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1 A novel heterocyst glycolipid detected in a pelagic N<sub>2</sub>-fixing cyanobacterium of the  
2 genus *Calothrix*

3  
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14

## 15 **ABSTRACT**

16 Previous studies have shown that heterocyst glycolipids (HGs) are unique markers for N<sub>2</sub>-fixing  
17 heterocystous cyanobacteria. In this study, the HGs of a marine pelagic *Calothrix* sp. CCY1611  
18 isolated from the tropical western North Atlantic were analyzed by ultra-high pressure liquid  
19 chromatography–high resolution mass spectrometry and it was shown that this organism contains  
20 an unusual C<sub>28</sub> triol HG with a methylated C<sub>6</sub> sugar (methyl-HG<sub>28</sub> triol) head group. Gas  
21 chromatography–mass spectrometry analysis of the sugar released from the novel HG by acid  
22 methanolysis revealed that the sugar is likely 6-O-methyl-β-D-glucopyranose. We propose that  
23 this methyl-HG<sub>28</sub> triol is a potential biomarker for pelagic members of the genus *Calothrix*.

24

## 25 **1. Introduction**

26 In all heterocystous cyanobacteria studied to date, the heterocyst cell wall contains  
27 heterocyst glycolipids (HGs) (Nichols and Wood, 1968; Abreu-Grobois et al., 1977; Gambacorta  
28 et al., 1995; Bauersachs et al., 2009a; 2014). These HGs almost universally comprise a hexose  
29 head group (hereafter C<sub>6</sub>) glycosidically bound to long-chain diols, triols, or hydroxyketones  
30 (Bryce et al., 1972; Gambacorta et al., 1998; Bauersachs et al., 2009b; 2011), except for some  
31 marine endosymbiotic cyanobacteria which contain pentose head groups (Schouten et al., 2013;  
32 Bale et al., 2015; 2018). Previous studies reported that HGs show structural diversity depending  
33 on the family level within the cyanobacteria divisions (Bauersachs et al., 2009a; 2014; 2017). The  
34 heterocystous cyanobacteria of the genus *Calothrix* are characterized by the presence of the 1-(O-  
35 hexose)-3,25,27-octacosanetriol (C<sub>6</sub> HG<sub>28</sub> triol) and 1-(O-hexose)-27-keto-3,25-octacosanediol  
36 (C<sub>6</sub> HG<sub>28</sub> keto-diol) (Gambacorta et al., 1998; Bauersachs et al., 2009a; Wörmer et al., 2012).  
37 However, these studies on *Calothrix* focused predominantly on benthic strains, while this genus  
38 is also known from the pelagic where it occurs as a symbiont of marine diatoms, specifically of

39 *Chaetoceros* (Foster et al., 2010; 2011). These symbioses are known as diatom-diazotroph  
40 associations. Previously, while analyzing the HG content of *Calothrix* sp. UTEX 2589, Schouten  
41 et al. (2013) found that alongside the C<sub>6</sub> HG<sub>28</sub> triol and C<sub>6</sub> HG<sub>28</sub> keto-diol there was also an  
42 unknown glycolipid eluting several minutes earlier than the known glycolipids. Based on mass  
43 spectral fragmentation patterns and molecular weight, this novel glycolipid was tentatively  
44 described as a HG<sub>28</sub> triol containing a C<sub>6</sub> sugar moiety which contained either an additional keto  
45 group, e.g. glucuronic acid instead of glucose, or an additional methyl group, e.g. by methylation  
46 of one of the hydroxyl groups (Schouten et al., 2013). This novel glycolipid was not present in  
47 the majority of benthic *Calothrix* species examined to date, but was present in a *Calothrix*  
48 isolated from an intertidal microbial mat (CCY0202; Schouten et al., 2013). Here, we identified  
49 this novel HG in a pelagic *Calothrix* sp. CCY1611 that was isolated from the surface water of the  
50 tropical western North Atlantic using ultra-high pressure liquid chromatography–high resolution  
51 mass spectrometry (UHPLC–HRMS) and acid methanolysis.

52

## 53 **2. Methods**

### 54 *2.1. Isolation and culturing*

55 *Calothrix* sp. CCY1611 was isolated from surface water from the tropical North Atlantic  
56 Ocean collected during a research cruise onboard the R/V *Pelagia* in 2014 (Station 20, 64PE393,  
57 cf. Bale et al., 2018). A surface water sample (1.5 L) was filtered over a 47 mm GFF (Whatman,  
58 Maidstone, UK). The filter was placed in a disk filled with agarose (0.6%) solidified seawater  
59 from the same location and subsequently stored at –80 °C until transport to the laboratory at  
60 NIOZ. Isolation of diazotrophic cyanobacteria was performed by transferring the GFF filter to a  
61 Petri dish with a solidified artificial seawater T<sup>0</sup> medium (modified from Chen et al., 1996) with  
62 agarose (7 g L<sup>-1</sup>) as the solidifying agent. The medium was supplemented with glucose (2 g L<sup>-1</sup>)

63 and the incubation was carried out in an incubator (model MLR-350, SANYO, Osaka, Japan) at  
64 27 °C, with a 12-12 h light-dark cycle and a light intensity (photon density) of 20–30  $\mu\text{mol m}^{-2} \text{s}^{-1}$ .  
65 <sup>1</sup>. Once colonies appeared on the filter, they were transferred to new agarose medium without  
66 glucose, and a pure culture was obtained after repeated transfers of single trichomes using  
67 standard microbiological techniques. The isolate was identified as a *Calothrix* sp. based on its  
68 morphology using a light microscopy and sequencing of the 16S rRNA gene (GenBank accession  
69 number MH364376). In order to characterize its HGs, the strain was grown for 40 days in T<sup>0</sup>  
70 liquid medium at 27 °C and harvested at stationary phase and stored at –20 °C until analysis.

71

## 72 2.2. Lipid extraction and analysis

73 The extraction of lipids from freeze dried biomass was carried out using a modified  
74 Bligh-Dyer extraction as described previously (Bale et al., 2013). UHPLC–HRMS was carried  
75 out as described by Bale et al. (2017) using an Agilent 1290 Infinity UHPLC was used, equipped  
76 with thermostatic auto-injector and column oven, coupled to a Q Exactive Orbitrap MS with Ion  
77 Max source with heated electrospray ionization (HESI) probe (Thermo Fisher Scientific,  
78 Waltham, MA, USA).

79 To confirm the structure of the sugar in the novel HG, it was isolated using semi-  
80 preparative HPLC and the normal phase system as described by Bale et al. (2017). The column  
81 effluent was collected in 1 min fractions and the fractions containing the novel HG were pooled.  
82 Acid methanolysis was performed on the isolated compound and hydroxyl groups were converted  
83 into trimethylsilyl (TMS) ester derivatives using *N,O-bis*(trimethylsilyl)trifluoroacetamide  
84 (BSTFA) and pyridine (1:1; 20 min at 60 °C) before analysis by GC–MS using a Thermo Trace  
85 DSQ as described in Schouten et al. (2013). The sugar was identified by comparison of its mass  
86 spectrum with library mass spectra (NIST Mass Spectral Library, Version 2.0, 2012) and three

87 standards (methyl  $\alpha$ -D-glucopyranoside, methyl- $\beta$ -D-galactopyranoside and 3-O-methyl-D-  
88 glucopyranose, Sigma-Aldrich, St. Louis, USA).

89

### 90 **3. Results and discussion**

91 Analysis of *Calothrix* strain CCY1611 by UHPLC–HRMS (Fig. 1a) indicated the  
92 presence of a C<sub>6</sub> HG<sub>28</sub> triol ([M+H]<sup>+</sup> *m/z* 621.493) and a C<sub>6</sub> HG<sub>28</sub> keto-diol ([M+H]<sup>+</sup> *m/z*  
93 619.478) and a novel HG ([M+H]<sup>+</sup> *m/z* 635.508), previously reported by Schouten et al. (2013) in  
94 two other *Calothrix* species. Initial structural identification was based on the HRMS<sup>2</sup> spectrum  
95 generated from the protonated molecule (Fig. 1b). The spectrum contained the same five ions as  
96 described in the MS<sup>2</sup> spectrum of the C<sub>6</sub> HG<sub>28</sub> triol (Bauersachs et al., 2009b), at *m/z* 459.441,  
97 441.430, 423.420, 405.409 and 387.398, suggesting that the alkyl chain is also a 3,25,27-  
98 octacosanetriol. The product ion at *m/z* 459.441 corresponded to a neutral loss of a head group of  
99 mass 176.067 Da (C<sub>7</sub>H<sub>12</sub>O<sub>5</sub>). The accurate mass of the unknown HG [M+H]<sup>+</sup> ion (*m/z* 635.508)  
100 allowed us to distinguish between the two hypothesized structures for the head group by  
101 Schouten et al. (2013) since the accurate mass of the HG with an additional keto group on the C<sub>6</sub>  
102 sugar, e.g. glucuronic acid, (C<sub>34</sub>O<sub>10</sub>H<sub>66</sub>) is 635.473, whereas accurate mass of the HG with an  
103 additional methyl group on the C<sub>6</sub> sugar (C<sub>35</sub>O<sub>9</sub>H<sub>70</sub>) is 635.509. This demonstrates that the  
104 unknown HG compound is a methylated C<sub>6</sub> HG with a C<sub>28</sub> triol core (methyl-HG<sub>28</sub> triol). This  
105 was confirmed by GC–MS analysis of the sugar released by acid methanolysis of the isolated (by  
106 preparative HPLC) novel HG. The mass spectrum (Fig. 2) provided evidence that there was no  
107 methylation at the C-2, C-3 or C-4 position and that, due to the methanolysis of the alcohol chain,  
108 there was a methylation at the C-1 position (Pettersson and Samuelson, 1968). The additional  
109 methylation was therefore determined to be at the C-6 position. Furthermore, the spectrum was  
110 similar to the reported mass spectrum to 6-O-methyl- $\beta$ -D-glucopyranose (NIST Mass Spectral

111 Library, Version 2.0, 2012), while its retention time and mass spectrum was different from our  
112 analysis of sugars with single methylations at the 1-O and 3-O position. Therefore, the novel HG  
113 was identified as 1-(O-6-O-methyl- $\beta$ -D-glucopyranose)-3,25,27-octacosanetriol, a potential novel  
114 biomarker for cyanobacteria in the genus *Calothrix*.

115 To date, there have been no environmental reports of the methyl-HG<sub>28</sub> triol, likely due to  
116 limitations of previous analytical methods such as selective reaction monitoring (SRM)  
117 (Bauersachs et al., 2009b; Bale et al., 2015), which did not include the transition of the methyl-  
118 HG<sub>28</sub> triol. Further research examining more species of pelagic and benthic *Calothrix* should  
119 reveal if this novel HG is associated with pelagic strains of *Calothrix* or whether it has a wider  
120 distribution within the genus.

121

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131 Education, Culture and Science.

132

133 *Associate Editor*–**Bart van Dongen**

134

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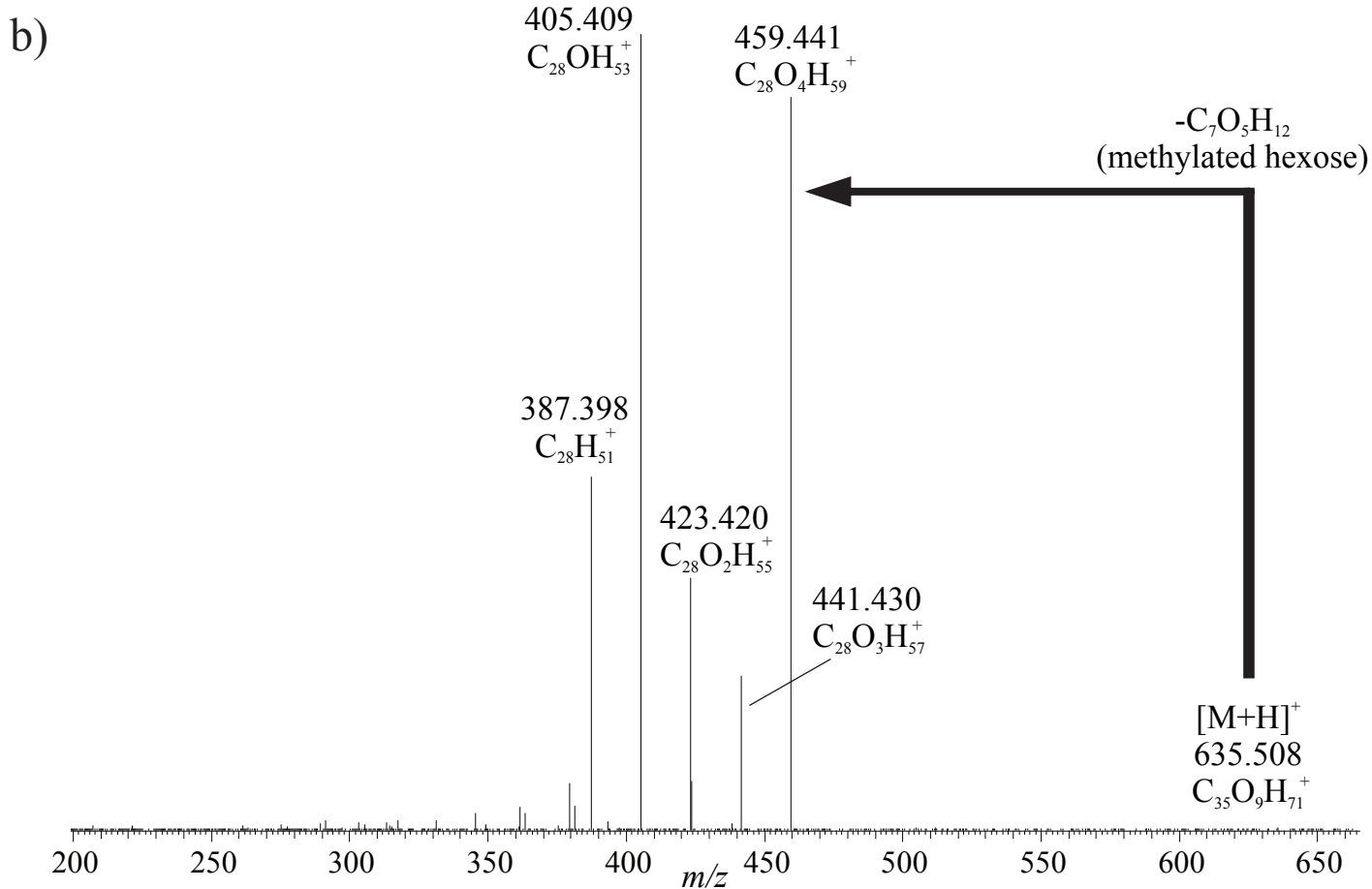
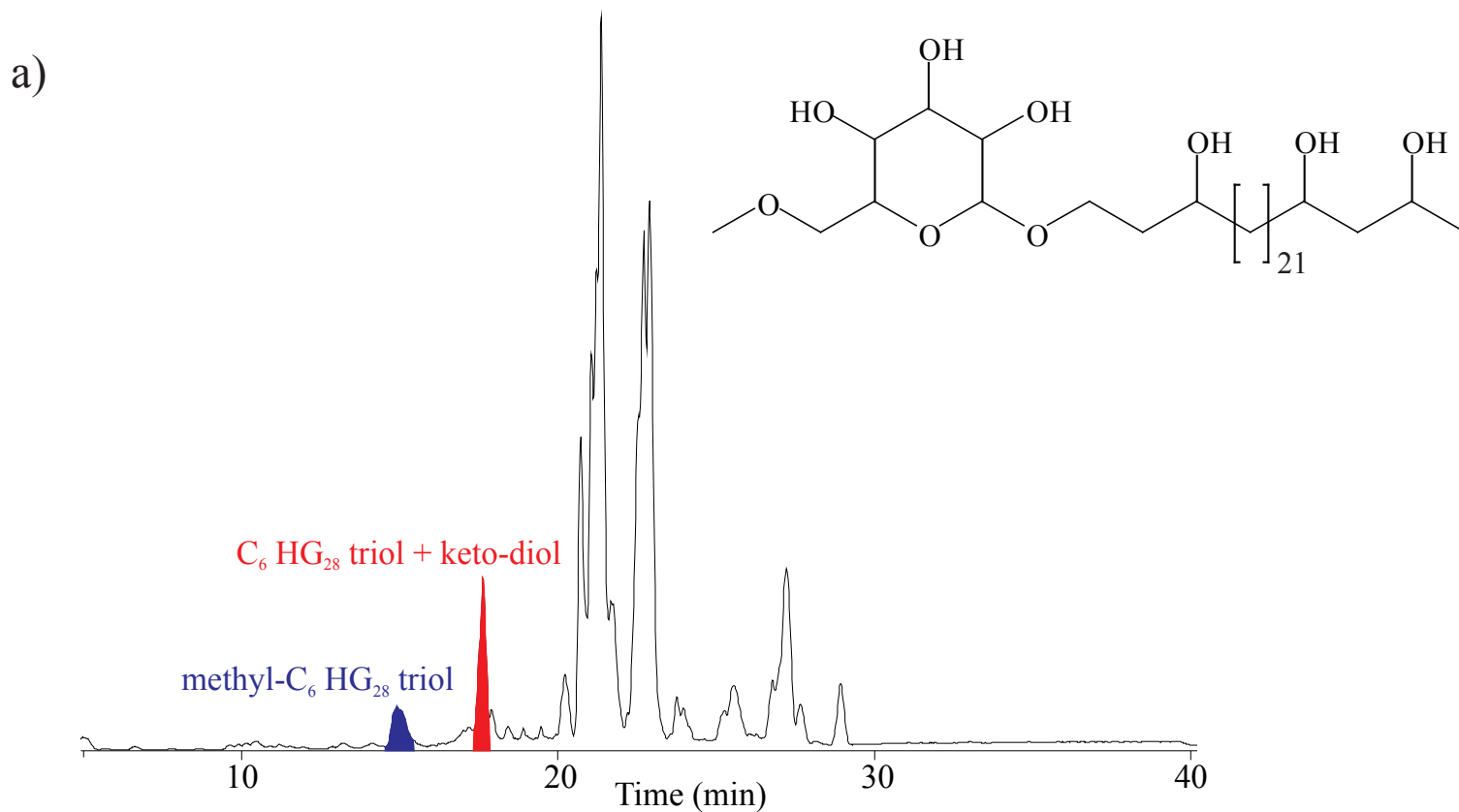
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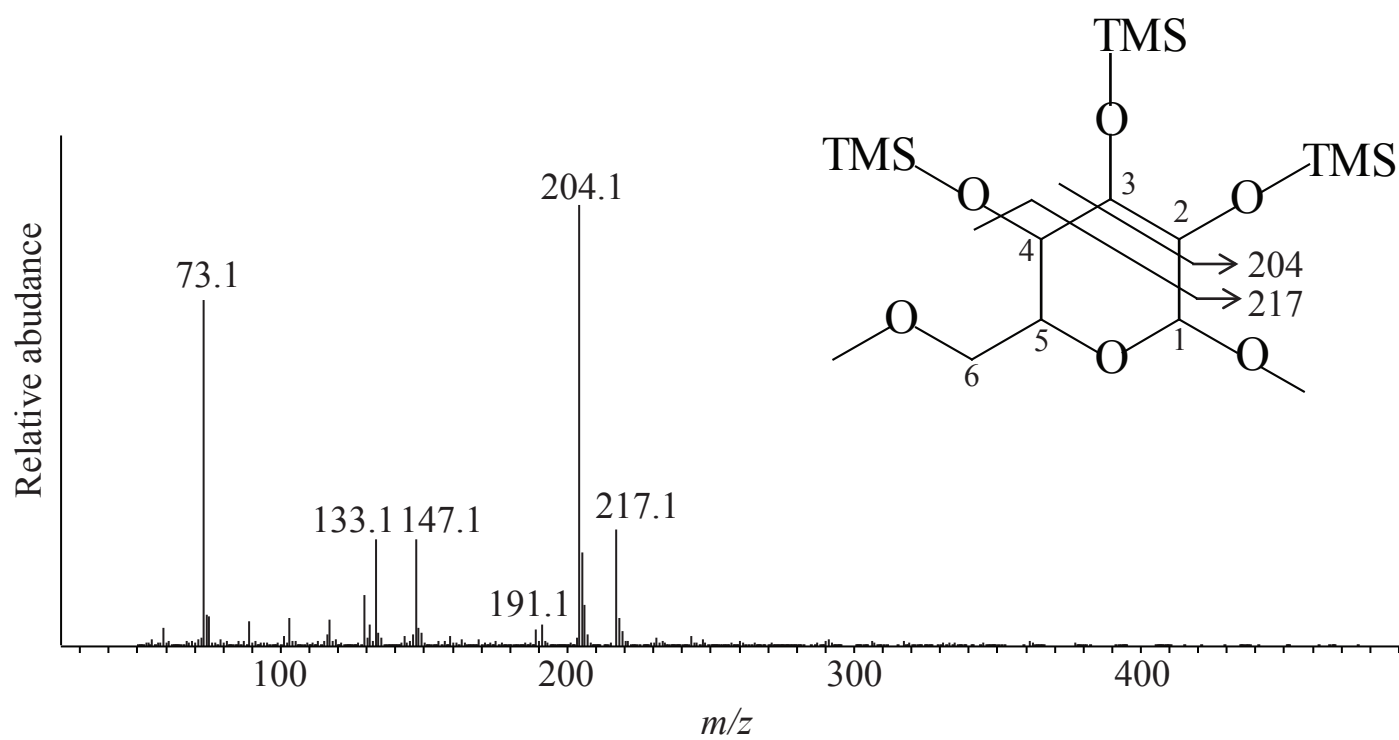
**Figure 1**

Figure 1. (a) UHPLC-HRMS partial base peak chromatogram (Gaussian smoothed) showing the distribution of heterocyst glycolipids (filled peaks) in the Bligh and Dyer extract of *Calothrix* sp. CCY1611. Insert: proposed structure of the novel methyl- $C_6$  HG $_{28}$  triol, 1-(O-6-O-methyl- $\beta$ -D-glucopyranose)-3,25,27-octacosanetriol. (b) MS<sup>2</sup> spectrum of the novel methyl- $C_6$  HG $_{28}$  triol with  $[M+H]^+$  635.508. For interpretation of the acyl chain fragments see Bauersachs et al. (2009b).



**Figure 2**

Figure 2. Mass spectra of trimethylsilylated (TMS) sugar moiety of the novel heterocyst glycolipid with structure shown as insert.



Dear Professor Volkman

Thank you for considering our manuscript for publication in *Organic Geochemistry* as a Note. We thank you for your helpful editorial edits and we have additionally made all the edits requested by the reviewer.

Yours sincerely,

Dr. Nicole Bale, on behalf of co-authors

Editorial changes:

use correct degree symbol for °C, and space before °C.

**We have made this change**

Put subsection headings in italics, not bold.

**We have made this change**

Insert a blank line between sections.

**We have made this change**

In m/z only the m and z are in italics.

**We have made this change**

Reviewer #1: This is generally well written note with an interesting new identification of what could be a potential new biomarker. I suggest accepting it after some minor corrections.

**We thank the reviewer for the comments. We have addressed the specific points below.**

However, I feel that it may be too early to make this a potential biomarker for the genus *Calothrix*, as stated in the abstract (lines 18-19), particularly since it was not present in the majority of the benthic *Calothrix* species (line 42-23). Could it be that this is a potential biomarker for pelagic *Calothrix* species? In addition, this conclusion is not completely in line with the final line of the MS (lines 110-110). Here the authors mention that 'Further research examining more species of *Calothrix* as well as other heterocystous cyanobacteria should reveal if this novel HG is restricted to this genus', which basically means that they themselves would not call it a biomarker for this genus yet.

**We agree that it is not correct to associate the novel biomarker with the entire genus *Calothrix* at this stage. A wide range of benthic strains did indeed not contains the novel HG and we theorized ourselves that the biomarker is associated with pelagic *Calothrix*. Unfortunately, we are not able to confirm whether the two additional *Calothrix* strains that were found to contain the glycolipid were pelagic. We have rewritten the lines mentioned above as well as at other points in the manuscript to focus in on pelagic *Calothrix* rather than making broad statements about the entire genus.**

Other minor comments:

Line 57. Delete 'also'

**We have made this change**

Line 58. Change ...L-1). The.... To ....L-1) and the...

**We have made this change**

Line 69 Change ...2017). For this an Agilent 1290 Infinity UHPLC was used, .... To ...2017) using an Agilent 1290 infinity UHPLC,....

**We have made this change**

Line 73 Change .....HG, we isolated the HG using .... To ....HG, it was isolated using....

**We have made this change**

Line 74 Replace 'using' with 'and'

**We have made this change**

Line 76. Delete 'then'

**We have made this change**

Line 77 To be consistent with the figures it should be TMS and TMSi

**We have made this change**

Line 84-85 Change ... We analyzed the HG composition of Calothrix strain CCY1611 by UHPLC-HRMS (Fig 1a) and found a C6 HG28 triol ([M+H]<sup>+</sup> m/z 621.493) and a C6 HG28 keto-diol ([M+H]<sup>+</sup> 85 m/z 619.478) but also a novel ..... to..... Analysis of the Calothrix strain CCY1611 by UHPLC-HRMS (Fig 1a) indicated the presence of a C6 HG28 triol ([M+H]<sup>+</sup> m/z 621.493), a C6 HG28 keto-diol ([M+H]<sup>+</sup> 85 m/z 619.478) and a novel ....

**We have made this change**

Line 87 Delete 'using HPLC-MS'

**We have made this change**

Line 94 Replace 'of' by 'by'

**We have made this change**

Lines 103-104. How is it confirmed that the spectrum was similar to the mass spectrum to 6-O-methyl-<beta>-D-glucofuranose, while its retention time and mass spectrum was different from the sugars with single methylations at the 1-O and 3-O position?

**We have rewritten this line to make it clear that the similarity between the mass was based on the NIST library spectrum for 6-O-methyl-beta-D-glucofuranose. The confirmation that the methylation was at the 6-position came from the interpretation of different ratios of fragments in its mass spectrum following the rules presented for methylated sugar fragmentation of Petersson et al. (1968).. Finally, we ran three standards with methylation either at the 1-O or 3-O position, none of which exhibited the same fragmentation or retention time as the novel HG.**

**References: Petersson, G., Samuelson, O., 1968. Determination of the Number and Position of Methoxyl Groups in Methylated Aldohexoses by Mass Spectrometry of their Trimethylsilyl Derivatives. Svensk Papperstidning 71, 731–738**