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1    **A four-year record of  $U_{37}^{K'}$  - and TEX<sub>86</sub>-derived sea surface temperature estimates**  
2    **from sinking particles in the filamentous upwelling region off Cape Blanc,**  
3    **Mauritania**

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20   **Abstract**

21   Lipid biomarker records from sinking particles collected by sediment traps can be used  
22   to study the seasonality of biomarker production as well as processes of particle  
23   formation and settling, ultimately leading to the preservation of the biomarkers in  
24   sediments. Here we present records of the biomarker indices  $U_{37}^{K'}$  based on alkenones  
25   and  $TEX_{86}$  based on isoprenoid glycerol dialkyl glycerol tetraethers (GDGTs), both used  
26   for the reconstruction of sea surface temperatures (SST). These records were obtained  
27   from sinking particles collected using a sediment trap moored in the filamentous  
28   upwelling zone off Cape Blanc, Mauritania, at approximately 1300 water depth during a  
29   four-year time interval between 2003 and 2007, and supplemented by  $U_{37}^{K'}$  and  $TEX_{86}$   
30   determined on suspended particulate matter collected from surface waters in the study  
31   area. Mass and lipid fluxes are highest during peak upwelling periods between October  
32   and June. The alkenone and GDGT records both display pronounced seasonal variability.  
33   Sinking velocities calculated from the time lag between measured SST maxima and  
34   minima and corresponding index maxima and minima in the trap samples are higher for  
35   particles containing alkenones (14-59 m d<sup>-1</sup>) than for GDGTs (9-17 m d<sup>-1</sup>). It is suggested  
36   that GDGTs are predominantly exported from shallow waters by incorporation in opal-  
37   rich particles. SST estimates based on the  $U_{37}^{K'}$  index correspond to the amplitude  
38   observed fluctuations in SST during the study period. Temperature estimates based on  
39    $TEX_{86}$  show smaller seasonal amplitudes, which can be explained by either predominant  
40   production of GDGTs during the warm season, or a contribution of GDGTs exported from  
41   deep waters, which are in this region known to carry GDGTs in a distribution that  
42   translates to a high  $TEX_{86}$  signal.

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46   **Key words:** Sinking particles, particle flux,  $U_{37}^{K'}$ ,  $TEX_{86}$ , coastal upwelling system

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## 1. Introduction

Reconstruction of sea surface temperature (SST) based on geochemical proxies has for the past decades been one of the central themes in paleoclimate research. Among the geochemical proxies, two relatively well-established proxies rely on the abundance ratio of specific organic biomarkers in the sediments. The degree of unsaturation of C<sub>37</sub> alkenones produced predominantly by specific Prymnesiophyceae, namely *Emiliania huxleyi* and *Gephyrocapsa oceanica*, commonly expressed using the  $U_{37}^{K'}$  index (Prahll and Wakeham, 1987), shows a linear correlation with SST and has supported important insights into the climate system (e.g., Kienast *et al.*, 2006; Lamy *et al.*, 2004; Rühlemann *et al.*, 1999). The tetraether index of glycerol dialkyl glycerol tetraethers (GDGTs) with 86 carbon atoms (TEX<sub>86</sub>) (Schouten *et al.*, 2002)) is based on the distribution of membrane lipids of marine planktonic Thaumarchaeota, formerly known as Group I Crenarchaeota (e.g., Brochier-Armanet *et al.*, 2008; Spang *et al.*, 2010). It is increasingly applied in paleoclimatic studies, often in areas with sediments that lack sufficient quantities of alkenones and in studies of pre-Pleistocene time periods (e.g., Kim *et al.*, 2012, Etourneau *et al.*, 2013; Shevenell *et al.*, 2011; Sluijs *et al.*, 2006).

Global core-top calibrations of the SST proxies  $U_{37}^{K'}$  (Conte *et al.*, 2006; Müller *et al.*, 1998) and TEX<sub>86</sub> (Kim *et al.*, 2010) allow the general assumption that the indices correlate with annual mean SST. However, as the source organisms are known or inferred to occur seasonally (e.g., Brassell *et al.*, 1986; Conte *et al.*, 2006; Herfort *et al.*, 2006; Leider *et al.*, 2010; Martínez-García *et al.*, 2009; Schneider *et al.*, 2010; Sikes *et al.*, 2002; Wuchter *et al.*, 2006), it is conceivable that the proxy signals should rather correspond to the respective season of maximum production, which will be site dependent. A further complication for the interpretation of TEX<sub>86</sub> stems from several recent studies that have suggested that export of GDGTs may occur primarily from subsurface waters between 40 m and 150 m rather than from surface waters (Chen *et al.*, 2014; Huguet *et al.*, 2007; Lee *et al.*, 2008; Lopes dos Santos *et al.*, 2010). These studies based their interpretation on a better agreement of reconstructed temperatures using published calibrations of TEX<sub>86</sub> (hereafter referred to as TEX<sub>86</sub>-temperatures) with temperatures observed at deeper water depths than with SST. Consequently, when deviations between SST reconstructions based on  $U_{37}^{K'}$  and TEX<sub>86</sub> are encountered, they are often attributed to a potential difference in seasonality (e.g., Castañeda *et al.*, 2010;

Huguet *et al.*, 2006b; Lopes dos Santos *et al.*, 2013), or to a difference in depth habitat (e.g., Kim *et al.*, 2012; Lopes dos Santos *et al.*, 2010; Rommerskirchen *et al.*, 2011). However, recent studies on suspended particulate matter (SPM) collected from waters deeper than approximately 200 m have revealed that Thaumarchaeota living in these water depths likely produce GDGTs carrying a TEX<sub>86</sub>-temperature different from the temperature relationship observed for surface sediment and SST, resulting in unrealistically high temperature estimates (Basse *et al.*, 2014; Schouten *et al.*, 2012).

In order to be preserved in sediments, the lipids synthesized in near-surface waters need to be exported to the deep ocean. There is evidence for the lipids to be incorporated in zooplankton faecal pellets (Grice *et al.*, 1998; Huguet *et al.*, 2006a). Alternatively to being grazed on by zooplankton, intact cells of the precursor organisms might become entrained in marine snow particles. The rate at which particles carrying lipids sink to the deep ocean is an important variable in determining the efficiency of export. Degradation rates strongly depend on packaging and on sinking velocities (Iversen and Ploug, 2010). It has been observed that the type of ballasting material in marine snow aggregates (e.g., carbonate or opal) determines the sinking velocity, where carbonate-ballasted aggregates sink up to 2.5 times faster than opal-ballasted aggregates (Iversen and Ploug, 2010). For GDGTs, in particular, understanding the process of export is important, as the small (<1 µm) and neutrally buoyant Thaumarchaeota cannot sink by themselves. Thaumarchaeota are distributed throughout the entire water column (Karner *et al.*, 2001), although cell numbers decrease with increasing depth. Since TEX<sub>86</sub> is globally most highly correlated with SST, an efficient export of Thaumarchaeotal GDGTs from surface waters must exist. It has been proposed that GDGTs from surface waters in the Black Sea are preferentially exported due to grazing and packaging into sinking particles, which occurs primarily in shallow water depths (Wakeham *et al.*, 2003). This was supported by the fact that the distribution of GDGTs in the deep waters was completely different from that in the surface sediments. However, little is still known about the exact process of export of these lipids. All in all, it appears that there is still an incomplete understanding of seasonality of the source organisms in the World's ocean, how the lipids produced by the planktonic organisms are exported to the sediments, and which processes govern their export.

Samples collected by sediment traps are excellently suited for the study of organic biomarkers on their way to the sediment (Müller and Fischer, 2001). They allow a direct

comparison of the signals recorded with the actual SST prevailing at the time of production and, thus, assessment of whether or not the exported material does reflect sea-surface or sub-surface conditions. In a recent review study, Rosell-Melé and Prahl (2013) examined the influence of seasonality of alkenone production on alkenone flux to the sediment and the integrated sedimentary signal by using sediment trap data from all over the world. For their study, they used published alkenone flux data from sediment trap time series from 34 locations. Large regions of the world remain under-represented in this compilation, including much of the Atlantic Ocean and most of the Southern Hemisphere. Only about one third of those records cover more than one year.

In contrast, only six marine sediment-trap time series have been published for TEX<sub>86</sub> (Fallet *et al.*, 2011; Huguet *et al.*, 2007; McClymont *et al.*, 2012; Turich *et al.*, 2013; Wuchter *et al.*, 2006; Yamamoto *et al.*, 2012), and only some of these also include alkenone data for comparison. Wuchter *et al.* (2006) observed a strongly seasonally varying GDGT flux and TEX<sub>86</sub>-temperatures corresponding to SST in a shallow trap (500 m water depth), and more seasonally averaged signals in deeper traps. Weak seasonal variations and a better agreement of TEX<sub>86</sub>-temperatures with mean annual temperatures were also observed in other sediment trap studies, in particular in deeper traps (Fallet *et al.*, 2011; McClymont *et al.*, 2012; Turich *et al.*, 2013; Yamamoto *et al.*, 2012).

Here we present records of sinking particles collected using a sediment trap moored at a eutrophic location within the Cape Blanc filament at approximately 1300 m water depth off Cape Blanc, Mauretania, spanning a four-year period from 2003 to 2007. Our data set includes mass fluxes, fluxes of alkenones and GDGTs as well as  $U_{37}^{K'}$  and TEX<sub>86</sub>. We observe pronounced seasonal variations in all records. Besides, we compare  $U_{37}^{K'}$  and TEX<sub>86</sub> signals of SPM from surface waters with in-situ temperature at the time of collection. We discuss the implications for seasonal occurrence, export mechanisms, calibrations, and consequences for the use of the proxies in sediments.

## 2. Study Area

The site of the sediment trap mooring array CB<sub>eutrophic</sub> (CBeu) is located off Mauritania (Northwest Africa) within the Canary Current (CC) System, which is one of the four major Eastern Boundary Upwelling Ecosystems (EBUEs) (Fig. 1). EBUEs are important ecosystems characterized by high primary production (Carr *et al.*, 2006) and

elevated organic carbon export to the deep ocean. Despite their relatively small size, they contribute roughly 10% to the total global marine primary production (Behrenfeld and Falkowski, 1997). As for other EBUEs, the Canary Current System has several well-defined subzones/biomes (Fréon *et al.*, 2009). The area offshore Cape Blanc between 19 and 24°N (north of the Cape Verde Frontal Zone, CVFZ) is defined as a northern Inter-Gyre region with weaker seasonality and more persistent upwelling but with peaks in winter to spring and fall (e.g., Lathuilière *et al.*, 2008; Mittelstaedt, 1991). The trade winds blow rather continuously all year long, being intensified sometime around springtime (e.g., Meunier *et al.*, 2012). This results in intensified upwelling between approximately January and June. In the Mauritanian upwelling, the biological response, i.e. higher chlorophyll standing stocks to alongshore wind forcing appears to be almost immediate (Pradhan *et al.*, 2006). At Cape Blanc, a tongue of cold water spreads several hundreds of kilometres offshore, forming the ‘giant Cape Blanc filament’ (Van Camp *et al.*, 1991), the largest filament within all EBUEs.

Lathuilière *et al.* (2008) provide a comprehensive overview of the ocean circulation of the study area. The cold and nutrient-rich southward flowing CC departs from the coast and later forms the North Equatorial Current (NEC) (Fig. 1). During summer and fall, a poleward flow along the Mauritanian coast, the Mauritanian Current (MC) originating from the North Equatorial Counter Current (NECC), moves to about 20°N (Mittelstaedt, 1991) bringing warmer water masses from tropical areas into the study site. There, a NE-SW oriented salinity front in the subsurface waters, the CVFZ, is found (Zenk *et al.*, 1991) (Fig. 1), separating the salty and nutrient-poor North Atlantic Central Water (NACW) from the nutrient-rich and cooler South Atlantic Central Water (SACW). Both water masses may be upwelled and mixed laterally and frontal eddies develop at the CVFZ (Meunier *et al.*, 2012). South of the CVFZ, a recirculation gyre between 10 and 19°N is found, with stronger seasonality and a large offshore extension of the high-chlorophyll area from February to May (Lathuilière *et al.*, 2008).

### 3. Material and Methods

#### 3.1 Sample material

The sediment trap CBeu was moored at a eutrophic site within the filamentous zone of the Cape Blanc upwelling cell at a water depth of approximately 1300 m (Fig. 1). The water depth at this site is approximately 2690 m. We used samples collected during

four deployment periods between June 2003 and March 2007. Exact sampling periods and mooring locations are summarized in Table 1. The trap consisted of a Kiel-type large-aperture sediment trap with an opening of 0.5 m<sup>2</sup> covered with a honeycomb baffle to reduce turbulence. The traps were equipped with 20 cups, which were poisoned using HgCl<sub>2</sub> before and after deployment by addition of 1 mL of a saturated HgCl<sub>2</sub> solution in distilled water at 20°C per 100 mL. Pure NaCl was used to increase the density in the cups (40‰) prior to the deployments. Large swimmers were removed manually, and other swimmers were removed by filtering carefully through a 1 mm sieve. Thus all fluxes here refer to the size fraction of <1mm. In almost all cases, the fraction of particles >1mm was negligible. Samples were wet-split in the home laboratory using a rotating McLane wet splitter system and subsequently freeze-dried (for a detailed description of the methods see Fischer and Wefer 1991).

Surface sediment sample GeoB13612-3 was taken at the trap mooring location (20°45.1'N, 18°42.04'W, 2691 m water depth) using a multi-corer during R/V Maria Sibylla Merian (MSM) cruise 11-2 (Bickert *et al.*, 2011). One subcore of the multi-core was sectioned on-board in 1 cm slices and stored in pre-combusted glass jars at -20°C until further processing in the laboratory. Here we analysed only the uppermost 0-1 cm.

Suspended particulate material (SPM) was collected in March and April 2009 during cruising and station work of cruise MSM 11-2 and in February and March 2010 during RV Poseidon cruise POS 396 (Fischer and participants, 2011) by filtering seawater taken from the ship's sea-water inlet located at approximately 5 m below sea-level. Approximately 45-300 L of seawater were filtered onto pre-combusted 142 mm diameter GF/F filters, and SST at the time and location of sampling were recorded (see Table 2 for exact locations and volumes). Filters were wrapped in pre-combusted Aluminium-foil and stored at -20°C until further processing in the home laboratories. The sampling periods correspond to the early to peak upwelling season in the study region. SSTs during sampling were recorded by the ships' thermometer.

### 3.2 Laboratory methods

Sediment trap samples were analyzed according to Fischer and Wefer (1991) using 1/5 wet splits of the fraction <1mm. Samples were freeze-dried, and the homogenized samples were weighed for total mass and analysed for organic carbon, total nitrogen, carbonate and biogenic opal. Total organic carbon (TOC), total nitrogen (TN) and calcium carbonate were measured by combustion with a CHN analyzer



(HERAEUS). TOC was measured after removal of carbonate with 2 N HCl. Overall analytical precision based on internal lab standards was better than 0.1% ( $\pm 1\sigma$ ). Carbonate was determined by subtracting TOC from total carbon, the latter being measured by combustion without pre-treatment with 2N HCl. Biogenic opal was determined with a sequential NaOH-leaching technique (Müller and Schneider, 1993). The precision of the overall method based on replicate analyses is mostly between  $\pm 0.2$  and  $\pm 0.4\%$ , depending on the material analyzed. For a detailed table of standard deviations for various samples we refer to Müller and Schneider (1993). Lithogenic fluxes were calculated from total mass flux by subtracting the flux of carbonate, opal and two times the flux of TOC to approximate organic matter.

Freeze-dried sediment trap and surface sediment samples were homogenized using an agate mortar and pestle. Filters were oven dried at 36°C for 24 h. After addition of known amounts of squalane, C<sub>19</sub> ketone as internal standard, filters, 0.04 to 0.1 g of homogenized sediment trap samples and 3 g of surface sediment were extracted according to the protocol described by Müller et al. (1998). Briefly, total lipid extracts (TLEs) were obtained using ultrasonic disruptor probes and 25 mL of successively less polar (methanol, methanol:dichloromethane 1:1 v:v, dichloromethane) solvents. Extracts were combined and washed with 50mL de-ionized water to remove salts. The organic solvent fraction was recovered, dried with anhydrous sodium sulphate and reduced to dryness using rotary evaporation. TLEs were saponified in a solution of potassium hydroxide in methanol and water (9:1 v:v) at 80°C for two hours. Neutral lipids recovered in hexane were subsequently fractionated into three polarity fractions using Bond-Elut silica gel cartridges. Fractions 1 to 3 were eluted in 2 mL each of hexane, hexane:dichloromethane 1:2 v:v, and methanol, respectively.

Fractions 2 were dried, re-dissolved in 25  $\mu$ L of dichloromethane:methanol (1:1 v:v) and analysed for alkenone concentrations and relative abundances using an Agilent 5890 gas chromatograph equipped with a DB5-MS capillary column and a flame ionization detector. Alkenones were identified based on relative retention time and comparison with a laboratory-internal standard sediment, and the  $U_{37}^{K'}$  index was calculated using peak areas of the di- and tri-unsaturated C<sub>37</sub> alkenones, i.e. C<sub>37:3</sub> and C<sub>37:2</sub> alkenones according to Prahl and Wakeham (1987).

$$U_{37}^{K'} = \frac{[C_{37:2}]}{[C_{37:2}] + [C_{37:3}]} \quad (1)$$

$U_{37}^{K'}$  values were converted to temperature estimates using the temperature calibrations of Conte et al. (2006).

$$T (^{\circ}\text{C}) = -0.957 + 54.293 \times (U_{37}^{K'}) - 52.894 \times (U_{37}^{K'})^2 + 28.321 \times (U_{37}^{K'})^3 \text{ (for SPM)} \quad (2)$$

$$\text{annual mean SST (AnnO) } (^{\circ}\text{C}) = 29.876 \times (U_{37}^{K'}) - 1.334 \text{ (for core-top sediments)} \quad (3)$$

Concentrations were determined relative to the internal standard  $C_{19}$  ketone and assuming the same relative response factor for  $C_{37}$  alkenones as for  $C_{36}$  *n*-alkane determined in external standard runs. Analytical precision based on repeated analyses of the lab-internal sediment standard is  $\pm 0.01$  units of the  $U_{37}^{K'}$  index and 10% for alkenone concentrations.

Fractions 3 containing the polar lipids were weighed in pre-weighed glass vial inserts, re-dissolved in hexane:isopropanol (99:1 v:v) to obtain a concentration of 2 mg/mL and filtered through 4 mm diameter 0.45  $\mu\text{m}$  pore size PTFE syringe filters as described by Hopmans et al. (2000). The filtered fractions were analysed for GDGTs using high performance liquid chromatography/atmospheric pressure chemical ionization mass spectrometry (HPLC/APCI-MS) according to the protocol described by Schouten et al. (2007). Trap series CBeu-2o to CBeu-4o were analysed in Bremen using an Agilent 1200 series HPLC coupled with an Agilent 6120 MSD using single ion monitoring mode. Details of the method are described in Leider et al. (2010). Peak areas of masses ( $M/Z$ ) 1302, 1300, 1298, 1296, 1292 for isoprenoidal GDGTs and 1050, 1036 and 1022 for branched GDGTs were determined.  $\text{TEX}_{86}$  and BIT index were calculated according to Schouten et al. (2002) and Hopmans et al. (2004), respectively.

$$\text{TEX}_{86} = \frac{[\text{GDGT-2}] + [\text{GDGT-3}] + [\text{Cren}']}{[\text{GDGT-1}] + [\text{GDGT-2}] + [\text{GDGT-3}] + [\text{Cren}']} \quad (4)$$

where the numbers refer to the numbers of rings in the isoprenoid GDGTs, respectively,  
and Cren' is the regio-isomer of crenarchaeol.

$$\text{BIT index} = \frac{[\text{Ia}] + [\text{IIa}] + [\text{IIIa}]}{[\text{Ia}] + [\text{IIa}] + [\text{IIIa}] + [\text{IV}]} \quad (5)$$

Here, the roman numerals refer to the branched GDGTs with m/z of their hydrogenated  
molecular ions of 1022 (Ia), 1036 (IIa), and 1050 (IIIa), while (IV) is crenarchaeol.

TEX<sub>86</sub> values were converted to temperatures using the global core-top  
calibrations for 0 m water depth (eq. 6; Kim et al., 2010) and for 0-200 m water depth  
(eq. 7; Kim et al., 2012):

$$T (^{\circ}\text{C}) = 68.4 \times \log(\text{TEX}_{86}) + 38.6 \quad (6)$$

$$T (^{\circ}\text{C}) = 54.7 \times \log(\text{TEX}_{86}) + 30.7 \quad (7)$$

Furthermore, a temperature calibration for TEX<sub>86</sub> obtained on SPM from <100 m  
water depth (Schouten et al., 2013) was used:

$$T (^{\circ}\text{C}) = 59.6 \times \log (\text{TEX}_{86}) + 32.0 \quad (8)$$

Concentrations of GDGTs could not be determined due to the lack of an internal  
standard at the time of analysis. However, the relative variability of GDGT  
concentrations were determined using the peak areas, injection volume and sediment  
mass. GDGT concentrations therefore can only be expressed in arbitrary units, but the  
relative changes within a trap series remain valid. Analytical precision based on

repeated analyses of the lab-internal sediment standard is  $\pm 0.01$  units of the TEX<sub>86</sub> index.

GDGTs of trap series CBeu-10 and a set of five selected samples from the later series were analysed at the Royal Netherlands Institute for Sea Research using an Agilent 1100 series HPLC/APCI-MS instrument as described by Schouten et al. (2007). The results of the selected repeat analyses are identical within error with the measurements in Bremen, as was to be expected according to the inter-laboratory comparison experiment (Schouten *et al.*, 2013a).

## 4. Results

### 4.1 Alkenone and GDGT composition of suspended particulate matter

The  $U_{37}^{K'}$  values obtained for 37 samples of SPM collected on filters from the ships' sea water inlets during cruises MSM11-2 and POS396 range from 0.31 to 0.86, and TEX<sub>86</sub> values vary between 0.47 and 0.71 (Table 2). The  $U_{37}^{K'}$  displays a relationship with measured SST that is in agreement with the global relationship Conte et al. (2006) reported for SPM (Figure 2a). Three samples (filters 21, 32, and 37; Table 2) have a lower  $U_{37}^{K'}$  value than expected for the respective observed SST when compared with the published data set. The TEX<sub>86</sub> values are also within the range of observed SPM TEX<sub>86</sub> as compiled by Schouten et al. (2013b) (Figure 2b). However, our data cluster below the regression line indicating that the proposed calibration would yield lower TEX<sub>86</sub>-temperatures than measured.

When compared with the core-top sediment data set of Conte et al. (2006), the SPM  $U_{37}^{K'}$  values are lower at a given SST than those in observed in sediments, particularly around 20°C, while those of our SPM  $U_{37}^{K'}$  from the warmest regions do not show such a mismatch (Figure 2c). In contrast, the TEX<sub>86</sub> values of SPM at the given SST are within the range observed in the global core-top dataset used for the most recent calibration (Figure 2d) (Kim *et al.*, 2010).

### 4.2 Mass, alkenone and GDGT fluxes

Mass fluxes to the sediment trap range between approximately 5 and 1500 mg m<sup>-2</sup> d<sup>-1</sup> (mean  $\pm$  std dev.: 340 $\pm$ 290 mg m<sup>-2</sup> d<sup>-1</sup>) and display a distinct seasonal cycle (Figure 3f). Maxima of 0.6 to 1.5 g m<sup>-2</sup> d<sup>-1</sup> are reached during the winter to spring

(December/January to April/May) upwelling season, while the minima generally occur in late summer and autumn. An inter-annual variability is also evident, resulting in strongly variable absolute flux values both during the high and low flux seasons. In particular, hardly any material reached the trap during the low flux seasons in 2004 and 2006, while in 2005 even a secondary flux maximum was observed in autumn. Besides, the season of highest flux varies between February to May in 2004, December to March in 2005, and March to April in 2006. Summer (June to August) maxima occur in 2003 and 2006.

Fluxes of opal and total organic carbon (TOC) co-vary seasonally with the total fluxes and range between 0.5 - 290 mg m<sup>-2</sup> d<sup>-1</sup> and 0.8 - 97 mg m<sup>-2</sup> d<sup>-1</sup>, respectively (Figure 3f). Both these fluxes are strongly correlated with total flux ( $r^2=0.83$  for opal and  $r^2=0.90$  for TOC; Figure 4 a, b). Likewise, carbonate and lithogenic fluxes display seasonal variations (1.4 - 920 mg m<sup>-2</sup> d<sup>-1</sup> and 0 - 540 mg m<sup>-2</sup> d<sup>-1</sup>, respectively) and are correlated with mass flux, but their correlation coefficients ( $r^2=0.75$  for carbonate and  $r^2=0.71$  for lithogenic matter; not shown) are slightly weaker. The inter-annual variability of carbonate and lithogenic fluxes is the strongest factor in the inter-annual variability of the mass flux. For example, the maximum in mass flux of 1520 mg m<sup>-2</sup> d<sup>-1</sup> observed in December 2004 is to a large extent caused by a maximum in carbonate flux of 920 mg m<sup>-2</sup> d<sup>-1</sup>. On the other hand, the summer-autumn maximum in mass flux observed in 2005 is dominated the flux of lithogenic material of up to 240 mg m<sup>-2</sup> d<sup>-1</sup>.

Fluxes of alkenones and total isoprenoidal GDGTs (Figure 3c,e) also co-vary with mass flux and show a distinct seasonality with maxima during the “high flux periods” in the peak upwelling season and minima in the season of weaker upwelling. The GDGT flux is strongly correlated with mass flux ( $r^2=0.79$ ), TOC flux ( $r^2=0.81$ ) and opal flux ( $r^2=0.68$ ) (Figure 4 e, f), while its correlation with carbonate flux is lower ( $r^2=0.52$ ). In contrast, the correlations of alkenone flux with TOC flux ( $r^2=0.63$ ) and mass flux ( $r^2=0.55$ ; not shown) are less pronounced, as is its correlation with opal flux ( $r^2=0.6$ ). The alkenone flux is only weakly correlated with carbonate flux ( $r^2=0.42$ ; Figure 4d). Alkenone flux rates range between 0.8 and 38 mg m<sup>-2</sup> d<sup>-1</sup>. The GDGT flux is only given in arbitrary units due to the lack of an internal standard material at the time of analyses (see methods).

#### *4.3 Seasonal variations of biomarker proxies in sinking particles*

The four-year record of  $U_{37}^{K'}$  is clearly seasonal with values ranging between 0.57 and 0.92 ( $\pm 0.01$ ), an amplitude of approximately 0.35 (Figure 3b). Maxima occur in autumn or winter (October to January), which is one to three months after SST (as determined by Advanced Very High Resolution Radiometer (AVHRR) at 20.45°N, 18.41°W) reaches maximum values (Figure 3a). Likewise, the minima in  $U_{37}^{K'}$  are shifted by 1-3 months relative to the observed SST minima. The flux-weighted average  $U_{37}^{K'}$  value over the investigated time period is 0.72 (Table 3).

The TEX<sub>86</sub> record of the sinking particles collected in the sediment trap is presented in Figure 3d. A seasonal variability is clearly evident with values ranging between 0.55 and 0.67. Again, maxima and minima are delayed relative to the SST maxima and minima observed by satellite (Figure 3a), and the phase shift is even larger than the 1-3 months observed in the alkenone record. Notably, GDGT concentrations in particles sinking during the periods of low flux were often too low to permit determination of TEX<sub>86</sub>. The flux weighted average TEX<sub>86</sub> value (calculated only for the time period for which the GDGT flux was determined, namely CBeu-2 to CBeu-4 sample series) is 0.61, (Table 3). Branched GDGTs could not be detected in all samples. Where BIT index values could be calculated they are  $< 0.006$  (not shown here).

#### 4.4 Alkenone and GDGT composition of surface sediment

In the surface sediment (0-1 cm of multicore GeoB13612-3), a  $U_{37}^{K'}$  value of 0.74 was measured. The surface sediment of GeoB13612-3 had a TEX<sub>86</sub> value of 0.61 as reported previously (Basse *et al.*, 2014).

## 5. Discussion

### 5.1 Seasonality of lipid fluxes and estimated particle settling rates

All measured fluxes are seasonal in nature and reflect the productivity pattern at the Cape Blanc upwelling region (Figure 3). Highest total fluxes occur during or slightly after the season of strongest upwelling. In the summer/autumn (July to November) seasons of 2004 and 2006 all fluxes are low, whereas in 2003 and 2005, secondary flux maxima are observed between July and October. Winter 2004/2005 is characterized by an early high flux season during which the highest total fluxes of the entire study period are observed. Alkenone and GDGT fluxes are correlated with mass flux. This correlation, however, does not unambiguously prove that production of these lipids has the same

seasonal variability as mass flux resulting from total primary productivity. Rather, fluxes of lipids could be strongly controlled by how and into what type of sinking particles the respective lipids are incorporated (cf. Rosell-Melé and Prahl, 2013; Wuchter *et al.*, 2006), i.e. the fluxes of alkenones and GDGTs are high at the peak in mass flux because this acts as an important mechanisms for transporting these lipids to the seafloor and not because their concentration in SPM are highest at that time.

In general, the seasonal variations in  $U_{37}^{K'}$  and  $TEX_{86}$  resemble the seasonal changes in SST. However, the maxima and minima of the respective index values are delayed with respect to those of satellite SST (Figure 3). This is a well-known phenomenon and has been observed in many sediment trap studies for  $U_{37}^{K'}$  (e.g., Müller and Fischer, 2003) as well as for  $TEX_{86}$  (Wuchter *et al.*, 2006), where it has been explained as being due to slow sinking of particles through the water column. Consequently, the delays have been used to estimate sinking velocities of particles containing the respective lipids. For this purpose, the mooring depth of the trap is divided by the time lag between maximum (minimum) temperatures of satellite measurements and the consecutive collection period of the sediment trap in which the highest (lowest)  $U_{37}^{K'}$  or  $TEX_{86}$  values are recorded (cf. Fischer and Karakas, 2009). It has to be noted, though, that by using this approach, the time required for lipids to be incorporated into sinking particles prior to being exported will not be considered separately (see also discussion below). The time period used to calculate sinking velocities thus includes the time before the formation of a sinking particle as well as the actual sinking process. At our trap site, we thus determine sinking rates for alkenone-containing particles of 17-59 m d<sup>-1</sup> for the summer and from 14-30 m d<sup>-1</sup> for the cold upwelling season. It has to be noted that sinking rates for the cold upwelling season are rather difficult to determine and thus need to be regarded less reliable, as the minima in the  $U_{37}^{K'}$  (and  $TEX_{86}$ ) record are not well pronounced due to low lipid concentrations in the respective samples that did not always allow the determination of an index value. This implies that alkenone (and GDGT) production during peak upwelling is reduced, or export of the respective lipids is less efficient due to a lower total flux.

The sinking rates we determined for alkenones are considerably lower than rates reported for a nearby location in the mesotrophic filamentous region off Cape Blanc, where Müller and Fischer (2001) reported sinking rates of 80 and 280 m d<sup>-1</sup> for the low and high particle flux periods, respectively. For the same site, Fischer and Karakaş

(2009) reported sinking rates of 95-130 m d<sup>-1</sup> determined from mass flux patterns. Lower values were observed during a diatom-rich winter-spring bloom, while the higher values were determined for particles dominated by coccolithophorids sinking during summer. This is in agreement with experimental data suggesting that particles containing coccolithophorids sink faster than particles containing diatoms (Iversen *et al.*, 2010). Higher relative abundances of diatoms in sinking particles containing both coccolithophorid and diatom detritus at our eutrophic study site might thus account for the lower rates observed here than published rates for the mesotrophic location.

When assuming predominant export of GDGTs from near-surface waters, sinking rates (including time of formation of a sinking particle) determined for GDGT containing particles are substantially lower than for alkenones and vary between 9-17 m d<sup>-1</sup>, suggesting that these lipids are transported in the water column via other particle types than the alkenones. Together with the strong correlation of GDGT fluxes with opal and mass fluxes (Figure 4), this suggests that export of these lipids is generally tied to diatom-dominated fluxes. A similar observation was made in the North Pacific, where the authors noted good correlations of GDGT fluxes with those of TOC, opal and lithogenic particles and hypothesized that GDGTs sank with the main components of organic material (Yamamoto *et al.*, 2012).

It is known that sinking rates of particles increase with increasing depth (Berelson, 2001; Fischer and Karakas, 2009), and sinking rates calculated in the manner described above are average values for the entire water column interval between the depth of production (surface) and the mooring depth of the sediment trap. A plausible scenario explaining this observation is that the average time a particle remains in suspension until it is incorporated in sinking particles (i.e., faecal pellets or marine snow aggregates of sufficient density) differs between water depths, and likely also between particle types. The time differences between observed SST maxima and minima and index maxima and minima are therefore probably more accurately described as delays in export.

For GDGT containing particles, the time period between formation and export may be up to 4 months, if high sinking rates are assumed for particles sinking below the zone of aggregation. It is conceivable that the average time period passing before the GDGTs produced in near-surface waters become incorporated into sinking particles depends also on the total flux in the region. In upwelling regions with pronounced seasonal flux peaks like our study region, GDGTs in the surface waters might be effectively scavenged



and “rained out” during those seasonal peaks, whereas in more oligotrophic regions lacking sufficient ballasting material, the average residence time of GDGTs in surface waters might be longer. The observed seasonality in GDGT fluxes alone therefore does not provide evidence for or against a distinct cycle in seasonal abundance of Thaumarchaeota.

## 5.2 Comparison of reconstructed and satellite SST

### 5.2.1 Alkenone thermometry

$U_{37}^{K'}$ -based SST reconstructions from the sinking particles collected in the sediment trap show a pronounced seasonality (Figure 3b). Reconstructed temperatures using the calibration for SPM from surface waters (Eq. 2) published by Conte et al. (2006) are in excellent agreement with observed SST (Figure 5a) with respect to the seasonal amplitude, and  $U_{37}^{K'}$  values of our SPM samples lie within the scatter of SPM values used for determining the calibration, suggesting that the SPM calibration yields realistic surface temperature estimates in our study region (Figure 2a). This is remarkable because data from upwelling sites in the NE Atlantic have been excluded from the calibration data set because they were regarded anomalous (Conte *et al.*, 2006). If the core-top calibration (Eq.3) from the same publication is used,  $U_{37}^{K'}$ -derived SST minima are up to 3.9°C colder than satellite data (Figure 5b), while maxima correspond rather well with observations. This discrepancy between the calibrations for SPM and for core-top sediments is well known and has been ascribed to a combination of seasonal production of alkenones and differential degradation of the two alkenones used in the index during sinking or at the sediment surface (Conte *et al.*, 2006 and references therein). Our observation suggests that the initial  $U_{37}^{K'}$  signatures of particles formed in surface waters at our study site remains intact during sinking, at least down to approximately 1300m, where our sediment trap was moored. Any modification by degradation of the two alkenones  $C_{37:3}$  and  $C_{37:2}$  at differential rates must thus occur either during further sinking through the deeper water column (below 1300 m) or at the water-sediment interface and in the surface sediment. The latter is regarded to be the more likely scenario, as particle sinking rates in the deeper parts of the water column are much faster than near the surface (e.g., Fischer and Karakas, 2009) and degradation of organic matter is strongly reduced (Iversen and Ploug, 2013). Selective degradation of the tri-unsaturated relative to the di-unsaturated alkenones has been suggested as the

cause for diagenetic increases of the  $U_{37}^{K'}$  signal occurring upon prolonged exposure to oxygen rich bottom waters , (Gong and Hollander, 1999; Hoefs *et al.*, 1998). The alkenones will be exposed to oxygen for a much longer time in the sediment than during transport in the water column, therefore alteration of the  $U_{37}^{K'}$  in the sediment is more likely, in agreement with our findings.

The  $U_{37}^{K'}$  index in the underlying surface sediment is 0.74, which is slightly higher than the flux-weighted average value for the trap samples of 0.72 (Table 3). This would be expected if sinking particles retain a surface water signal, while sediments that integrate over several millennia contain alkenones at relative abundance influenced by differential degradation. While the difference of 0.02 units between the two values is close to the analytical uncertainty, the expected  $U_{37}^{K'}$  values using the SPM and surface sediment calibrations for an annual mean SST of 21.2°C would be 0.72 and 0.75, respectively in remarkable agreement with our observations. Moreover, a slight bias towards the colder high productivity season in our study area would be expected in the  $U_{37}^{K'}$  value of the surface sediment, which is in agreement with the observed value of 0.74, slightly lower than the expected  $U_{37}^{K'}$  value for an annual mean SST of 21.2°C. No bias towards the colder upwelling season is observed in the flux weighted average value of the sinking particles collected in the trap. This might either suggest that the slightly cooler surface sediment signal is due to uncertainty of the method, or a better preservation of alkenones exported to the sediment during high flux periods than those reaching the sea floor under low flux conditions.

#### 5.2.2 $TEX_{86}$ thermometry

$TEX_{86}$  values of the SPM collected during our study display a temperature relationship with *in situ* water temperature that is in moderate agreement with the empirical relationship observed for SPM <100 m (Eq. 8; Figure 2b) (Schouten *et al.*, 2013b), but  $TEX_{86}$  values tend to be lower than predicted from the regression. Our surface water SPM samples were collected at SSTs ranging between 18.3 and 23.3°C (Table 2). This is within the temperature range for which the use of the logarithmic temperature calibration (Eq. 6) is recommended (Kim *et al.*, 2010). The good agreement of our data with the data by Kim *et al.* (2010) suggests that this calibration can be applied with confidence in this study area (Figure 2d).

When applying the calibration Schouten et al. (2013b) proposed for TEX<sub>86</sub> of SPM from <100 m (Eq. 8) to the data obtained from the sediment trap samples, the TEX<sub>86</sub>-temperatures range between 16.7 and 21.6 °C (Figure 5c). These estimates are much lower than observed SST at the trap site. TEX<sub>86</sub>-temperatures estimated seasonal amplitudes of ~4 °C (compared to ~7 °C in the observed SST), and maxima (minima) that are roughly 4.5 °C (1.5°C) colder than the maxima and minima in the observed SST. An underestimation of SST could be expected, as the surface water SPM collected in our study region cluster below the calibration line (Figure 2b). Lower than expected TEX<sub>86</sub>-temperatures have often be attributed to export of GDGTs from deeper and cooler waters than the surface (cf. Kim *et al.*, 2012). However, TEX<sub>86</sub>-values of SPM collected in 2009, 2010, 2011 and 2012 throughout the water column at our trap station using in-situ pumps showed a distinct maximum below the chlorophyll maximum and above 1000 m, coincident with an intermediate nepheloid layer and a minimum in oxygen concentration (Basse *et al.*, 2014). Export from deeper waters can therefore not explain the observed mismatch between the temperature reconstructions based on the SPM calibration from Schouten et al. (2013b) and the observed SST. The reported SPM calibration is therefore probably not suitable for the sinking particles collected at the study site off Cape Blanc.

When calculating TEX<sub>86</sub>- temperatures from our data from the sinking particles using the core-top calibration (Eq. 6, Kim *et al.*, 2010), the reconstructed temperatures display a reduced seasonal amplitude (~4°C) compared with the satellite based SST (seasonal amplitude of ~7°C) (Figure 5d). Reconstructed maxima of ~26°C are slightly higher but well within calibration error of ±2.5°C (Kim *et al.*, 2010) of the observed maxima of up to 25.3°C. The reconstructed temperature minima of 21.4-22.1°C, however, are higher than observed minima which reach 18.5°C. Thus, TEX<sub>86</sub>- temperature estimates of sinking particles, its flux-weighted mean, and of surface sediments (23.9°C for sediment and flux-weighted mean) are high compared with measured SST values (annual mean of 21.2°C).

The use of a different temperature calibration has been proposed to account for warmer than expected TEX<sub>86</sub>- temperatures reconstructed from sediments. Kim *et al.* (2012) studied a sediment core recovered from 2500 m water depth at a site off Mauritania approximately 65 km from our trap site. When using the logarithmic calibration (Eq. 6) of Kim *et al.* (2010), TEX<sub>86</sub>- temperatures were higher than  $U_{37}^{K'}$  -

temperatures for the same core. In order to reconcile this, the authors suggested that TEX<sub>86</sub> corresponded to depth-integrated annual temperatures from 0 to 200 m water depth rather than to annual mean SST. As seasonally resolved mean temperatures of the upper 200 m water depth at the trap site are not available for the time of sample collection with the sediment trap, we cannot test this relationship for our samples. However, when converting the flux-weighted mean TEX<sub>86</sub> of 0.61 to temperature using the 0-200m calibration (Eq.7) proposed by Kim *et al.* (2012), the resulting temperature estimate is ca. 19 °C. This is similar to the World Ocean Atlas annual mean value for 0-200 m of approximately 18.5°C (Locarnini *et al.*, 2010).

Alternatively, a mismatch between TEX<sub>86</sub>-temperatures and observed SST could be related to lateral advection. If lateral advection occurred, the likely source area of particles transported to the study site located within the filamentous cold water tongue would be the near-shore areas with highest productivity. These areas are characterized by lower SST, thus, a “cold” rather than the observed “warm bias” would be expected. Moreover, alkenones would be affected by the same lateral advection process, likely even more strongly as they are inferred to be more susceptible than isoprenoidal GDGTs to long-range transport in oxygen-replete water masses (Mollenhauer *et al.*, 2008). Yet, as discussed above the U<sub>K</sub><sup>37</sup> record reflects local SST rather well. We therefore infer that lateral advection does not play an important role in our study area.

A warm bias in the reconstructed temperature could be related to increased abundance of Thaumarchaeota during the summer warm season. Those samples of sinking particles collected in low flux periods, which contained sufficient GDGTs for a TEX<sub>86</sub> measurement, yielded low values, translating to the TEX<sub>86</sub>-temperature minima. The sinking particles collected during the peak flux periods corresponding to times of highest primary production due to upwelling, however, tend to have higher TEX<sub>86</sub> values. The TEX<sub>86</sub> results from our SPM samples and these flux data taken together are consistent with a scenario where GDGT producers are most abundant in the warm, non-upwelling season of the year. However, they cannot be exported during this time period due to a lack of aggregate formation or zooplankton grazing. Higher particle abundances following phytoplankton blooms during the upwelling season would then lead to a more effective scavenging of GDGTs from the surface waters during the high flux season, resulting in a delayed export. The TEX<sub>86</sub> signal carried by these particles would still be dominated by material produced during the warm season, as higher abundances of Thaumarchaeota during the non-upwelling phase would effectively override a signal

597 produced during upwelling, when Thaumarchaeota are not competitive and therefore  
598 less abundant. Contrasting seasonality of phytoplankton and Thaumarchaeota has  
599 previously been observed elsewhere (e.g., Herfort *et al.*, 2006). A better correspondence  
600 between TEX<sub>86</sub>-temperature estimates and summer temperature has been suggested  
601 for the Mediterranean (Castañeda *et al.*, 2010), in agreement with our observations.

602 The scenario of delayed export of GDGTs suggests that sinking particles should  
603 contain a TEX<sub>86</sub> value that integrates over a certain time period. This is in agreement  
604 with observations made in other studies on sinking particles collected by sediment traps,  
605 where in particular in deeper traps, TEX<sub>86</sub> corresponds to an annually averaged SST  
606 (Fallet *et al.*, 2011; Wuchter *et al.*, 2006; Yamamoto *et al.*, 2012). It also suggests that the  
607 process of GDGT scavenging by aggregates or packaging into faecal pellets takes  
608 sufficiently long to result in sinking particles carrying integrated TEX<sub>86</sub> signals, which  
609 results in an attenuation of seasonal cycles.

610 As introduced above, TEX<sub>86</sub>-values of SPM collected throughout the water column  
611 at our trap station showed a distinct maximum below the chlorophyll maximum and  
612 above 1000 m, coincident with an intermediate nepheloid layer and reduced oxygen  
613 concentrations (Basse *et al.*, 2014). This maximum in TEX<sub>86</sub> was hypothesized to be  
614 attributable to production of GDGTs by a metabolically and, perhaps, also genetically  
615 distinct planktonic archaeal community thriving in these depths. Interestingly, the  
616 concentration-weighted mean value of SPM-TEX<sub>86</sub> from the entire water column at our  
617 trap site was 0.61 (Basse *et al.*, 2014), which again is identical to both the flux-weighted  
618 average TEX<sub>86</sub> from the sediment trap and the surface sediment value from underlying  
619 site GeoB13612-3. Export of GDGTs produced by a community thriving in low-oxygen  
620 waters between 200 and 1000 m, carrying a “warm” TEX<sub>86</sub> signal and contributing to  
621 total vertical GDGT flux is thus a plausible alternative scenario at our sediment trap  
622 location. As similar deep-water maxima have so far been reported from sites with  
623 oxygen minima in corresponding water depths (Schouten *et al.*, 2012; Xie *et al.*, 2014), it  
624 is possible that such a warm bias could only be expected in regions where oxygen-  
625 deficient deep waters are present. Nearby locations without oxygen minima might not  
626 be affected.

627 Finally, the fact that identical TEX<sub>86</sub> values of 0.61 were determined as flux-  
628 weighted mean of the sinking particles as well as for the underlying surface sediments  
629 suggests that, unlike the  $U_{37}^{K'}$  index, TEX<sub>86</sub> does not undergo significant alteration during

sinking and early diagenesis in surface sediments. Previous studies have not found a significant bias of TEX<sub>86</sub> due to early diagenetic alteration either (Huguet *et al.*, 2006a; Kim *et al.*, 2009; Schouten *et al.*, 2004; Yamamoto *et al.*, 2012).

## 6. Conclusions

The four-year record of particle and lipid fluxes and of the U<sup>K</sup><sub>37</sub> and TEX<sub>86</sub> indices in the eutrophic filamentous zone off Cape Blanc indicates that alkenone and GDGT production and export vary seasonally. Export of lipids is delayed relative to the time of production, and the delay is longer for GDGTs than for alkenones. This translates into slower average sinking rates (0-1300 m) for particles containing GDGTs (9-17 m d<sup>-1</sup>) than for alkenones (14-59 m d<sup>-1</sup>). The latter is slower than published values for a nearby mesotrophic site, suggesting that differing particle composition with more diatom rich aggregates at the higher productivity site determines the sinking rates. GDGTs are likely exported predominantly within opal-rich aggregates.

SST reconstructions based on alkenones are in excellent agreement with satellite data, and the entire seasonal amplitude of temperature variations at the sea surface is well recorded. In contrast, GDGT based temperature reconstructions using the logarithmic TEX<sub>86</sub> calibration yields temperature maxima similar to observed maxima, but a reduced seasonal amplitude. This observation is in agreement with predominant Thaumarchaeotal production during the warm season and near the sea surface, or with contributions from subsurface communities with a GDGT distribution resulting in a high TEX<sub>86</sub> signal.

*The data discussed in this publication are archived in the data center "Pangaea" (doi:10.1594/PANGAEA.835471; www.Pangaea.de).*

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**Table 1**

Locations, deployment depths and dates of the CBeu sediment trap during the four deployment periods. Each trap was equipped with 20 cups (40 cups for CBeu-4o); traps were programmed to collect sinking particles during 20 (40) periods of equal duration during the deployment periods ("sampling interval"). Exact dates can be found along with proxy data on [www.pangaea.de](http://www.pangaea.de) (doi:10.1594/PANGAEA.835471)

|                 | Latitude<br>(°N) | Longitude<br>(°W) | Depth<br>(m) | Deployment period<br>start | Deployment period<br>end | Sampling<br>interval (d) |
|-----------------|------------------|-------------------|--------------|----------------------------|--------------------------|--------------------------|
| <b>CBeu- 1o</b> | 20°45'           | 18°42'            | 1296         | 15 Jun 2003                | 05 Apr 2004              | 15.5                     |
| <b>CBeu- 2o</b> | 20°45'           | 18°42'            | 1296         | 18 Apr 2004                | 20 Jul 2005              | 22 (1x), 23              |
| <b>CBeu- 3o</b> | 20°45.5'         | 18°41.9'          | 1277         | 25 Jul 2005                | 28 Sep 2006              | 21.5                     |
| <b>CBeu- 4o</b> | 20°44.9'         | 18°42'            | 1256         | 28 Oct 2006                | 23 Mar 2007              | 7.5                      |

**Table 2**

Details of SPM sampling from surface waters using the ships' seawater inlets: Sampling date, location, volume of seawater filtered, SST recorded by the ships' thermometers during sampling (average value), and  $U_{37}^K$  and  $TEX_{86}$ .

| Filter No. | Date<br>(mm/dd/yyyy) | Latitude [N] |            | Longitude [W] |             | Volume<br>[L] | SST [°C]<br>Ships' thermometer | $U_{37}^K$ | $TEX_{86}$ |
|------------|----------------------|--------------|------------|---------------|-------------|---------------|--------------------------------|------------|------------|
|            |                      | Start        | Stop       | Start         | Stop        |               |                                |            |            |
| 1          | 3/16/2009            | 12°26.057'   | 12°26.057' | 18°00.294'    | 18°00.29 4' | 136           | 19                             | 0.47       | 0.56       |
| 2          | 3/17/2009            | 13°29.805'   | 13°26.660' | 18°27.757'    | 18°22.95 2' | 100           | 19                             | 0.6        | 0.54       |
| 3          | 3/18/2009            | 13°51.731'   | 13°51.900' | 17°25.774'    | 17°22.46 5' | 154           | 19                             | 0.46       | 0.53       |
| 4          | 3/18/2009            | 15°30.081'   | 15°24.054' | 17°58.366'    | 17°54.24 0' | 142           | 19                             | 0.49       | -          |
| 5          | 3/19/2009            | 15°23.181'   | 15°27.394' | 17°12.248'    | 17°10.13 0' | 45            | 19                             | 0.31       | 0.53       |
| 6          | 3/21/2009            | 15°41.307'   | 15°44.595' | 17°16.183'    | 17°15.50 4' | 140           | 19.8                           | 0.5        | 0.53       |
| 7          | 3/21/2009            | 15°40.848'   | 15°40.848' | 17°16.017'    | 17°16.05 4' | 144           | 19.8                           | 0.61       | -          |
| 8          | 3/21/2009            | 15°20.481'   | 15°16.271' | 17°04.992'    | 17°03.02 2' | 83            | 19.2                           | 0.49       | 0.53       |
| 9          | 3/22/2009            | 15°28.289'   | 15°33.321' | 16°49.559'    | 16°46.37 0' | 94            | 18.6                           | 0.54       | 0.56       |
| 10         | 3/22/2009            | 15°28.289'   | 15°52.662' | 16°40.504'    | 16°44.39 3' | 112           | 18.35                          | 0.43       | 0.49       |
| 11         | 3/23/2009            | 17°03.307'   | 17°17.056' | 16°43.739'    | 16°45.56 0' | 147           | 18.65                          | 0.45       | 0.51       |
| 12         | 3/24/2009            | 19°16.16'    | 19°14.863' | 17°30.780'    | 17°34.301 ' | 136           | 18.3                           | 0.47       | 0.49       |
| 13         | 3/25/2009            | 19°18.147'   | 19°16.002' | 19°07.157'    | 19°02.74 7' | 81            | 19.9                           | 0.57       | 0.59       |
| 14         | 3/26/2009            | 19°37.029'   | 19°28.873' | 16°58.359'    | 17°04.68 9' | 164           | 17.15                          | 0.5        | 0.49       |
| 15         | 3/26/2009            | 19°32.853'   | 19°34.845' | 17°15.453'    | 17°16.98 2' | 100           | 18.55                          | 0.47       | 0.49       |
| 16         | 3/26/2009            | 19°57.365'   | 20°00.694' | 17°21.159'    | 17°24.967'  | 79            | 17.9                           | 0.42       | 0.5        |
| 17         | 3/27/2009            | 20°05.680'   | 20°05.677' | 18°49.651'    | 18°49.65 0' | 150           | 20.7                           | 0.63       | 0.52       |
| 18         | 3/28/2009            | 21°19.996'   | 21°19.997' | 18°50.509'    | 18°50.508 ' | 133           | 19.7                           | 0.51       | 0.53       |
| 19         | 3/29/2009            | 20°46.125'   | 20°46.125' | 18°41.767'    | 18°41.76 6  | 115           | 20.5                           | 0.66       | 0.5        |
| 20         | 3/30/2009            | 20°34.718'   | 20°34.699' | 17°58.803'    | 17°58.80 3' | 151           | 18.6                           | 0.49       | 0.49       |
| 21         | 4/1/2009             | 21°00.006'   | 21°00.006' | 19°50.003'    | 19°50.006 ' | 166           | 20.3                           | 0.45       | 0.53       |
| 22         | 4/1/2009             | 21°15.606'   | 21°15.605' | 20°46.403'    | 20°46.406 ' | 156           | 20.8                           | 0.64       | 0.59       |
| 23         | 4/3/2009             | 21°37.623'   | 21°56.943' | 20°10.789'    | 19°49.481 ' | 300           | 20.9                           | 0.63       | 0.59       |
| 24         | 4/4/2009             | 24°13.839'   | 24°13.837' | 17°17.366'    | 17°17.368 ' | 233           | 19.75                          | 0.64       | -          |
| 25         | 4/6/2009             | 26°26.400'   | 26°22.250' | 14°54.787'    | 14°57.710 ' | 279           | 19.1                           | 0.53       | 0.54       |
| 26         | 4/7/2009             | 27°32.233'   | 27°32.226' | 13°44.127'    | 13°49.019 ' | 200           | 18.55                          | 0.5        | 0.53       |
| 27         | 2/25/2010            | 23°58.06'    | 23°50.25'  | 18°36.77'     | 18°43.30 '  | 92            | 22.2                           | 0.82       | 0.60       |
| 28         | 2/26/2010            | 20°15.15'    | 21°50.21'  | 20°15.19'     | 20°22.88'   | 94            | 22.2                           | 0.85       | -          |
| 29         | 2/26/2010            | 21°16.68'    | 21°16.68'  | 20°50.78'     | 20°50.77 '  | 77            | 23.3                           | 0.85       | 0.59       |
| 30         | 2/27/2010            | 21°15.66'    | 21°15.66'  | 20°51.06'     | 20°51.06 '  | 93            | 23.3                           | 0.86       | 0.60       |
| 31         | 2/28/2010            | 20°44.51'    | 20°44.51'  | 18°44.38'     | 18°44.39 '  | 74            | 21.9                           | 0.80       | 0.5871     |
| 32         | 3/1/2010             | 20°35.66'    | 20°37.05'  | 18°02.16'     | 18°12.22'   | 51            | 21.3                           | 0.55       | 0.57       |
| 33         | 3/2/2010             | 19°09.99'    | 19°09.99'  | 21°00.03'     | 21°00.03'   | 108           | 22.9                           | 0.80       | 0.53       |

|    |          |           |           |           |           |    |      |      |      |
|----|----------|-----------|-----------|-----------|-----------|----|------|------|------|
| 34 | 3/3/2010 | 20°26.42' | 20°38.20' | 19°09.04' | 18°15.20' | 93 | 21.4 | 0.72 | 0.58 |
| 35 | 3/4/2010 | 20°39.98' | 20°39.98' | 18°30.00' | 18°30.00' | 52 | 21.6 | 0.75 | 0.55 |
| 36 | 3/4/2010 | 20°35.37' | 20°35.37' | 17°59.7'  | 17°59.70' | 60 | 21.0 | 0.77 | 0.54 |
| 37 | 3/5/2010 | 20°30.97' | 20°35.00' | 17°47.88' | 17°59.99' | 95 | 21.0 | 0.46 | 0.47 |

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**Figure captions**

**Figure 1**

Oceanographic setting of the deep-ocean sediment trap mooring site CBeu within the Cape Blanc filament, Mauritania. The filament dissolves into eddies further offshore. The Cape Verde Frontal Zone (CVFZ) separates the North and South Atlantic Central Water subsurface water masses (Zenk et al., 1991). Ocean colour map from MODIS in the insert upper left is shown for January 2005, indicating high chlorophyll concentrations and the extension of the Cape Blanc filament in winter (arrow).

CC=Canary Current, MC=Mauritanian Current; NEC=North Equatorial Current.

**Figure 2**

Correlation of biomarker proxy data of suspended particulate matter (SPM; black symbols) and published calibration data sets (grey symbols) with sea surface temperature (SST) or measured in-situ temperature. Black symbols:  $U^{K'}_{37}$  (a,c) and  $TEX_{86}$  (b,d) for samples SPM collected during MSM11-2 and POS396 from the ship's sea water inlet at approximately 5 m water depth plotted versus the sea surface temperature measured during collection. Grey symbols and black lines mark the calibration data sets and calibration regressions (a) from Conte et al. (2006) for  $U^{K'}_{37}$  of SPM, (b) from Schouten et al., (2013b) for  $TEX_{86}$  of SPM from <100 m (note that here the  $TEX_{86}$  data are correlated with measured in-situ temperature), (c) from Conte et al., (2006) for  $U^{K'}_{37}$  of core-top sediments versus annual mean SST, and (d) the logarithmic form for samples >15°C from Kim et al. (2010) for  $TEX_{86}$  of surface sediments versus annual mean SST.

**Figure 3**

Records of (a) SST at 20.45°N, 18.41°W near the mooring site of CBeu obtained by Advanced Very High Resolution Radiometer (AVHRR) and averaged for the trap sampling periods, (b)  $U^{K'}_{37}$ , (c) alkenone flux (d)  $TEX_{86}$  (grey line: record obtained at NIOZ, black line: record obtained in Bremen (see text for details); triangles indicate duplicate measurements of trap series CBeu-2 to -4 made at NIOZ), (e) GDGT flux, and (f) mass fluxes recorded at CBeu.

**Figure 4**

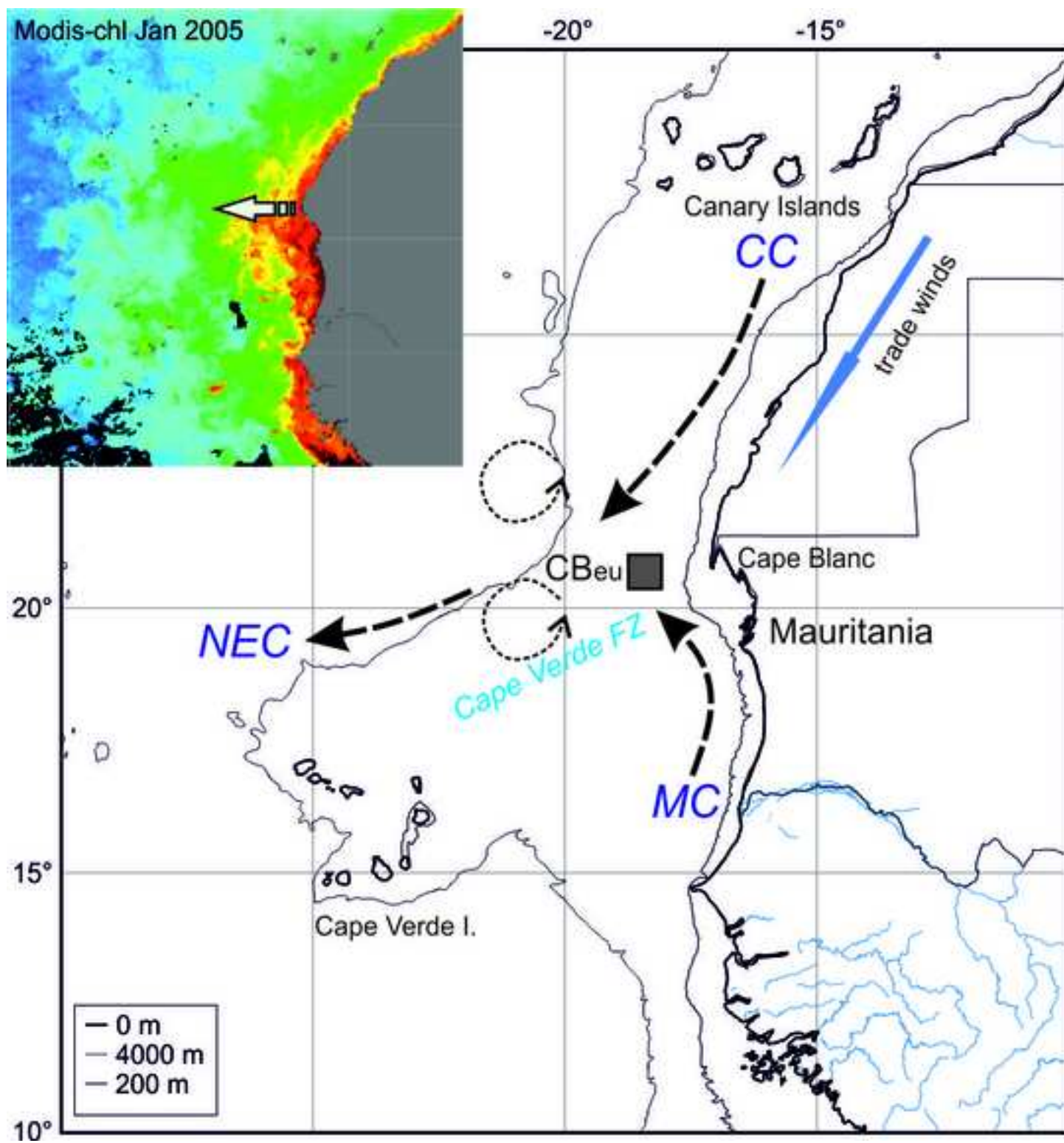


Correlations of mass flux with TOC (a) and opal flux (b), and of TOC (c, e), carbonate (d) and opal flux (f) with lipid fluxes for trap series CBeu-2 to -4 and coefficients of determination. Note that GDGT fluxes are given in arbitrary units, as the concentrations of GDGTs were not determined relative to a standard (see text for details). For better comparability, the alkenone flux is also only shown for trap series CBeu-2 to -4. Symbols refer to CBeu-1o (open circles), CBeu-2o (filled triangles), CBeu-3o (crosses), and CBeu-4o (filled squares).

# **Figure 5**

Sea surface temperature estimates (black lines) based on (a)  $U_{37}^{K'}$  using the SPM calibration from Conte et al. (2006), (b)  $U_{37}^{K'}$  using the core-top sediment calibration from Conte et al. (2006), (c)  $TEX_{86}$  using the SPM calibration proposed by Schouten et al., (2013b), and (d)  $TEX_{86}$  using the logarithmic calibration for sediments from Kim et al., 2010. The grey lines are the AVHRR SST data. Note the different vertical axis scales.

Figure 1  
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**Figure 2**  
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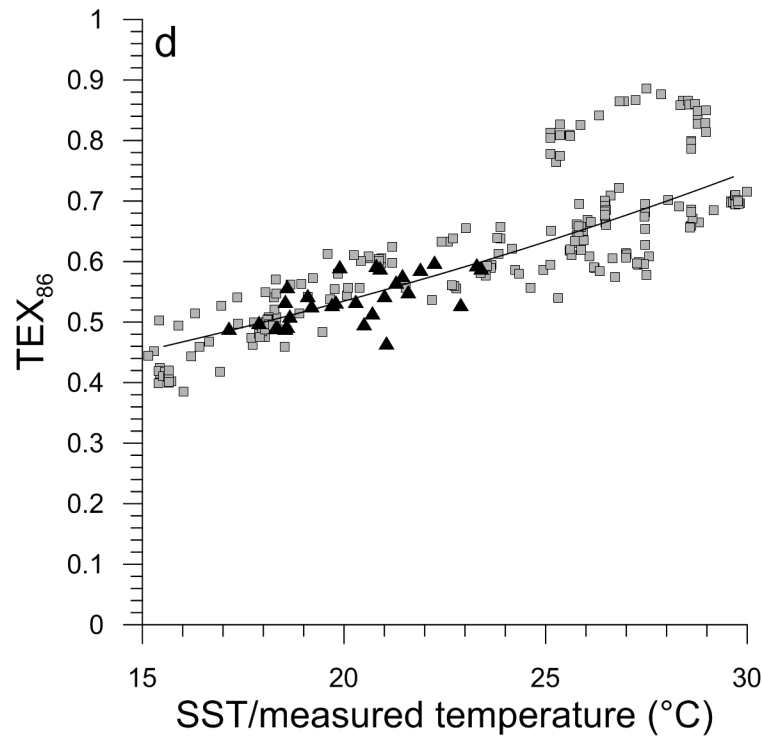
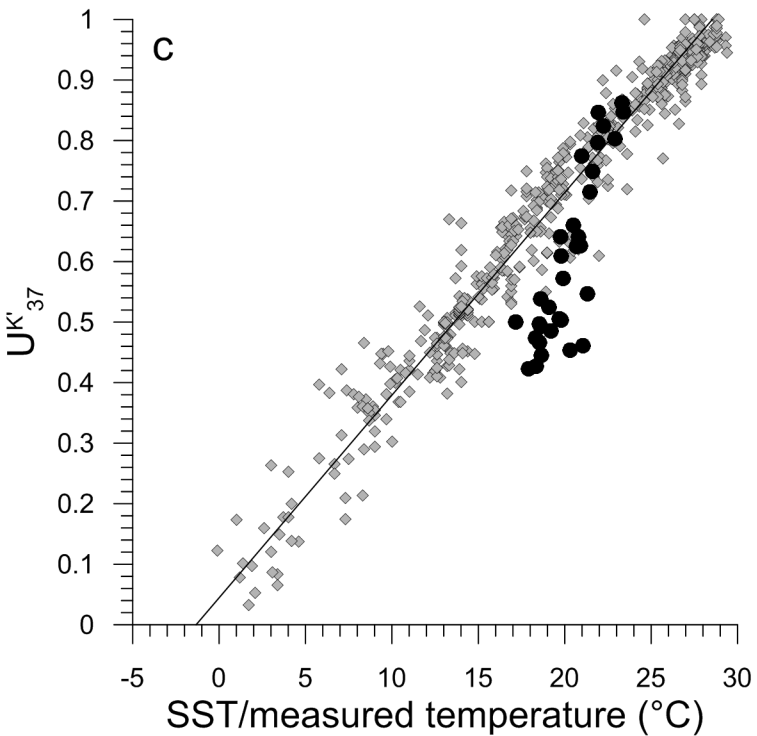
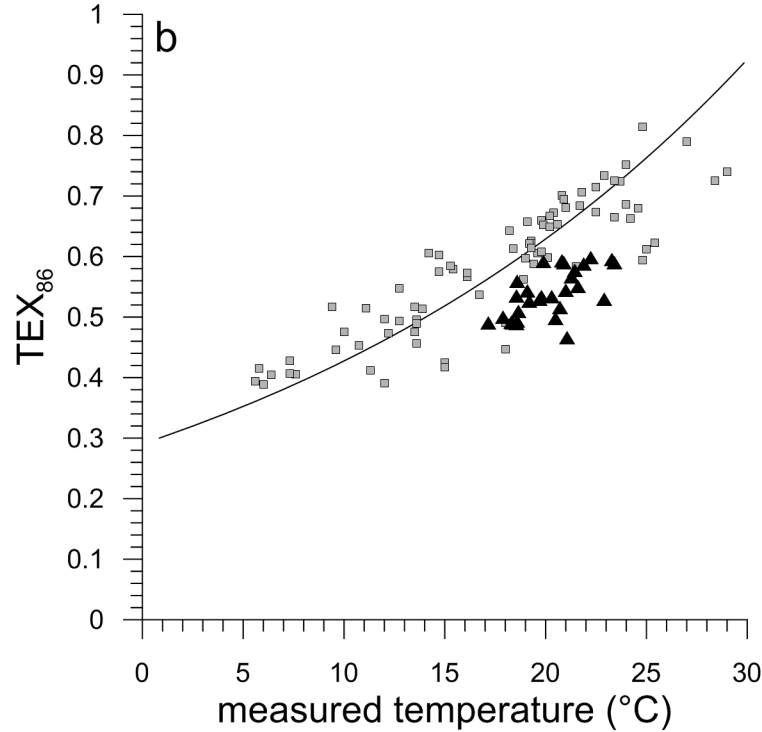
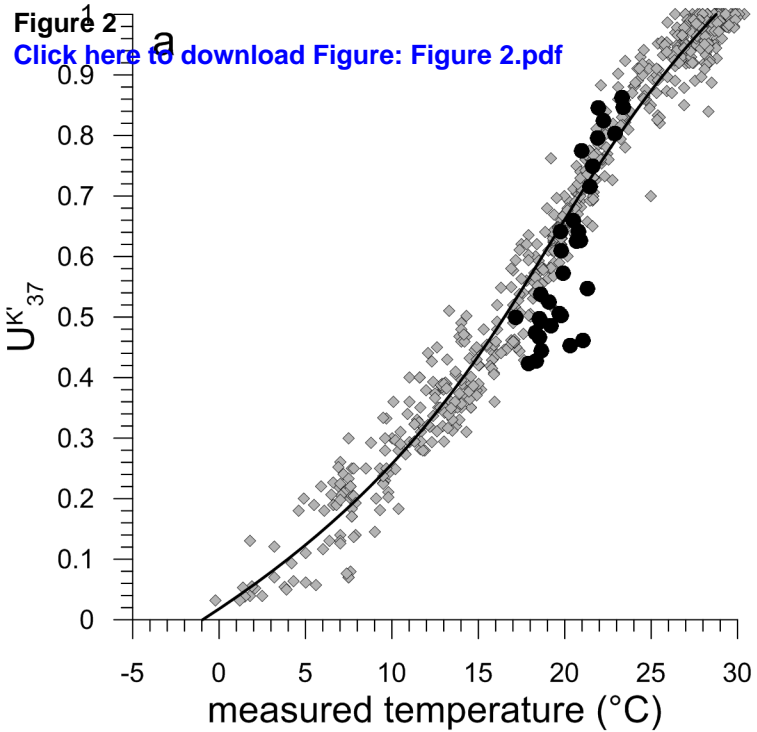
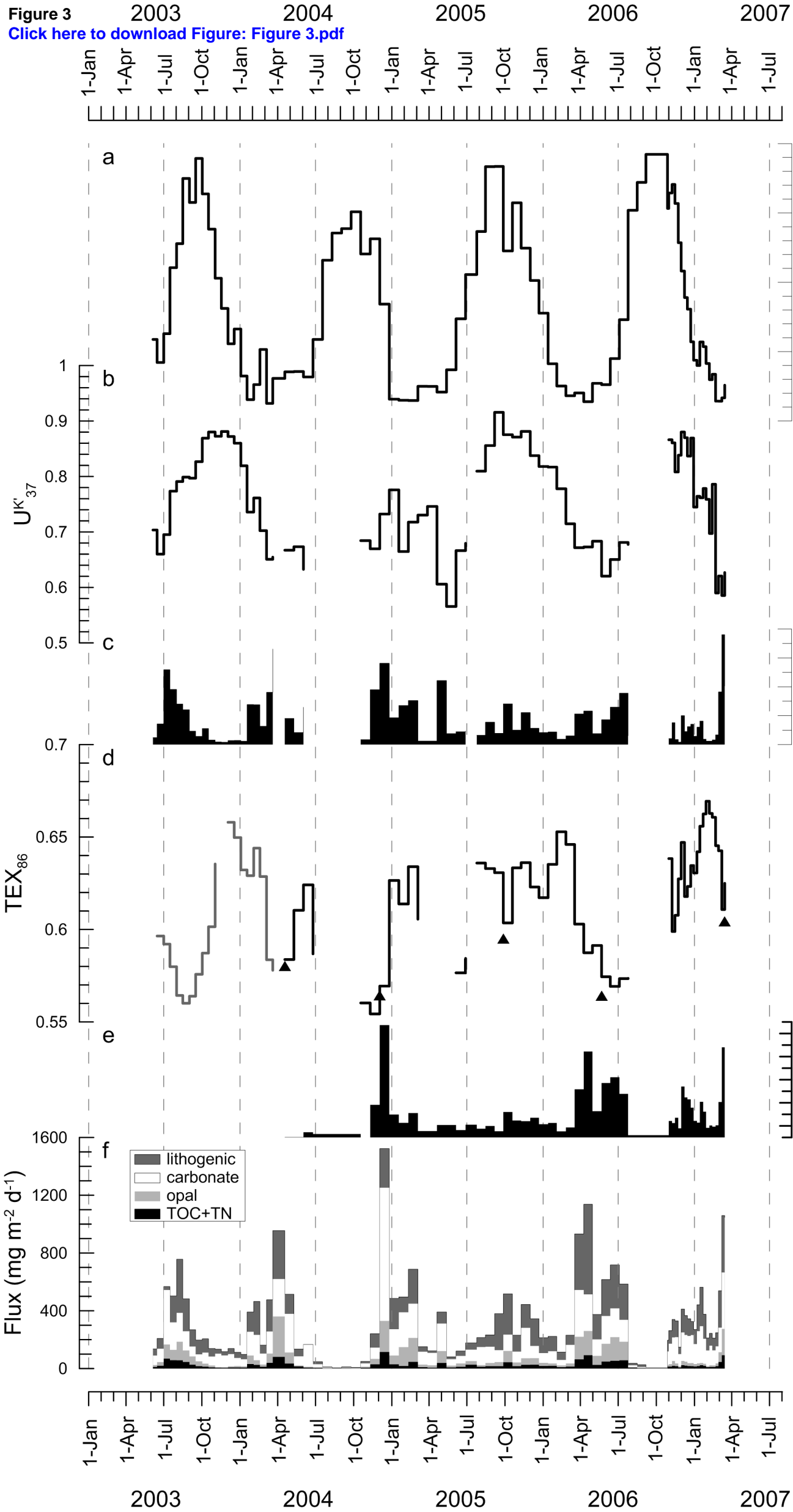


Figure 3



**Figure 4**  
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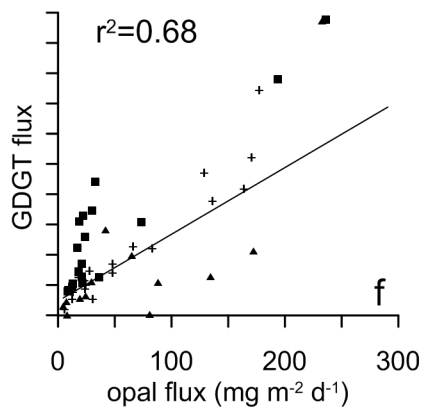
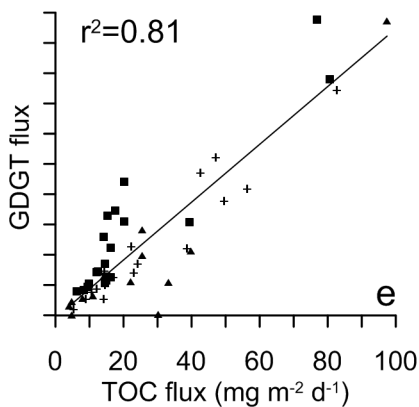
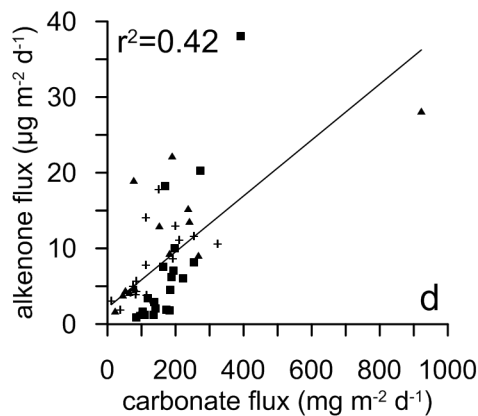
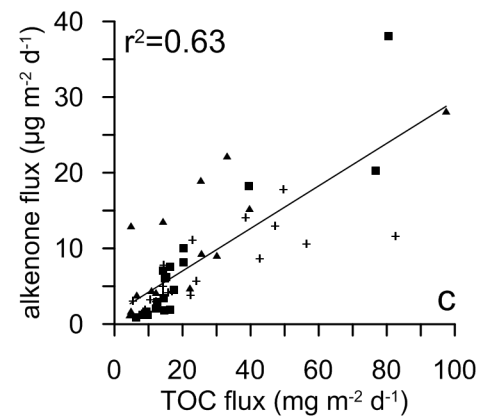
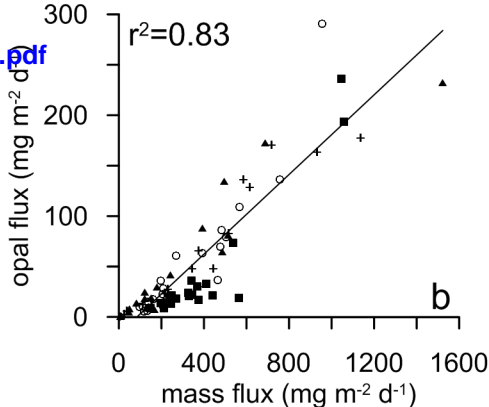
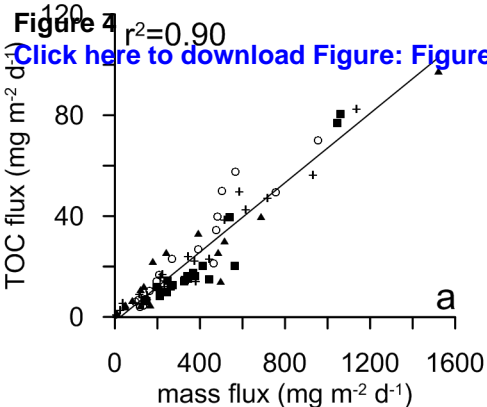
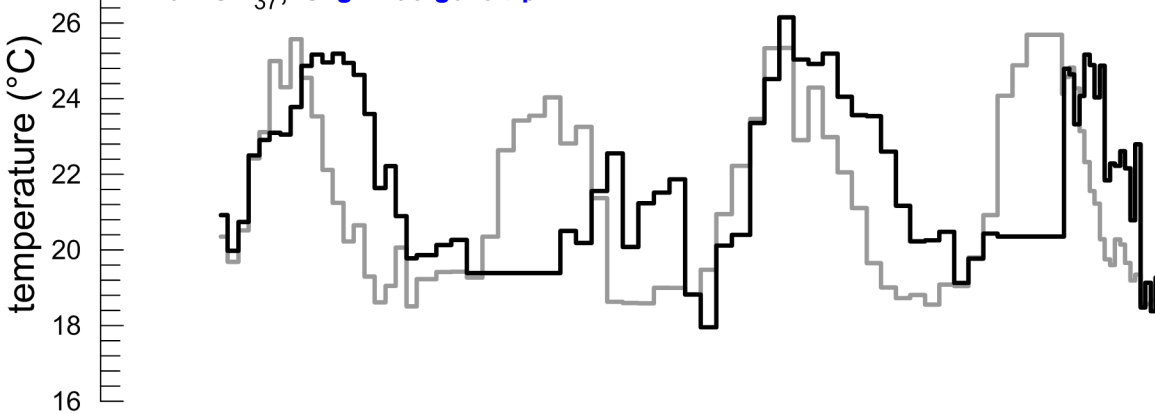
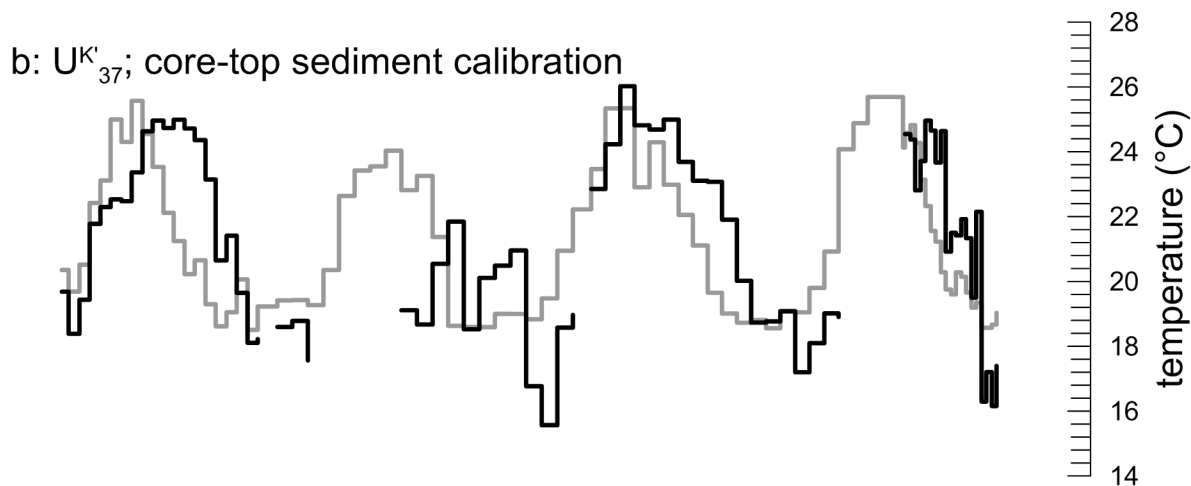


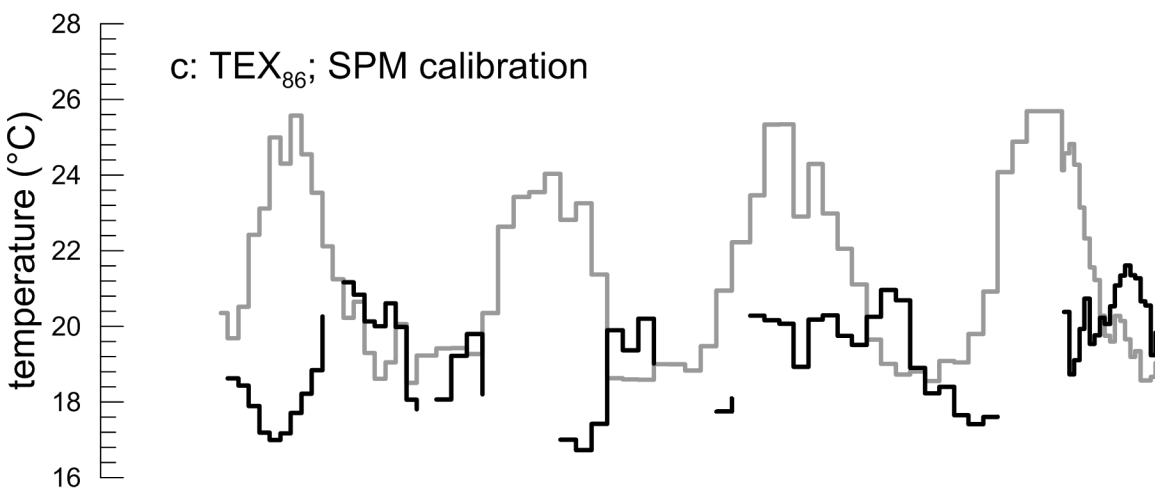
Figure 5  
[Click here to download Figure 5](#)  
a:  $U_{37}^K$ ; SPM calibration



b:  $U_{37}^K$ ; core-top sediment calibration



c:  $TEX_{86}$ ; SPM calibration



d:  $TEX_{86}$ ; core-top sediment calibration

