



Royal Netherlands Institute for Sea Research

This is a pre-copyedited, author-produced version of an article accepted for publication, following peer review.

van Haren, H. (2019). Deep-ocean inertial subrange small bandwidth coherence and Ozmidov-frequency separation. *Physics of Fluids*, 6, 066603

Published version: <https://dx.doi.org/10.1063/1.5099005>

NIOZ Repository: <http://imis.nioz.nl/imis.php?module=ref&refid=313360>

[Article begins on next page]

The NIOZ Repository gives free access to the digital collection of the work of the Royal Netherlands Institute for Sea Research. This archive is managed according to the principles of the [Open Access Movement](#), and the [Open Archive Initiative](#). Each publication should be cited to its original source - please use the reference as presented.

When using parts of, or whole publications in your own work, permission from the author(s) or copyright holder(s) is always needed.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37

Reference:
van Haren, H., 2019. Deep-ocean inertial subrange small bandwidth coherence and Ozmidov-frequency separation. Phys. Fluids, 31, 066603.

Deep-ocean inertial subrange small bandwidth coherence and Ozmidov-frequency separation

by Hans van Haren

NIOZ Royal Netherlands Institute for Sea Research and Utrecht University, P.O. Box 59,
1790 AB Den Burg, the Netherlands.
e-mail: hans.van.haren@nioz.nl

38 **ABSTRACT**

39 **The inertial subrange of turbulence in a density stratified environment is the transition**
40 **from internal waves to isotropic turbulence, but it is unclear how to interpret its**
41 **extension to anisotropic ‘stratified’ turbulence. Knowledge about stratified turbulence is**
42 **relevant for the dispersal of suspended matter in geophysical flows, such as in most of**
43 **the ocean. For studying internal-wave-induced ocean-turbulence moored high-**
44 **resolution temperature (T)-sensors are used. Spectra from observations on episodic**
45 **quasi-convective internal wave breaking above a steep slope of large seamount**
46 **Josephine in the Northeast-Atlantic demonstrate an inertial subrange that can be**
47 **separated in two parts: A large-scale part with relatively coherent portions adjacent to**
48 **less coherent portions, and a small-scale part that is smoothly continuous (to within**
49 **standard error). The separation is close to the Ozmidov frequency, and coincides with**
50 **the transition from anisotropic/quasi-deterministic stratified turbulence to**
51 **isotropic/stochastic inertial convective motions as inferred from a comparison of vertical**
52 **and horizontal co-spectra. These observations contrast with T-sensor observations of**
53 **shear-dominated internal wave breaking in an equally turbulent environment above the**
54 **slope of a small Mid-Atlantic ridge-crest, which demonstrate a stochastic inertial**
55 **subrange throughout.**

56

57 **I. INTRODUCTION**

58 Turbulence in large Reynolds number geophysical environments like the atmosphere and
59 ocean is often hampered by the stabilizing density stratification that limits the vertical extent
60 of overturns (e.g., Gargett et al., 1984; Davidson, 2013). On the other hand, the associated
61 diapycnal mixing is rather efficient, at least more efficient than in near-homogeneous waters
62 such as can be found in frictional boundary layers adjacent to flat horizontal surfaces. This is
63 because internal waves, which are supported by the stratification, rapidly restratify localized

64 homogeneous patches by their straining and three-dimensional propagation. Despite being
65 important for the dispersal of matter in the ocean, details of the character of ‘stratified
66 turbulence’ (as turbulence in a stratified environment may be called for short) are not all
67 known. In particular, the transition-range between non-turbulent internal waves and the
68 dissipative Kolmogorov (1941) scales $L_K = (\nu^3/\epsilon)^{1/4}$ of isotropic turbulence is not often studied
69 in detail from ocean observations. Here $\nu \approx 10^{-6} \text{ m}^2 \text{ s}^{-1}$ denotes the kinematic viscosity and ϵ
70 the turbulence viscous dissipation rate. The transition-range is considered between
71 macroscopic and microscopic scales and the downscale cascade of energy transport between
72 the two is proposed to scale with frequency (σ) as $\sigma^{-5/3}$ (Obukhov, 1949; Corrsin, 1951;
73 Ozmidov, 1965). The range is loosely termed the inertial subrange (e.g., Tennekes and
74 Lumley, 1972), as it may contain small-scale internal waves supported by thin-layer
75 stratification, anisotropic turbulence affected by the stratification, motions at the Ozmidov
76 scale $L_O = (\epsilon/N^3)^{1/2}$ of largest isotropic overturn in a stratified environment, besides the full
77 three-dimensional (3D) isotropic turbulence at length-scales $L_K < L < L_O$. N denotes the
78 buoyancy frequency, which separates freely propagating internal waves at its lower frequency
79 and turbulent motions at its higher frequency.

80 Frehlich et al. (2008) presented velocity spectra from the stable nocturnal atmospheric
81 boundary layer with an inertial subrange that extended over (at least) two orders of magnitude
82 in frequency and which included the Ozmidov frequency $\sigma_O = U/L_O$, where U is a relevant
83 velocity scale. The scaled spectra were not particularly different at frequencies higher and
84 lower than σ_O . However, while the isotropic motions at $\sigma > \sigma_O$ may be associated with small-
85 scale theory uniform statistics proposed by Kolmogorov (1941), the likely anisotropic
86 motions at $\sigma < \sigma_O$ and the smooth transition between the two regimes without a spectral gap
87 remained unexplained (Davidson, 2013). Riley and Lindborg (2008) proposed to describe the
88 two inertial subranges as ‘stratified turbulence’ (named by Lilly, 1983) and ‘inertial
89 convective subrange’ (as in Tennekes and Lumley, 1972), respectively. While Riley and

90 Lindborg's (2008) model exhibits a downscale energy cascade mainly, Lilly (1983) suggested
91 a few percent upscale energy-transfer in the stratified turbulence range that also contains
92 internal waves and quasi-horizontal meandering motions.

93 Recent modelling results on stratified turbulence, mainly from Direct Numerical
94 Simulations DNS with output commonly in vertical wavenumber (k_z) spectra, indicate a sharp
95 transition in spectral scaling, e.g., for the vertical kinetic energy from k_z -scaling k_z^{-3} of a
96 buoyancy-inertial subrange to $k_z^{-5/3}$ (e.g., Kimura and Herring, 2012; Augier et al., 2015;
97 Maffioli, 2017). The results confirmed open ocean observations (Gregg, 1977), of which
98 temperature frequency spectra showed an extensive super-buoyancy range scaling with σ^{-3}
99 before dropping into $\sigma^{-5/3}$ (van Haren and Gostiaux, 2009). Alisse and Sidi (2000) found
100 indications that the two power scaling-laws were associated with calm and turbulent
101 conditions in the atmosphere. Numerical modelling by Waite (2011) showed the necessity of
102 existence of a distinct stratified turbulence subrange between Ozmidov and buoyancy scales,
103 as large-scale vortices transfer energy to the latter scale via shear instabilities. Kimura and
104 Herring (2012) interpreted the large-scale vortex component to be consistent with k_z^{-3} , and the
105 wave component to be consistent with k_z^{-2} the scaling attributed to internal waves (Garrett and
106 Munk, 1972) and fine-structure contamination (Phillips, 1971). All DNS spectra were
107 relatively smooth over one to two orders of magnitude, without small-range variations apart
108 from the broad range changes in power-law scaling (which are changes in slopes on a log-log
109 plot).

110 In contrast with the atmospheric observations of Frehlich et al. (2008) and with 30-s
111 sampled deep-ocean temperature spectra resolving just the stratified turbulence range
112 (Bouruet-Aubertot et al., 2010), recent deep-ocean high-resolution 1-s sampled temperature
113 spectra from a small-scale five-line 3D mooring array above steep topography demonstrated a
114 two orders of magnitude wide inertial subrange $N < \sigma < \text{roll-off}$ with distinctly different
115 small-range variability in the low- and high-frequency parts (van Haren et al., 2016). The

116 distinction was not found in the smooth DNS-spectra (e.g., Augier et al., 2015; Maffioli,
117 2017). The low-frequency part showed small-range quasi-coherent portions, while the high-
118 frequency part a smooth and well-defined variance-scaling. In co-spectra the two parts were
119 reasonably well defined describing anisotropic and isotropic motions, respectively, and the
120 (continual) transition was associated with twice the smallest (maximum) local buoyancy
121 scale. However, a connection with L_O was not made.

122 In this paper, the recent 1-s sampled deep-ocean temperature observations, which have
123 about hundred-fold higher resolution than those discussed by Bouruet-Aubertot et al. (2010),
124 are analyzed on the L_O -transition and on the quasi-coherent parts. The observations are
125 compared with contrasting ones from a mooring above the slope of a small crest where tidal
126 current shear dominates over (quasi-forced) convection. As ocean turbulence is considered to
127 be largely maintained by internal wave interaction with underwater topography (e.g., Eriksen,
128 1982; Thorpe, 1987), the focus is on particular internal wave regimes and episodic wave
129 breaking. It is noted that also in the deep ocean flows have high bulk Reynolds numbers $Re \sim$
130 $O(10^5-10^7)$ and that convective and shear-driven overturning occur side-by-side at various
131 scales (e.g., Matsumoto and Hoshino, 2004; Li and Li, 2006). The observations may also be a
132 useful addition to recent advances in laboratory and numerical modelling of flows in confined
133 basins where a boundary is present, still at generally lower Re than in the ocean or
134 atmosphere, for further detailing the demonstrated multiple scale turbulence statistics of
135 natural convection (e.g., Stevens et al., 2011; Augier et al., 2015).

136

137 **II. TECHNICAL DETAILS**

138 **A. Temperature sensors**

139 Self-contained moorable high-resolution NIOZ4 T-sensors are used that have been
140 designed for observing internal wave – large-scale turbulence overturns in water (van Haren,
141 2018). Their noise level is better than 10^{-4} °C, their precision is better than 5×10^{-4} °C after

142 correction for instrumental drift. In water, the response time is about 0.5 s, which does not
143 resolve the Kolmogorov dissipation scale, but which resolves the Ozmidov scale and a
144 substantial part of the inertial subrange in most geophysical fluid environments. The T-
145 sensors sample at a rate of 1 Hz. They are synchronized via induction every 4 hours, so that
146 the timing mismatch is <0.02 s.

147 The calibrated and drift-corrected data are transferred to Conservative (\sim potential)
148 Temperature (Θ) values using the Gibbs-SeaWater software described in (Intergovernmental
149 Oceanographic Commission (IOC), Scientific Committee on Oceanic Research (SCOR),
150 International Association for the Physical Sciences of the Oceans (IAPSO), 2010). This
151 compensates for the slight, but important in weakly stratified waters, compressibility effects
152 under the large static pressure. Shipborne Conductivity-Temperature-Depth (CTD) profiles
153 near the moored instrumentation are used to evaluate the relative contributions of salinity and
154 temperature to potential density variations. After establishment of a tight temperature-
155 potential density relationship, the T-sensor data can be used as a tracer for potential density to
156 quantify turbulence, as follows.

157 Turbulence dissipation rate $\varepsilon = c_1^2 d^2 N^3$ is calculated from the T-sensor data using the
158 method of reordering potentially unstable vertical density profiles in statically stable ones, as
159 proposed by Thorpe (1977). Here, d denotes the displacements between unordered (measured)
160 and reordered profiles. N denotes the buoyancy frequency computed from the reordered
161 profiles. In the highly turbulent, stratified, restratifying and relatively strong shear and
162 convection environment over deep-ocean topography, standard constant mean values (over
163 many realizations spread over one order of magnitude) are used of $c_1 = 0.8$ for the
164 Ozmidov/overtake scale factor (Osborn, 1980; Dillon, 1982; Oakey, 1982). Hereafter,
165 averaging over the vertical is indicated by $\langle . . . \rangle$, over time by $[...]$. Averaging intervals
166 will be indicated, and the 1-Hz sampling rate ensures a large number of realizations in an
167 average.

168

169

170 **B. Moorings and sites**

171 Data are analyzed from two T-sensor moorings. A 230 m high mooring was deployed for
172 10 days at 36° 23.56'N, 33° 53.62'W in 770 m water depth near the crest of an elongated
173 small seamount bounding the axial graben of the Mid-Atlantic Ridge (van Haren et al., 2017).
174 The mooring held 98 T-sensors at 2 m intervals, the lowest at $z = 5$ m above the seafloor.
175 Three sensors showed calibration problems or too high noise levels and are not further
176 considered. Their data are linearly interpolated. Two single-point Nortek AquaDopp acoustic
177 current meters were attached at $z = 6$ and 201 m. They sampled at once per 10 s. As verified
178 with pressure and tilt sensors, the top of the mooring did not move more than 0.3 m vertically
179 and it never deflected more than 10 m horizontally, under maximum 0.5 m s^{-1} flow speeds.
180 CTD-data from stations within 1 km from the mooring provided a reasonably tight
181 relationship of $\delta\sigma_{0.65} = \alpha\delta\Theta$, $\alpha = -0.15\pm 0.03 \text{ kg m}^{-3} \text{ }^{\circ}\text{C}^{-1}$ over the vertical range of T-sensors.
182 Here, the potential density anomaly $\delta\sigma_{0.65}$ is referenced to 650 dbar.

183 The second mooring, a small-scale 3D thermistor array, was moored at 37° 00'N, 013°
184 48'W in 1740 m water depth on a steep slope of the eastern flank of large Mont Josephine,
185 about 400 km southwest of Lisbon (Portugal) in the Northeast-Atlantic (van Haren et al.,
186 2016). The average local bottom slope of about 10° was more than twice steeper
187 (supercritical) than the average slope of semidiurnal internal tides under local stratification
188 conditions. The site was also well below the Mediterranean Sea outflow, between 1000 and
189 1400 m, so that salinity compensated apparent density inversions in temperature were
190 minimal. The local temperature-potential density anomaly referenced to 1600 dbar ($\sigma_{1.6}$)
191 relationship was $\delta\sigma_{1.6} = \alpha\delta\Theta$, $\alpha = -0.044\pm 0.005 \text{ kg m}^{-3} \text{ }^{\circ}\text{C}^{-1}$. The foldable mooring held 475
192 T-sensors at 1 m vertical intervals, distributed over 5 lines, 105 m tall and 4 m apart
193 horizontally and 104 m long, when fully stretched. The volume sampled was about 3000 m^3 .
194 The 1000 N (100 kgf) tension on each line was sufficient to have a relatively stiff mooring,

195 with little motion under current drag. Tilt was small ($<1^\circ$). Heading information showed
196 commonly less than 1° variation of compass data around their mean flow values, except
197 smaller than 10° variations during three brief, relatively strong ($\sim 0.22 \text{ m s}^{-1}$) flow speed
198 events. The lowest T-sensor was also at $z = 5 \text{ m}$. Due to various problems, 33 of the 475
199 sensors did not function properly. Their data are not considered. Currents were measured
200 using a large-scale resolving 75 kHz acoustic Doppler current profiler at a separate mooring
201 about 1 km away.

202 The amount of good T-sensors and the 2-m vertical resolution were sufficient to use these
203 moored data to determine turbulence values to within a factor of two through the resolution of
204 scales of up to the largest energy-containing scales of 10-50 m.

205

206 **III. OBSERVATIONS AND DISCUSSION**

207 **A. General**

208 At both sites, semidiurnal (lunar) tidal motions dominate flows and mean turbulence
209 values are relatively high with dissipation rates $O(10^{-7}) \text{ m}^2 \text{ s}^{-3}$, which is typical for energetic
210 internal wave breaking above sloping topography and 100 to 1000 times larger than found in
211 the open ocean (Gregg, 1989; Polzin et al., 1997). For best inter-comparison and on
212 computational grounds we analyse from each of the two observational mooring sites one set
213 of 4 days of 0.5 Hz and 2 m vertical interval (sub-)sampled data for the common range
214 between $z = 5$ and 99 m. The about two times shallower site over the small Mid-Atlantic
215 ridge-crest demonstrates about twice larger dissipation rates, twice larger buoyancy
216 frequency, twice smaller tidal vertical excursion amplitude and about equal tidal current
217 amplitude in comparison with the site over large Mont Josephine. It is noted that the analyzed
218 vertical range does not resolve Mont Josephine's internal (tidal) wave excursion.

219 Over the analyzed 4 days, 94-m range of T-sensors mean turbulence values are:

220 For the small Mid-Atlantic ridge-crest mooring: $[\langle \varepsilon \rangle] = 14 \pm 8 \times 10^{-7} \text{ m}^2 \text{ s}^{-3}$, $[\langle N \rangle] =$
221 $3.8 \pm 0.9 \times 10^{-3} \text{ s}^{-1}$, $[U] = 0.11 \text{ m s}^{-1}$, $[\langle L_O \rangle] = 5.5 \text{ m}$, $\sigma_0 = 0.02 \text{ s}^{-1}$ (260 cpd, short for cycles per
222 day).

223 For the large Mont Josephine mooring: $[\langle \varepsilon \rangle] = 6 \pm 4 \times 10^{-7} \text{ m}^2 \text{ s}^{-3}$, $[\langle N \rangle] = 1.9 \pm 0.4 \times 10^{-3} \text{ s}^{-1}$, $[U]$
224 $= 0.11 \text{ m s}^{-1}$, $[\langle L_O \rangle] = 9 \text{ m}$, $\sigma_0 = 0.011 \text{ s}^{-1}$ (165 cpd).

225

226 **B. Small seamount-crest shear-dominated motions**

227 A typical time-depth image from above the Mid-Atlantic ridge-crest demonstrates a tidal
228 periodicity that is just about resolved in the lower 100 m above the seafloor, and which is
229 superposed with smaller scale internal wave motions of higher frequency (Fig. 1a). The
230 interaction between the two provides a varying modulated signal. In detail (Fig. 1b), shorter
231 scale internal wave motions and shear-induced overturning are visible, especially near the
232 interface around $z = 120 \text{ m}$. Below this in the lower 100 m above the seafloor, downward
233 phase propagation is visible with downward draught of turbulent convective overturning
234 around day 184.12.

235 The spectral information of the above observations suggests a shear-dominated turbulence
236 also for the lower 100 m above the seafloor, because the passive scalar temperature variance
237 spectrum indicates a clear inertial subrange that extends over nearly two orders of magnitude
238 in frequency (Fig. 2b) (Tennekes and Lumley, 1972; Warhaft, 2000). The statistical
239 convergence upon increase of spectral smoothing is uniform through the inertial subrange, as
240 exhibited by the equal vertical extent spread (spectral thickness) between approximately 50
241 and 5000 cpd, or between N and the spectral roll-off. The vertical extent spread increases
242 somewhat for $\sigma < N$, especially around tidal harmonic frequencies. This is attributable to the
243 peak influence of deterministic signals like tidal harmonics. The main slope is about $+2/3$ in
244 the log-log plot, or a power-law scaling of σ^{-1} , which is commensurate with open-ocean
245 internal waves (van Haren and Gostiaux, 2009). This band does not scale with the canonical

246 σ^{-2} internal wave scaling (Garrett and Munk, 1972), which would give a slope of $-1/3$ here.
247 The relatively broad hump around 30 cpd, which is well-known from the open ocean (Munk,
248 1980) at frequencies just lower than N , is associated with near-buoyancy frequency internal
249 waves that are naturally supported by the main stratification. Its high-frequency flank slopes
250 at approximately $-4/3$, or a power-law scaling of σ^{-3} .

251 This spectral hump is also reflected in vertical current spectra as expected considering the
252 linear wave relationship $w \propto T_t$, and, somewhat unexpected, in horizontal current spectra
253 (Fig. 3). In general, the aspect ratio of vertical over horizontal current variance $|w|^2/E_k$ is
254 (much) smaller than unity for $\sigma < \sigma_0$ in oceanographic data, with a relatively large value of
255 about 0.5 around the near- N peak at 30 cpd. Here, the Ozmidov frequency coincides to within
256 error with the maximum small-scale buoyancy frequency $N_{s,max}$, the maximum buoyancy
257 frequency computed over $\Delta z = 2$ m vertical intervals. In the range $\sigma_0 < \sigma < 2\sigma_0$ a transition
258 occurs and the aspect ratio is larger than unity for higher frequencies (probably due to the
259 instrumental configuration of the acoustic beams). This transition reflects the transition of
260 coherence between T-sensors at vertical separation distances of mean Ozmidov scale (5.5 m)
261 and larger from significantly different from zero at lower frequencies to below statistical
262 significance at higher frequencies $> \sim 2\sigma_0$ (Fig 2a). Coherence between T-sensors at vertical
263 scales of 16 m and larger drop rapidly to noise levels for $\sigma > N$, while coherence at the 2 m
264 scale is still (barely) significant close to the roll-off frequency, for all the 48 T-sensors from
265 the lower 100 m above the seafloor involved in the statistics.

266 (For the 48 T-sensors in the range between $z = 100$ and 196 m the variance- and co-spectra
267 are essentially similar to the ones of Fig. 2. The upper current meter aspect ratio of unity is
268 found near N . Less KE- and w -variance than at the lower current meter is observed at all
269 frequencies except near the Nyquist frequency, which is noise dominated, and, for KE only, at
270 semidiurnal (and lower) frequencies. This suggests a distinct redistribution from the internal
271 tide, presumably the major internal wave source, to all other frequencies as turbulence
272 increases towards the bottom. In the internal wave band, the aspect ratio between vertical and

273 horizontal motions is much smaller at the upper CM, especially at semidiurnal frequencies,
274 except near N.)

275 Although the co-spectra are quite smooth in their transition from high values in the
276 internal wave band at $\sigma < N$ through the inertial subrange to spectral roll-off, a few significant
277 variations in coherence are observable, e.g. consistent small peaks at 60, 90 and 105 cpd (Fig.
278 2a).

279

280 **C. Large seamount steep slope shear-convection motions**

281 Such small-scale coherence variations as a function of frequency are registered more
282 clearly in data from above a steep slope of Mont Josephine (Fig. 4). Probably coincidental
283 sub-peaks are observed at approximately the same frequencies of 60, 90 and 105 cpd, besides
284 at 25 cpd which is close to N in these data. While maximum small-scale buoyancy frequency
285 is almost identical (about 230 cpd) as in Fig. 2, the Ozmidov frequency is 30% lower, which
286 is still similar to within error bounds or the statistical spread around its rms mean value. The
287 larger (9 m) mean Ozmidov scale in these data more or less indicates the drop to insignificant
288 coherence levels in Fig. 4. At about $2\sigma_0$ equal horizontal and vertical separation distances
289 have equal coherence, suggesting dominating isotropic motions. The transition from coherent
290 internal wave motions at $\sigma < N$ to roll-off is distributed over about two-and-a-half orders of
291 magnitude. However, the largest difference with Fig. 2 is in the character of the internal wave
292 and inertial subranges at frequencies in between $N < \sigma < \text{roll-off}$.

293 In contrast with Fig. 2b, the T-variance in Fig. 4b does not show a bulge at near-buoyancy
294 frequencies $\sigma \ll N$, a weak tendency for a slope of +2/3 and, as in Fig. 2b, a lack of slope -
295 1/3. For $\sigma > N$ the approximate inertial subrange is observed not uniform but to be split in two
296 parts: A low-frequency part that has a vertical spread larger than the stochastic ‘error’ spread
297 and a stochastic high-frequency part that has a vertical spread equivalent to the error spread.
298 The transition between these two parts is around the maximum small-scale buoyancy
299 frequency, or between $\sigma_0 < \sigma < 2\sigma_0$. At $\sigma < \sigma_0$ the statistics of the 442 independent T-sensors

300 distributed over all five lines is almost identical to the one of the 87 independent T-sensors of
301 the central line, instead of being decreased by a factor of $(442/87)^{1/2} \approx 5^{1/2}$ for normally
302 distributed statistics, as is observed for $\sigma > 2\sigma_0$. The difference in statistical convergence
303 suggests a relatively small contribution of random signals and a strong deterministic character
304 for the inertial subrange part $N < \sigma < \sigma_0$ that is similar to the internal wave band. However,
305 strong band-smoothing by averaging the contents of neighboring frequency bands
306 demonstrates a tendency to stochastic values and a collapse to the inertial subrange scaling,
307 which distinguishes the inertial subrange from the internal wave band.

308 To understand the different inertial subrange character of stratified turbulence in Fig. 4
309 compared with the one in Fig. 2, its time-depth series is investigated in different bands. It is
310 seen that the range of observations does not resolve the semidiurnal internal tide, which has
311 excursions exceeding 100 m in the vertical (Fig. 5), and which carries and promotes the
312 breaking into convective turbulence, see the detail in (Fig. 6a). The character is different from
313 shear-induced overturning as in Fig. 1, given the fact that a substantial part of the buoyancy-
314 Ozmidov range does not follow the $\sigma^{-5/3}$ inertial subrange scaling. However, it is noted that
315 the convection inherently carries small-scale (secondary) shear-instabilities, such as in the
316 modelling by Li and Li (2006). As a result, a final collapse following strong band-smoothing
317 to inertial subrange scaling of shear-induced turbulence of a passive scalar (Ozmidov, 1965;
318 Tennekes and Lumley, 1972; Warhaft, 2000) is not surprising. However, sensor-smoothed
319 quasi-deterministic portions of relatively high and low coherence and relatively high and low
320 T-variance characterize the stratified turbulence part and may be associated with the internal
321 wave convection.

322 For some understanding in the time-domain, four double-elliptic sharp and phase-
323 preserving bandpass filters are designed (Fig. 4b) that demonstrate various scales (Fig. 6b-e).
324 Most intense motions occur around day 142.22 in the presented example, just before the
325 change from warming to cooling phase. Around this time in the wave phase, motions at all
326 frequencies show largest temperature variability. The internal wave band near-N motions

327 show large vertical coherence scales (Fig. 6b), as expected. Relatively large vertically
328 uniform scales are also observed near the Ozmidov frequency (Fig. 6d) and relatively small
329 vertical scales at lower and higher frequencies (Fig. 6c and 6e).

330

331 **IV. GENERAL DISCUSSION AND CONCLUSIONS**

332 The deep-ocean turbulence observations from above strongly sloping topography are not
333 associated with frictional flows, and thus not with a frictional Ekman boundary layer with a
334 typical extent of 10 m above the seafloor for 0.1 m s^{-1} flow speeds. Instead, the turbulence
335 observations are associated with internal wave breaking. The largest energy input is at the
336 semidiurnal lunar tidal frequency, and the $O(100 \text{ m})$ amplitude waves slosh back and forth
337 over the sloping seafloor thereby rapidly restratifying the waters making turbulent mixing
338 rather efficient. A large discrepancy is observed between mainly shear-induced overturning
339 with relatively large high-frequency internal wave content near the buoyancy frequency, and
340 shear-convective overturning. While both examples show an Ozmidov frequency that
341 separates the super-buoyancy frequency range in two, with aspect ratio < 1 motions in the
342 range $N < \sigma < \sigma_0$ and isotropic aspect ratio of unity motions at $\sigma > \sigma_0$, the statistics of the
343 former low-frequency part of the inertial subrange is found different. In the stratified shear
344 flow case, the range $N < \sigma < \sigma_0$ is highly stochastic with an uninterrupted continuation to the
345 general stochastic range $\sigma > \sigma_0$. In the shear-convective flow case, the range $N < \sigma < \sigma_0$ is
346 apparently partially quasi-deterministic or locally coherent, at least at scales $< 25 \text{ m}$ roughly.
347 This is the stratified turbulence of some interest (e.g., Lilly, 1983; Riley and Lindborg, 2008;
348 Augier et al., 2015).

349 The convective motions appear quasi-periodic at the high-frequency internal wave scale
350 near the buoyancy frequency, with an association with the particular (warming) phase of the
351 semidiurnal tide, the large-scale ‘carrier’ internal wave. It seems that the weak acceleration of
352 the internal tidal wave modulates the high-frequency internal wave to become convectively

353 unstable. Or, oblique propagation of the internal tide over the sloping topography may lead to
354 convective instability. For the latter to occur one needs a large slope, with spatial scales
355 exceeding those of the carrier wave. The internal tide has a horizontal scale $O(1 \text{ km})$, which
356 may explain why the small ridge-crest site does not exhibit shear-convection, but highly
357 shear-induced turbulence mainly: Its horizontal spatial scales match those of the internal tide
358 (van Haren et al., 2016). The convection is not necessarily horizontally bounded, but the
359 indirect effects of the topography are the wave steepening and breaking, which is expected to
360 vary over the wave's horizontal scales that set a natural boundary. In addition, the layered
361 stratification sets vertical buoyancy and Ozmidov scale boundaries. While shear-dominated
362 flows may influence the stability of stratification at large and small scales, that of shear-
363 convective flows may have a preference for (secondary) shear organization at the small scales
364 and (primary) convection at the large scales, in the Mont Josephine example presented here. It
365 remains to be investigated how much of the latter stratified turbulence energy is transported
366 upscale as suggested by Lilly (1983) and how the particular frequency distribution is
367 organized, if at all.

368 The present high-resolution observations may shed some light on laboratory/numerical
369 modelling. For high Re -flows in the deep-ocean it was suggested by Gargett (1988) that the
370 small-scale density layering plays an important role, via the small-scale buoyancy frequency,
371 in determining the scale of separation between anisotropic (lower frequencies) and isotropic
372 (higher frequencies) motions. The frequencies at which isotropy is found likely relate with
373 Froude number equal to one (Billant and Chomaz, 2001). Previous oceanographic
374 observations have shown marginal stability across thin stable stratified layers just balancing
375 relatively high destabilizing shear (van Haren et al. 1999). This shear is mainly found at low
376 near-inertial internal wave frequencies. The Mont Josephine data suggest that, in addition to
377 inertial shear organization in thin layers, semidiurnal tides or other large-scale internal waves
378 may also contribute to turbulent exchange via the initiation of convective instabilities that

379 appear intermittently as stratified turbulence, thereby disturbing the otherwise smooth
380 stochastic nature of the inertial subrange.

381

382 **ACKNOWLEDGMENTS**

383 This research was supported in part by NWO, the Netherlands Organization for the
384 advancement of science. I thank the captain and crew of the R/V Pelagia and NIOZ-MTM for
385 their very helpful assistance during deployment and recovery. I thank M. Laan, L. Gostiaux,
386 J. van Heerwaarden, R. Bakker and Y. Witte for all discussions and trials during design and
387 construction of the instrumentation.

388 **REFERENCES**

- 389 Alisse, J. R., and Sidi, C., “Experimental probability density functions of small-scale
390 fluctuations in the stably stratified atmosphere,” *J. Fluid Mech.* 402, 137-162 (2000).
- 391 Augier, P., Billant, P., and Chomaz, J.-M., “Stratified turbulence forced with columnar
392 dipoles: numerical study,” *J. Fluid Mech.* 769, 403-443 (2015).
- 393 Bendat, J. S., and Piersol, A. G., “Random data: analysis and measurement procedures,” John
394 Wiley, New York, 566 pp (1986).
- 395 Billant, P., and Chomaz, J.-M., “Self-similarity of strongly stratified inviscid flows,” *Phys.*
396 *Fluids* 13, 1645-1651 (2001).
- 397 Bouruet-Aubertot, P., van Haren, H., and LeLong, M.-P., “Stratified inertial subrange inferred
398 from in situ measurements in the bottom boundary layer of Rockall Channel,” *J. Phys.*
399 *Oceanogr.* 40, 2401-2417 (2010).
- 400 Corrsin, S., “On the spectrum of isotropic temperature fluctuations in an isotropic
401 turbulence,” *J. Appl. Phys.* 22, 469-473 (1951).
- 402 Davidson, P. A., “Turbulence in rotating, stratified and electrically conducting fluids,”
403 Cambridge University Press, Cambridge UK, 681 pp (2013).
- 404 Dillon, T. M., “Vertical overturns: a comparison of Thorpe and Ozmidov length scales,” *J.*
405 *Geophys. Res.* 87, 9601-9613 (1982).
- 406 Eriksen, C. C., “Observations of internal wave reflection off sloping bottoms,” *J. Geophys.*
407 *Res.* 87, 525-538 (1982).
- 408 Frehlich, R., Meillier, Y., and Jensen, M. L., “Measurements of boundary layer profiles with
409 in situ sensors and Doppler lidar,” *J. Atmos. Ocean. Technol.* 25, 1328-1340 (2008).
- 410 Garrett, C. J. R., and Munk, W. H., “Space-time scales of internal waves,” *Geophys. Fluid*
411 *Dyn.* 3, 225-264 (1972).
- 412 Gargett, A. E., Osborn, T. R., and Nasmyth, P. W., “Local isotropy and the decay of
413 turbulence in a stratified fluid,” *J. Fluid Mech.* 144, 231-280 (1984).

414 Gargett, A. E., "The scaling of turbulence in the presence of stable stratification," *J. Geophys.*
415 *Res.* 93, 5021-5036 (1988).

416 Gregg, M. C., "Variations in the intensity of small-scale mixing in the main thermocline," *J.*
417 *Phys. Oceanogr.* 7, 436-454 (1977).

418 Gregg, M. C., "Scaling turbulent dissipation in the thermocline," *J. Geophys. Res.* 94, 9686-
419 9698 (1989).

420 IOC, SCOR, and IAPSO, "The international thermodynamic equation of seawater – 2010:
421 Calculation and use of thermodynamic properties," Intergovernmental Oceanographic
422 Commission, Manuals and Guides No. 56, UNESCO, Paris, France, 196 pp (2010).

423 Kimura, Y., and Herring, J. R., "Energy spectra of stably stratified turbulence," *J. Fluid*
424 *Mech.* 698, 19-50 (2012).

425 Kolmogorov, A. N., "The local structure of turbulence in incompressible viscous fluid for
426 very large Reynolds numbers," *Dokl. Akad. Nauk. SSSR* 30, 301-305 (1941).

427 Li, S., and Li, H., "Parallel AMR code for compressible MHD and HD equations," T-7, MS
428 B284, Theoretical division, Los Alamos National Laboratory,
429 <https://es.scribd.com/document/74751081/amrmhd> (accessed 4 April 2019), (2006).

430 Lilly, D. K., "Stratified turbulence and the mesoscale variability of the atmosphere," *J.*
431 *Atmos. Sci.* 40, 749-761 (1983).

432 Maffioli, A., "Vertical spectra of stratified turbulence at large horizontal scales," *Phys. Rev.*
433 *Fluids* 2, 104802, <https://doi.org/10.1103/PhysRevFluids.2.104802> (2017).

434 Matsumoto, Y., and Hoshino, M., "Onset of turbulence by a Kelvin-Helmholtz vortex,"
435 *Geophys. Res. Lett.* 31, L02807, doi:10.1029/2003GL018195 (2004).

436 Munk, W. H., "Internal wave spectra at the buoyant and inertial frequencies," *J. Phys.*
437 *Oceanogr.* 10, 1718-1728 (1980).

438 Oakey, N. S., "Determination of the rate of dissipation of turbulent energy from simultaneous
439 temperature and velocity shear microstructure measurements," *J. Phys. Oceanogr.* 12,
440 256-271 (1982).

441 Obukhov, A. M., "Structure of the temperature field in a turbulent flow," *Izv. Akad. Nauk.*
442 *SSSR Ser. Geogr. Geofiz.* 13, 58-69 (1949).

443 Osborn, T. R., "Estimates of the local rate of vertical diffusion from dissipation
444 measurements," *J. Phys. Oceanogr.* 10, 83-89 (1980).

445 Ozmidov, R. V., "About some peculiarities of the energy spectrum of oceanic turbulence,"
446 *Dokl. Akad. Nauk SSSR* 161, 828-831 (1965).

447 Phillips, O. M., "On spectra measured in an undulating layered medium," *J. Phys. Oceanogr.*
448 1, 1-6 (1971).

449 Polzin, K. L., Toole, J. M., Ledwell, J. R., and Schmitt, R. W., "Spatial variability of
450 turbulent mixing in the abyssal ocean," *Science* 276, 93-96 (1997).

451 Riley, J. J., and Lindborg, E., "Stratified turbulence: A possible interpretation of some
452 geophysical turbulence measurements," *J. Atmos. Sci.* 65, 2416-2424 (2008).

453 Stevens, R. J. A. M., Lohse, D., and Verzicco, R., "Prandtl and Rayleigh number dependence
454 of heat transport in high Rayleigh number thermal convection," *J. Fluid Mech.* 688, 31-43
455 (2011).

456 Tennekes, H., and Lumley, J. L., "A first course in Turbulence," MIT Press, Cambridge, 300
457 pp (1972).

458 Thorpe, S. A., "Turbulence and mixing in a Scottish loch," *Phil. Trans. Roy. Soc. Lond. A*
459 286, 125-181 (1977).

460 Thorpe, S. A., "Transitional phenomena and the development of turbulence in stratified
461 fluids: a review," *J. Geophys. Res.* 92, 5231-5248 (1987).

462 van Haren, H., "Philosophy and application of high-resolution temperature sensors for
463 stratified waters," *Sensors* 18, 3184, doi:10.3390/s18103184 (2018).

464 van Haren, H., and Gostiaux, L., "High-resolution open-ocean temperature spectra," *J.*
465 *Geophys. Res.* 114, C05005, doi:10.1029/2008JC004967 (2009).

466 van Haren, H., Maas, L., Zimmerman, J.T.F., Ridderinkhof, H., Malschaert, H., “Strong
467 inertial currents and marginal internal wave stability in the central North Sea,” *Geophys.*
468 *Res. Lett.* 26, 2993-2996 (1999).

469 van Haren, H., Cimadoribus, A. A., Cyr, F., and Gostiaux, L., “Insights from a 3-D
470 temperature sensors mooring on stratified ocean turbulence,” *Geophys. Res. Lett.* 43,
471 4483-4489, doi:10.1002/2016GL068032 (2016).

472 van Haren, H., Hanz, U., de Stigter, H., Mienis, F., and Duineveld, G., “Internal wave
473 turbulence at a biologically rich Mid-Atlantic seamount,” *PLoS ONE* 12(12), e0189720
474 (2017).

475 Waite, M. L., “Stratified turbulence at the buoyancy scale,” *Phys. Fluids* 23, 066602 (2011).

476 Warhaft, Z., “Passive scalars in turbulent flows,” *Ann. Rev. Fluid Mech.* 32, 203-240 (2000).

477

478

479 **FIG. 1.** High-resolution Conservative Temperature observations above a Mid-Atlantic Ridge
480 crest. (a) Four day time-full 200 m depth-range series. The periods of the inertial
481 frequency (f) and the semidiurnal lunar tidal frequency (M_2) are indicated by the black and
482 white horizontal bar, respectively. The detailed period of b. is indicated by the purple bar.
483 The seafloor is at the horizontal axis, 770 m water depth. (b) Magnification (4.8 h) of a.
484 The period of the buoyancy frequency (N) is indicated by the black horizontal bar.

485

486 **FIG. 2.** Temperature spectra for the lower 94 m (48 T-sensors) of the vertical range of Fig.
487 1a. The data are sub-sampled at 0.5 Hz for computational reasons. (a) Average coherence
488 spectra between all pairs of independent sensors for the labelled vertical (Δz) intervals.
489 The low dashed lines tending to coherence of 0.07 at high frequencies indicate the
490 approximate 95%-significance levels computed following (Bendat and Piersol, 1986).
491 Several buoyancy frequencies are indicated by dashed vertical lines including four-day
492 large-scale mean N and the maximum small-scale (thin-layer) $N_{s,max}$. The mean Ozmidov
493 scale-length is indicated by the cross on the heavy solid line of the Ozmidov-frequency. (b)
494 Corresponding power spectra are scaled with inertial subrange $\sigma^{-5/3}$ (the horizontal black-
495 dashed line on log-log plot. The slope of $-1/3$ (purple) indicates the canonical internal
496 wave slope (Garrett and Munk, 1972) and finescale structure (Phillips, 1971), while $+2/3$
497 (blue) indicates the open-ocean internal wave slope and $-4/3$ (green) the open-ocean slope
498 for frequencies just higher than N (van Haren and Gostiaux, 2009). In light-blue the 48-
499 sensor average T-spectrum, weakly band-smoothed. In red, the same spectrum more
500 heavily band-smoothed, in blue strongly band-smoothed and slightly shifted vertically for
501 clarity. Inertial, semidiurnal lunar tidal and Ozmidov (σ_0) frequencies are indicated. The
502 95% significance levels are indicated by the small vertical bars for spectra of
503 corresponding colour.

504

505 **FIG. 3.** Variance spectra as Fig. 2b but from lower current meter data with kinetic energy in
506 black and vertical component in purple.

507

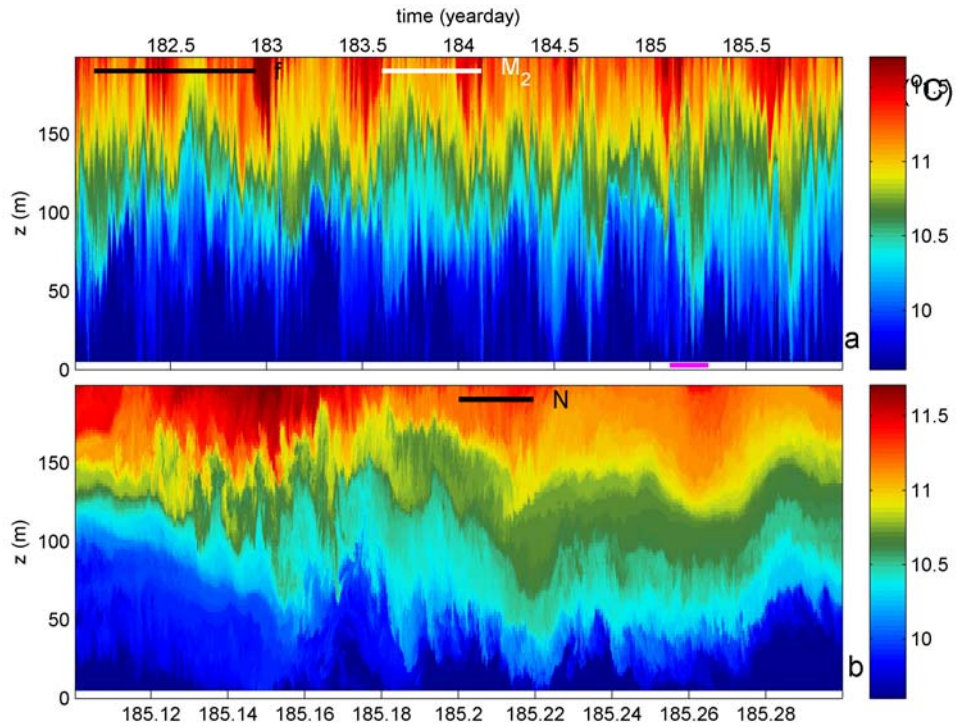
508 **FIG. 4.** As Fig. 2, but for four days of 3D-mooring data from Mont Josephine. In (a) also
509 horizontal separation distances are indicated for the two thicker-line coherence spectra. In
510 (b) the light-blue spectrum is for 87-sensor independent records from the single (central)
511 line only, the also weakly smoothed red spectrum is for all 442 independent records from
512 the 5 lines, the blue spectrum its strongly band-smoothed version. In black are spectra of
513 four different band-pass filters.

514

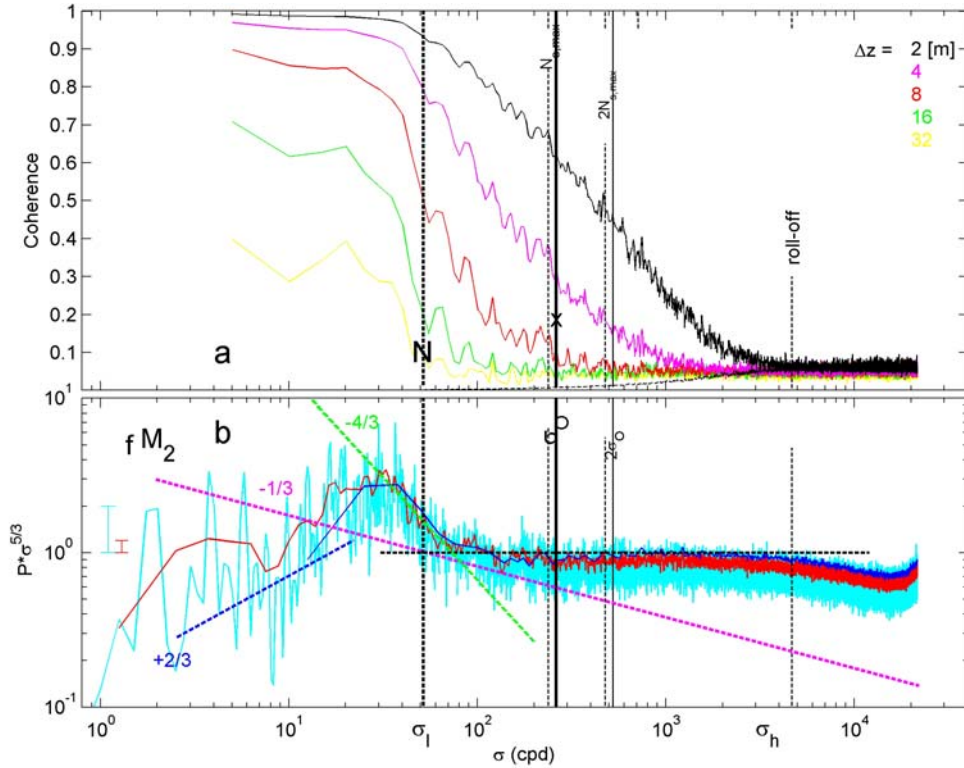
515 **FIG. 5.** As Fig. 1a, but for four days of 3D-mooring central line data from a slope of Mont
516 Josephine, 1740 m water depth. The purple line indicates the detailed period of Fig. 6.

517

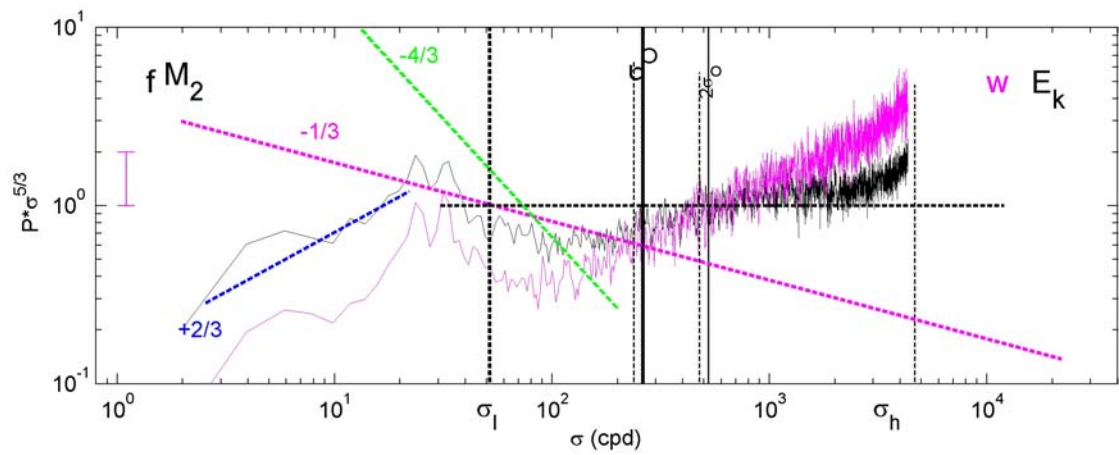
518 **FIG. 6.** The upper panel shows a 4.8 h magnification from Fig. 5, the lower panels its
519 different band-pass filtered versions from low- to high-frequencies. (For filter bounds see
520 black spectra in Fig. 4b).



521
 522 **FIG. 1.** High-resolution Conservative Temperature observations above a Mid-Atlantic
 523 Ridge crest. (a) Four day time-full 200 m depth-range series. The periods of the inertial
 524 frequency (f) and the semidiurnal lunar tidal frequency (M_2) are indicated by the black and
 525 white horizontal bar, respectively. The detailed period of b. is indicated by the purple bar.
 526 The seafloor is at the horizontal axis, 770 m water depth. (b) Magnification (4.8 h) of a.
 527 The period of the buoyancy frequency (N) is indicated by the black horizontal bar.
 528

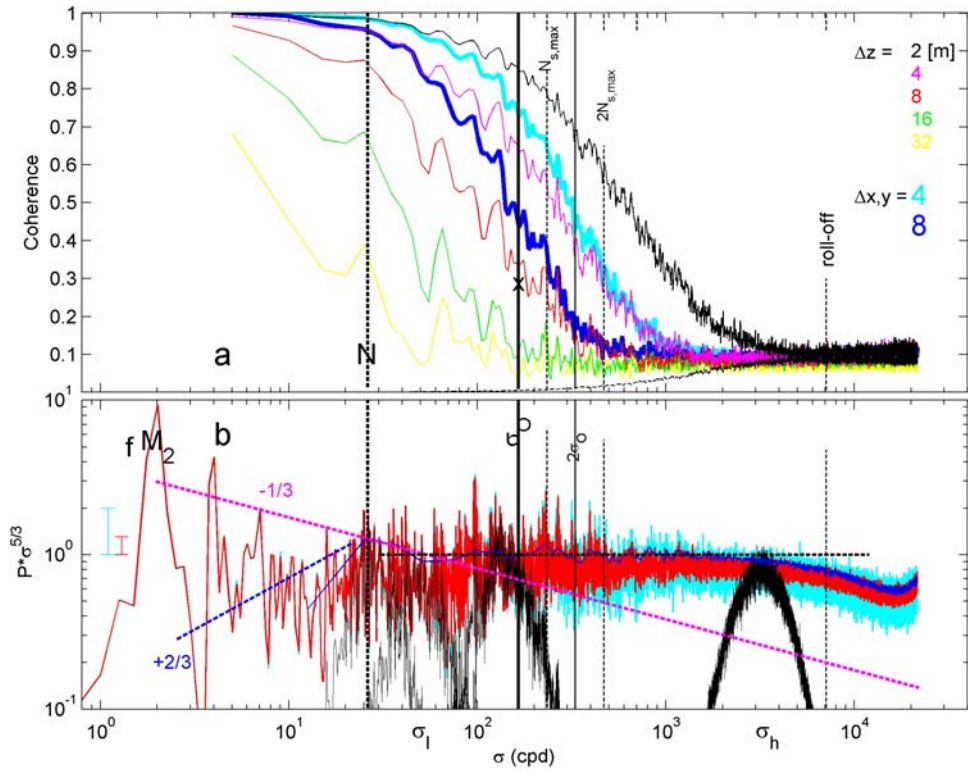


529
 530 **FIG. 2.** Temperature spectra for the lower 94 m (48 T-sensors) of the vertical range of Fig.
 531 1a. The data are sub-sampled at 0.5 Hz for computational reasons. (a) Average coherence
 532 spectra between all pairs of independent sensors for the labelled vertical (Δz) intervals.
 533 The low dashed lines tending to coherence of 0.07 at high frequencies indicate the
 534 approximate 95%-significance levels computed following (Bendat and Piersol, 1986).
 535 Several buoyancy frequencies are indicated by dashed vertical lines including four-day
 536 large-scale mean N and the maximum small-scale (thin-layer) $N_{s,max}$. The mean Ozmidov
 537 scale-length is indicated by the cross on the heavy solid line of the Ozmidov-frequency. (b)
 538 Corresponding power spectra are scaled with inertial subrange $\sigma^{-5/3}$ (the horizontal black-
 539 dashed line on log-log plot). The slope of $-1/3$ (purple) indicates the canonical internal
 540 wave slope (Garrett and Munk, 1972) and finescale structure (Phillips, 1971), while $+2/3$
 541 (blue) indicates the open-ocean internal wave slope and $-4/3$ (green) the open-ocean slope
 542 for frequencies just higher than N (van Haren and Gostiaux, 2009). In light-blue the 48-
 543 sensor average T-spectrum, weakly band-smoothed. In red, the same spectrum more
 544 heavily band-smoothed, in blue strongly band-smoothed and slightly shifted vertically for
 545 clarity. Inertial, semidiurnal lunar tidal and Ozmidov (σ_0) frequencies are indicated. The
 546 95% significance levels are indicated by the small vertical bars for spectra of
 547 corresponding colour.
 548



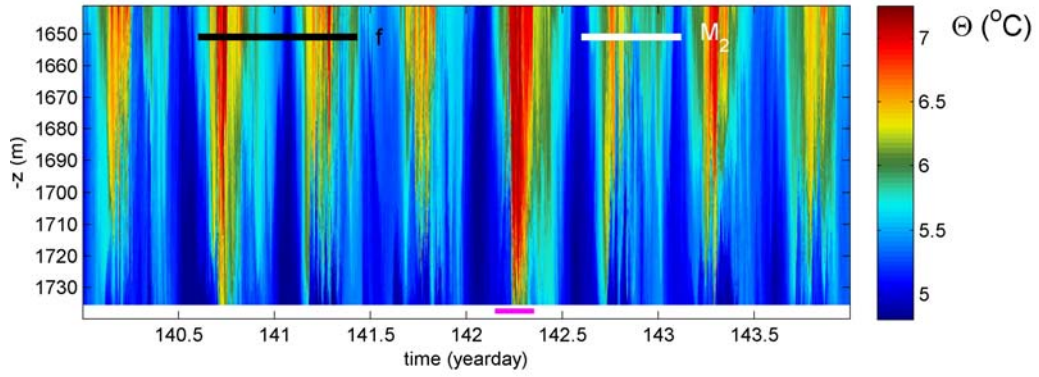
549
 550
 551
 552

FIG. 3. Variance spectra as Fig. 2b but from lower current meter data with kinetic energy in black and vertical component in purple.

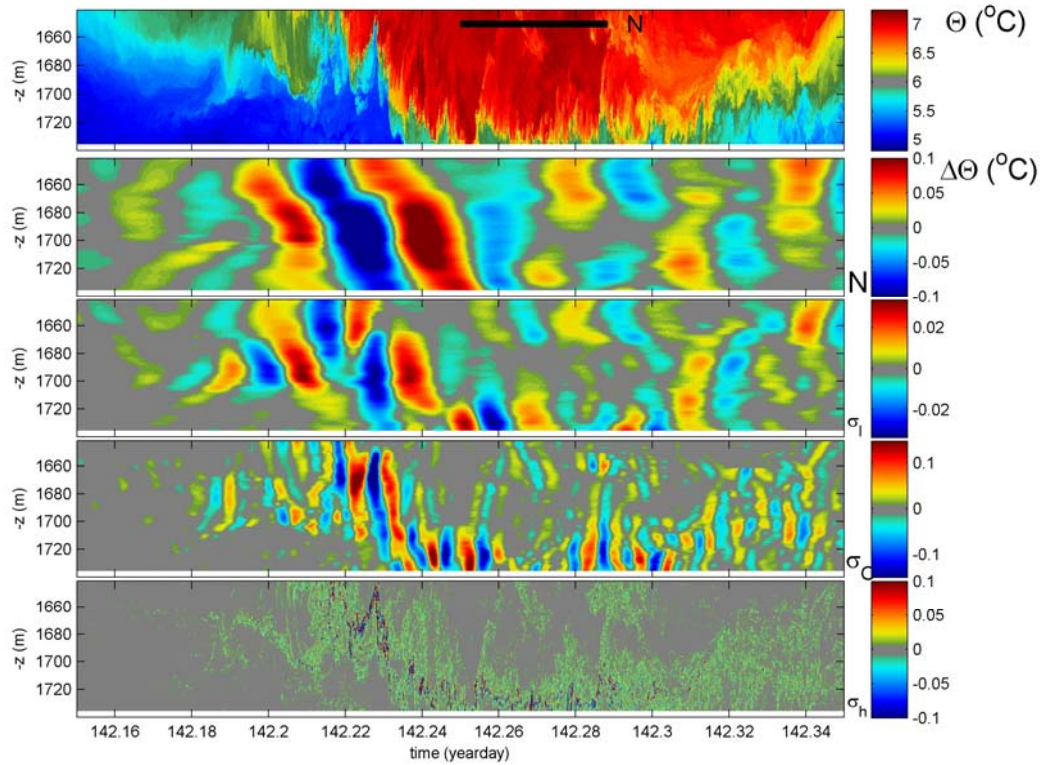


553
 554
 555
 556
 557
 558
 559
 560

FIG. 4. As Fig. 2, but for four days of 3D-mooring data from Mont Josephine. In (a) also horizontal separation distances are indicated for the two thicker-line coherence spectra. In (b) the light-blue spectrum is for 87-sensor independent records from the single (central) line only, the also weakly smoothed red spectrum is for all 442 independent records from the 5 lines, the blue spectrum its strongly band-smoothed version. In black are spectra of four different band-pass filters.



561
 562 **FIG. 5.** As Fig. 1a, but for four days of 3D-mooring central line data from a slope of Mont
 563 Josephine, 1740 m water depth. The purple line indicates the detailed period of Fig. 6.
 564



565
 566
 567
 568
 569
 570

FIG. 6. The upper panel shows a 4.8 h magnification from Fig. 5, the lower panels its different band-pass filtered versions from low- to high-frequencies. (For filter bounds see black spectra in Fig. 4b).