprez *et al.*, 2010). Beyond a few hundred meters from the extraction site, there is a rapid increase in the number of species and abundance consistent with the low dispersion of overflowing sediments. Boyd and Rees (2003) also showed that the faunal composition changed gradually with the distance from the extraction site. This is mainly due to the fact that the distribution of species is correlated with the sedimentary characteristics of the deposition area (medium to fine sand);
- A transition from a sandy-gravelly bottom with a diverse epifauna to a sandy bottom with a less diverse infauna can occur as a result of overflow (Boyd *et al.*, 2005; ICES, 2009; Desprez *et al.*, 2010).
- On sandy bottoms, the benthic fauna is less affected in the deposition area than in the extraction site (Newell *et al.*, 2004b). The benthic species which are less sensitive to overflow deposits are those able to move rapidly through the sediment and free-swimming epifaunal species (crabs, shrimps...);
- The species richness, abundance and biomass can increase in overflow areas, when sediment deposition is limited and the available food is increased through organic enrichment (Newell *et al.*, 1999; Desprez *et al.*, 2010).

Generally, the creation of sediment plume has the potential to adversely impact benthic organisms through an increase in sediment induced scour, smothering and through damage and blockage to respiratory and feeding organs (Tillin *et al.*, 2011). Effects of suspended sediments and sedimentation are species-specific, but invertebrates, eggs, and larvae are most vulnerable.

Studies such as Last *et al.* (2011) investigated the impacts of increased suspension particulate matter (SPM) and smothering on a number of benthic species of commercial or conservational importance under a range of environmental and depositional conditions. Two test conditions of SPM were tested (high SPM, equivalent of near dredge conditions and low SPM, equivalent of wider secondary impact conditions). All species survived the higher SPM conditions. The ross worm (*Sabellaria spinulosa*) was highly tolerant to short term burial (< 32 days) and its growth rate showed significantly higher tube growth under high SPM conditions.

Szostek *et al.* (2014) showed that elevated SPM had no short-term effects on survival of the king scallop (*Pecten maximus*), but observed a reduction in growth rate; this species appeared more tolerant of burial and elevated levels of SPM than the queen scallop (*Aequipecten opercularis*).

**D 6.2. European Commission selected as indicators for the sea-floor integrity (Rice *et al.*, 2012):**

(i) type, abundance, biomass and areal extent of relevant biogenic substrates:

*Sabellaria* reefs & *Mytilus* beds (Cooper *et al.*, 2007; Gibb *et al.*, 2014; Pearce *et al.*, 2007, 2014), *Chaetopterus* beds (Rees *et al.*, 2005), *Lanice* meadows (Braeckman *et al.*, 2014) and other biogenic reefs (Farinas-Franco *et al.*, 2014) are examples of the coastal ecosystems dominated by epibenthic engineers which belong to the most valuable ecosystems among the world, but remain threatened and declining.

An example of reversibility of the reduction process of biodiversity has been observed on extraction sites (Cooper *et al.*, 2007; Pearce *et al.*, 2007; Gibb *et al.*, 2014; Desprez *et al.*, 2014) with the return of the tubeworm *Sabellaria spinulosa* (key species of the Habitats Directive and the OSPAR list of endangered species), observed from the early stages of recolonization, encouraged by the deposit of sand overflow.

(ii) extent of the seabed significantly affected by human activities for the different substrate types:

Halpern *et al.* (2008) estimated that 41 % of marina areas are already strongly affected by multiple anthropogenic perturbations. In the six direct physical pressure types affecting the seabed of England and Wales, Eastwood *et al.* (2007) estimated that selective extraction caused by demersal trawling affected between 5 % to 21 % of the total area, while the pressure arising from aggregate dredging affected only 0.1 % for the direct removal, plus 1.2 % for the siltation caused by screening plumes. Disturbance of the seabed by demersal fishing gear shows a footprint reaching over 99 % of the known footprint of all human pressures on the UK seabed (Foden *et al.*, 2010).

Becker *et al.* (2013) describe a generic method to calculate source terms for far field dredge plume modelling as it is used in practice in the dredging industry. The method is based on soil characteristics and dredge production figures, combined with empirically derived, equipment and condition specific 'source term fractions'. A source term fraction relates the suspended fine sediment that is available for dispersion, to the amount of fine sediment that is present in the soil and the way it is dredged.

(iii) presence of particularly sensitive and/or tolerant species:

The sensitivity measures the degree of the response to stress using indicators (species, communities, habitats). Identifying the sensitivity of species and biotopes relies on accessing and interpreting available scientific data in a structured way (sensitivity information can be overlaid with the distribution of protected or threatened species and habitats, designated areas, and the location and intensity of specific activities considered damaging to the marine environment) to disseminate suitably presented information to decision makers (Hiscock and Tyler-Walters, 2006).

Mapping of the different benthic habitat components is considered to be key information for the implementation of the MSFD, particularly for the identification of sensitive habitats.

The Working Group for Marine Habitat Mapping (ICES, 2016) is examining the managerial uses (e.g. assessments of environmental status) of habitats maps.

The ICES Guidelines for the Management of Marine Sediment Extraction (ICES, 2003) point out the importance of this objective in the selection process of extraction areas to protect benthic threatened communities and to allow a good resources management. The most sensitive species/habitats are maërl beds (high structural diversity), spawning areas (fundamental functional diversity) and biogenic reefs (both structural and functional diversity) which have specific protection measures (OSPAR, Natura 2000).

Presence of particularly sensitive or tolerant species should inform on the condition of the benthic community (D 6.2) However, Zettler *et al.* (2013) recently demonstrated that the use of static **indicator species**, in which species are expected to have a similar sensitivity or tolerance to either natural or human-induced stressors, does not account for possible shifts in tolerance along natural environmental gradients and between biogeographic regions. Their indicative value may therefore be considered at least questionable.

Risk Analysis		Habitats Sensitivity		
Impact Indicator			NATURA 1110.2	NATURA 1110.3
Dredging Intensity	Recovery rate	Sandy gravels with epifauna	Gravelly sands with <i>Amphioxus</i>	Medium sands with <i>Ophelia</i>
High	> 10 years	High	High	Medium
Medium	1-10 years	High	Medium	Low
Low	< 1 year	Medium	Low	Negligible

**Table3 : Risk analysis of marine aggregate extractions for the main types of sea beds exploited on the French littoral (Poseidon matrix). (In Desprez, 2011)**

The level of pressure on habitats and species will be different depending on the nature of the impact related to extraction. The following table details the impact level observed in Dieppe (Desprez, 2011) on the different habitats and species identified in the major international conventions that regulate the management of the activities and the protection of the marine ecosystem.

Sensitivity to extraction		<i>Pressure Levels</i>				
Indicators of impact		High	Mean	Low	Negligible	Positive
OSPAR species	Cod	T	D			E (zoning)

	Rays			E / T	D	
OSPAR habitats	Sabellaria reefs	E			T	D
	Maerl banks	E / T / D				
	Hard substrates with Modiolus	E / D		T		
ICES habitats	Spawning areas	E / T / D				
	Nurseries	E / D			T	
	Shell beds	E	D		T	
NATURA 2000	1110.2 (gravelly sands)		E / T / D			
	1110.3 (medium sands)			E / T	D	

**Table 2: Sensitivity of key-species and habitats (identified by international conventions) to various levels of impact of marine aggregate extraction (E=Extraction; T=Turbidity; D=Deposition) in Dieppe. (in Desprez, 2011)**

(iv) Multi-metric indices assessing benthic community condition and functionality, such as species diversity and richness, proportion of opportunistic to sensitive species.

Ware *et al.* (2009) provided options for aggregate indicators based on impacts to the physical and biological environment, including the percentage of silt/sand and gravel and benthic indices such as diversity and biomass (van Hoey *et al.*, 2007, 2010). The ability of both the Infaunal Quality Index and M-AMBI cannot be supported in inshore gravel currently (Fitch *et al.*, 2014)

Other indicators such as biological traits of benthic community (Bremner *et al.*, 2006ab, 2008), habitat heterogeneity (Hewitt *et al.*, 2008) and functional diversity (Törnroos *et al.*, 2014) have also been proposed. Functional indices may provide a more detailed assessment of the benthic communities than structural ones, but the overall outcome is broadly similar for both types of indices; this suggests measurement of functional indices may be unnecessary for routine monitoring purposes (Culhane *et al.*, 2014; Strong *et al.*, 2015), although they may have value in revealing more specific aspects of change in a system.

Metrics which are closely associated with species number and density of individuals scored highest in terms of sensitivity in relation to aggregate extraction impacts and such

observations largely support similar findings in the literature relating to a variety of activities that typically result in physical impacts on the seafloor and its associated fauna (Ware *et al.*, 2009, 2010). A Benthic Ecosystem Quality Index (BEQI) was developed by Van Hoey *et al.* (2007) for the monitoring of windfarms, maintenance dredging deposits and aggregate extraction on the Belgian Continental Shelf (De Backer *et al.*, 2014). However, while some indicators are used to a certain extent already, there is further work to be done for indicators to be used as method to assess the physical impacts of aggregate extraction (Schleuter *et al.*, 2010; Fitch *et al.*, 2014).

The relative lack of sensitivity of traditional indices (AMBI, M-AMBI, ITI and BENTIX) may be attributed to their dependence on species responses to organic enrichment (Ware *et al.*, 2009; Targusi *et al.*, 2014), an impact not routinely associated with aggregate extraction activities, rather than physical perturbation (Salas *et al.*, 2006). The ability of both the Infaunal Quality Index and M-AMBI cannot be supported in inshore gravel currently (Fitch *et al.*, 2014).

Impact indicators for major drivers of marine biodiversity loss are currently lacking (Woods *et al.*, 2016). With increased knowledge and understanding about the strengths and weaknesses of competing index approaches, the field needs to unify approaches that provide managers with the simple answers they need to use ecological condition information effectively and efficiently (Borja *et al.*, 2009, 2010a).

Indicators that show the ecosystem response to human pressures form the basis of the tool kit with which we can describe environmental status (Borja *et al.*, 2016).

For Green (2011), indices are appealing because they can be used to reduce complex data to single numbers, which seem easy to understand. But that is not biological or environmental reality, which is rarely 1- dimensional. At best reduction to an index means loss of information. In summary, avoid using indices because of information loss and the likelihood that their use will lead to misleading conclusions. He concludes that if you absolutely must use indices for some non-scientific reason, it is better to use them together with other statistical methods that retain more of the information in the biological data set.

### Structure & Function

Understanding the role of biodiversity in maintaining ecosystems functionality is the main challenge in marine ecosystem ecology identified by Borja (2014). Theoretically, a higher number of functional group types will provide higher functional biodiversity organization to the system and contribute to more stable and resilient ecosystems (Tomimatsu *et al.*, 2013).

The study of the ecological function of biodiversity (Loreau *et al.*, 2001; Bremner *et al.*, 2003, 2006ab, 2008; Duffy *et al.*, 2007; Cooper *et al.*, 2008; Mouillot *et al.*, 2013) is very recent but has been recognized to have fundamental implications for predicting the consequences of biodiversity loss on ecosystem function, i.e. translate structural biodiversity measures into functional diversity to generate better Biodiversity–Ecosystem Functioning relationships (Strong *et al.*, 2015).

Theoretically, a higher number of functional group types will provide higher functional



biodiversity organization to the system, and thus, contribute to more stable and resilient ecosystems (Borja *et al.*, 2009; Cusson *et al.*, 2014). However, Törnroos *et al.* (2014) observed that a decrease in taxon richness was leading to an overall reduction in function, but functional richness was remaining comparatively high even at the lowest level of taxon richness. It was confirming that a potential for species substitutions was existing to maintain ecological functioning in marine benthic systems (Frid, 2011). Frid and Caswell (2014) showed evidence, during some periods, for changes in functioning linked to changes in several (key or rivet) taxa, whereas during other periods, resilience maintained functioning in the face of taxonomic change. Clare *et al.* (2015) confirmed that ecological functioning (trait composition) was statistically indistinguishable across periods that differed significantly in taxonomic composition.

Habitat variation as a driver of functional composition and diversity suggests that habitat heterogeneity should be explicitly included within studies trying to predict the effects of species loss on ecosystem function. Between-habitat differences in functional traits are driven by differences in organisms densities rather than presence/absence of individual traits, emphasising the importance of density shifts in driving function (Hewitt *et al.*, 2008)

(v) & (vi) are not considered during monitoring programmes

#### Impact & natural variability

Ecological and environmental variability in natural ecosystems precludes the widespread use of simplistic design and analysis tools to detect the effects of human activities on natural ecosystems (Frid, 2011; Frid and Caswell, 2014; Clare *et al.*, 2015). Scale is one of the most important concepts in impact assessment (Hewitt *et al.*, 2001). As spatial or temporal scale increases, both the number of processes and their importance in influencing local populations and communities will change, increasing the variability encompassed by the study.

The implementation of the ecosystem approach means there is a need to monitor an increased range of environmental conditions and ecological components in the marine environment. Kupschus *et al.* (2016) propose a more integrated approach based on ecosystem processes, which has significant advantages over the coordinated approach that uses ecosystem states independently and focuses on maximizing precision of each indicator. This process-based integrated monitoring is essential for the ecosystem approach, the focus on ecosystem processes providing the essential elements for future proof efficient management.

#### Recovery

The recovery time is strongly related to environmental characteristics (Woods *et al.*, 2016).

The prime role of hydrodynamics was observed around UK (Foden *et al.*, 2009, 2010) where 96% of extraction activity occurs in sand or coarse sediment; the mean period of biological recovery is 8.7 years in deeper target coarse sediments with moderate tidal

stress while shallow coarse sediments with weak tidal stress have a longer period (10.75 years).

Clean sand communities, adapted to high energy environments, have the most rapid recovery rate following disturbance (Dernie *et al.*, 2003; Foden *et al.*, 2009; Coates *et al.*, 2014); Simonini *et al.* (2007) observed the end of the recovery phase (structure and community composition) after 30 months in sand bottoms where dredging operations did not change the physical characteristics of the sediment, although complete defaunation at the dredged site.

To minimise recovery times following the cessation of dredging, it may be preferential to grant new aggregate extraction licences in sites of high natural disturbance where the macrofaunal communities present are less sensitive to the physical impacts caused by dredging (Cooper *et al.*, 2011a).

Extraction intensity may also influence the rate of recovery (Boyd *et al.*, 2003, 2004; Thrush *et al.*, 2008; Birchenough *et al.*, 2010; Wan Hussin *et al.*, 2012; Wayne-Barker *et al.*, 2015) with times of 7 years at low dredging intensity (< 1h/ha) and up to 15 years after cessation of high dredging intensity (> 10h/ha).

Unless the physical conditions can first be restored, impacted sites may not fully recover the pristine biological community (Cooper *et al.*, 2010). Fifteen years after cessation of extraction in Dieppe, pebble crests and their associated benthic and fish communities are still present in a natural environment of coarse sands (Desprez *et al.*, 2014); this situation is similar to that of wind farms introducing artificial hard substrates in sandy sediments of the North Sea (De Troch *et al.*, 2013; Wehkamp and Fischer, 2013; Vandendriessche *et al.*, 2014; Stenberg *et al.*, 2015), with a highly species-specific attraction effect of fish (adequate refuge in combination with additional food resources).

The attainment of a functioning ecosystem is more important and more relevant to the definitions of recovery than merely achieving the presence of structural features (e.g. species presence) (Verdonschot *et al.*, 2012). The rate of stabilisation and recovery of ecological functioning appears to depend on environmental context, but can be of the order of 5-10 years in marine benthos (Coates *et al.*, 2014).

Physical disturbances of the seabed by fishing gears (trawling and dredging) can result in permanent community changes when the frequency and extent of disturbance outstrips the recovery potential (Thrush *et al.*, 2008). For marine aggregate extraction, if exact values of acceptable limits for disturbance have yet to be developed (Cooper *et al.*, 2010), different functional metrics, used to investigate the rate of recovery in ecosystem function after dredging, indicated that the disturbed area was capable of full recovery given enough time: one or two years at a low dredging intensity site, 2-4 years after short intensive dredging events (Kenny *et al.*, 1998; Sarda *et al.*, 2000; Van Dalfsen *et al.*, 2000; Van Dalfsen and Essink, 2001); these time-scales, observed with traditional measures of abundance and biomass (Cooper *et al.*, 2005), reach up to 15 years after a long period of commercial extraction (Wan Hussin *et al.*, 2012; Wayne-Barker *et al.*, 2015). But are there limits beyond which the capacity of impacted habitats to recover is compromised?

After many years of sustained dredging in North Sea, it was seen that even when one of the measured variables departed significantly from an equitable state, the effect did not persist from one year to the next; the potential for short-term partial recovery of the assemblage had not been compromised, at least in terms of abundance and species richness (Barrio-Frojan *et al.*, 2008).

Complete recovery is the return of an ecosystem to its original, pre-disturbance state, whereby the abundance, diversity, structure and functioning of the biological community are the same as prior to the disturbance (Woods *et al.*, 2016). However, system recovery may not require similar biomass, biodiversity or community composition.

Wan Hussin *et al.* (2012) stated that for measuring the recovery of macrofaunal communities after marine aggregate dredging, functional metrics are considered to be complementary to traditional environmental assessments metrics. Analyses suggest that ecological functioning can be sustained in communities undergoing long-term compositional change, as characteristically similar (redundant) taxa exhibit compensatory changes in population densities (Clare *et al.*, 2015).

Good Environmental Status cannot be defined exclusively as “pristine” status, but rather status when impacts of uses are sustainable; therefore, two conditions need to be met (Rice *et al.*, 2012):

- pressure does not hinder the ecosystem components to retain their natural diversity, productivity and dynamic ecological processes;
- recovery from perturbation, such that attributes lie within their range of historical natural variation, must be rapid and secure.

For Borja (2014), recovering ecosystem structure and functioning is a grand challenge; therefore, studies are needed for a deeper knowledge of recovery processes (Borja *et al.*, 2010), and for promoting ecological restoration to repair damaged ecosystems.

### Restoration

Few studies provide evidence of how ecological knowledge might enhance restoration success (Cooper, 2011b, 2012), as well as any possible modes of intervention to remedy any critical damage caused (Collins and Mallinson, 2007; de Jong *et al.*, 2014, 2016).

Effects mostly occur only in short-term and at local scale, the organism group(s) selected to assess recovery does not always provide the most appropriate response, the time lags of recovery are highly variable, and most restoration projects incorporate restoration of abiotic conditions and do not include abiotic extremes and biological processes. Restoration ecology is just emerging as a field in aquatic ecology and is a site, time and organism group-specific activity. It is therefore difficult to generalise. Despite the many studies only few provide evidence of how ecological knowledge might enhance restoration success (Verdonschot *et al.*, 2012).

### Conclusion

With respect to descriptor (6) WGEXT recognises that direct changes to the function and

structure of ecosystems, particularly physical parameters, will occur as a result of the extraction of marine sediments. The exploitation of marine aggregates should preferably take place in naturally unstable bottoms (coarse sand dunes), where benthic communities are poor ( $<5 \text{ g/m}^2$ ), adapted to regular bottom disturbance, and able to rapidly recolonize exploited sites (Cooper *et al.*, 2005).

However, the group are content that in the context of appropriate consent regimes which provide for rigorous environmental assessment and evaluation of each proposal to extract sediment, these impacts may be considered to be within environmentally acceptable limits and therefore not adverse (Cooper *et al.*, 2011a).

WGEXT suggest that in defining “adverse” it should be accepted that direct changes to the physical structure of the seabed will result from the extraction of marine sediments. Defining “adverse” as being no environmental change from existing (pre-dredge) conditions would, in the opinion of the group, be inappropriate and detrimental to the continued ability of member countries to extract marine sediments from their seabed.

**Descriptor 7:** Permanent alteration of hydrographical conditions does not adversely affect marine ecosystems.

Changes in seabed morphology and associated hydrodynamic effects have the potential to **affect adjacent coastlines** (Kortekaas *et al.*, 2010). If dredging is undertaken within the area of sediment movement known as the 'active beach profile' then material can become trapped within depressions caused by dredging, preventing it from moving back onshore during calmer conditions (Brampton and Evans, 1998).

In the North Sea, below the 25 m depth contour, no impacts were observed on wave regime, sediment transport or stability of the coastline. Further onshore, the removal of sediment during marine aggregate extraction may impact sediment transport pathways that replenish the coastline.

In southern Portugal, sand was dredged on the continental shelf for beach nourishment and a research project (SANDEX) accompanied its physical effect on the seabed and coastline. Around 370,000 m<sup>3</sup> of sand were extracted leaving a sandpit with approximately a rectangular shape with 900 m length and 150 m width, located 4000 m away from the shore at depths between 15-20 m, with average depth of the excavation around 5 m (Gonçalves *et al.*, 2014). Numerical modelling showed that the tidal flow and the orbital wave velocities within the pit and neighbouring areas were modified by the presence of the pit. The excavation influenced the tidal flow in an area of approximately 3000 \* 3000 m<sup>2</sup> around it. In that area the maximum velocity increase was 2%, occurring in the nearby surroundings of the pit, and the maximum decrease was 16%, in the pit deepest zone. The orbital velocities for the storm wave conditions showed a decrease of 15% within the pit and its influence extended up to the 4 m contour, not reaching the shore (Lopes *et al.*, 2009). Bathymetric analysis between May 2006 and November 2008 showed an accretion of sediments of around 60,000m<sup>3</sup> which would put the recovery time of excavation to a value about 24 years, very similar to modelling results. Phillips (2008) investigated South Wales areas where critical beach loss has been associated with dredging activities; five years of beach monitoring did not find a qualitative or

quantitative link between marine aggregate dredging and beach erosion; natural changes, such as changing wind direction and increased easterly storms were most significant in affecting beach formation processes.

The removal of a significant thickness of sediment results in a localised drop in current strength associated with the increase in water depth. This reduced strength of the bottom current can cause the deposition of fine sediments within the dredged depressions from overflow discharges (Duclos *et al.*, 2013; Krause *et al.*, 2010) and/or from natural sediment transport (Desprez, 2000; Cooper *et al.*, 2007 and Le Bot *et al.*, 2010). For the seaward harbour extension of the Port of Rotterdam, large-scale sand extractions, down to 20m below the seabed, generated a strong increase of the fraction of fine muddy sands in the troughs and deepest areas of the extraction site (de Jong *et al.*, 2014).

### **Conclusion**

In general and in relative terms, the dimensions of dredged pits are so small that the deepened area has little influence on the macroscale current pattern. Furthermore, it was concluded that, in most cases, the current pattern would only be changed in the direct vicinity of the dredged area.

### **Descriptor 8: Contaminants**

In an extraction site located near the mouth of the River Seine estuary, Ifremer studied the effect of marine aggregate extraction on water quality due to the potential remobilisation of contaminants from sediments (Menet-Nedelec *et al.*, 2015). The main results of this study were as follows:

- among contaminants associated to the turbid plume, only trace metals could be quantified;
- desorption in the dissolved phase concerned a very low fraction of these trace metals;
- concentrations in trace metals in both particulate and dissolved phases were back to the pre-dredge concentrations one hour after the end of extraction activity; the chemical impact was temporary and not lasting longer than the turbid plume;

This study concluded there was no need for a long-term monitoring (period covered by the mining license) of the water quality.

Menet-Nedelec, F., Chiffolleau, J.F., Riou, P., Maheux, F., Pierre-Duplessix, O., Rabiller, E., and Simon, B. 2015. Etat chimique des sédiments et influence d'une extraction de granulats sur l'état chimique de l'eau de mer dans le cadre du PER GMH – Etude SCOOTER. Rapport Ifremer. 49 pp.

**Descriptor 11:** Introduction of energy, including underwater noise, is at levels that do not adversely affect the marine environment

The attention to underwater sound in relation to dredging and sediment extraction is increasing during the last years, as sound is utilized by many marine organisms to sense the environment around them and find prey. Consequently, an increase in anthropogenic low-frequency noise, such as that produced by dredging (Dreschler *et al.*, 2009; Robinson *et al.*, 2011), has the potential to cause adverse effects.

The value of 200 kHz for sonar sources is an accepted threshold (D 11.2)

The extent to which effects disseminate through the food-web to marine mammals is unknown, but speculated effects are given, based on available data.

Extensive variability exists between hearing sensitivity of **fish** species, but in general, they are sensitive to low frequencies (Popper and Fay, 2011), which puts them at risk from dredging noise. No study has looked at dredging noise specifically, but avoidance of low-frequency vessel noise by some fish species has been reported (de Robertis and Handegard, 2013) and Handegard *et al.*, (2003) noted vertical and horizontal avoidance by cod (*Gadus morhua*) of a bottom-trawling vessel. Dredging noise is unlikely to result in direct mortality, or permanent hearing damage of fish, but long-term exposure could theoretically affect fitness of some individuals.

Responses to particle motion of low-frequency sound have also been **recorded in cephalopods** (Mooney *et al.*, 2010), which can form an important part of the diet of some marine mammals. Low-frequency noise in the 1 Hz-10 kHz band altered cephalopod breathing rhythms and movement.

Dredging has the potential to impact **marine mammals**, but effects are species and location-specific, varying also with dredging equipment type. In general, evidence suggests that if management procedures are implemented, effects are most likely to be masking and short-term behavioural alterations and changes to prey availability (Todd *et al.*, 2015). Exclusion of prey from foraging areas has potential to impact marine mammals negatively, but extent to which this occurs depends on the significance of the feeding ground, ability to switch prey species, and availability of alternative foraging areas. The level of effect is therefore species-dependent and context-dependent.

The sound level radiated by a dredger undertaking full dredging activities is in line with the one expected for a cargo shipping travelling at moderate speed (de Robertis and Handegard, 2013; Robinson *et al.*, 2011).

However, extracting gravel does cause additional noise impact (Dreschler *et al.*, 2009; Robinson *et al.*, 2011). In the UK, underwater noise from aggregate extraction has been largely discounted as a significant impact. Similarly, in the Netherlands, the noise levels from dredgers were not in the top seven major underwater sound sources (Ainslie *et al.*, 2009).

During the reclamation works for the enlargement of the harbor of Rotterdam, a monitoring program on underwater sound measured the noise from a large range of trailer suction hopper dredgers (in power and in volume, 2000 to 22000 m<sup>3</sup>); for all frequencies, the noise of dredging and dumping was less than the noise of transit (Heinis, 2013).

## Conclusion

With respect to this descriptor, WGEXT recognises that extraction of marine sediment does generate underwater noise; however, aggregate extraction is only contributing to the noise of shipping and introduces no negative effects from the extraction itself.

## DISCUSSION

A method for assessing the vulnerability of marine ecosystems to various anthropogenic threats by impact categories has been proposed by Halpern *et al.* (2008); by decreasing order of perturbation, invasive species, pollution, management, toxic blooms, demersal fisheries (Blyth *et al.*, 2004; Lambert *et al.*, 2014) and the phenomena of hypoxia have a higher impact than extraction of marine aggregates.

### Prevention

Assessments should take account of the 2003 “ICES Guidelines for the Management of Marine Sediment Extraction”, as adopted by OSPAR, which provide for the adoption of appropriate extraction site locations, and implementation of mitigation and monitoring programmes:

- encouraging an ecosystem approach to the management of extraction activities and the identification of areas suitable for extraction.
- protecting sensitive areas and important habitats (such as marine conservation areas) and industries (including fisheries), and the interests of other legitimate uses of the sea.
- ensuring that methods of extraction minimize the adverse effects on the environment and preserve the overall quality of the environment once extraction has ceased.

### Impact:

Monitoring programs (effort and quality) have to provide sufficient information to allow a confident assessment of GES (van Hoey *et al.*, 2010). But there is a need to consider that the geographical scale at which the MSFD operates is much larger than single project assessments.

Because extraction activity is often taking place in a relative small area and often only for a limited amount of time, the spatial and temporal components of the activity and related pressures and impact are limited (ICES, 2016). For licensing, the level of detail of information needed is much larger to make any sense in terms of a time and spatial adequate assessment to fulfil MSFD requirements.

The appropriate scale at which measures are taken is likely to be a key issue for various descriptors and the cost of the monitoring must consequently also be taken in account (Borja and Elliott, 2013).

### Recovery

The possibility of recovery after sediment extraction should be acknowledged by incorporate it in the criteria and by taken it into account with the assessment of the Good Environmental Status.

It is important to realize that biological/ecological recovery can be reached without recovery of the physical state. Even in the case of permanent loss of the original morphological state of the seafloor the benthic fauna can recover and the structure and functions of the ecosystems can be safeguarded and benthic ecosystems not adversely affected.

The time scale on which a specific activity and pressure and impact should be assessed is an issue that needs to be looked into. Nature itself is continuously changing and trends, whether or not human induced, are not easy to include (ICES, 2016).

### Mitigation:

To enable sustainable use of marine resources (Birchenough *et al.*, 2010), there is a clear need for enforcing management measures such as:

- seasonal closures for specific areas (i.e. during recruitment seasons),

Such seasonal restrictions exist in a few countries (UK, France, Finland) to protect spawning periods of vulnerable fish species such as herring during winter or sole during spring (ICES, 2017)

- rotation of dredging intensity to allow recolonization and recovery of macrobenthos,

In a local context, controlling the area and intensity of dredging and allowing undisturbed deposits to act as refuges between dredged furrows may be an effective measure for enhancing the rehabilitation of the seabed. There may also be environmental benefits from rotating dredging operations across different zones and leaving “fallow” areas to rehabilitate for several years before reworking. Future case studies are needed on the consequences of marine aggregate extraction on marine biota over sufficiently long time-scales to underpin the derivation of reliable and scientifically credible models (Barry *et al.*, 2010).

- exploratory restoration techniques in areas where the seabed has been impoverished as a result of extraction activities.
- prevention of screening

### Restoration and Landscaping

In the Netherlands an experiment was done to deliberately change the topography within a dredging site with the aim of creating another habitat type which potentially could result in a different species composition (van Dalssen *et al.* 2004; van Dalssen & Aarninkhof 2009; de Jong *et al.* 2014, 2015, 2016).

To bring forward the interpretation of GES Descriptors from the point of view of



sediment extraction, the concept of switching to an approach based on functionality and recoverability should not be lost for future work, as stated in the Advice of ICES. Studies are needed for a deeper knowledge of recovery processes in structure and function through time and for promoting ecological restoration to repair damaged ecosystems (Borja *et al.*, 2010).

### Gaps

This review also aims to highlight gaps to expand on the current knowledge to fulfill MSFD requirements.

D 1: requirement of high-resolution maps of habitat types (Woods *et al.*, 2016)

    Limitation of taxonomic coverage (Woods *et al.*, 2016)

D 3: mapping of spawning areas (ICES, 2011)

D 4.2: proportion of selected species at the top of food webs

D 431: abundance/distribution of groups with fast turnover

Lack of primary production indicators.

D 6.2: size composition of a community reflected by the proportion of small and large individuals

D 6.2.3: proportion of biomass or number of individuals in the macrobenthos above some specified length/size

D 6.2.4: parameters describing the characteristics of the size spectrum of the benthic community

D 7: Permanent alterations of hydrographical conditions

### Limits of MSFD descriptors

The European Marine Strategy Framework Directive aims at good environmental status (GES) in marine waters, following an ecosystem-based approach, focused on 11 descriptors related to ecosystem features, human drivers and pressures. Furthermore, 29 subordinate criteria and 56 attributes are detailed in an EU Commission Decision. The analysis of the Decision and the associated operational indicators revealed ambiguity in the use of terms, such as indicator, impact and habitat and considerable overlap of indicators assigned to various descriptors and criteria. We suggest re-arrangement and elimination of redundant criteria and attributes avoiding double counting in the subsequent indicator synthesis, a clear distinction between pressure and state descriptors and addition of criteria on ecosystem services and functioning (Berg *et al.*, 2015).

Berg, T., Furhaupter, K., Teixeira, H, Laura Uusitalo, L., and Zampoukas, N. 2015. The Marine Strategy Framework Directive and the ecosystem-based approach – pitfalls and solutions. *Marine Pollution Bulletin*, 96: 18-28.

## CONCLUSION

This review of existing research (172 references) is providing information on research aspects related to various effects of marine aggregate extraction on the marine environment, and the connection with criteria for its Good Environmental Status, which are relevant to several descriptors of the MSFD, as summarized in the following tables:

MSFD Descriptors	Number of references contributing to descriptors knowledge
D1: Biological diversity	46
D3: Fish resources	12
D4: Marine foodwebs	18
D6: Seafloor integrity	111
D7: Hydrographical conditions	12
D8: Contaminants	1
D11: Underwater noise	11

## APPENDIX

During the Annual meeting of 2014 it was decided to focus on the direct effects of marine sediment extraction (on descriptors 6, 7 and 11), but attention will also be placed on descriptors 1, 3 and 4. An inventory is made in several documents about the Marine Strategy Framework Directive (MSFD) on the incorporation of extraction as a human impact factor and in what way it is mentioned. In Annex III of the MSFD extraction of minerals (rock, metal ores, gravel, sand, shell) is mentioned as a human activity affecting the marine environment (EC, 2016c). Often the descriptors 1 (biodiversity), 3 (commercial fish and fisheries products), 4 (food webs) and 6 (seabed integrity) are combined into one integrated descriptor: 'marine ecosystem' (I&E and EA, 2015). In documents on D1, D3 and D4 marine sediment extraction is mostly not directly mentioned as a pressure. For D6 it is clearer that marine sediment extraction can influence the integrity of the sea-floor. That can also be the case for altering of hydrographical conditions (D7). As a sound producing activity, dredging can influence D11 as well.

### GES Descriptor 1 Biodiversity

#### Descriptor 1

*The quality and occurrence of habitats and the distribution and abundance of species are in line with prevailing physiographic, geographic and climatic conditions.*

The most important criteria for species are already formulated in the Habitat Directive, but in draft 4 of the Proposal for a Commission Decision on GES Criteria (EC, 2016b) the extra criteria under MSFD are formulated:

- D1C1: Species distributional range and, where relevant, patterns is in line with prevailing physiographic, geographic and climatic conditions
- D1C2: Population abundance (numbers and/or biomass) of the species is not adversely affected due to anthropogenic pressures, such that its long-term viability is ensured
- D1C3: population demographic [and physiological] characteristics (e.g. body size or age class structure, sex ratio, fecundity, survival and mortality rates) of the species are indicative of a natural population which is not adversely affected due to anthropogenic pressures
- D1C4: the habitat for the species has the necessary extent and condition to support the different stages in the life history of the species
- D1C5: The condition of the habitat type, including its biotic (typical species composition and their relative abundance) and abiotic structure, and its functions, is not adversely affected.

The interconnection between Descriptor 1 and Descriptor 6 is showed by almost the same wording for D1C5 (for pelagic species) and D6C5 (for benthic species).

In EC (2015a) pressures are not indicated, but it is mentioned that there are strong links with descriptors that do indicate pressures like D6 and D7.

Also in later documents, e.g. EC (2016b) the link between D1, D4 and D6 is present.

With respect to descriptor (1) WGEXT recognises that extraction of marine aggregates can potentially be a serious threat to biodiversity when exploitation projects affect gravelly areas either of small size or under-represented in the geographical area (loss of habitat).

The ICES Guidelines for the Management of Marine Sediment Extraction (2003), as adopted by OSPAR, provide for the adoption of appropriate extraction site locations, with the aim to prevent any harmful effect on habitats of prime importance.

### GES Descriptor 3 Commercial fish and shellfish resources

#### Descriptor 3

*Their populations are within safe biological limits indicative of a healthy stock*

WGEXT recognises that extraction of marine aggregates can potentially be a serious threat to commercial fish species when functional impacts can affect sensible and threatened species (e.g. through loss of spawning areas) but the ICES Guidelines for the Man-

agement of Marine Sediment Extraction (2003) and a limited spatial extent of extraction sites should prevent any deleterious effects on these commercial resources.

#### GES Descriptor 4 Food Webs

##### Descriptor 4

*All elements of the marine food webs, to the extent that they are known, occur at normal abundance and diversity and levels capable of ensuring the long-term abundance of the species and the retention of their full reproductive capacity.*

In draft 4 of the Proposal for a Commission Decision on GES Criteria (EC, 2016b) four criteria related to anthropogenic pressures are mentioned. They are focussed on:

- D4C1: species distribution and their relative abundance (diversity) of the trophic guild
- D4C2: abundance (numbers or biomass) across trophic guilds
- D4C3: size distribution of individuals across relevant species of the trophic guild
- D4C4: productivity of the trophic guild.

In the ICES Special Request Advice (20/03/2015) (ICES, 2015) on the EU request on revisions to MSFD manuals for D3, 4 and 6, it is mentioned that only a few EU-countries mention pressures of food web components, in particular fisheries. Extraction as such is not mentioned.

But physical disturbance of the habitat and (benthic) fauna is currently the most determining factor for the status of the marine ecosystem and therefore also decisive for the functioning of food webs (I&E and EA, 2015).

Functionally, the qualitative and quantitative depletion of benthic communities may affect the higher trophic levels (e.g. fish & birds), as the increase in extraction surface in a given geographical area leads to the loss of habitat and potential food web (Birklund & Wijsman, 2005). Several fish species are more or less closely related to the bottom by their way of feeding. Functionally, the qualitative and quantitative depletion of benthic communities may affect the higher trophic levels (e.g. fish & birds), as the increase in extraction surface in a given geographical area leads to the loss of habitat and potential food web (Birklund & Wijsman, 2005). Several fish species are more or less closely related to the bottom by their way of feeding. Predicting the disturbance of mobile fish species is particularly difficult as there are few studies that have directly investigated disturbance in relation to marine aggregate extraction, or suggested that significant impact will occur (Stelzenmüller *et al.*, 2010). Given the natural levels of trophic adaptability observed by Pearce (2008), a change in dietary composition may not be damaging to the fish population as the majority of species studied were likely to switch prey sources, providing sufficient biomass was available to support them.

A study by Kenny *et al.* (2010) looked at the long term trends of the ecological status of the east coast aggregate producing region, which included consideration of fish stocks. This study noted that long term trends appear to be dominated by wider factors that

govern trends at the North Sea scale, as declining fish stocks were observed in both the North Sea and east coast aggregate producing region.

With respect to descriptor 4, direct and indirect effects of marine aggregate extraction are proportional to the size of dredging areas, with “limiting” factors like the trophic adaptability of fish and bird species and their mobility to avoid disturbed areas, or the tolerance of marine mammals for turbidity.

#### GES Descriptor 6 Sea-floor Integrity

##### Descriptor 6

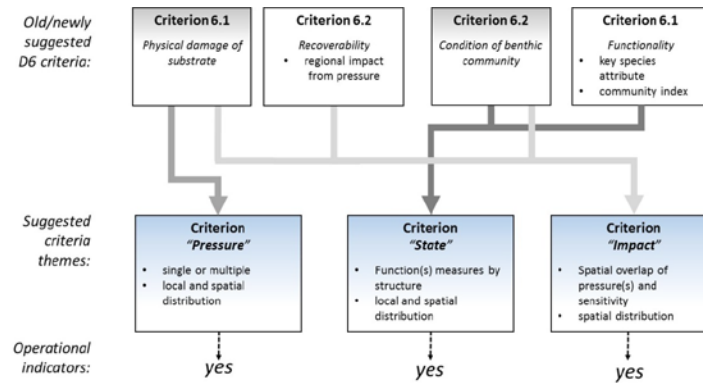
*Sea-floor integrity is at a level that ensures that the structure and functions of the ecosystems are safeguarded and benthic ecosystems, in particular, are not adversely affected.*

The opinion of WGEXT is formulated in Annual Reports 2011 and 2012: WGEXT suggest that in defining ‘adverse’ it should be accepted that direct changes to the physical structure of the seabed will result from the extraction of marine sediments. Defining ‘adverse’ as being no environmental change from the existing (pre-dredge) conditions would, in the opinion of the group, be inappropriate and detrimental to the continued ability of member countries to extract marine sediments from their seabed.

The later discussions and conclusions in e.g. ICES workshops on D6 were in line with this approach.

Regarding Descriptor 6 an ICES workshop was held in February 2015 (ICES ACOM Committee, 2015). Aggregate extraction is mentioned as one of the pressures that are causing physical habitat loss and damage and can influence the integrity of the seafloor. To judge the pressures spatial and time-scales are crucial. Mostly physical damage is mentioned as the main pressure, but it was put forward to integrate physio-chemical disturbances (e.g. anoxic seafloors in the Baltic Sea).

The main topic was the incorporation of the newly proposed criteria ‘Functionality’ and ‘Recoverability’ in combination with the existing criteria ‘Physical damage’ and ‘Benthic conditions’ in D6. It was proposed to adopt a concept including three criteria themes (i.e. pressure, state and impact) linked with the existing and newly suggested criteria (figure 1).



**Figure 13.1. Conceptual diagram illustrating how work under both the old (2010) and the newly suggested (2014) criteria can be merged for a conceptually stronger assessment and use of existing indicators/data to measure progress towards GES for seafloor integrity (ICES ACOM, 2015).**

From the point of view of marine sediment extraction this is a good approach. Even when the benthos is completely removed, total recovery by recolonization is possible. Therefore the criteria theme 'recovery' is important for marine sediment extraction.

The idea to incorporate recovery in the formulation of criteria has not survived so far. In the document on Progress on art.8 MSFD assessment guidance (EC, 2016a) three criteria are mentioned:

- D6C1: Spatial extent and distribution of physical disturbance
- D6C2: Spatial extent of adverse effect of physical disturbance per habitat type
- D6C3: Spatial extent and distribution of physical loss.

Only the second one gives room for the acknowledgement of recovery.

In draft 4 of the Proposal for a Commission Decision on GES Criteria (EC, 2016b) the formulation and numbering are slightly different:

- D6C1: Spatial extent and distribution of physical loss (permanent change) of the natural seabed.
- D6C2: Spatial extent and distribution of physical disturbance pressures affecting the seabed.
- D6C3: Spatial extent of each habitat type which is adversely affected by physical disturbance through change in its structure and function (species composition and their relative abundance, absence of particularly sensitive or fragile species or species providing a key function, size structure of species). The areas must be expressed as a proportion (%) of the total area (D6C1, D6C2) or as proportion (%) per habitat type (D6C3).

In this proposal physical loss is regarded as a permanent change to the seabed which has or is expected to last for a period of two reporting cycles (12 years) or more. This seems to give room for recovery, but it should be mentioned that biological/ecological recovery can be reached without recovery of the physical state.

In the Proposal for a Commission Decision (EC, 2016b) two extra criteria about benthic habitats are mentioned that are related to both D1 and D6.

- D6C4: The extent of loss of the habitat type, resulting from anthropogenic pressures, does not exceed a specified proportion of the natural extent of the habitat type in the assessment area. In cases where the loss exceeded this value in the reference year baseline used for the Initial Assessment in 2012, there shall be no further loss of the habitat type.

- D6C5: The condition of the habitat type, including its biotic (typical species composition and their relative abundance, absence of particularly sensitive or fragile species or species providing a key function, size structure of species) and abiotic structure, and its functions, is not adversely affected.

Although the formulation of this last two criteria, especially D6C5, sound more like descriptors the idea is to operationalise these criteria by setting values for the proportion (in %) for the extent of loss and thresholds for the condition of habitats.

In the *ICES Special Request Advice (20/03/2015)* (ICES, 2015) on the EU request on revisions to MSFD manuals for D3,4 and 6 three actions are proposed:

- Develop and test standards for human pressure on benthic habitats.
- Address the role of scale and connectivity in setting boundaries for the sea-floor.
- Assessment of recoverability of sea-floor integrity.

Workshops are planned for 2017 and 2018. It is important that WGEXT join the discussions on this subject, especially because in the Advice is stated that the concept of switching to an approach based on functionality and recoverability should not be lost for future work.

With respect to descriptor (6) WGEXT recognises that direct changes to the function and structure of ecosystems, particularly physical parameters, will occur as a result of the extraction of marine sediments. The exploitation of marine aggregates should preferably take place in naturally unstable bottoms (coarse sand dunes), where benthic communities are poor (<5 g/m<sup>2</sup>), adapted to regular bottom disturbance, and able to rapidly recolonize exploited sites (Cooper *et al.*, 2005).

However, the group are content that in the context of appropriate consent regimes which provide for rigorous environmental assessment and evaluation of each proposal to extract sediment, these impacts may be considered to be within environmentally acceptable limits and therefore not adverse (Cooper *et al.*, 2011a).

## GES Descriptor 7 Hydrographical Conditions

### Descriptor 7

*Permanent alteration of hydrographical conditions does not adversely affect marine ecosystems.*

In draft 4 of the Proposal for a Commission Decision on GES Criteria (EC, 2016b) the criteria are formulated:

- D7C1: Spatial extent and distribution of hydrographical conditions (e.g. changes in wave action, currents, salinity, temperature, oxygen) to the seabed and water column, associated in particular with physical losses (permanent changes) to the seabed.

- D7C2: Spatial extent of each benthic habitat type adversely affected (physical and hydrological characteristics and associated biological communities) due to permanent alteration of hydrographical conditions.

In EC (2015b) changes of the morphology of the seabed is mentioned as one of the pressures. Sediment extraction will, at least temporarily, change the morphology. An important point is the spatial and temporal scale of this change and the scale of its effects. The document also mentions the ICES Guidelines on marine sediment extraction (OSPAR, 2003).

D7 is a pressure descriptor that focusses on the permanently altered hydrographical conditions. The pressure is change in morphology of the seabed/coast or change in habitat (e.g. from sediment to hard substrate) (EC, 2015c). In this sense marine sediment extraction can be a pressure for D7, especially when it is a large scale extraction or an extraction in a specific vulnerable area.

Related to D7C2 is the risk of oxygen depletion in case of extractions with a large depth below the seabed and/or in case of very low dynamic waters.

With respect to descriptor 7, WGEXT considered that, in general and in relative terms, the dimensions of dredged pits are so small that the deepened area has little influence on the macroscale current pattern. Furthermore, it was concluded that, in most cases, the current pattern would only be changed in the direct vicinity of the dredged area and that changes in seabed morphology and associated hydrodynamic effects will not affect adjacent coastlines as long as dredging is undertaken outside the area of sediment movement known as the 'active beach profile'.

## GES Descriptor 11 Underwater Noise

### Descriptor 11

*Introduction of energy, including underwater noise, is at levels that do not adversely affect the marine environment.*

In draft 4 of the Proposal for a Commission Decision on GES Criteria (EC, 2016b) the criteria are formulated;

- D11C1: The spatial distribution, temporal extent (number of days and their distribution within a calendar year) and the levels of anthropogenic sound sources do not exceed values that are likely to adversely affect marine animals.

-D11C2: Levels of anthropogenic continuous low-frequency sound in two '1/3-octave bands' do not exceed values that are likely to adversely affect marine animals.

In EC (2015d) shipping and dredging are mentioned as pressures.

The attention to underwater sound in relation to dredging and sediment extraction is increasing during the last years. In the UK a report on underwater noise from marine aggregate extraction is published (Robinson *et al.*, 2011). The Central Dredging Association (CEDA, 2011) pays attention to underwater noise in papers and congresses.



During the reclamation works for the enlargement of the harbour of Rotterdam, the Netherlands, a monitoring program on underwater sound was executed. Measuring the noise from a large range of trailer suction hopper dredgers (in power and in volume, 2000 to 22000 m<sup>3</sup>) showed that for all frequencies the noise of dredging and dumping was less than the noise of transit. The conclusion is that, at least in these area and circumstances, sand extraction is contributing to the noise of shipping, but introduces no negative effects from the extraction and dumping itself (Heinis, 2013).

## Conclusion

Although marine sediment extraction can have influence on the descriptors 1, 4, 6, 7 and 11 the main effects are via descriptor 6 on D1 and D4. Therefore in the discussions about the effects of marine sediment extraction within the MSFD the focus can be on D6. Nevertheless effects on D1 and D4 via D7 and D11 should not be ignored. Also direct effects on D1 and D4 are possible by an increasing amount of fines in the water column due to extraction activities.

The possibility of recovery after sediment extraction should be acknowledged by incorporating it in the criteria and by taking it into account with the assessment of the Good Environmental Status.

It is important to realize that biological/ecological recovery can be reached without recovery of the physical state. Even in the case of permanent loss of the original morphological state of the seafloor the benthic fauna can recover and the structure and functions of the ecosystems can be safeguarded and benthic ecosystems not adversely affected.

To bring forward the interpretation of GES Descriptors from the point of view of sediment extraction, it is important that WGEXT joins the discussions on this subject in the workshops planned for 2017 and 2018, especially because in the Advice of ICES it is stated that the concept of switching to an approach based on functionality and recoverability should not be lost for future work.

Following these workshops and the completion of the article on MSFD (ToR D) the ICES guidelines on Marine Aggregate Extraction can be reviewed.

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CEDA (2011)

Underwater sound in relation to dredging. CEDA Position paper- 7 November 2011, 6p.

EC (2015a)

Template for the review of Decision 2010/477/EU concerning MSFD criteria for assessing good environmental status according to the review technical manual Descriptor 1 (version 4, 08/04/15), 53 p.

EC (2015b)

Template for the review of Decision 2010/477/EU concerning MSFD criteria for assessing good environmental status according to the review technical manual Descriptor 7 (version 6.0, 27/03/15)

EC (2015c)

Review of the Commission Decision 2010/477/EU concerning MSFD criteria for assessing good environmental status. Descriptor 7. JCR Technical Report of MSFD Network on MSFD Descriptor 7, 28 p.

(EC (2015d)

Possible approach to amend Decision 2010/477/EU Descriptor 11; Energy, including underwater noise (version 7.1, 18/03/15), 13p.

EC (2016a)

Progress on art.8 MSFD assessment guidance. GES\_15–2016–02. European Commission, 28p.

EC (2016b)

Proposal for a Commission Decision on GES Criteria draft v4. CTTEE 14–2016–03. European Commission, 38p.

EC (2016c)

Proposal for a Commission Directive replacing of Annex III MSFD draft v5. CTTEE 14–2016–04. European Commission, 7p.

Heinis, F. 2013. Effect monitoring for Maasvlakte 2. Underwater sound during construction and the impact on marine mammals and fish. Maasvlakte Project Organisation, World Port Centre, Rotterdam. 39 pp.

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I&E and EA (2015)

Marine Strategy for the Dutch part of the North Sea 2012–2020. Part 3. MSFD programme of measures. Ministry of Infrastructure and the Environment and Ministry of Economic Affairs, The Hague, The Netherlands. 137 p.

ICES ACOM Committee (2015)

Report of the Workshop on guidance for the review of MSFD decision descriptor 6 - seafloor integrity II (WKCMSFDD6-II). 16–19 February, ICES Headquarters, Denmark

OSPAR (2003)

OSPAR Agreement 03/17/1. ICES Guidelines on marine sediment extraction.

Robinson, S.P., P.D. Theobald, G. Hayman, L.S. Wang, P.A. Lepper, V. Humphry, S. Mumford (2011)

Measurement of underwater noise arising from marine aggregate dredging operations.

Report MALSF MEPF 09/P108, Marine Aggregate Levy Sustainability Fund (MALSF), 144 p.

Impacts on the marine ecosystem which will be developed in separate sections (Table 5.3).

**Table 5.3 Division of ecosystem impacts.**

Potentially influenced MSFD descriptors	Pressures	Impact	Level of contribution of WGEXT guidelines (2003) to MSFD descriptors			
			INTRODUCTION	BASE-LINE	ASSESS-MENT	MITIGA-TION
D1: Biological diversity is maintained: Habitat level 1.6. Physical condition	Seabed removal	Bathymetry & Topography	Yes	Yes		
D3: Commercial fish and shellfish populations are within safe biological limits						Yes
D6: Sea-floor integrity.			-			
6.1. Physical damage, having regard to substrate characteristics				Yes	Yes	Yes
6.2. Condition of benthic community					Yes	
D7: Permanent alteration of hydrographical conditions does not adversely affect marine ecosystems			Yes	Yes	Yes	Yes
D1: Biological diversity is maintained: quality and		Sediment composition			Yes	

occurrence of habitats, and distribution and abundance of species						
<b>D3:</b> Commercial fish and shellfish populations are within safe biological limits					Yes	
<b>D6:</b> Sea-floor integrity						
6.1. Physical damage, having regard to substrate characteristics				Yes	Yes	
<b>D1:</b> Biological diversity is maintained: quality and occurrence of habitats, and distribution and abundance of species		<b>Habitats &amp; communities</b>		Yes	Yes	Yes
<b>D3:</b> Commercial fish and shellfish populations are within safe biological limits			Yes	Yes		Yes
<b>D4:</b> All elements of the marine food webs occur at normal abundance and diversity (functional aspects), 4.3.Abundance /distribution of groups/species targeted by human activi-				Yes	Yes	Yes

ties						
<b>D6:</b> Sea-floor integrity is at a level that ensures that the structure and functions of the ecosystems are safeguarded and benthic ecosystems are not adversely affected.			Yes	Yes	Yes	Yes
6.2.1. Presence of particularly sensitive species			Yes	Yes	Yes	
<b>D3:</b> Commercial fish and shellfish populations are within safe biological limits	<b>Sedi- ment plume</b>	<b>Turbidity</b>			Yes	
<b>D4:</b> All elements of the marine food webs occur at normal abundance and diversity (functional aspects)					Yes	
D6.2.1. Presence of particularly sensitive species				Yes	Yes	Yes
<b>D7:</b> Permanent alteration of hydrographical conditions does not adversely affect marine ecosystems				Yes	Yes	

D8: Contaminants				Yes	Yes	
D1: Biological diversity is maintained: quality and occurrence of habitats, and distribution and abundance of species		<b>Deposition</b>		Yes	Yes	
D3: Commercial fish and shellfish populations are within safe biological limits					Yes	
D4: All elements of the marine food webs occur at normal abundance and diversity (functional aspects)					Yes	
D6: Sea-floor integrity is at a level that ensures that the structure and functions of the ecosystems are safeguarded and benthic ecosystems are not adversely affected. D6.2.1. Presence of particularly sensitive species					Yes	Yes
D8: Contaminants				Yes	Yes	

<p><b>D11:</b> Introduction of energy, including underwater noise, is at levels that do not adversely affect the marine environment</p>	<p><b>Ship activity</b></p>	<p><b>Underwater noise</b></p>	<p>Yes</p>	<p>Yes</p>	<p>Yes</p>	
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This review not only highlights gaps to expand on the current knowledge to fulfill MSFD requirements, but also considers that the geographical scale at which the MSFD operates is much larger than single project assessments. Because extraction is often takes place in a relative small area, and often only for a limited amount of time, the spatial and temporal components of the activity and related pressures and impact are limited.

This ToR is still ongoing. After compilation of the recent literature (2000–2015), redaction of chapters on sediment plumes and ship activities is achieved, but the chapter on seabed removal is still in progress. The article is nearly achieved, but still needs further collaboration within WGEXT before this review can be submitted for publication. The draft of the article is included in this report as Annex 11.

D3. The WGEXT page on the ICES website was reviewed. The text was brief but acceptable. The photograph will be changed, however.

The text on <http://www.ices.dk/community/groups/Pages/WGEXT.aspx> :

WGEXT develops the understanding to ensure that marine sand and gravel extraction is managed in a sustainable manner and that any ecosystem effects are understood in order to adopt mitigation measures where appropriate.

The objective of ICES Working Group on the Effects of Extraction of Marine Sediments on the Marine Ecosystem (WGEXT) is to provide a summary of data on marine sediment extraction, marine resource and habitat mapping, changes to the legal regime, and research projects relevant to the assessment of environmental effects.

Research into the impacts and effects of marine sediment extraction take place across member countries and a mix of national/regional focused and multi-national programmes exist.

New Terms of Reference have been defined on databases and harmonization of data, MSFD, publishing, deep sea mining, cultural and geomorphologic values, thresholds for EIAs, mitigation and a cumulative assessment guidance.

The Cooperative Report is now available on the ICES website in the list of co-operative reports, but not on the WGEXT page. WGEXT will recommend that it be also available on the WGEXT page.

To introduce the new Cooperative Research Report (330) of WGEXT, the following text was written for the ICES website and the press release:

“The report focuses on the field of marine sediment extraction, the removal of sand, gravel, shells, minerals and other sediments from the sea bed for such uses as construction and beach nourishment. This activity has shown a spectacular recent increase in the North Atlantic, including in the Baltic and North seas, with extraction rising from a few hundred thousand cubic metres annually in the early 1970s, to millions in the 1990s, and hundreds of millions in recent years.

In a strict sense, the extraction of marine sediments is not sustainable, because the extracted minerals are lost for the marine system. Taking out these sediments can even have negative effects on the surrounding environment through the removal of seabed organisms, the introduction of a sand blanket in the vicinity, the introduction of high concentrations of suspended matter in the nearby area, and an increase in the level of underwater sound.

Nevertheless, extraction can be sustainable in the sense that the effects on the ecosystem are minimized by mitigation measures beneficial for the recolonization of benthic fauna, ensuring recovery is fulfilled in acceptable time after the extraction.

To ensure mitigation goals are reached, extensive monitoring programmes are carried out in areas such as suspended matter, recolonization, underwater sound, and on effects on other use of the sea and coastal defence.

The CRR was compiled by members of ICES Working Group on the Effects of Extraction of Marine Sediments on the Marine Ecosystem (WGEXT), which develops the understanding to ensure that extraction is managed in a sustainable manner and that any ecosystem effects are understood in order to adopt appropriate mitigation measures.

The report provides an overview on the developments and results of the aforementioned themes across ICES Member Countries between 2005 and 2011.”

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## Annex 7: ToR D: Ensure outputs of the WGEXT are accessible by publishing as a group and creating a webpage on the ICES website

WGEXT organized a session on the Annual Science Conference 2016 in Riga. Among the speakers were several members of WGEXT. The work of WGEXT within ICES was good presented as follows both to ICES and to other organizations.

### ICES Annual Science Conference 2016: Theme session K (Friday 23 September 2016)

*Making marine sediment extraction sustainable by mitigation of related processes with potential negative impacts.* Conveners: Ad Stolk (the Netherlands, Keith Cooper (UK) , Michel Desprez (France)

*Introduction:* Marine sediment extraction in the North Atlantic, including Baltic and North Sea, has shown a spectacular increase from a few hundred thousand m<sup>3</sup> per year in the early 1970s to millions in the 1990s and hundreds of millions m<sup>3</sup> in recent years (fig.1). Of all ICES countries most marine sediment extraction takes place in the Netherlands, The United Kingdom, Denmark, Belgium, France and Germany.

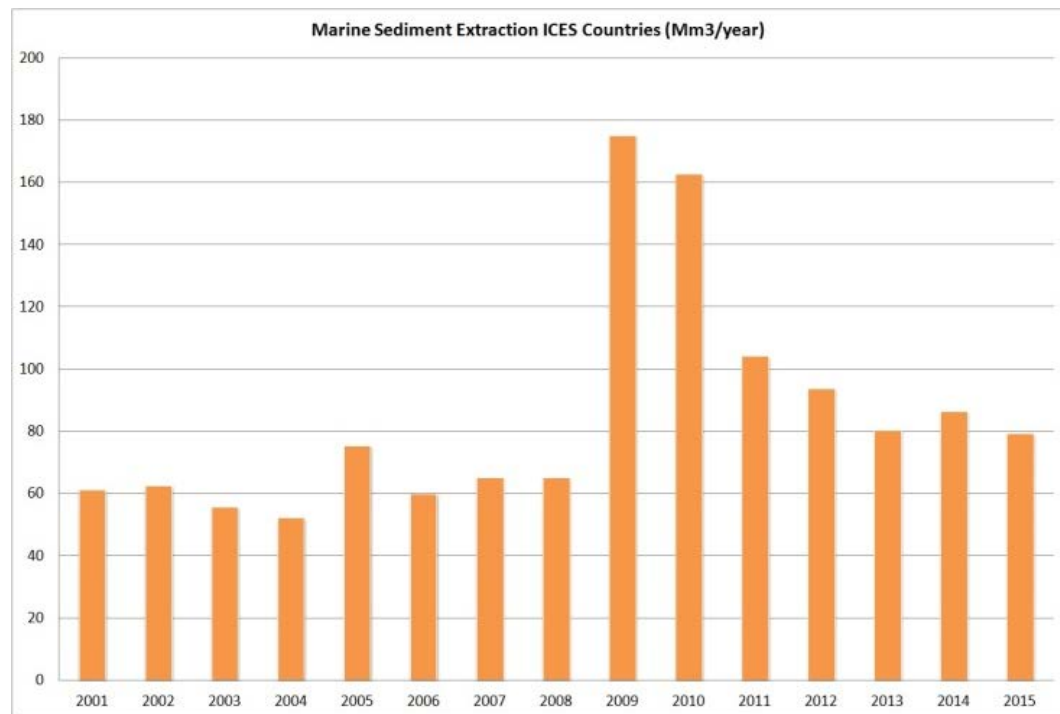


Figure 1. Marine sediment extraction in ICES countries (2001–2015).

In the strict sense, marine mineral extraction is not sustainable as the extracted minerals are lost for the marine system. Extraction of marine sediments can also cause negative effects on the marine environment. Accompanied processes, such as the removal of sediments including benthic fauna, introduce a sand blanket in the vicinity of the extraction and high concentrations of suspended matter in the surrounding area, as well as increase the level of underwater sound.

Nevertheless, the mineral extraction process can be sustainable in the sense that negative effects on the ecosystem are minimized by mitigation measures that are beneficial for the recolonization of the benthic fauna and recovery is achieved within an acceptable period of time.

To ensure the goals of mitigation are reached extensive monitoring programmes are executed on suspended matter, recolonization, underwater noise, effects on other use of the sea, and coastal defence amongst others.

Within ICES the Working Group on the Effects of Extraction of Marine Sediments on the Marine Ecosystem (WGEXT) has the objective to provide a summary of data on marine sediment extraction, marine resource and habitat mapping, changes to the legal regime, and research projects relevant to the assessment of environmental effects. Also terms of reference have been defined on databases and harmonization of data, Marine Strategy Framework Directive, publishing, deep sea mining, archaeological and cultural heritage values, Environmental Impact Assessments, cumulative assessment, mitigation, marine spatial planning and effects on fish and fisheries.

In theme session K 14 oral presentations were given and 2 posters were presented during the conference. Several presentations were given by members of WGEXT.

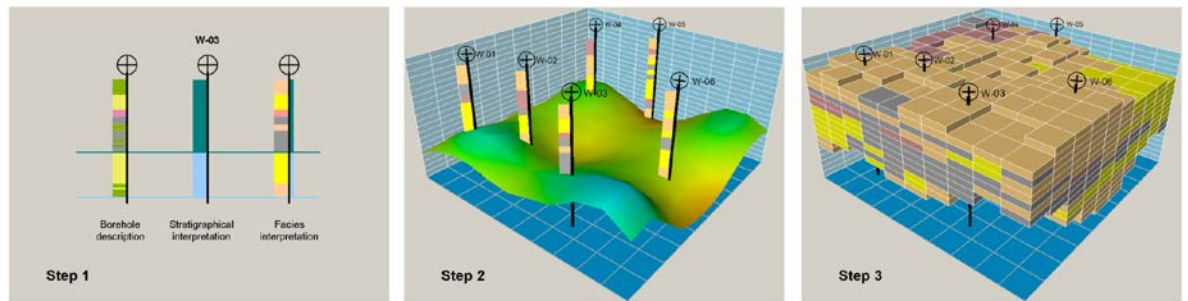
In general the session can be divided into the following themes:

- 1) Identification of resources and sensitive habitats
- 2) Lessons from case studies (impacts/monitoring/recovery)
- 3) Improvement of monitoring and Marine Strategy Framework Directive

*Identification of resources and sensitive habitats:* To decide where and how to extract marine sediments it is necessary to have insight in the location of useful resources and in the presence of habitats that are sensitive to the effects of marine extraction.

Research of the resources of marine sediments as sand, gravel and shells is done for a long time by sampling and seismic investigations followed by a geological interpretation. In the last few years several projects are started to improve the knowledge of resources by modeling. The lithological and geological information is used as input in voxel models of the sea bed sediments. Interpretation of these geostatistical models is not straightforward. Expert knowledge is needed to choose among model results and to combine them. Also inclusion of uncertainty is of added value, especially when it is related to the presence of fines, which often are the cause of negative effects on benthic fauna or primary production.

These aspects were addressed by the poster of Sytze van Heteren and the presentation of Vasileios Hademenos. In the presentation the results were shown of a 3D voxel model of the Belgian Continental Shelf (fig.2). It gives a detailed image of the distribution of different sediment types. The model is an excellent tool to efficiently target suitable areas for extraction, estimate resource volume and quality and easily identify areas with poor data coverage. It gives information that is critical to assess potential habitat changes in depth and time in case the marine sediment will be extracted.



**Figure 2. Voxel model.**

That the research for the identification of marine sediment resources can be very useful for the designation of Marine Protected areas is shown by Ian reach. Data from the marine aggregate sector were used to differentiate the toe of sandbanks from the surrounding sand wave fields which gives a better definition for the boundary of nature 2000 areas and prevent unnecessary restriction of extraction activities. When necessary, e.g. in the case of Marine Conservation Zones for Black Bream Nests, research leads to a restriction for sediment extraction. But also in this case a good research can limit the area and period of restriction for the location and volume of extraction.

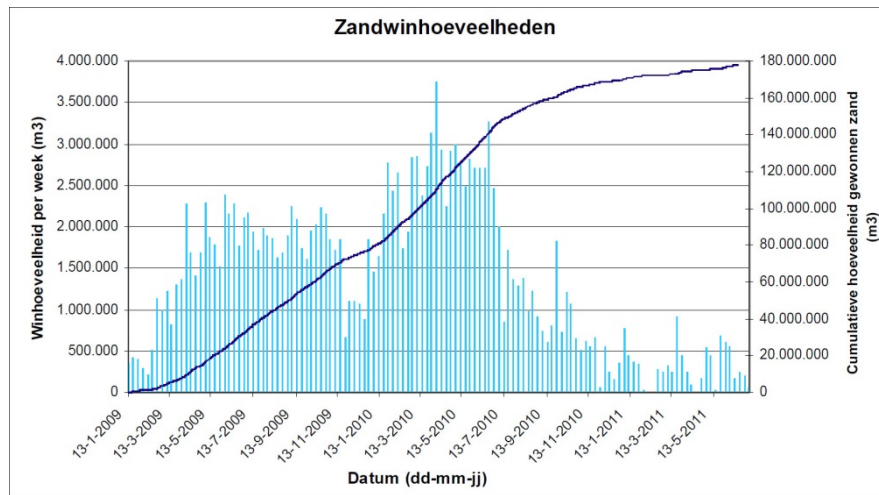
In another presentation Ian reach showed that detailed knowledge of effects of extraction proved to be very important in the case of extraction versus spawning habitat of herring. A rather rigid advice to exclude extraction from all spawning areas could be converted to an advice to exclude extraction, unless the effect have been assessed and shown not to be detrimental.

*Lessons from case studies (impacts/monitoring/recovery):* To mitigate the negative impacts of marine sediment extraction on other use of the sea and on the ecosystem, including benthic fauna and fish monitoring of the effects of extraction is necessary. The results of monitoring can lead to improved regulation of extraction both towards a better protection of the ecosystem and towards a less restriction of extraction activities.

In the ICES countries the extraction of marine sediments are very different in items as geological setting, ecological habitats and intensity of dredging. As a consequence the items and the way monitoring is executed are different. For example, the long term extraction in gravelly areas in the English Channel asks for a different monitoring approach than the short but intensive extraction for the Rotterdam harbor.

Jyrki Hämäläinen and Ad Stolk both give a presentation on the monitoring of the extraction for the impact of extraction for enlarging of the harbor of Helsinki and Rotterdam respectively. For the harbor of Helsinki over 6 million m<sup>3</sup> of sand and gravel was extracted. The monitoring was executed before, during and after the activities and was for a large part focused on fish and fisheries. The area was problematic for trailing suction dredging. Therefore stationary suction dredgers were used. This caused isolated depressions in the seabed that were very consistent. Recent multibeam investigations showed that they have not changed in 10 years. Older extraction pits were not changed for 25 years. This gives rise to reconsider extraction methods for the future.

The largest marine sand extraction in Europe was executed for the enlargement of the harbor of Rotterdam, the Maasvlakte 2 project. In a period of 3 years about 200 million m<sup>3</sup> were extracted. The weekly amount quite often exceeded 2.5 million m<sup>3</sup> (fig.3).



**Figure 3. Marine sand extraction for Rotterdam harbor. In light blue (left scale) weekly amounts. In dark blue (right scale) total amount.**

The area of the extraction pit was decreased to 16 km<sup>2</sup> by increasing the depth to 20 m below the sea floor. In a general water depth of 22 m this was nevertheless a large scale operation. A comprehensive monitoring program was executed focusing on the effects of suspended matter on benthos and N2000 areas, under water noise and recolonization of benthic fauna. The monitoring showed that the effects of this very large and deep extraction are within the expectation of the EIA and limits accepted in the license.

The sand extraction pit of the Maasvlakte 2 was used by Maarten de Jong to study the recolonization of benthos and the presence of fish in this deep pit compared to shallower extractions. In his presentation he showed that in the deep pit the biomass of macrobenthos and demersal fish increased 10 to 20-fold in the first two years after the extraction. His study leads to the formulation of ecosystem-based design rules which can be used for the future design of extraction pits. The bed shear stress proved to be a useful steering parameter and ecological output can be designed via extraction depth. In this way it is possible to maximize the sand extraction volume and decrease the surface area of direct negative impacts.

An important parameter for the impact of extraction on the ecosystem is the intensity and frequency of dredging. Annelies De Backer showed how the benthic sandy habitat of the Belgian Continental Shelf is impacted by different values of these parameters (fig.4).

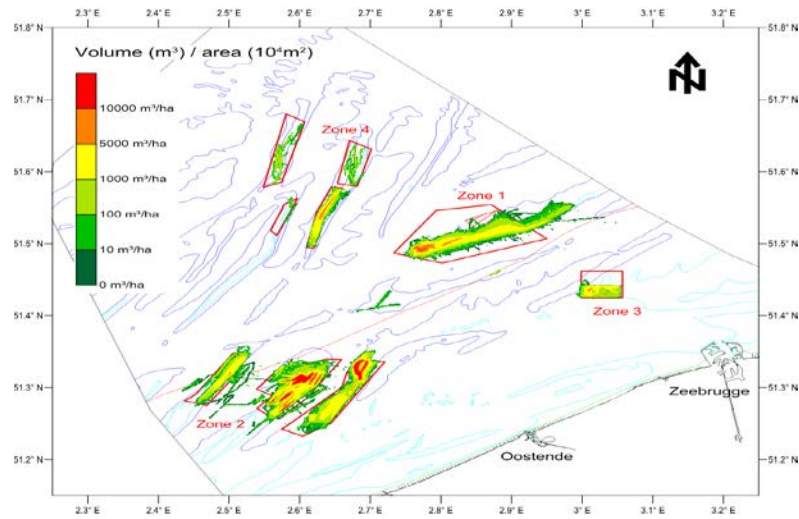


Figure 4. Extraction zones on the Belgium Continental Platform.

The conclusion is that these sandy benthic habitats are resilient enough to buffer aggregate extraction when performed at low intensities or at high but infrequent intensities. One of the reasons for this can be that the area is a very dynamic system with high natural disturbance and a high pressure from e.g. fishing activity. However, when dredging is performed at high and frequent intensities or at high intensities, changes in sediment composition do result in structural changes in the benthic ecosystem.

Intensity of extraction is also an important parameter for the effect on fish in and near extraction sites in English Channel. Michel Desprez has studied benthos and fish and the trophic relationships between them (by stomach content analysis) in an area near Dieppe and Baie de Seine. The study was done in the dredging areas itself, in areas of deposition of fines from overspill and in reference areas. In an area with intensive dredging the benthos and fish abundances were strongly reduced, as expected. But in areas of extensive dredging the decrease in abundance of fish was moderate and the number of fish species was increased by 50% (fig.5). This gives rise to methods to mitigate the effects of extraction and minimize the traditional competition for space between fisherman and mining companies.

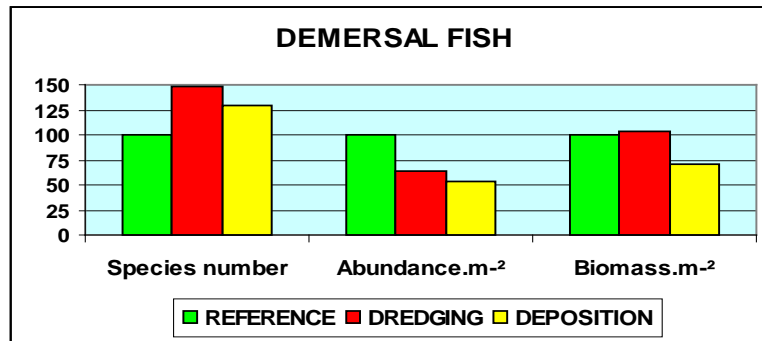


Figure 5. Effect of 10 years of extensive dredging on demersal fish in and near the Dieppe extraction site.



*Improvement of monitoring and Marine Strategy Framework Directive:*

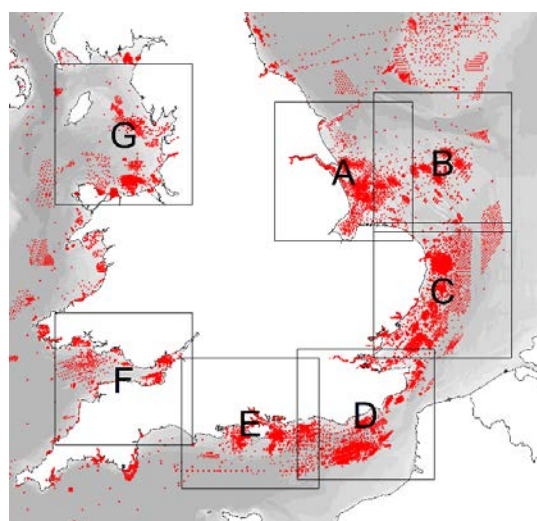
Marine sediment extraction can influence several descriptors of the Marine Strategy Framework Directive (MSFD) of the EU, like D1(biodiversity), D3(commercially exploited fish and shellfish), D4(food webs), D6(sea-floor integrity), D7(hydrographical conditions) and D11(underwater noise).

In a presentation on the role of extraction strategy on the recovery of biological communities in two French extraction sites in the eastern channel Michel Desprez showed from intensive monitoring of benthos and fish that extraction of marine sediment can fit in the goals of the Marine Strategy Framework Directive if a good extraction strategy is followed.

Low extraction intensity and/or a limited duration of extraction can minimize negative effects.

In a poster Vera Van Lancker described an investigative monitoring with focus on D6 and D7 of the MSFD. Sand extractions on a tidal sandbank can influence the colonization and growth of epifauna in nearby gravel beds due to the distribution of fines by turbidity plumes by overspill.

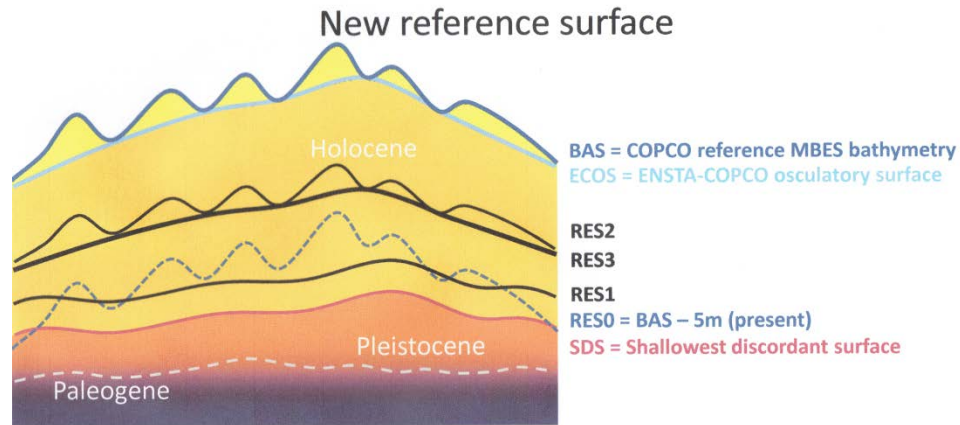
The MSFD is also an important factor for the monitoring of marine aggregate dredging in the UK. Keith Cooper elucidates a new monitoring approach characterized by the goal to ensure that sea bed conditions are left in a state that will allow for the return of the original faunal community after dredging. This is achieved through reference to the range of environmental conditions that are naturally found in association with different faunal communities in the wider region. To reach this goal the marine aggregate industry adopted Regional Seabed Monitoring Plans (fig.6) that are expected to offer better environmental protection , whilst at the same time significantly reduce the costs of monitoring.



**Figure 6. Regional Seabed Monitoring Plans in the UK.**

In Belgium research is done effort is done to minimize the impact of extraction to the improve the monitoring of resources for extraction and to monitor the effects of extraction. The legislation in Belgium limits the extraction in a general way to a depth of 5 me-

ters below a global reference surface in the extraction area. Koen Degrendele presented a project to define a new depth limitation surface based on the nature of the seabed, the geological structure and the differences in marine ecology (fig.7). This new approach is focused on the principles to avoid most vulnerable areas, allow no changes in surface sediments, conservation of sand bank morphology and be economically sustainable



**Reference surface scenarios:**

	Definition	Volume = economic reserve	Criteria
RES1	SDS + 1m	maximum	No changes in sediment
RES2	SDS+BAS / 2 with minimum SDS +1m	minimum	No changes in sediment Preservation of sandbank morphology
RES3	SDS+ECOS / 2 with minimum SDS +1m	medium	No changes in sediment Preservation of sandbank morphology Use of mobile sand volume

**Figure 7. New reference surface for marine extraction in Belgium.**

Both monitoring and modeling are necessary to enable the mitigation of the impact of extraction as Nathan Terseleer emphasized in his presentation. High resolution bathymetric surveys showed that monitoring showed that extraction and dune morphology and migration are coupled and leads to a general flattening of the seabed in and around the extraction area.

The modeling this behavior of the seabed, combined with the 3D geological voxel model and a model of the hydrodynamics and sediment transport leads to a better performance of scenario's over time to simulate parameters related to the descriptors 6 and 7 of the MSFD.

A main parameter is the bottom shear stress, which determines the sediment resuspension and erosion, deposition and bottom morphology. Dries Van den Eynde shoes how a model for the bottom shear stress was validated with measurements from different extraction zones of the Belgium Continental Shelf. Although measurements of bottom shear stresses are difficult the model gives good results. Bottom shear stress will be used as an indicator in the Belgium implementation of the MSFD to evaluate changes linked to human activities, including marine sediment extraction.

*Concluding remarks:* The session was the opportunity to show the progress of research in the marine sediment extraction process through 14 presentations and 2 posters (see Appendix).

The presentations and posters can be classified in relation to the extraction activity. Several presentations address more than one issue.

#### Before extraction

- resource mapping: progress in modelling for sustainability: 3 presentations
- protection of sensitive habitats of high ecological (biological reefs) and /or economical value (spawning areas) : 2 presentations

#### During extraction

- impact monitoring: 5 presentations
- progress in monitoring for sustainability : 6 presentations
- mitigation: 7 presentations
- MSFD: 6 presentations

#### After extraction

- recovery: 1 presentation

The attendance was minimal during the session in spite of efforts of the conveners and the vice-president of ICES. The reasons for that can be that it was scheduled on the last day of the conference or that the issue was not directly related to fisheries.

Although 6 presentations mentioned the link between extraction and fish/fishery, the subject of marine sediment extraction appeared to be of marginal interest to the wider ICES community. Nevertheless, it is an important issue within ICES in relation to OSPAR and MSFD.

For future Annual Science Conferences we suggest that theme sessions that are not directly related to fisheries, but which are never-the-less important for ICES, should not be scheduled on the first or last day of the conference.

Progress on several items was emphasized during the session. The main points that came forward during the presentations and the discussions were:

- Impact and recovery of benthos
- Mitigation and sustainability of marine sediment extraction
- Prime role of bottom shear stress in different environments
- The use of modelling
- MSFD descriptors relevant to marine sediment extraction
- New data on impact and recovery (of) for fish and fishing activity

During the session it became clear that it is indeed possible to make marine sediment extraction sustainable by mitigation of related processes with potential impacts.

To reach that goal, efforts must be made to monitor the resources and the effects of extraction, and implement the results in policy and legislation.

### **Appendix**

**Title:** Introduction session

Make marine sediment extraction sustainable by mitigation of related processes with potential negative impacts

**Author:** Ad Stolk

**Keywords:** marine sediment extraction, effect monitoring, resource mapping

**Presentation type:** Oral

**Title:** Robust Marine Protected Area designation through the use of marine aggregate sector environmental data

**Authors:** Ian Reach, Stuart Lowe, Mark Russell, Andrew Bellamy, Joseph Hopcroft, Louise Mann, Dafydd Lloyd Jones, Rob Langman

**Keywords:** Marine Protected Areas, nature conservation, aggregate dredging, North Sea, data, knowledge, information, designation, palaeochannel, sandbanks, Ross worm, *Sabellaria spinulosa* reef, black bream, *Spondyliosoma cantharus*

**Presentation type:** Oral

**Title:** Quantifying the resource potential of Quaternary sands on the Belgian Continental Shelf: a 3D voxel modelling approach

**Authors:** Vasileios Hademenos, Lars Kint, Tine Missiaen, Jan Stafleu, Vera Van Lancker

**Keywords:** resource estimation, 3D voxel model, North Sea, sand extraction, sustainability

**Presentation type:** Oral

**Title:** Identifying, assessment and adaptive environmental management of environmental effects between UK dredging areas and herring *Clupea harengus* spawning habitat

**Authors:** Ian Reach, Phil Latto, Dafydd Lloyd Jones, Rob Langman, Caroline Chambers, Iain Warner, Mark Russell

**Keywords:** herring, *Clupea harengus*, North Sea, spawning area, aggregate dredging, gravel beds, geography, data, knowledge, information, environmental impact, adaptive management

**Presentation type:** Oral

**Title:** Marine sand and gravel extraction for Helsinki harbor – monitoring the impact of the extraction works

**Author:** Jyrki Hämäläinen

**Keywords:** Helsinki, marine aggregate, sand, gravel, extraction, monitoring

**Presentation type:** Oral

**Title:** Large scale sand extraction. Monitoring effects on morphology and ecosystem

**Author:** Ad Stolk

**Keywords:** large scale sand extraction, effect monitoring, suspended matter, recolonization, underwater noise

**Presentation type:** Oral

**Title:** Combining measured and visually observed granulometric characteristics in updatable voxel models of seabed sediment

**Author:** Sytze van Heteren

**Keywords:** seabed-sediment maps

**Presentation type:** pitch and Poster

**Title:** MSFD-compliant investigative monitoring of the effects of intensive aggregate extraction on a far offshore sandbank, Belgian part of the North Sea

**Authors:** V.R.M. Van Lancker, M. Baeye, D. Evangelinos, G. Montereale-Gavazzi, N. Terseleer, D. Van den Eynde

**Keywords:** Marine Strategy Framework Directive, sediment plumes, gravel beds, North Sea

**Presentation type:** pitch and Poster

**Title:** Impact of dredging activity on the distribution and diet of demersal fish species in a commercial marine aggregate extraction site of the eastern Channel (Dieppe, France)

**Author:** Michel Desprez

**Keywords:** marine aggregate extraction, demersal fish, habitat diversity, trophic relationships

**Presentation type:** Oral

**Title:** Ecosystem based design rules for sand extraction sites

**Authors:** Maarten de Jong, Martin Baptist, Bas Borsje, Daan Rijks

**Keywords:** deep sand extraction, macrobenthos, hydrodynamics, ecosystem

**Presentation type:** Oral

**Title:** Relation between dredging intensity and frequency and its impact on a benthic sandy habitat

**Authors:** Annelies De Backer, Kris Hostens

**Keywords:** macrobenthos, dredging intensity, structural and functional characteristics, Belgian part of the North Sea

**Presentation type:** Oral

**Title:** The role of extraction strategy on the recovery of biological communities in two French sites of marine aggregate extraction in the eastern Channel. Management implications for sustainability

**Author:** Michel Desprez

**Keywords:** marine aggregate extraction, benthos and fish recovery, eastern Channel, sustainability

**Presentation type:** Oral

**Title:** Marine aggregate dredging: a new monitoring approach to meet the needs of the Marine Strategy Framework Directive

**Authors:** Keith Cooper, Jon Barry, Claire Mason

**Keywords:** aggregate, dredging, benthos, macrofauna, sediments, recovery, monitoring, sea-floor integrity

**Presentation type:** Oral

**Title:** Optimization of monitoring and modelling frameworks to mitigate negative effects of aggregate extraction, Belgian part of the North Sea

**Authors:** Nathan Terseleer, M. Roche, K. Degrendele, D. Van den Eynde, V.R.M. Van Lancker

**Keywords:** monitoring, modelling, resource mapping, management plan, sustainable extraction, Marine Strategy Framework Directive

**Presentation type:** Oral

**Title:** Minimization of the impact of sand extraction on the Belgian part of the North Sea by the introduction of a newly defined reference surface.

**Authors:** Koen Degrendele, Marc Roche

**Keywords:** sand extraction, sustainable, reference surface, minimization of impact

**Presentation type:** Oral

**Title:** Changes in bottom shear stress, due to aggregate extraction, in the area of the Hinder Banks (Belgian Continental Shelf)

**Authors:** Dries Van den Eynde, Matthias Baeye, Michael Fettweis, Frederic Francken, Vera R.M. Van Lancker

**Keywords:** bottom shear stress, Marine Strategy Framework Directive, modelling, sustainable extraction

**Presentation type:** Oral

## Annex 8: ToR G: Harmonization

Additional information from France for ToR G has been added here. This questionnaire had not been presented in the previous reports.

What kind of system (e.g. black box, EMS,...) is used to monitor aggregate extraction in your country?	Electronic Monitoring System (EMS) - automatic recording system.
How long since this system is in operation and how long are the records kept?	The first records should have begun by the end of the 1990's.
Who is the owner of the data?	Dredging operator and/or company.
List the raw data fields that are recorded e.g. coordinates, navigation speed, time, status, vessel ID/drag head, type of material,... Please provide some examples for each field.	Date, time (UTC), geographical position, speed, status of dredging pump(s), dredging activity.
How is the raw data processed e.g. block/grid analysis and what units are used e.g. h/km <sup>2</sup> /yr, m <sup>3</sup> /km <sup>2</sup> /yr,	Data is not processed.
Who is doing the data processing?	N/a
What do you consider the advantages and disadvantages of your system?	* Advantages: data falsification is impossible * Disadvantages: data is not processed
Is data freely accessible?	No
Is onboard screening going on?	No. Onboard screening is not practiced in France.
What data is used for e.g. legislation, scientific research,...?	Legislation: Navigation profiles maps are drawn to control licences perimeters limits are respected.



Are there issues of confidentiality?	Yes
Are there national limits set for dredging intensity?	Not at national level because depending on the local procedure. Extraction depth could be limited to a depth value defined by the authorities. If this depth is exceeded, the involved area can be closed for extraction. A sediment layer, with minimum thickness defined by the authorities, should remain at the end of the marine aggregate extraction license. Annual and/or license duration maximum volumes which can be extracted is set by the authorities.
Are there any reports/papers available in which intensity is mentioned. Please provide the paper or the reference.	Yes Desprez M. and Lafite R. (Coord.) 2014 - Monitoring the impacts of marine aggregate extraction. Knowledge Synthesis 2012 (GIS SIEGMA). PURH eds, 43 pp.
Would it be possible to make the raw/processed data available to WGEXT? (Y/N)	No
Any ideas on where else data can be harmonised with regards to aggregate extraction to allow data to be used across member countries	MSFD, MSPD, OSPAR (EIHA - QSR).

## Annex 9: ToR I: Cumulative assessment guidance and framework for assessment should be developed

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### Introduction

Human activities in the marine environment have the potential to impact both coastal and offshore environments through a wide range of effects. The large number of sectors that use and exploit the ecosystem and its components generates a great variety of pressures and through a complex network of interactions results in a wide range of impacts (Knights *et al.*, 2013). The response of an environmental system to a human induced impact is the product of often complex ecological interactions that give rise to either direct linear but more often to non-linear responses including synergistic effects, threshold effects and compounding effects. The final impact will be the end product of the impacts from all individual activities and will be governed by a combination of direct and indirect impacts, cumulative impacts and impact interactions (Walker 1999).

With growing intensity of marine activities, there is an increasing demand to develop policy and management to cope with their impacts. Existing maritime activities have expanded and coastal and offshore waters around the world are being used in new ways (Anderson *et al.* 2013). This together with inland developments introducing new substances and materials has caused all kinds of mostly unintentional effects such as regime shifts, altered food web structures and other adverse effects which have been observed especially in coastal environments and in marginal seas (Korpinen *et al.* 2012). Even before the publication of the work of Halpern *et al.* (2008) which brought the combined effect of different stressors to the marine environment clearly to attention to the wider public, attempts were made to address cumulative impacts in marine management. This with the aim of developing widely accepted and harmonized processes and methodologies to assess these impacts.

In order to protect the environment it is a common use to conduct an environmental assessment by which the anticipated effects and implications on the environment of a proposed development, project or plan are described, prior to their approval or authorisation. In the European Union guidance is provided by the Directive 2011/92/EU (known as 'Environmental Impact Assessment' – EIA Directive) or, for public plans or programmes, by the Directive 2001/42/EC (known as 'Strategic Environmental Assessment' – SEA Directive). Soon however, it was recognised that many of the environmental effects may not result from direct impacts from individual projects or developments only but also from an interaction between effects, generated by a number of activities in time and space. In response to this shortcoming of EIA the assessment of indirect and cumulative impacts and impact interactions has emerged (Spaling 1993, Parr 1999). In Europe cumulative impacts are considered since the implementation of the EC Directive (85/337/EEC) in 1988. With the amendment (11/97/EC) to the Directive 85/337/EEC it is now required that an EIA should also cover the direct effects and any indirect, secondary, cumulative, short, medium and long term, permanent and temporary, positive and negative effects of the project as well as that the “inter-relationships” and “interactions” between specified environmental effects must be considered.

In June 2008 the European member states adopted the Marine Strategy Framework Directive 2008/56/EC (MSFD). This MSFD aims to protect the marine environment across

Europe. The Directive requires Member States to prepare national strategies to manage their seas to achieve or maintain Good Environmental Status (GES) by 2020 and to protect the resource base upon which marine related economic and social activities depend. These marine strategies shall be put in place with the aim of protecting and preserving the marine environment, preventing its deterioration or restoring marine ecosystems in areas where they have been adversely affected. These measures should also prevent and reduce inputs in the marine environment so as to ensure that there are not significant impacts on or risks to marine biodiversity, marine ecosystems, human health or legitimate uses of the European seas. In order to achieve or maintain a good environmental status in the marine environment it was decided to apply an ecosystem-based approach as the core concept in the management of human activities under the EU Marine Strategy Framework Directive (Anderson *et al.* 2015).

Dredging activities such as for aggregate extraction, dredging for navigational purposes, dumping of dredged material, offshore construction works and coastal development create direct pressures on seabed habitats including, such as loss of habitat, habitat change and physical damage to the habitat and with that to the species that depend upon it (Tillin & Tyler-Walters 2013). Although extraction activities often occurs in discrete locations, dictated by the spatial extent of the resource and conducted in single operations, there is a potential for cumulative effects from multiple dredging activities in close proximity to one another, or for effects of aggregate dredging in conjunction with other activities, for example commercial fishing, capital dredging activities or offshore renewable energy (OSPAR 2009b).

So from the ICES WGEXT it is a logical step to have a look at the consequences of the aforementioned initiatives and EU Directives for the aggregate extraction industry, research and policy and management developments. The development of a more holistic (ecosystem level) approach to marine environmental management, including evaluations of cumulative effects of extraction activities was addressed by the ICES WGEXT (2009).

The overall aim of this chapter is to provide information and guidance on the assessment of cumulative impacts with regard to the goals of the Marine Strategy Framework Directive due to potential impacts of aggregate extraction on marine and coastal habitats and species listed in Annexes I and II of the Habitats Directive.

In particular, this chapter will:

- review and summarise activities undertaken on cumulative impacts assessment in the ICES Area and beyond
- investigate the methods used for cumulative impact assessment with a focus of relevance to aggregate extraction
- make recommendations on how cumulative impacts assessment can be incorporated in aggregate extraction policy making and (licence) procedures.

#### Cumulative effects in marine legislation

Environmental regulations, are more and more incorporating cumulative effects because there is consensus among scientists and managers that a single activity, single stressor – impact effect approach is not sufficient to assess the implications of multiple stressors on the diversity of ecosystem components and ecosystems. This has resulted in the need for

an integrated approach to science and management in which the assessment of cumulative effects considers both the exposure to multiple stressors and the consequence of these stressors for multiple components within and across ecosystems (Murray *et al.* 2014).

The following regulations are relevant to the development and implementation of CEAs

The UN Convention on Biological Diversity which objective is to combine human desires and needs with the conservation of a healthy environment. To reach this goal, it is necessary to manage coasts and seas in a comprehensive and integrated way, accounting for the diversity of these ecosystems and the combined effects of multiple stressors. Ecosystem-based Marine Spatial Planning is a well-recognized approach to such integrated management (Foley *et al.* 2010).

The EU Marine Strategy Framework Directive (2008/56/EC) as it states that coastal waters, including their seabed and subsoil, are an integral part of the marine environment.

The Water Framework Directive (WFD) as, apart from the extensive geographical overlap with MSFD, many of the proposed measures in riverine and coastal waters to meet the objectives of the WFD may also have significant (positive) consequences for the MSFD targets and descriptors (CEDA NAVI 2015).

The EU Directive (85/337/EEC) implemented in 1988 and the European Environmental Impact Assessment Directive (Directive 2011/92/EU). Both address the need to include an analysis of cumulative effects within an EIA.

The EU Habitats Directive (92/43/EEC) adopted in 1992 states that “Any plan or project not directly connected with or necessary to the management of the site but likely to have a significant effect thereon, either individually or in combination with other plans or projects, shall be subject to appropriate assessment of its implications for the site in view of the site's conservation objectives.”

OSPAR has adopted ICES guidance on environmental impacts of aggregate extraction (OSPAR Agreement 2003-15). It promotes the management of marine aggregate operations in such way that the footprint and potential resource conflict with other marine users is minimised. In the OSPAR maritime area CEAs are required for new projects, plans and programmes through the Espoo Convention (incl. Kiev Protocol), the aforementioned EU EIA Directive (Directive 85/337/EEC, as amended by Directives 97/11/EC and 2003/35/EC), the SEA Directives (Directive 2001/42/EC) and the EU-Habitats Directive (Council Directive 92/43/EEC) (OSPAR 2009).

Under the Marine Strategy Framework Directive eleven so called elements were identified to describe the Good Environmental Status (GES) elements of the ecosystem. Several of these GES elements are of importance to dredging activities (CEDA ref). Relevant descriptors to extraction as an activity are the MSFD GES descriptors: biological diversity (D1), marine food webs (D4), sea-floor integrity (D6), hydrographical conditions (D7) and underwater noise (D11).

#### Definitions

Although a single formal definition of cumulative effects does not exist and there is also no consensus on how to undertake a cumulative effects assessment, several definitions for cumulative effects and cumulative effects assessment can be found that vary slightly:

Cumulation: outcome of effects to the environment from a single activity or multiple activities overlapping in space and or time.

OSPAR (2008) defined cumulative effects as: “all effects on the environment which result from the impacts of a plan or project in combination with those overlapping effects from other past, existing and (reasonably foreseeable) future projects and activities”.

“Cumulative effects assessment is a systematic procedure for identifying and evaluating the significance of effects from multiple pressures and/or activities. The analysis of the causes, pathways and consequences of these effects is an essential part of the process”

Cumulative effects assessment is “the process of evaluating the potential consequences of activities or development relative to existing environmental quality to predict changes to the environment due to the project combined with the effects of other past, present and reasonably foreseeable future activities” (Dubé, 2003).

#### Basic principles of cumulative effect assessment

The international community is presently active in addressing cumulative environmental impact assessment and in developing methodologies to do so. Even when there is a direct effect between a single human activity which produces a single stressor it is still not always easy to predict its impact on an ecological component or an ecosystem. The reason for this is that stressors interact with each other and can be additive or non-additive, and can multiply (synergistic) or reduce effects (antagonistic) predicted from single stressors (Crain *et al.*, 2008). Because of all these potential interactions it is even more difficult to describe and predict the response of ecological components to multiple stressors.

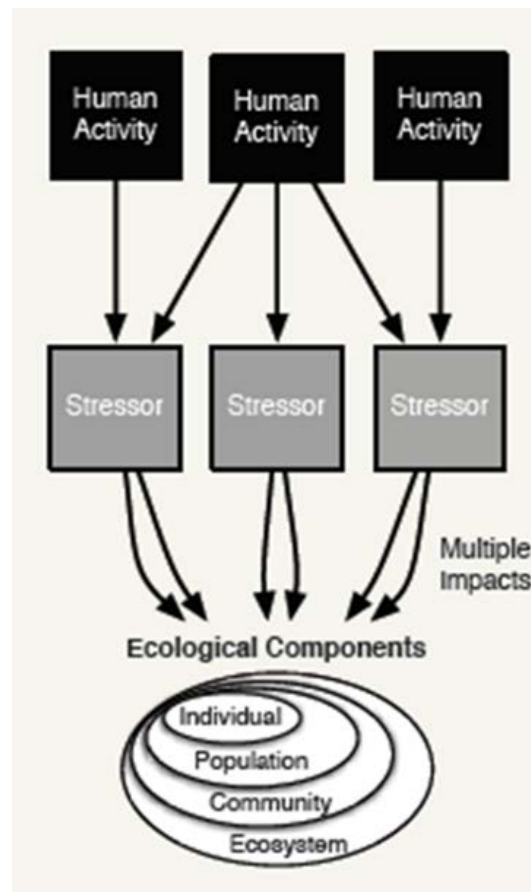
Although there is to date no common methodology or understanding of CEA, the general approach is that of an “impact chain” in which source → pressure → effect → ecosystem component exposure pathways are identified. Describing the different pathways makes it possible to construct an activity–pressure–ecological component linkage matrix (see Knights *et al.* 2013). The pressure is the mechanism through which an impact occurs. Such a matrix describes the potential for an impact on an ecological component from an activity or sector.

The results are presented in score tables and visualised in distribution maps. To do this the intensity of each stressor is mapped as well as the location of each habitat type or presence of an ecological component sensitive to the stressor. After this a vulnerability weight is applied that translates the intensity of a stressor into its predicted impact on the ecological component habitat, creating a single ‘currency’ of stressor impact (Halpern *et al.* 2007, Halpern *et al.* 2008b, Teck *et al.* 2010, Kappel *et al.* 2012). The expected impacts are finally summed up into a total cumulative impact score. Each of those steps, however, requires many assumptions (Halpern & Fujita 2013).

The first step for understanding and mapping cumulative impacts starts with mapping the spatial distribution of human activities and determining which pressures and stressors must be included in the assessment. This needs ways to link impacts on ecosystem components to human activities. The OSPAR Intercessional Correspondence Group on Cumulative Effects (ICGC) has produced a list of pressures which is presented in the report of HBDSEG 2013. This step also highlight the need to determine how much to lump versus split groups of stressors (Halpern & Fujita 2013). These decisions have important implications for how much of a potential impact any given stressor or group of

stressors can contribute to overall cumulative impact. Should in the case of aggregate extraction or dredging all types of dredging methods be treated equally? Is there a difference between sand and gravel extraction, shallow and deep extraction or single site use versus repetitive extraction in the same area? And if so, to which detail should there be made a distinction? Some of the decisions will be simply driven by data limitations, but in general they require assumptions or expert judgment about how important particular types and groups of stressors are in determining ecosystem condition (Halpern & Fujita 2013).

Next steps involve making distinctions between point source and dispersive pressures and to consider and determine if and how the 'effects' within the exposure pathways interact, taking into account the different types of indirect and direct impact, impact interactions and cumulation over time and in space (Figures 1,2 and 3)figures Walker 1999, Judd and Murray *et al.* 2014).



**Figure 1.** Theoretical framework of pathways by which independent and cumulative effects to ecological components are accounted for. A human activity produces a single or multiple stressors that impact a single or multiple ecological components over space or time and multiple activities produce multiple stressors that have multiple impacts on a suite of ecological components. Stressors from activities can accumulate across space (local, regional and global stressors) and time (past, present and predicted future activities). Adjusted from Murray *et al.* 2014.



Figure 2. From Judd 2012

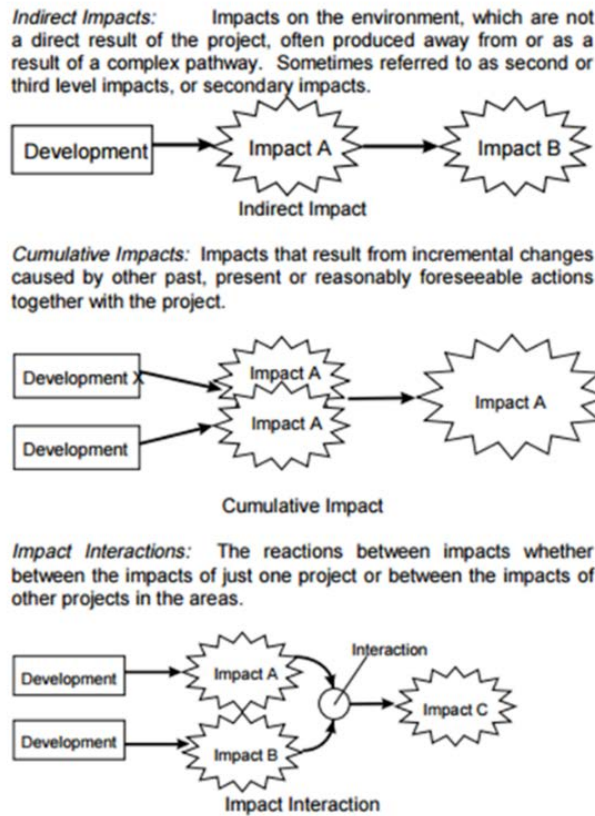


Figure 3. Flow diagrams illustrating indirect and cumulative impacts and impact interactions (Walker 1999).

‘Point-Source’ pressures are those where there is effectively a one-to-one relationship between the activity and the pressure (and effect), e.g. the pressure ‘habitat structure

changes' from aggregate extraction will only be exhibited where the minerals are actively extracted; 'extraction of target species' from fishing will only be exhibited where fishing vessels operate (OSPAR 2016). 'Dispersive' pressures are those where the pressure (and effect) cover a larger spatial area than the causal activity, e.g. noise will propagate away from its source (e.g. pile driving); nutrients and hazardous chemicals entering the marine environment from rivers will disperse. An example of the extent of such a dispersive pressure is given in the EIA for the development of the Rotterdam harbour extension Maasvlakte 2 (PMR 2007b). Different modelled scenarios indicated a potential increase in turbidity due to introduction of silt (fraction < 63 µm) as a result of the sand extraction. This increase could develop in the whole Dutch coastal area ranging from the Voordelta south of the extraction site to the Wadden Sea in the north and up to a maximum of 20 km out of the coast. As a result of the increased turbidity a maximum reduction of 10 -25 % in the year averaged chlorophyll-a concentration (as a measure for primary production) was predicted for the coastline between Walcheren and Egmond. The effect could even last for a number of years after the extraction activities have ended, partly due to resuspension. Light reduction due to increase turbidity could result in a delay of one to two weeks in coastal spring algal bloom against the normal spring bloom period.

Next to mapping the distribution of activities, the spatial distribution of ecosystem components (key species and habitats) as well as their vulnerability and sensitivity to the pressures need to be defined. In the last years there has been an enormous progress in mapping the distribution of species and communities in the European marine waters. However, assessing the impact of biological communities to specific anthropic pressures in marine systems is far from easy due to lack of knowledge and data on species vulnerability and sensitivity which prevent the development and use of proper models that predict how the different pressures exerted at the individual level can be progressively integrated and quantified from individual to species and community level (Certain *et al.* 2015). In the case of (aggregate) dredging the sensitivity of an individual, species or community to the activity can defendable be score this as 1 (maximum impact) as dredging initially will result in the complete removal of animals from the dredging area, with the exception of some deep burrowing animals or a few very mobile surface animals. Transfer to and survival of animals placed with the sand at another site will be almost zero as not many benthic animals will survive the destructive process of being pumped up, transported and dumped. Few examples exist of benthic animals surviving the dredging process (Van Dalssen & Lewis 2001).

After all these steps are made the effects can be cumulated using the most appropriate method.

Currently cumulative effects in Europe are related to the MSFD and the realisation of GES. Biodiversity indicators are mostly used as way to assess the cumulative effects. However, this implies that these biodiversity indicators are the way of describing the ecosystem and its functioning. Support for using biodiversity indicators as a measure of overall ecosystem condition comes from statistically significant (negative) correlations found between biodiversity status and cumulative pressures (Anderson 2015).

#### (Inter)national actions taken on the issue

Spatial analyses of anthropogenic stressors and their cumulative impacts on the marine ecosystems have been conducted globally and regionally (Halpern *et al.* 2008, 2009;



Selkoe *et al.* 2009; Ban *et al.* 2010; Korpinen *et al.* 2012, Korpinen 2015), in order to provide much-needed information for ecosystem-based management.

In the recent past cumulative assessment approaches were developed looking e.g. at multiple-activity assessments (Cooper and Sheate 2002); Eastwood *et al.* 2007; Stelzenmüller *et al.* 2008; de Vries *et al.* 2012 and 2010; Halpern *et al.* 2008 and 2012; Judd ; Van der Wal & Tamis 2014, Andersen *et al.* 2013; Anderson *et al.* 2015; HBDSEG 2013; Korpinen A. 2015; Tillin & Tyler-Walters 2013; Knights *et al.*, 2015)

To help the EU Commission in the process of implementing the MSFD a number of actions with respect to the assessment of cumulative have been carried out recently.

The OSPAR Intersessional Correspondence Group – Cumulative Effects (ICG-C), part of OSPAR commission Environmental Impact of Human Activities (EIHA), studied common approaches on (cross-border) cumulative effects. In 2012 the OSPAR ICG-C discussed three cumulative effects assessment (CEA) methods after which cases studies were conducted to find best approaches and tools: CUMULEO (Van der Wal e& Tamis 2014; ODEMM ((Knights *et al.* 2015); and HARMONY (Andersen *et al.* 2013). In 2015 the work of the ICG-C focussed on reviewing methodologies for generating cumulative ‘pressure’ / ‘impact’ maps (HARMONY, CUMULEO and ODEMM) (OSPAR 2016). The review indicated that the approaches are broadly similar and that there was nothing to suggest that any approach was better than another. It was therefore decided not to proceed by adopting one single approach. The work will continue with actions on a targeted CEA of pressures and impacts aligned with the content of the Intermediate Assessment 2017 and Quality Status Report 2021 and further development on a CEA that is aligned and makes best use of OSPAR common indicators and their associated data.

The CEDA MSFD NAVI group ( a ‘thematic cluster’ of nine navigation sector bodies in the marine and inland, commercial and recreational navigation and dredging sector) looked into the measures that could be taken under the MSFD on a national, European or international level that have the potential to affect navigation or dredging related activities. This group want to draw attention to some aspects because there may be unwarranted implications for the activities of the sector in some or all Member States in a marine region or sub-region (CEDA 2015). Amongst these is the geographic scale. It is NAVI’s view that the measures imposed by the Member States should be relevant at the geographical scale at which the MSFD operates and be directly linked to achieving or maintaining GES. The appropriate scale at which measures are taken is likely to be a key issue for various descriptors and not least for the assessment of cumulative and in-combination effects.

OSPAR’s Intersessional Correspondence Group (ICG) on Coordination of Biodiversity Assessment and Monitoring (ICG-COBAM) conducted a case study looking into the multiple causes of physical damage to benthic habitats (ICG-C 2016). The study evaluated the extent to which the seafloor and the associated benthic communities are being damaged or disturbed by current pressures caused by human activities. The study collected information on the distribution and intensity of pressures, the distribution and extent of habitats and an assessment of the sensitivity of those habitats to pressures. The case study has, however, only considered fisheries activity data for vessels >12m to quantify ‘damage’. The ICGC case study is expected to extend this initial work by incorporating additional pressures.

In the UK, the Marine Management Organisation (MMO) has an obligation to ensure potential cumulative effects are taken into account in its decision making under the UK Marine Policy Statement (MMO 2014). The MMO developed a framework for scoping cumulative effects at the strategic level (MMO 2014). The framework considers the scoping stage only. It provides a step by-step approach to the identification of potential cumulative effects. This framework process was tested using a number of offshore wind developments in the Greater Wash as well as by a hypothetical CEA case in which both a large and a small scale activity was analysed in a hypothetical area. In order to apply the framework an evidence database was which identifies activities taking place in the marine environment, the pressures that they exert, and the receptors which may potentially be sensitive to those pressures (MMO 14). It provides summary matrices, highlighting where there may be potential for cumulative effects between activities based on overlapping pressures with potential to affect a common receptor, to support an initial assessment.

The European Topic Centre on Inland, Coastal and Marine Waters (ETC-ICM) is working to propose a cumulative effects assessment (CEA) method for the European Environment Agency's (EEA) of the state of the European seas (OSPAR 2016). A task team reviewed the existing CEA methods in 2015, focusing on spatial assessments of cumulative anthropogenic pressures and impacts on marine environments, and recommended a method for further testing (Korpinen *et al.* 2015). The purpose of the review was to recommend a method for assessing the cumulative degree and spatial distribution of human activities, pressures and their impacts in the European marine environment (OSPAR 2016). The review concluded that the current approaches used to assess cumulative pressures and cumulative effects in the marine environment are all relatively similar. All of them rely on three factors: spatial extent of pressures, spatial extent of ecosystem components and an impact weight score transforming the pressures to impacts on the ecosystem components. In 2016, the objective of the work is to further develop the recommended method to better serve European-wide assessments and to find out spatial data layers on human activities and pressures. In 2017, the method will be tested and more practical preparations for the European CEA assessment will be initiated.

In the Netherlands the ministries of Economic Affairs and of Infrastructure and Environment set up a framework for assessing ecological and cumulative effects of offshore wind farms (Ministry of Economic Affairs and Ministry of Infrastructure and Environment 2015). Extensions have been developed for the effects on population development of birds and one marine mammal, the harbour porpoise.

Anderson *et al.*, 2015 analysed the linkages between human activities, pressures and impacts and the status of the marine biodiversity in the Baltic Sea. Describing the biodiversity status for the period 2001 – 2007 using a multi-metric indicator-based assessment tool and combining this with detailed mapping data on the human pressures in the Baltic area. They were able to provide scientific evidence on the linkage between cumulative impacts and biodiversity status on a wider scale. Moreover, by ranking the pressures and impacts for each of the studied sub-regions in the Baltic Sea this study provided a prioritisation of area specific measures targeting relevant human activities and the subsequent development of ecosystem-based management strategies (Anderson *et al.*, 2015).

Knight *et al.* (2015) illustrated how the exposure-effect approach can be used to assess the risk to ecosystems from human activities at considerably larger spatial scales being the

Europe's regional sea ecosystems. This was done considering a range of sectors, pressures, and ecological components. This study included up to 17 sectors, 23 pressure types, and broad ecological components. They used an "impact chain" approach by constructing a sector–pressure–ecological component linkage matrix (see Knights *et al.* 2013) in which each cell in the matrix describes the potential for an impact on an ecological component from a sector, wherein a pressure is the mechanism through which an impact occurs. After this the threat from each chain was assessed by way of a pressure assessment (sensu exposure-effect) approach (see Robinson *et al.*, 2013, for full details of the methodology). This pressure assessment methodology was designed with the concept of risk assessment in mind, such that the assessment criteria developed could be used to evaluate the likelihood and consequences of a specific or combination of impact chains. The assessment was based on expert judgement for which they approached a good number of participants from a range of institutions and countries from around the EU and more broadly.

Rijkswaterstaat, Ministry of Infrastructure and Environment, the Netherlands prepared a discussion paper on the need for a common cumulative effect assessment (CEA) approach in assessing the ecological effects of offshore wind farms (OWFs) in the southern North Sea (Boon & Prins 2016 prep).

Brief review of EIA and CEA studies addressing cumulative impacts in relation to extraction

#### *EIA Maasvlakte 2, the Netherlands*

For the Rotterdam Harbour extension Maasvlakte-2 an EIA was made (PMR 2007). Because of the very large quantity of material needed to build this second extension, the Basic Alternative estimated 324 Mm<sup>3</sup> of sand needed to be extracted from the North Sea, the EIA addressed the design, the location of the dredging areas and the way the extraction was executed (timing and equipment). Extraction depth looked upon varied between depth of 10 to even 20 meters below the seabed surface, the latter doubling the water depth. Next to this attention was also given to nature, recreational use, nautical aspects and archaeology. Different environmental aspects were assessed among which seabed disturbance, loss of habitat and biota, turbidity, emissions, noise (both air and under water), and disturbance (visual, light, noise). When looking at cumulative effects of the Maasvlakte-2 development, attention was given to other developments such as offshore wind energy and especially to the combined effects with activities as bottom trawling, other extraction activities and maritime transport. For the latter the additional annual extraction of 35 Mm<sup>3</sup> in the Netherlands was taken into account. Notwithstanding the large amount of sand needed for the development of the Maasvlakte-2, the EIA concluded that for most of the aspects accounted for, no serious effects were to be expected. The cumulative assessment for most of the aspect was done either quantitative or qualitative and represented in scoring tables. No integrated methodology, however, was applied to assess the cumulative effects of the sand extraction with all other activities including other sand extraction in the coastal zone of the Netherlands.

#### *Extraction & Fisheries (United Kingdom)*

To contribute to an informed debate and sustainable use of resources Cooper (2005) reported the views of the fishing industry on the perceived impacts of aggregate dredging on their activities in an area to the east of the Isle of Wight. The study was based on in-

formation from interviews with local fishermen working in the vicinity of areas of aggregate extraction, a review of published information, information from fisheries authorities and fisheries scientists combined with information on extent of dredging operations obtained from Electronic Monitoring System (EMS) data. Charts were made to map the cumulative extent of different activities.

Results indicate a general avoidance of licensed areas by static gear fishermen and by trawlers. The latter due to perceived changes in the nature of the seabed (e.g. dredged tracks and depressions) that may persist for several years. This could have a subsequent effect of increasing fishing pressure in alternative grounds with already heavily exploited stocks remote from dredging areas. Concerns were found on vessel safety of small vessels in relation to the increased distances offshore. Furthermore the study concluded that dredging operations affected the abundance and distribution of some commercially targeted species e.g. the brown crab (*Cancer pagurus*) and of smooth hound (*Mustelus mustelus*) targeted by recreational fisherman.

However, the assessment was complicated by absence of quantitative data on localised spatial and temporal scales and no simple cause-effect attributions can be made due to the interaction of anthropogenic and natural influences.

#### *Extraction & Fisheries (France)*

The effect of extraction activity on the benthic community and with that on the distribution of fishing effort of French and English demersal fleets was studied at a number of French and English extraction sites in the eastern Channel (Desprez *et al.*, 2014, Marchal *et al.*, 2014). The most prominent result of the study was that most types of fishing near the extraction sites were not deterred by the dredging activity. The fishing effort of scallop dredging and potters were even found to have increased adjacent to aggregates sites. Where the distribution of French netters remained consistent over the study period, the effort of this fishing type increased substantially for sole in the impacted area of the Dieppe site. This increase of fishing was found to be correlated with the extraction intensity. The attraction of the different types of fishing is likely due to a local temporary concentration of their main target species as a result of changes in the seabed habitat.

Although the finding of the study seem logical and explicable, the study shows how complex it is to integrate and quantify the cumulative effects of different pressures affecting the seabed from species to community level as there is also a sequence in cause and effect between the different pressures. Moreover, can changes to the seabed leading to different benthic communities be foreseen as positive outcomes and if so, how could this be incorporated into the assessment?

### Discussion

Worldwide initiatives are undertaken to understand and develop methods to assess the potential for cumulative effects in the marine and coastal waters. In relation to dredging activities such actions are also taken to address cumulative effects looking beyond the site specific effects of single operations (OSPAR 2009).

A number of issues that go along with cumulative impacts are still under discussion.

### *Spatial scale*

Looking at a single human activity such as aggregate extraction, there is a need to inform and have information on its extend in time and space and its contribution to an impact on a certain ecosystem component in terms of policy making and management. OSPAR (2009) suggest to assess the potential cumulative effects of multiple dredging operations in close proximity to one another on a temporal and spatial scale by means of a regional environmental assessment. Such cumulative impacts may also occur when aggregate extraction occurs close to another seabed activity, for example an offshore wind farm. For reasons of marine spatial planning, designation of marine protected areas and ecosystem-based management this certainly makes sense.

However, from a practical day to day point of view from a single project, there is an obligation to have information on the cumulative impacts, because of licensing. For the latter, the level of detail of information needed is much larger to make any sense in terms of a time and spatial adequate assessment. The activity is often taking place in a relative confined space, a small area and often only for a limited amount of time.

On a project base spatial impacts will be most likely on the relative small local scale as dredging amount are rarely large. Even when taking into account the side effects of increased turbidity which could impact a much larger area due to hydrographic conditions (PMR 2007), the effect is expected to be relative limited. Even for the very large extractions such as the Rotterdam harbour extension only the worst case model scenario predicted a substantial increase in turbidity leading to a possible delay of maximum 2 weeks in the annual spring algal bloom (PMR 2007b). Choice of scenario and mitigation measures taken will help to reduce the spatial extend of the effects. Cumulative effect assessment will then be focussed only on that project area, either by looking into multiple dredging activities over time and potential impacts of other activities in that area, e.g. fishing.

The Ecosystem Approach is the main tool of the OSPAR Commission for the management of human activities. A key feature of the ecosystem approach is the conservation of ecosystem structure and functioning, whereas under the Malawi principles ecosystems must be managed within the limits to their functioning. It is therefore important to consider where the boundaries for management and related measures lie when looking at aggregate extraction and moreover, to what extend is management feasible and practical?

This is also brought forward in CEDA NAVI's (2015) view that it is important to realize that the measures imposed by the Member States should be relevant (i.e. capable of making a difference) at the geographical scale at which the MSFD operates and be directly linked to achieving or maintaining GES (CEDA NAVI 2015). The appropriate scale at which measures are taken is likely to be a key issue for various descriptors and not least for the assessment of cumulative and in-combination effects.

In terms of single project assessments, the spatial component of the activity and related pressures and impact is limited whereas in more policy and management driven assessments spatial distribution in general is much larger. In Annex I of the OSPAR Guidelines for the Management of Dredged Material (OSPAR 2009) the spatial coverage is preferably given in percentage of the respective OSPAR Region or classified in seven classes ranging from less than 10 km<sup>2</sup> to more than 1.000.000 km<sup>2</sup>. In the CEA case study on offshore windfarms and fisheries using the CUMULEO approach (Van der Wal & Tamis 2014) the

footprint of five pressures where calculated in terms of habitat loss i.e. area no longer suitable as habitat for the different ecosystem components taken into account in the study. For instance, the fisheries pressure was expressed in term of relative area trawled (RAT) in ICES-rectangles or geographic areas which are approximately 30 x 30 nautical miles and the offshore wind. This spatial size is far beyond the regular dredging activity.

#### *Time scale*

The time scale on which a specific activity and pressure and impact should be assessed is an issue that needs to be looked into. Nature itself is continuously changing and trends, whether or not human induced, are not easy to include. In the Halpern 2008 methodology an activity with its pressure stays “forever” on the map. It could be discussed how long the impact of trenching a cable into the seabed on the biodiversity of the benthic community remains detectable. So the question remains on how far into the future and how far into the past one should look to in addressing and assessing “past, present and reasonably foreseeable” effects? Certainly with the experience that dredging impacts on the seabed community is relative short

Furthermore, the appreciation of changes in nature expressed in some sort of value is a human concept and therefore susceptible to changing policy over time (see Valuation of changes).

#### *Indicators*

In many studies and methodologies developed cumulative effects were analysed using biodiversity as an indicator to calculate impact. Approaches using other GES elements as basic indicator are not under study. For a biodiversity assessment many indicators exist amongst which are those for benthic and pelagic habitats, population indicators of zooplankton, benthic communities, demersal and pelagic fish communities, seabirds and marine mammals. Additional to these also indicators on more physio-chemical properties as and water transparency, sediment characteristics and nutrient concentrations could be added. It is, however to be discussed which of these indicators should be included while assessing the cumulative impacts of dredging.

In addition to the above, there is the issue of different receptor groups that are relevant in different countries, due to the variability in species distribution but also due to different protection levels of species in the different countries (Boon & Prins in prep).

Impacts could be looked upon as function of habitats or systems while in some cases, like in the relative localized impacts of dredging, it might be more appropriate to look at population level of certain species or at a community level.

CEDA NAVI (2015) advised to pay attention to how the potential unintended consequences of introducing a measure for improvement of one GES descriptor of the MSFD could affect measures proposed to improve other descriptors. Introducing speed restrictions in order to reduce underwater noise has the potential to impact on the descriptor relating to levels of contaminants because ship’s engines are designed to run at a particular speed to be at their most efficient and reducing the speed could potentially result in an increase of unburnt fuel entering the marine environment.

### *Valuation of changing habitats*

During the life span of an activity such as dredging and after the activity has stopped, habitat changes are frequently observed. These changes in the seabed may provide a new habitat, potentially susceptible to settlement to other species than originally occurring in the area before the activity started. The work of Desprez *et al.* (2000, 2012) and Marchal *et al.* (2014) on dredging sites along the French coast illustrated the economic consequences for fisherman as fish species with a higher market value showed up as a result of dredging activities. In the Netherlands an experiment was done to deliberately change the topography within a dredging site with the aim of creating another habitat type which potentially could result in a different species composition (Van Dalfsen *et al.* 2004, Van Dalfsen & Aarninkhof 2009, De Jong *et al.* 2014, 2015a, 2015b, 2016).

Depending the magnitude of changes the impacts for a community may be limited to the proportion of the different species groups in that community. The element of valuation of habitat change, being negative or positive, in the calculations of impact, either in a straightforward EIA or a CEA is yet not included. How to deal with the valuation of changing habitats structures and associative communities in cumulative impact assessment and what indices should be used to deal with this remain questions for further investigation.

### *Other mining activities*

When looking into assessing the cumulative effects of human activities in the marine environment with a focus onto extraction, a decision should be made on the activities addressed. Should it be limited to aggregates extraction (sand and gravel) only or should it include all dredging activities as well as mining for marine minerals (being a relative new industry but in the near future expected to grow and having potentially other impacts)?

## Conclusion

Cumulative impacts are considered essential in the implementation of an ecosystem based approach to the management of human activities. Substantial effort is currently undertaken to address the assessment of cumulative impact to the environment in order to help marine management and policy.

The above mentioned issues of geographical and time scale are yet under study but solutions are likely not to be provided in short time. Next to the issue of how to valuation change in the assessment a discussion should also be started on how to include changing circumstances like trends over time and space. Although these phenomena are widely known, incorporating these in cumulative assessments of human activities is challenging. Potentially some of these issues could be included in a CEA by introducing something as a “life cycle assessment”.

With a focus on the marine minerals extraction it will be important to come up with a common CEA approach that is feasible and practical in terms of measures proposed to be taken and information to be provided as well as appropriate to the scale at which the industry is active.

With all the activities presently undertaken to develop tools and methodologies to address the issue of cumulative effects of all human activities, including for example aggre-

gate extraction, it seems to be not relevant to start developing a separate tool for cumulative assessment focussing on marine minerals extraction. The WGEXT activities could better assist in these developments by focussing on providing relevant information on this topic within OSPAR and ICES.

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## Annex 10: ToR K: Impacts of marine aggregate extraction on fish and fisheries

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### Belgium.

Sources	ILVO
Data on impact	Yes
Contact Person	Annelies De Backer (annelies.debacker@ilvo.be)
Monitoring of impact (Yes/No)	Yes
public/private initiative	Public initiative, included in EIA
Scale of monitoring	impact trawls inside extraction and reference in surroundings
Extraction areas	Yes
Regional Habitat Assessments	No
Type of monitoring	seasonal (March and Sept/October), beam trawl samples, 8m beam, stretched mesh size 22 mm. Focus on epibenthos and juvenile/young demersal and benthopelagic fish
◦ demersal fish community	Yes, monitored in area 2kb, 2br, 2od, 1 and 4c
◦ specific resources	No
Fisheries activity	VMSdata and logbook data are available on request but not used for the moment.
	Extraction takes place on top of the banks, fisheries in the gullies mainly, so spatially more or less separate.
Mitigation measures	No
Other suggestions	
Impact evidence	No clear impact, but not looked in detail into impact on fish spawning or fisheries activities. E.g. anecdotic observations by recreational fisherman that seabass spawning was affected but no scientific proof.
References	De Backer <i>et al.</i> (2014)

**Canada.** No report.

**Denmark.**

Sources	
Data on impact	The EIA has to include a description of the effect including an impact assessment of the extraction on the fishery in the area.
Monitoring of impact (Yes/No)	No
public/private initiative	No
Scale of monitoring	
Extraction areas	No
Regional Habitat Assessments	No
Type of monitoring	
◦ demersal fish community	
◦ specific resources	
Fisheries activity	Available information on fishery (incl. maps), important fish habitat and spawning and nursery areas for fish has to be included in the EA.
Mitigation measures	No
Other suggestions	
Impact evidence	
References	

**Estonia.** No report.

**Finland.**

DATA ON IMPACT (Y/N)	NO
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**France:**

Sources	Ifremer
Contact Person	Camille Vogel (camille.vogel@ifremer.fr)
Data on impact	Yes
Monitoring of impact (Yes/No)	Yes: experimental trawling from 2004 to 2011
public/private initiative	Monitoring officially included in EIA since 2017 (Code de l'environnement) but practically ongoing since 2003 based on scientific advice and local legislation
Scale of monitoring	extraction area and surroundings up to 500 m
Extraction areas	Yes
Regional Habitat Assessments	No
Type of monitoring	Seasonal (winter and summer at a minimum, up to 4 seasons)
° demersal fish community	Eastern Channel and Atlantic coast exploitation sites
° specific resources	Scallop (licensed area in Granulats Marins Havrais, Baie de Seine, Manche Orientale)
	Herring (licensed area in Dieppe/Gris Nez/ Côte d'Albatre)
	Sand eel (licensed area in Kafarnao)
	Sand eel and scallop (licensed area in La Horaine)
	Herring, lesser sand-eel, cod, sole, lemon sole, plaice, sprat, whiting (spawning ground), (licensed area in Saint-Nicolas) and mackerel, lesser sand-eel and lemon sole (nursery area) (Saint-Nicolas)
	Sole (spawning area) (licensed area in Astrolabe)
Fisheries activity	Logbook data
	fishing activity surveys (VALPENA, Portail halieutique DPMA)
	Also available but under-used by aggregate companies: VMS and production data for fisheries
Mitigation measures	Extraction areas open to fishing with on-time communication of extractions to fishermen

	Seasonal extraction restrictions associated with either fishing activity (for scallop or cuttlefish) or biological requirements (reproduction period, etc....)
	° winter restriction for biological constraints for herring (1st of November to 31st of January) (Dieppe)
	° spring restriction for biological constraints (March and aril) for all commercial species (Manche Orientale), and for sole (Nord Gascogne)
Other suggestions	° spring zoning for cuttlefish fishery (Dieppe)
Impact evidence	° winter restriction for scallop fishing activity (Granulats Marins Havrais)
References	Desprez <i>et al.</i> (2014); Marchal <i>et al.</i> (2014); ICES ASC 2016 : 2 presentations (impact & recovery)

**Germany.** No report

**Greenland and the Faeroes.** No report.

**Iceland.** No report.

**Ireland.** No report.

**Latvia.** No report.

**Lithuania.** No report.

**The Netherlands.** No report

**Norway.** No report.

**Poland.** No report

**Portugal.**

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DATA ON IMPACT (Y/N)      NO

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**Spain.** No report.

**Sweden.**

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DATA ON IMPACT (Y/N)      NO

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**United Kingdom.**

Sources	CEFAS, (MMO & BMAPA)
Data on impact	Yes
Contact Person	CF mail
Monitoring of impact (Yes/No)	Yes
public/private initiative	Mitigation rather than monitoring
Scale of monitoring	
Extraction areas	Historically
Regional Habitat Assessments	Anglian, Humber, South Coast, Eastern Channel
Type of monitoring	
° demersal fish community	No (Historical beam-trawl monitoring have ceased)
° specific resources	Scallop & sole (Hastings)
	Herring & sand eel (Regional Habitat Assessment)
	Scallop trawling (new sites Eastern Channel)
	Black seabream (South)
	Brown crab (eastern Channel) with baited pots
Fisheries activity	Logbook data since 1984 for brown crab
Mitigation measures	Temporal restrictions to protect vulnerable habitats and species
	° winter restriction (herring spawning period)
	° spring restriction (black seabream spawning grounds, sand eel ?)
	° habitat restriction (spawning herring & black seabream, sand eel)
Other suggestions	
Impact evidence	Unclear !
References	Kenny <i>et al.</i> , 2010; MALSF

**United States.** No report.

## **Annex 11: ToR L: Implications of Marine Spatial Planning on marine sediment extraction**

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Belgium. No report.

Canada. No report.

Denmark. No report.

Estonia. No report.

Finland. No report.

France. No report.

Germany. No report.

Greenland and the Faeroes. No report.

Iceland. No report.

Ireland. No report.

Latvia. No report.

Lithuania. No report.

The Netherlands. No report.

Norway. No report.

Poland. No report.

Portugal. No report.

Spain. No report.

Sweden. No report.

United Kingdom. No report.

United States. No report.



## Annex 12: Presentations to WGEEXT

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**Ad Stolk on monitoring.**

**Brigitte Lauwaert on deep-sea mining.**

**Matt Kinmond and Craig Loughlin on the jurisdiction of the Marine Management Organization and Marine licensing.**

**Louise Pell-Walpole and Maria Alvarez on responsibilities of the Joint Nature Conservation Committee and Natural England.**

**Jyrki Hämäläinen, Geological Survey of Finland.**

The Ministry of Environment has assigned the Geological Survey of Finland (GTK) to prepare a background paper on sustainable use of marine minerals and aggregates in Finland. This is part of the Finnish marine strategy which aims at achieving good environmental status (GES) in Finnish waters by 2020. The background paper will include e.g. summaries of existing legislation and permitting procedures, known resources and possible effects on the ecosystem. It will be used as a starting point for future work with a view to create national guidelines for sustainable use of marine mineral and aggregate resources. A relevant report from Sweden can be found at

<http://www.sgu.se/om-sgu/nyheter/2017/januari/forutsattningar-for-utvinning-av-marin-sand-och-grus-i-sverige/>

**Bryndis Guorun Robertsdottir on Granting offshore licenses in Iceland for non-energy mineral resources: Geological and environmental issues.**

**Adrian Judd (Cefas)**

**Cumulative effects assessment in the OSPAR Quality Status Report.**

Cumulative effects assessment (CEA) is a complex task. CEAs are undertaken to: protect the environment; comply with legislation and to inform decisions on the sustainable use of resources and sustainable development in the marine environment. Effective CEA has to be based on clearly defined questions and set in the context of national and international environmental, social and economic policies, i.e. needs input of scientists (environmental & social), economists, industry, marine managers and policy-makers. Traditional approaches to CEA are based on combining spatial data sets for human activities / pressures with habitat and species sensitivity to produce 'heat' maps of cumulative pressure. Whilst such approaches (and the associated maps) have utility they do not wholly meet the requirements of OSPAR to assess cumulative effects for the next Quality Status Report (QSR). As such OSPAR have applied an expanded methodology which sets the assessment in the context of environmental, social and economic drivers. A key focus is to determine the 'best-fit' between the discrete data streams and indicators and the most appropriate groupings and methods to combine parameters and indicators in the cumulative effects assessment. The aim is for the next QSR is to include a cumulative effects assessment based on a predefined set of cause - effect pathways aligned to the

OSPAR monitoring and assessment thematic work streams and indicators (Figure 20.7.1).

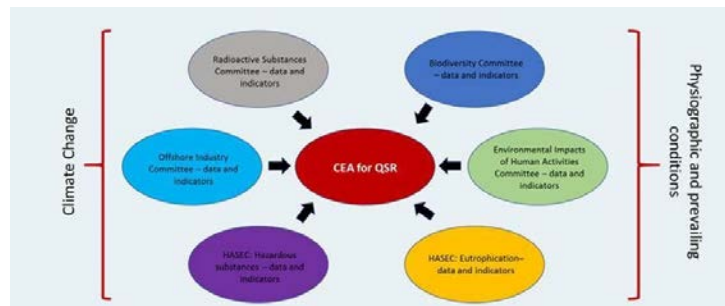


Figure 19.7.1. Data and indicators for the QSR applied in the CEA

The intention of applying this pragmatic approach is to build up a composite of comparable parameters in a way that the effects can be traced back to causal factors to inform management decisions. To ensure that (as far as possible) the combination of different exposure pathways and subsequent assessment methodologies are compatible a simple filter will be applied to: distinguish between point and dispersive pressures; the temporal and spatial (three-dimensional) behavior of the pressures and any pressure-effect consequences pertinent to the assessment (e.g. acute vs chronic effects). Once these filters and considerations have been applied the effects can be cumulated using the most appropriate method (Figure 20.7.2).

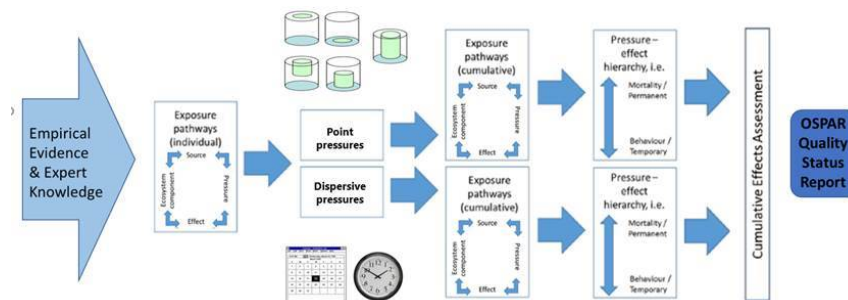


Figure 19.7.2. Managing the components of the CEA in the OSPAR QSR

A core element of the QSR is application of the EU MSFD at the Regional Sea level. Good Environmental Status is based on achieving and/or maintaining a desired state, which:

- Requires understanding pressures (and contributing activities)
- Requires understanding ecological & physical processes
- Indicators developed to look at change over time of certain features of the ecosystem
- Relates to understanding & managing hazards that might compromise that desired state

OSPAR are applying the ISO accredited risk assessment methodology Bow-tie Analysis to ensure that these issues are effectively and transparently considered within the assessment of cumulative effects (Figure 20.7.3)

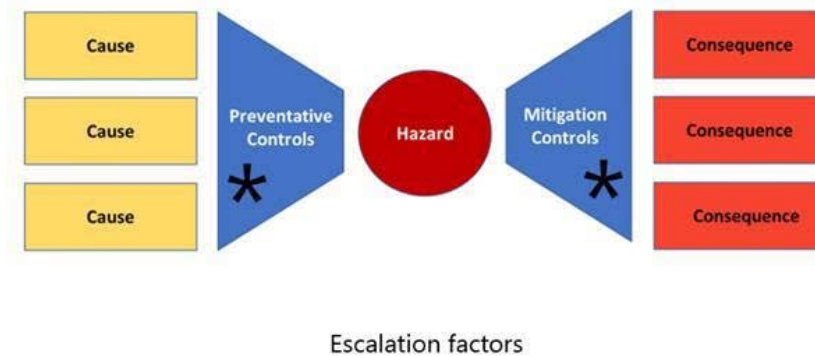


Figure 19.7.3. Bow-tie analysis.

The first step is to produce bow-ties for each OSPAR common indicator. The second step is to identify commonalities in effects between the bow-ties for different indicators and to establish a network of bow-ties linked by effects that cumulate. Bow-ties based CEA (ICES WKRASM Report 2014):

- allows users to assess the risks of a comprehensive set of pressures that are linked and that may lead to a (possibly) undesired change in the state of the ecosystem and, thus, fail to meet ecosystem objectives;
- allows a comprehensive overview and evaluation of management options (physical measures, licensing, etc.) to prevent the undesired effects of the pressures or to mitigate impacts of these effects;
- provides an overview of the failure mechanisms of management options being considered in the planning process;
- allows users to comprehend and monitor the effectiveness of the management options in terms of ecosystem objectives including economics and social aspects as well as reputation and presumed stakeholder positions; and,
- provides insight into the knowledge gaps that needs to be addressed leading to relevant research priority needs being identified.

**Tony Dolphin (Cefas) on Shingle radiofrequency ID.**

**Sven Kupschus (Cefas) on integrated modelling.**

**Keith Cooper and Jon Barry (Cefas)**

Big data approach to macrofaunal baseline assessment, monitoring and sustainable development of the seabed

In this study we produce a standardised dataset for benthic macrofauna and sediments through integration of data (33,198 samples) from 777 grab surveys. The resulting dataset is used to identify spatial and temporal patterns in faunal distribution around the UK,

and the role of sediment composition and other explanatory variables in determining such patterns. We show how insight into natural variability afforded by the dataset can be used to improve the sustainability of activities which affect sediment composition, by identifying conditions which should remain favourable for faunal recolonization. Other big data applications and uses of the dataset are discussed.

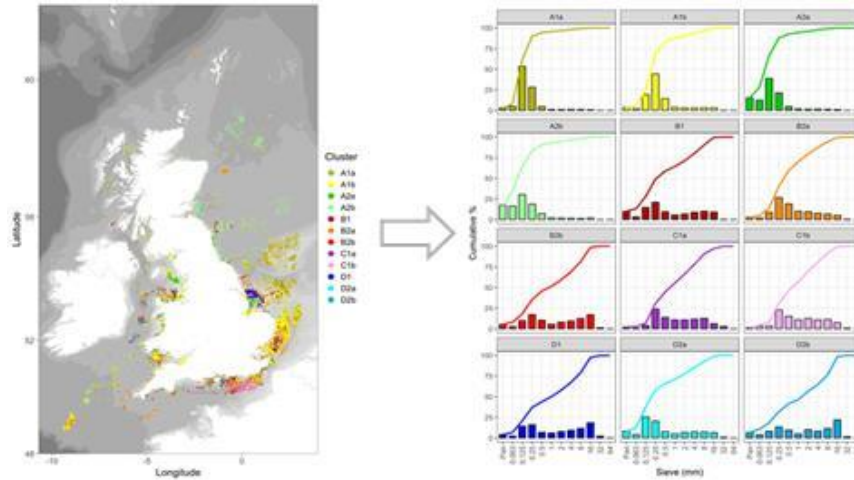


Figure 19.10.1. Mean cumulative sediment distribution plots with accompanying histogram for each faunal cluster group.

## Annex 14: OSPAR National Contact Points for Sand and Gravel Extraction

Belgium	<p>Ms Brigitte Lauwaert  Operational Directorate Nature  Management Unit of the North Sea Mathematical Models (MUMM)  Gulledelle 100  B-1200 Brussels  BELGIUM  Tel: 00 32 2 773 2120  Fax: 00 32 2 770 6972  E-mail: <a href="mailto:brigitte.lauwaert@naturalsciences.be">brigitte.lauwaert@naturalsciences.be</a></p>
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Ireland	<p>Pending</p>
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Portugal	<p>Ms Leonor Cabeçadas          Institute of Environment          Ministry of Environment, Land planning and Regional Development          Rua da Murgueira 9/9A          Zambujal Ap. 7585          P-2611-865 Amadora          PORTUGAL Tel : 00 351 21 472 1422          Fax : 00 351 21 472 8379          Email : leonor.cabecadas@iambiente.pt</p>
Spain	<p>Fernández Pérez          Director General for Coasts          Ministry of Environment          Pza San Juan de la Cruz, s/n          28003 Madrid          SPAIN          Tel: 00 34 91 597 6062/6041          Fax: 00 34 91 597 5907</p> <hr/> <p>Marta Martínez-Gil Pardo de Vera          Directorate for Coast and Sea Sustainability          Ministry of Agriculture, Food and Environment          Pza. San Juan de la Cruz s/n          E-28071 Madrid          SPAIN          Phone: (+34) 91 597 559          E-mail: MMGil@magrama.es</p>

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