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[Article begins on next page]

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Intra- and inter-tidal variability of the vertical current structure in the Marsdiep basin

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Abstract

The vertical structure of the along-stream current in the main channel of the periodically-stratified estuarine Marsdiep basin is investigated by combining velocity measurements collected during three different seasons and numerical simulations with a one-dimensional water column model. The observed vertical shears in the lowest part of the water column are greater during ebb than during flood due to an asymmetry in drag coefficient (i.e. bed friction), which is most likely determined by the surrounding complex bathymetry. This asymmetry is usually not incorporated in models. Furthermore, a mid-depth velocity maximum is observed and simulated during early and late flood which is generated by along-stream and cross-stream tidal straining, respectively. The strength of the observed vertical shears in the upper part of the water column during flood correlates well with the along-stream salinity gradient. The mid-depth velocity maximum during late flood results in an early current reversal in the upper part of the water column. The elevated vertical shears during ebb are able to reduce vertical stratification induced by along-stream tidal straining, whereas cross-stream tidal straining during late flood promotes the generation of vertical stratification. The simulations suggest that these processes are most important during spring tide conditions. This study has demonstrated that an asymmetry in bed friction and the presence of density gradients both have a strong impact on the vertical structure of along-stream velocity in the Marsdiep basin.

1. Introduction

Currents in estuaries and coastal seas are the main transport agents of suspended matter. The net transport patterns of plankton, larvae, nutrients, pollutants and suspended sediment are partly determined by the residual current. The vertical distribution of suspended matter varies in the water column and therefore for understanding the vertical and horizontal exchange patterns in an estuary, it is important to also take the vertical profile of the current and salinity into account.

In estuaries, the shape of the vertical profile of along-stream velocity is determined by the interaction of the barotropic and baroclinic pressure gradients, which creates a difference in the shape of the vertical profiles between ebb and flood [Simpson *et al.*, 1990; Jay and Musiak,

1996; *Seim et al.*, 2002; *MacCready and Geyer*, 2010; *Geyer and MacCready*, 2013 and references therein]. During flood, the direction of the baroclinic force in the near-bottom layer coincides with the direction of the barotropic force, which in the absence of bed friction and vertical mixing would result in the strongest velocities near the seabed [*Valle-Levinson and Wilson*, 1994]. However, the seabed imposes a frictional drag on the tidal currents, which, in combination with the strong near-bed velocities during flood, results in greater near-bed shears, generating a well-mixed water column [e.g. *Jay and Musiak*, 1996]. During ebb, the baroclinic and barotropic forces oppose each other near the bottom, generating smaller shears at the bottom and greater shears in the upper part of the water column. Furthermore, fresher water higher up in the water column is advected over saltier water during ebb which generates vertical stratification, a process called tidal straining [*van Aken*, 1986; *Simpson et al.*, 1990].

The steady baroclinic pressure gradient [*Pritchard*, 1956; *Hansen and Rattray*, 1966] and the strain-induced periodic stratification [*Simpson et al.*, 1990; *Jay and Musiak*, 1996] modify the shape of the vertical profile in estuaries. *Burchard and Hetland* [2010] demonstrated with model simulations that tidal straining contributed approximately two-third to the residual circulation, whereas the baroclinic tide itself contributed only one-third in periodically-stratified estuaries. Both mechanisms are able to modify the shape of the vertical profile of along-stream velocity and thereby determine the vertical profile of residual circulation.

Commonly, the difference in shape of the vertical profiles between ebb and flood results in the classical residual estuarine circulation with inflow at the bottom and outflow at the surface [e.g. *Geyer et al.*, 2000; *Stacey et al.*, 2001, 2008; *Seim et al.*, 2002; *Murphy and Valle-Levinson*, 2008]. There also exist inverse estuaries, where the baroclinic force near the bottom is directed in the opposite direction (towards the sea), e.g. by strong evaporation within the estuary, which produces a mirrored estuarine circulation cell [e.g. *Winant and Gutierrez de Velasco*, 2003].

Additionally, the shape of the vertical profiles is strongly influenced by the impact of bed friction on the current. Generally, the drag coefficient is taken as a measure for the bed friction and is in the order of $1-3 \cdot 10^{-3}$ [e.g. *Geyer et al.*, 2000; *Seim et al.*, 2002; *Li et al.*, 2004]. However, greater values have also been observed up to $1 \cdot 10^{-2}$ [*Cudaback and Jay*, 2001; *Fong et al.*, 2009]. In addition, the drag coefficient has been observed to vary from neap to spring tide, and from ebb to flood [*Geyer et al.*, 2000; *Li et al.*, 2004; *Fong et al.*, 2009]. The drag imposed on the currents by the seabed is only transferred up in the water column to a certain height, called the bottom boundary layer. *Stacey and Ralston* [2005] demonstrated that the bottom boundary layer does not cover the entire water column during the entire tidal cycle, which was also found in the Marsdiep basin [*de Vries et al.*, 2014].

Flöser et al. [2011] used the shape of the vertical profiles of the maximum ebb and flood velocities to infer the presence of an estuarine circulation in the German Wadden Sea and observed patterns as described for a standard estuary. In shallow water depths, the vertical profile of horizontal velocity is often considered to be described well by a logarithmic profile or a power law like the van Veen profile [*Buijsman and Ridderinkhof*, 2007a; *Burchard and Hetland*, 2010; *Flöser et al.*, 2011]. However, *Jay and Smith* [1990] and *Lueck and Lu* [1997] already stated that a logarithmic fit only applies to the lower part of the water column and that

for greater water depths its height varies over a tidal cycle, which may make inferences of an estuarine circulation based on the vertical profile of the peak velocities misleading.

In literature, less attention has been paid to understanding the shape of the vertical profiles of horizontal velocity during the remaining phases of the tide (namely during early and late ebb and flood). An interesting feature, described for several estuaries, is the occurrence of a mid-depth velocity maximum during flood [e.g. *Jay and Smith*, 1990; *Lacy and Monismith*, 2001; *Warner*, 2005; *Chant et al.*, 2007], which has also been observed in a modeling study of the Chesapeake Bay [*Li and Zhong*, 2009]. This velocity maximum occurs at the upper boundary of the bottom boundary layer [*Chant et al.*, 2007]. *Cudaback and Jay* [2001] explained the occurrence of a mid-depth velocity maximum during early flood in the Colombia inlet, which is a strongly stratified estuary, using a simple three-layer model based on the barotropic and baroclinic pressure gradient and bed friction. They concluded that bed friction and a strongly stratified water column are crucial in driving a mid-depth jet.

To complicate matters further, the shape of the vertical profiles of instantaneous and residual currents varies spatially due to bathymetric and nonlinear effects, as e.g. tidal asymmetry [*Aubrey and Speer*, 1985; *Speer and Aubrey*, 1985; *Dronkers*, 1986; *Friedrichs and Aubrey*, 1988]. *Li and O'Donnell* [1997] demonstrated that a lateral water depth gradient produces a tidally-driven horizontally-sheared exchange pattern, whereas *Li and O'Donnell* [2005] showed that the length of an estuary determines the inflow and outflow patterns at the channel and shoals. *Scully and Friedrichs* [2007] observed lateral asymmetries in current magnitude and concluded that spatial asymmetries in mixing modify the duration of the ebb phase and change the residual circulation. In the Marsdiep basin, the tidal asymmetry is great and is spatially variable. *Zimmerman* [1976b], *Ridderinkhof* [1988] and *Buijsman and Ridderinkhof* [2007a] observed stronger flood currents and inflow at the shallower south side of the Marsdiep tidal inlet and stronger ebb currents and outflow at the deeper north side.

In the Dutch, German and Danish Wadden Sea, the mechanisms that contribute to the residual circulation are still a matter of debate [*Zimmerman*, 1986; *Ridderinkhof*, 1988; *Buijsman and Ridderinkhof*, 2007a; *Burchard and Hetland*, 2010; *Becherer et al.*, 2011; *Flöser et al.*, 2011]. The first three studies argue that tide-topography interaction is the major forcing of residual currents in the Wadden Sea, whereas the latter three argue that tidal straining, and the presence of an estuarine circulation, is the major forcing. Since the shape and variability of the vertical profiles of along-stream velocity are essential for estuarine dynamics, the aim of this paper is to explain the structure (and variability) of the vertical profile of the horizontal velocity in the main channel of the Marsdiep basin. This study shows that the shape of the vertical profiles in the Marsdiep deviates in several ways from the standard estuarine profiles.

Three deployments of a bottom frame in the Marsdiep basin, equipped with an upward-looking Acoustic Doppler Current Profiler (ADCP) and temperature, conductivity and depth sensors (microCAT), resulted in over 100 days of current data during 3 different seasons. This dataset, in combination with simulations with the General Ocean Turbulence Model (GOTM) provides a better understanding of the factors that determine the shape of the vertical profiles of along-

1 stream velocity in the Marsdiep. In a succeeding paper, the residual circulation in the Marsdiep
2 will be investigated.

3 The paper is structured as follows. In section 2, more detailed information on the study area,
4 the data handling as well as the model settings is presented. Sections 3 and 4 describe the
5 observations and model simulations, respectively. In section 5, typical characteristics of the
6 vertical current structure at the study site are discussed in more detail, and in section 6 the main
7 findings of this study are summarized.

8

9

2. Study site, material and methods

2.1. Data collection and study site description

A 1.25m-high bottom frame, equipped with an upward-looking Acoustic Doppler Current Profiler (ADCP) and a conductivity, temperature, depth sensor (microCAT), was deployed (and retrieved) at the north side of the Texelstroom channel on three occasions (Figure 1b). Characteristics of each deployment are given in Table 1. Each deployment is named after the season which covers the largest timespan of the deployment period, viz *Summer*, *Autumn* and *Spring*. The bottom frame was not equipped with a microCAT during the *Summer* deployment, because the survey was only focused on measuring the velocity. Besides the measurements from the bottom frame, 13-hours anchor station surveys with the R.V. Navicula were conducted next to the location of the frame, measuring amongst others current velocity, conductivity and temperature. This study focuses on the data measured at the bottom frame. The anchor station data from the R.V. Navicula provides an overview of the conditions at the study site since it contains information on the vertical profiles of salinity, which are not available for the bottom frame dataset. A detailed discussion on the instrumentation and data-processing of the shipboard data is already given in *de Vries et al.* [2014] and is therefore excluded from the present paper.

The study site is located in one of the main channels of the Western Dutch Wadden Sea, the Texelstroom channel (Figure 1b). The Western Dutch Wadden Sea is comprised of the Marsdiep and Vlie basins (Figure 1a) and there is only limited exchange between both basins [Zimmerman, 1976a, 1976b; Buijsman and Ridderinkhof, 2007b]. The main Texelstroom channel is located in the Marsdiep basin where a smaller channel, the Malzwin, is located to the southeast (Figure 1b). The Texelstroom channel is oriented in approximately westsouthwest-eastnortheast direction and the water depth varies between 10 and 35 meters (Figure 1b). At the study site, the bathymetry is characterized by a sloping seabed with shallower water depths in southwestward direction. The slope in along-channel direction is approximately 0.013. In addition, up-estuary the water depth decreases again by approximately 20 m (Figure 1b). Sandwaves are a common feature in this area [Buijsman and Ridderinkhof, 2008a], but a multibeam survey of the study site showed that these are not present at the location of the bottom frame (not depicted).

The tides along the Dutch coast and in Marsdiep basin are semi-diurnal with a tidal range of approximately 1 and 1.5 m at the NIOZ jetty during neap and spring tide, respectively (Figure 3d-f). The vertically-averaged current amplitude varies between 1.2 and 1.8 m/s for neap and spring tide conditions, respectively (Figure 3a-c). The Marsdiep inlet is characterized by stronger peak ebb than peak flood currents at the southern side, whereas the reversed pattern is observed at the northern side of the inlet [Buijsman and Ridderinkhof, 2007a]. This tidal asymmetry results in an inflow into the basin at the southern side and an outflow at the northern side. Buijsman and Ridderinkhof [2007a] observed that the friction velocity, roughness length and drag coefficient during one single peak ebb and flood of a neap and spring tide, at the center of the Marsdiep inlet, displayed an ebb-flood asymmetry as well, but they did not explain these differences or their implications to the vertical current structure. The peak flood was

1 characterized by greater values for all parameters, which suggests greater vertical mixing during
2 flood.

3 The two major sources of fresh water in the Marsdiep basin are the outlet sluices at Den Oever
4 (DO) and Kornwerderzand (KWZ), which only discharge fresh water from lake IJssel into the
5 Wadden Sea during low water (Figure 1a and 2). The Euclidian distance between the sluices at
6 DO and KWZ and the NIOZ jetty is approximately 18 and 37 km. The discharge data is
7 provided by the Dutch governmental agency for infrastructure Rijkswaterstaat. For more
8 information on the computation of the discharge rates and other minor fresh water sources is
9 referred to *Duran-Matute et al.* [2014] and *de Vries et al.* [2014], respectively. Based on
10 observed salinity distributions, it has been assumed that two-thirds of the fresh water from the
11 sluices of KWZ are flushed into the North Sea via an adjacent tidal basin, the Vlie basin
12 [Zimmerman, 1976a, 1976b]; the other one-third originating from KWZ is assumed to be
13 discharged through the Marsdiep basin, via the Texelstroom channel. All the fresh water from
14 DO is assumed to be discharged through the Marsdiep basin via the Malzwin channel
15 [Zimmerman, 1976a, 1976b]. The discharge patterns of the outlet sluices display a strong
16 seasonality with high discharges between October and April and low discharge between May
17 and September (Figure 2). As a result, the water column is weakly stratified up to 6 psu during
18 slack tides, whereas the currents mix the entire water column during peak currents [*de Vries et*
19 *al.*, 2012]. Interestingly, no modulation of the strength of vertical stratification by the spring
20 neap tidal modulation has been observed, as discussed by *de Vries et al.* [2012].

21 The Ekman ($Ek=A_z/(fH^2)$) and Kelvin ($Ke=B/Ri$) numbers can be used to indicate the
22 importance of basin width, friction and Earth's rotation for the exchange flow patterns in
23 estuaries [e.g. *Valle-Levinson*, 2008], where A_z ($\sim 0.1 \text{ m}^2/\text{s}$) is the eddy viscosity, f ($1.16 \cdot 10^{-4} \text{ s}^{-1}$
24 at 53°N) the Coriolis frequency, H (25 m) the water depth, B (4 km) the width of the channel
25 and Ri (10^3 to 10^4 m) the internal Rossby radius. The latter indicates at which length scale
26 rotation becomes important and is defined as the ratio between the internal wave speed and the
27 local Coriolis frequency. Most values are obtained from *Buijsman and Ridderinkhof* [2008b]
28 and *de Vries et al.* [2014]. It results in conservative estimates of the Ekman and Kelvin numbers
29 of 1.4 and 0.4 to 1.3 respectively, suggesting that the estuary is characterized by strong frictional
30 effects and that the Earth's rotation is usually of minor importance for the exchange flow
31 patterns at the inlet.

32 2.2. Instrumentation

33 The 1.25m-high frame was placed on the seabed in approximately 32m water depth, and at a
34 distance of approximately 200m from the Texel coast and approximately 300m southeast of the
35 NIOZ jetty. To measure the flow velocity, the bottom frame was equipped with a four-beam
36 1.2MHz RDI Workhorse Monitor ADCP with a beam angle of 20 degrees relative to the
37 vertical. The conductivity, temperature and depth (CTD) was measured with a SBE 37-SM
38 MicroCAT. The top of the ADCP was located approximately 30 cm higher than the top of the
39 microCAT sensor. The specific height of the frame was chosen to prevent the frame and sensors
40 from being covered by sand as a result of the high bedload and bedform transport in the region.

The ping rate of the ADCP was set to 0.43 Hz and ensembles were recorded every 30 s containing 10 pings. The bin size was set to 0.5 meter, the number of bins to 79 and the blanking distance to 0.5 meter. Therefore, the ADCP could effectively cover a range in water depths between 2 and 32 m above the bottom. The velocity data were stored in Earth coordinates (east-west, north-south velocities). In addition, the ADCP send out one ‘bottom’ ping per ensemble to detect the echo of the water surface. The SBE 37-SM MicroCAT recorded one sample of conductivity, temperature and depth every 30 seconds.

At the NIOZ jetty (Figure 1b), the near-bottom pressure was measured at 2.9 Hz by a calibrated Keller 46 pressure sensor. The pressure was converted real-time into sea surface elevation. Sea surface elevation was recorded every minute with an accuracy of 3 cm based on the median of 175 samples. The surface conductivity and temperature were measured by a calibrated Aanderaa conductivity and temperature 3211 sensor. The data were recorded every 12 seconds by an Aanderaa 3634 datalogger. The salinity was computed using the Practical Salinity Scale 78 (PSS-78, [Fofonoff, 1985]).

2.3. Data processing

First, the erroneous velocity data of the ADCP above the water surface were excluded by removing all data above the height of the surface echo. Then, the data were rotated from east-west and north-south velocity components to an along-stream and cross-stream velocity component, defined as the direction of maximum and minimum variance of the depth-averaged horizontal velocity vector, respectively. The pitch and roll of the ADCP for each dataset varied in time due to morphological change of the seabed, but were almost always below 15 degrees. The only exception occurred between Day 7 and 15 of the *Autumn* deployment, when the pitch was 16 degrees. A visual inspection of the velocity data showed no anomalous small-scale velocity fluctuations, i.e. the vertical profiles resembled the classical law-of-the-wall profiles, and therefore the data was included in the analyses. However, the upper 5 meters of the water column displayed velocity variations due to strong orbital wave velocities. To exclude the instantaneous effect of waves on the current, the upper 6 meters were removed. Only the lower 27.25 meters of the water column were included in all analyses. Therefore, any side-lobe interference is implicitly excluded from the analyses.

The output data of the microCAT were already given in salinity (psu), potential density anomaly ($\sigma\text{-theta}$, kg/m^3), temperature (ITS-90, $^{\circ}\text{C}$) and depth (m), which is computed internally with the standard Seabird software.

In order to include only complete tidal cycles in the analysis, all data before and after the first and last slack tide were removed. The SSE, salinity and wind data were interpolated at 30 seconds intervals to produce a collection of synoptic datasets.

2.4. Analyses

2.4.1. Data analysis

First, on the depth-averaged along-stream velocity of each separate seasonal dataset, a tidal harmonic analysis [e.g. *Foreman*, 1977; *Pawlowicz et al.*, 2002; *Codiga*, 2011] is conducted in order to estimate the variability of the tidal constituents and to evaluate the contribution of

compound and overtides to the shape of the tide at the study site. The Matlab module UTide is used to obtain the most important tidal constituents of each dataset [Codiga, 2011].

Second, characteristics of the vertical current structure are investigated by computing average vertical profiles of along-stream velocity. Since the duration and shape of the vertical profiles differ per tidal cycle, the tidal phase (i.e. the timing of early, peak, late ebb and flood and the slack tides) is better approximated by the depth-averaged velocity than by phase or time from a fixed moment of reference. Per averaging interval of 0.1 m/s of the vertically-averaged velocity, e.g. between 1.0 and 1.1 m/s, an average vertical profile of along-stream velocity is computed. All the vertical profiles of along-stream velocity within each bin of 0.1 m/s of the depth-averaged current are selected and are averaged to obtain an average vertical profile. A 0.1 m/s interval of the vertically-averaged velocity produced both stable average vertical profiles and an adequate resolution of the tidal cycle. Within each bin, no profiles were excluded from the analysis. When an average profile was based on less than 20 profiles, it was considered not representative enough and was excluded from the analysis. This threshold resulted in the exclusion of 5 velocity bins at the outer limits of the velocity range. The number of velocity profiles varied between 24 and 8200 per bin with an average of 2300 velocity profiles. Differences between the deployments reflect temporal variations in forcing conditions.

This approach provides a clear picture of the first order variability of the vertical profile over one tidal cycle and between the seasons. However, the second order effects around slack tide as a result of the asymmetry of the tide are neglected, because the vertical profiles of the early and late ebb and flood phase fall within the same bin of the depth-averaged velocity. These effects are investigated by computing average vertical profiles from peak ebb to peak flood and vice versa (EtoF and FtoE, resp.).

Third, the structure of the vertical profile under a varying salinity gradient is investigated in section 3.3 by analyzing the relationship between the vertical shear in along-stream velocity and the along-stream salinity gradient, $\partial s / \partial x$. The latter is approximated by a frozen field ansatz

$$\frac{\partial s}{\partial x} = -\frac{1}{u} \frac{\partial s}{\partial t}, \quad (1)$$

where u is the depth-averaged current and $\partial s / \partial t$ the temporal derivative of the salinity, s . The salinity was measured either at the bottom frame (*Autumn* and *Spring*) or, if the former was not available, at the NIOZ jetty (*Summer*). This method for estimating $\partial s / \partial x$ is justified because the along-stream velocities are an order of magnitude greater than the cross-stream velocities, but is known to produce high-frequency noise [Scully and Friedrichs, 2007]. To remove the noise, the estimate of $\partial s / \partial x$ is low-pass filtered with a two hours interval. The computed values of $\partial s / \partial x$ correspond well with the values discussed in de Vries *et al.* [2014].

Fourth, to investigate the impact of bed friction on the current structure, the drag coefficient can be computed using either direct stress estimates or logarithmic fits of vertical profiles of along-stream velocity. With the available data, only the latter approach is possible. This

technique is discussed in more detail in e.g. Lueck and Lu [1997]. The logarithmic law-of-the-wall generally represents the lower part of the water column well provided the water column is well-mixed. In that case, an estimate of the friction velocity, u_* (m/s), and roughness length, z_0 (m) is obtained from fitting the logarithmic profile

$$u(z) = \frac{u_*}{\kappa} \ln \frac{z}{z_0}, \quad (2)$$

where u is the along-stream velocity (m/s), z is the height above the bottom (m), κ is the von Karman constant (0.41) to the observed current structure. The lowest 10 m of the water column are used to obtain the roughness height and friction velocity through a least-squares fit of the vertical profiles. Up to this height above the bottom, the R^2 of the logarithmic fits were good, being greater than 0.95, suggesting that the water column was well-mixed in the lowest 10 m.

An estimate of C_D , based on u_* , is obtained using the bed shear stress, τ_b , given by

$$\tau_b = \rho u_*^2, \quad (3)$$

and the empirically-proven assumption that the shear stress in the lowest part of the water column (0.1H) is constant and equals the bed shear stress [van Rijn, 2011]. The drag coefficient is then computed by

$$C_D = \frac{u_*^2}{u_b^2}, \quad (4)$$

where u_b is a reference velocity, here at 2 m height above the bottom (u_{2m}). The drag coefficient represents the slope of a least-squares fit between the values of u_*^2 and u_b^2 [e.g. Geyer *et al.*, 2000; Fong *et al.*, 2009]. The u_* and u_b are computed every 10 minutes of each dataset based on the nearest 8 ensembles. A bootstrap, i.e. resampling method with 100 samples is used to compute the standard error and affirms the reliability of the computed drag coefficients.

Alternatively, the drag coefficient for a logarithmic layer can be computed using z_0 from (2) and the water depth, H , [Soulsby, 1997]

$$C_D = \left[\frac{\kappa}{c + \ln \frac{z_0}{H}} \right]^2, \quad (5)$$

where c equals 1 and thereby assuming that the logarithmic layer covers the entire water column. Soulsby [1997] states that c is smaller than 1 if the logarithmic layer only partly covers the water column. This quantity is then a function of water depth and of the thickness of the tidal boundary layer.

2.4.2. Numerical model set-up

To understand the mechanisms that determine the shape of the vertical profiles of along-stream velocity, (semi-)idealized, and (highly-simplified) realistic model simulations were run with the General Ocean Turbulence Model (GOTM, <http://www.gotm.net/>). An overview of the model runs is given in Table 2. The goal of the former is to identify the important (along-stream) hydrodynamic processes at the study site, assuming a sinusoidal tidal cycle, a constant salinity gradient and a constant bottom roughness. The goal of the latter is to determine and explain the

observed shape of the vertical profiles at the study site by incorporating velocity data from the *Spring* deployment.

The numerical model GOTM is an open source state-of-the-art one dimensional water column model, which includes a variety of vertical mixing parameterizations [Burchard and Baumert, 1995; Burchard et al., 1998; Burchard and Bolding, 2001]. The one-dimensional dynamical horizontal momentum equations, neglecting advection, Coriolis and curvature terms are [Burchard, 2009; Burchard and Hetland, 2010]:

$$\frac{\partial u}{\partial t} - \frac{\partial}{\partial z} \left(A_z \frac{\partial u}{\partial z} \right) = -z \frac{\partial b}{\partial x} - p_g^x(t), \quad (6)$$

$$\frac{\partial v}{\partial t} - \frac{\partial}{\partial z} \left(A_z \frac{\partial v}{\partial z} \right) = -z \frac{\partial b}{\partial y} - p_g^y(t), \quad (7)$$

and the buoyancy equation, which includes advection is

$$\frac{\partial b}{\partial t} + u \frac{\partial b}{\partial x} + v \frac{\partial b}{\partial y} - \frac{\partial}{\partial z} \left(K_z \frac{\partial b}{\partial z} \right) = 0, \quad (8)$$

where x,y and z are the along-stream, cross-stream and vertical coordinate, respectively, and u, v, b, A_z and K_z are the along-stream velocity, cross-stream velocity, buoyancy, the eddy viscosity and eddy diffusivity. The second-order turbulence model of Canuto et al. [2001] was used. A comparative study of four turbulence closure models by Burchard and Bolding [2001] showed that this turbulence model performed best. The cross-stream dimension is set to zero for the first 7 model runs.

The first and second term on the right hand side of (6) and (7) represent the baroclinic and barotropic pressure gradients, respectively. The buoyancy is defined as

$$b = -g \frac{\rho - \rho_0}{\rho_0}, \quad (9)$$

where g is the gravitational acceleration, ρ is the density and ρ_0 is the constant reference density (1000 kg/m³). The magnitude of the salinity gradient used as a model forcing is -2×10^{-4} psu/m, which is the same order of magnitude as the conditions in *Autumn* and *Spring*, and corresponds with observations in de Vries et al. [2014].

The barotropic pressure gradient function, p_g^x , is computed based on a simplification from the three-dimensional to the one-dimensional hydrostatic equations as described and validated in Burchard [1999] using information of the temporal derivative of velocity at one single point. It enables the computation of the barotropic pressure gradient based on a timeseries of velocity at one single location. For the idealized model simulations, the velocity is defined as a sinusoidal tidal wave with a period, T, of 12.5 hours

$$\langle u \rangle(t) = \frac{1}{H} \int_{-H}^0 u(z, t) dz = U \cos(2\pi \frac{t}{T}). \quad (10)$$

The barotropic pressure gradients in the realistic model scenarios are based on the velocity input from the *Spring* deployment. The technique to compute the barotropic and baroclinic pressure gradients assumes homogeneity along the x and y direction. Bathymetric variations are

1 therefore not incorporated in the model. The incorporation of velocity data in the highly-
2 simplified realistic model implicitly includes environmental factors such as the bed slope.

3 All scenarios were run in a water depth of 30 m, consisting of 100 layers. A time step of 10
4 seconds was chosen with an output resolution of 10 minutes. The results of the model output
5 were insensitive to variations in time step. The bulk flow properties are the molecular viscosity
6 and diffusivity and the formulation of the equation of state. The physical bottom roughness was
7 set to 0.05 m. A relaxation time of 10800 s was specified (3 hours) for the bulk flow parameters
8 [e.g. *Verspecht et al.*, 2009]. Since density variations are mainly determined by salinity, the
9 temperature field was excluded. Advection of salinity was always permitted. The upper part of
10 the water column, which is influenced by the intra-tidal in-situ water level fluctuations, and also
11 the effect of wind stress were ignored, because these processes were considered of minor
12 importance to the overall characteristics of the current structure.

13 The idealized scenarios (run 1 to 3, Table 2) are characterized by a sinusoidal tidal velocity as
14 described above, where the amplitude is varied between 0.8 and 1.2 m/s. In addition, the water
15 depth is also varied. The salinity gradient is kept constant to $-2 \cdot 10^{-4}$ psu/m.

16 The first four realistic scenarios are forced by the measured along-stream velocity at 2 m above
17 the bed from the *Spring* deployment (Runs 4 to 7, Z=2m in Table 2). Runs 4 to 7 are forced by
18 a salinity gradient of $-2 \cdot 10^{-4}$ psu/m and advection of salinity is permitted. An additional vertical
19 stratification of 1 psu during the onset of flood is imposed for run 5 and 7, which is allowed to
20 develop over the tidal cycle. Runs 4 and 6 are characterized by well-mixed conditions of 28
21 psu, whereas the salinity profiles of runs 5 and 7 consisted of 27 psu in the upper 10 m and 28
22 psu in the upper 10 m of the water column. In the middle 10 m, the water column was
23 continuously-stratified. The well-mixed and weakly-stratified conditions correspond with the
24 conditions observed at the study site as discussed in section 3.1.

25 The p_g^x is forced by the vertical profiles of along-stream velocity for run 8 and 9. So far, the
26 cross-stream dimension of the barotropic and baroclinic terms has been neglected. In runs 8 and
27 9 of the highly-simplified realistic model simulations, p_g^y is also forced by the observed vertical
28 profiles of the cross-stream current. In addition, a constant lateral salinity gradient is imposed
29 in order to investigate the effect of cross-stream processes on the generation of vertical
30 stratification and on the shape of the vertical profile of along-stream velocity. The results of the
31 model simulations are discussed in section 4.

3. Observations

3.1. Current and salinity characteristics

The temporal and vertical information of currents and salinity recorded obtained from the bottom frame and 13-hours anchor station measurements provide us with an overview of the intra-tidal and seasonally variable conditions at the study site. Also, a harmonic analysis of the depth-averaged current shows that the semi-diurnal tide is strongly distorted by compound and overtides.

During the periods of data collection, the tidal amplitude, U , and sea surface elevation, SSE, are mainly determined by the spring neap tidal cycle and the wind conditions (Figure 3a-f). In *Summer*, variations in U and SSE component by the spring neap tidal cycle are small but discernible (Figure 3a,d,j). The tidal amplitude is greater during spring tide than during neap tide. Between Day 7-10 of *Autumn*, a major storm event induced strong variations, which distorted the spring neap tidal modulation (Figure 3b,e,k). In *Spring*, wind-induced variations in SSE component are small (Figure 3c,f,l). A strong spring neap tidal modulation is visible during the first 25 Days, which is smaller between Day 25 and 45.

The low discharges at DO and KWZ the month prior to the *Summer* deployment (Figure 2) resulted in a high average salinity of around 32 psu during the first 27 days of the deployment (Figure 3g). Only small tidally-driven fluctuations were superimposed on the average salinity. The intra-tidal fluctuations increased during the last 6 days of the measurement period. On the last day, the salinity dropped strongly due to a northeasterly wind in combination with an increase in fresh water discharge from the sluices (Figure 3g,j). Similar events of strong decreases in salinity driven by (north)easterly winds occurred between Day 3-6, 14-16 of *Autumn* and Day 20-25 of *Spring*, whereas southwesterly winds resulted in an average increase in salinity, e.g. between Day 8-10 of *Autumn* and Day 4-9 of *Spring* (Figure 3h,k,i,l). It suggests a strong impact of wind dynamics on the flushing rates of the basin. The intra-tidal salinity fluctuations were greatest during *Autumn* and *Spring* (Figure 3h,i).

The salinity field (Figure 3g-i) is strongly determined by the fresh water discharge rates prior to each deployment period (Figure 2). The fresh water discharge from the sluices during the *Summer* deployment is greater than in *Spring* (Figure 2). However, the mean salinity and the intra-tidal variations in salinity are smaller during *Summer* (Figure 3g-i). Our data indicate a lag effect of several weeks between the fresh water discharge of the sluices and the salinity variations at the inlet.

The nine most important tidal constituents, obtained from an harmonic analysis using UTide, consist of five semi-diurnal and diurnal tidal constituents as well as four compound tides and overtides (Table 3). The coefficient of determination, R^2 , was high, being between 0.93-0.95. Especially the magnitude and timing of the flood phase was only predicted accurately using the combination of the four compound and overtides. Interestingly, the sum of the magnitudes of the compound and overtides is greater than the sum of the magnitudes of the solar and diurnal constituents. It emphasizes the importance of overtide generation and it describes the strong tidal distortion in the Marsdiep basin. It is an open question if the compound and overtides are

1 locally generated or move as free waves. *Maas* [1997] showed analytically that the tidal
2 distortion of the SSE in the Wadden Sea can be explained by the hypsometric characteristics of
3 the basins. These results suggest that the tidal currents may be similarly affected.

4 The anchor station data in Figure 4a-c display a large intra-tidal difference in the strength and
5 duration of the flood and ebb tide. The maximum flood current is reached rather abruptly and
6 only occurs briefly. Generally, the short peak flood is followed by a longer period of weaker
7 flood currents. The currents during ebb are stronger than during flood. The variation in depth-
8 averaged current between the anchor stations illustrates the great inter-tidal variability.

9 In *Summer*, the vertical profiles of along-stream velocity reach their maximum velocity near
10 the surface. Deviations from the logarithmic velocity profile are observed in *Autumn* and *Spring*
11 (Figure 4d vs 4e,f). Then, a mid-depth velocity maximum is observed during late flood, whereas
12 during ebb the maximum velocities are still near the surface. The mid-depth velocity maximum
13 coincides with the presence of a vertically-stratified water column (Figure 4j-l) and the
14 occurrence of a cross-stream circulation cell (Figure 4g-i). In *Summer*, vertical stratification is
15 negligible (< 1 psu). It is greater in *Autumn* and *Spring*, being up to 3 psu. Interestingly, the
16 water column is well-mixed during ebb, indicating that classical tidal straining is not important
17 at the study site, and the water column is most stratified during late flood and slack before ebb.

18 The strength of the cross-stream currents varies between and during the tidal cycles (Figure 4g-
19 i). In *Summer*, the maximum cross-stream currents are only half the magnitude of those in
20 *Autumn* and *Spring*, i.e. 0.15 and 0.30 m/s, respectively, most likely due to a weaker fresh water
21 discharge in the period preceding *Summer* (Figure 2). The greatest cross-stream currents are
22 present during late flood and peak ebb. Cross-stream circulation cells are present between 6:00
23 to 10:00 (late flood) and 13:00 to 16:00 (peak ebb) hours UTC of *Autumn* and between 17:00
24 to 19:00 (late flood) and 12:00 to 14:00 (peak ebb) hours UTC of *Spring*. *Buijsman and*
25 *Ridderinkhof* [2008b] showed that the cross-stream currents in the Marsdiep inlet are driven by
26 centrifugal and Coriolis acceleration and baroclinic pressure gradients. In the next section, the
27 vertical structure of the along-stream velocity is treated in more detail using the data from the
28 bottom frame deployments.

29 **3.2. Average vertical profiles of along-stream velocity**

30 The average vertical profiles of along-stream velocity as a function of the (depth-) averaged
31 velocity are depicted in Figure 5. The x- and y-axis represent the velocity and height above the
32 bottom, respectively. Each line represents an average vertical profile, as explained in section
33 2.4.1.

34 The vertical profiles of the strong (> 1 m/s) ebb and flood currents deviate substantially from
35 each other (Figure 5a-c; j-l). Strong ebb is characterized by greater vertical gradients in velocity,
36 i.e. shears, in the lower part of the water column compared to strong flood. The current velocity
37 increases up to approximately 10 m above the seabed for strong ebb (Figure 5a-c), whereas the
38 vertical gradients in velocity are smaller in the lower part of the water column during strong
39 flood. During flood, these vertical shears remain high up to 15-20 m above the seabed (Figure
40 5j-l). In the upper part of the water column, the velocity profile is more uniform during strong

ebb than during strong flood (Figure 5a,j;b,k;c,l). These patterns contradict the standard estuarine vertical profiles as described by e.g. *Jay and Musiak* [1996].

Furthermore, the vertical profiles of the weak (< 1 m/s) flood and ebb currents differ from the strong currents. During weak ebb, the vertical gradients in velocity are more uniformly distributed over the water column (Figure 5d-f). During weak flood, the shape of the vertical profiles changes significantly. A mid-depth velocity maximum is observed, modifying the vertical structure of the along-stream velocity (Figure 5g-i). Also, the shape of the vertical profiles of the weak ebb and flood currents exhibits seasonal, inter-dataset, variability. The mid-depth velocity maximum during weak flood is better developed under the presence of fresher conditions in *Autumn* and *Spring* (Figure 3g-i): the mid-depth velocity maximum persists until higher depth-averaged velocities are reached. Higher depth-averaged velocities are characterized by a mid-depth maximum located higher up in the water column. It can be indicative of an intensification of the bottom-generated turbulence in the presence of vertical stratification which is investigated in section 4.1. using numerical simulations. Weak ebb currents display an increase in vertical gradients of velocity in the upper part of the water column under the fresher conditions in *Autumn* and *Spring*, probably be related to the dampening of turbulence by strain induced vertical stratification (Figure 5d-f) as was observed for example in the German Wadden Sea [*Becherer et al.*, 2011] and the York River estuary [*Scully and Friedrichs*, 2007].

Around slack tide, the vertical profiles resemble a tidally-averaged profile of estuarine circulation (Figure 5d-i). Weak ebb and flood currents show landward flow at the bottom and seaward flow at the surface. The intertidal variability reflects the seasonal fluctuations in baroclinic forcing. In *Summer*, the vertical profiles near slack tide are uniform over almost the entire water column due to the absence of strong density gradients (Table 1 and Figure 5d,g), whereas in *Autumn* and *Spring* indications of an estuarine circulation are more apparent due to the presence of stronger density gradients during these time periods caused by elevated discharge at the sluices (Figure 5e,f,h,i). The vertical profiles during slack tide could potentially enhance the residual circulation as discussed by *Stacey et al.* [2001].

To investigate the impact of asymmetric effects on the tide, a distinction is made between the vertical current structure from peak ebb to peak flood (EtoF) and its antagonistic phase (FtoE). The asymmetry in near-bed velocities and vertical shear between ebb and flood are similar for EtoF and FtoE as well as the occurrence of a mid-depth velocity maximum during early and late flood (not depicted). However, the vertical profiles of EtoF and FtoE differ strongly from one other around the slack tides (Figure 6). The onset of the flow reversal from EtoF starts near the seabed due to the effect of bed friction on the flow. Higher up in the water column, frictional effects are smaller and therefore inertial effects dominate and the current reverses later. From FtoE, the flow reversal patterns display entirely different characteristics. The flow reversal begins in the upper part of the water column and ends in the lower part of the water column. During late flood, there seems to be another momentum sink, which results in the earliest flow reversal in the upper part of the water column, and which is stronger than the frictional effects of the seabed. A cross-stream circulation cell during late flood, generated by differential

advection as illustrated in Figure 4 and discussed in section 3.1, is a mechanism which can serve as an additional momentum sink.

Greater density gradients in *Autumn* and *Spring* enhance the patterns described above (Figure 6c-f). The range of depth-averaged velocities over which the current reverses direction is greater than in *Summer*. The slower reversal from EtoF might be indicative of vertical stratification that dampens the vertical momentum exchange and delays the onset of the flood tide, as was already discussed by *Scully and Friedrichs* [2007].

The median duration of the slack tides shown in Figure 6 was much smaller from EtoF than from FtoE, being between 10-17 and 43-61 minutes, respectively. The duration of the flow reversal during low and high water slack, is defined as the time period around slack tide when the along-stream current is not unidirectional over the vertical profile. The duration increased from *Summer* to *Autumn* and *Spring*, which suggests that the density gradients influence the duration of the current reversal probably by limiting the vertical momentum exchange. The duration of the current reversal from EtoF is greater in *Autumn* than in *Spring*, whereas the duration from FtoE is greater in *Spring* than in *Autumn*.

Summarizing, the vertical profiles of along-stream velocity are characterized by the greatest vertical gradients in velocity in the lower part of the water column during ebb and in the upper part of the water column during flood. These patterns deviate from the standard estuarine vertical profiles. The density field seems to have a strong influence on the structure of the vertical profiles, amongst others reflected in the inter-seasonal variability. Around slack tide, the vertical profiles represent an estuarine circulation. The early and late phases of ebb and flood are characterized by similar vertical current structures, but the current reversal around high and low water slack differ strongly from one other.

3.3. Impact of density field on the vertical current structure

To further investigate the impact of the density field on the vertical current structure, the relationship between salinity gradient, $\partial s / \partial x$ and the vertical shear is analyzed. Each panel in Figure 7 represents different tidal current conditions during ebb and flood (left and right column, resp.). The vertical shear at different heights above the bottom is depicted in each panel. The gray icons indicate each individual tidal cycle and the lines are the least-squares linear fits to the shears at each height above the bed. Negative (positive) shear during ebb (flood) signifies increasing current velocities with increasing height above the bed.

High current velocities during ebb and flood are characterized by the greatest shears close to the seabed for all salinity gradients (gray dotted line, Figure 7a,b). The vertical shears higher up the water column are small (solid black and dotted black lines). For all ebb velocities (Figure 7a,c,e), similar patterns are observed characterized by high shears near the bed and small shears higher up in the water column. These patterns resemble the classical logarithmic profiles of along-stream velocity. Furthermore, there is no clear relationship between $\partial s / \partial x$ and vertical shear, which indicates that the vertical profile is not strongly influenced by the along-stream salinity gradient.

In contrast, the shear of the flood currents does correlate with $\partial s / \partial x$. Weak flood currents (0.3-0.7 and 0.8-1.2 m/s in Figure 7f and d, resp.) are characterized by a reversal of the sign of the vertical shear in the upper part of the water column. In Figure 7d, the R^2 is 0.31 and 0.65 for 15 and 25 m above the bed, respectively. In Figure 7f, the values were 0.45 and 0.79 respectively. This reversal in sign of the vertical shear corresponds with the presence of a mid-depth velocity maximum. It evidences a linear relationship between the strength of the salinity gradient and the magnitude of the negative vertical shear, which implies a relationship between the mid-depth velocity maximum and the baroclinic pressure gradient.

3.4. Drag coefficients

To illustrate the varying impact of bed friction on the currents, the friction velocity and roughness height are computed for the average vertical profiles in Figure 5. Figures 8a and 8b shows that u^* and z_0 are similar during conditions with small baroclinic forcing, i.e. in *Summer* and that u^* is slightly greater during flood than during ebb for depth-averaged velocities smaller than 1 m/s. Greater baroclinic forcing in *Autumn* and *Spring* result in a strong asymmetry in u^* and z_0 between ebb and flood. The friction velocity is larger during ebb than during flood for the same current magnitude, which is possibly related to differences in near-bed vertical stratification or to strength of the cross-stream currents. In addition, the greater baroclinic forcing results in a large increase in z_0 during ebb and a slight decrease during flood. Using (5), the asymmetry in roughness length of 0.04 m (flood) and 0.1 m (ebb) produces C_D estimates of $5.3 \cdot 10^{-3}$ and $7.6 \cdot 10^{-3}$, respectively, for a current of 1.5 m/s in *Spring*. It already gives an indication that there is a great asymmetry between ebb and flood for strong baroclinic forcing using (5). A roughness length of 0.1m during ebb appears high but C_D values correspond to the observations discussed below.

More evidence of an asymmetry in drag coefficient is given in Figure 9, which shows the 4-minute averages of u^* and u_b squared as well as the estimates of the drag coefficient. An asymmetry in the drag coefficient between ebb and flood is observed for all deployments. The scatter increases considerably from *Summer* to *Autumn* and *Spring* which suggest that other processes influence the estimation of the drag coefficient under strong baroclinic forcing. The greater variability during *Autumn* and *Spring* (Figure 9b,c) might be driven by variations in vertical stratification and in cross-stream currents. It is striking that the asymmetry in drag coefficients is very similar for all seasons. The drag coefficient is between 1.5 and 2 times greater during ebb than during flood, which suggests a time-independent process, such as e.g. tide-bathymetry interaction. The values of C_D from (4) are greater than from (5). *Fugate and Chant* [2005] related the overestimation of the logarithmic fit to the occurrence of near-bed tidal straining. Alternatively, *de Vries et al.* [2014] showed that the bottom boundary layer only covers a part of the water column during most of the tidal cycle. The value of 1 is therefore an overestimation which leads to an underestimation of the drag coefficient in (5).

During peak ebb, the drag coefficient is underestimated similarly to the observations of *Geyer et al.* [2000] in the Hudson estuary. *Geyer et al.* [2000] suggest that other momentum sinks or variations in the stress-velocity relationship might explain this deviation. The decrease in friction velocity in Figure 8 suggests a change in the stress-velocity relationship for the largest ebb currents.

1 The persistent asymmetry in drag coefficient in Figure 9 is time-invariant under a wide range
2 of conditions. Therefore the variable cross-stream currents and vertical stratification are
3 unlikely factors to explain this asymmetry. The contribution of the cross-stream currents to the
4 modification of the drag coefficient is investigated by removing all data points with near-bed
5 cross-stream velocities greater than 0.1 m/s. It results in only a minor variation in C_D and the
6 asymmetry between ebb and flood remains similar (not shown). Vertical stratification is highly
7 variable in the Marsdiep and might explain the great variability but not the asymmetry itself.
8 Possible explanations for the deviation in near-bed velocities from standard estuarine theory
9 are considered in the discussion.

4. Numerical model simulations

To better understand what determines the vertical structure of along-stream velocity in the Marsdiep, several model scenarios were run with GOTM (Table 2). Idealized runs were used to identify the basic one-dimensional along-stream processes that shape the vertical structure under conditions similar to the study site. Furthermore, the importance of the strong currents and large water depths is evaluated. Dissimilarities between the observations and the idealized model runs indicate the possibility of other important processes. Semi-realistic runs were then applied to understand these characteristic processes.

4.1. Idealized scenarios

To investigate the conditions that are required to generate a mid-depth velocity maximum, idealized scenarios were run. These runs show that vertical stratification is required to generate a mid-depth velocity maximum. Furthermore, they show that along-stream tidal straining can only explain a mid-depth velocity maximum during early flood since the peak flood currents mix the entire water column.

The tidal amplitude of 1.2 m/s in run 1 produces a well-mixed water column with the maximum velocities near the surface during nearly the entire tidal cycle (Figure 10a,d,g). A small increase in vertical stratification is observed during the early flood phase, which is driven by along-stream tidal straining. The weak stratification during early flood is already capable of generating a small mid-depth maximum (Figure 10a).

The smaller amplitude of run 2 results in the presence of a mid-depth velocity maximum during the entire flood phase (Figure 10b), because the peak currents lack sufficient kinetic energy to mix the entire water column. Therefore, vertical stratification is generated at 15-20 m above the bed. Also, the average vertical stratification is greater during the entire tidal cycle (Figure 10h). Vertical stratification is greatest during late ebb and smallest during late flood, which is typical for classical tidal straining. This mechanism, therefore, mainly modifies the vertical current structure in one-dimensional, along-stream, direction (Figure 10e). These simulations only explain the mid-depth velocity maximum during the early flood phase, because the peak flood currents in the Marsdiep are generally able to mix the entire water column during peak flood, exempting the effect of tidal straining during late flood.

It is striking that the presence of only a weakly stratified water column is required to generate a mid-depth velocity maximum under such a high current regime. The shift in regimes from peak to slack currents is exemplified in the left and middle column of Figure 10 and shows that the great water depth enables this regime shift. The right column of Figure 10 shows that smaller water depths experience well-mixed conditions under a smaller tidal forcing (run 3). A greater water depth creates a greater variation in vertical stratification over the tidal cycle (Figure 10h,i).

The stratifying dynamics are further investigated using the Simpson number. The Simpson number, Si , which was previously called the horizontal Richardson number, [e.g. *Stacey et al.*, 2008, 2010], displays the (one-dimensional) balance between the stratifying and de-stratifying forces in the water column as a function of the horizontal salinity gradient, ds/dx (psu/m), water

depth, H (m), and the friction velocity, u^* (m/s), where the latter represents the kinetic energy of the currents

$$Si = \frac{g\beta \frac{\partial s}{\partial x} H^2}{u_*^2}, \quad (11)$$

where g is the gravitational acceleration (9.81 m/s^2) and β is the haline contraction coefficient ($7.7 \cdot 10^{-4}$). A Si value greater than 1 indicates that the potential energy is greater than the kinetic energy which implies that the water column remains vertically-stratified during the entire tidal cycle. *Stacey and Ralston* [2005] and *Burchard et al.* [2011] demonstrated that tidal straining is important for $Si > 0.2$. A small friction velocity of 0.05 m/s , approximately $1/3 \max(u^*)$, and a tidally-averaged ds/dx of $2 \cdot 10^{-4} \text{ psu/m}$ in a water depth of 30 meters are representative values for the Marsdiep [*de Vries et al.*, 2014], and results in a Si of 0.54, sufficient to allow vertical stratification by tidal straining during weak currents. Peak currents are characterized by Si values of approximately 0.05 and imply well-mixed conditions.

To evaluate under which Simpson numbers along-stream tidal straining generates a mid-depth velocity maximum during the entire flood tide, the height of the mid-depth velocity maximum (Z_{MDVM}/H) during peak flood was computed for a range of friction velocities, and thereby keeping H (30 m) and dS/dx ($-2 \cdot 10^{-4} \text{ psu/m}$) constant. Figure 11 shows that Si values smaller than 0.35 are characterized by a near-surface velocity maximum. An increase in Si between 0.35 and 1 results in the generation and rapid lowering of a mid-depth velocity maximum due to along-stream tidal straining. For high Si values, the non-dimensional height of the mid-depth velocity maximum stabilizes to 0.35. Figure 11 shows that for Si values smaller than 0.35, other processes than along-stream tidal straining are responsible for the generation of a mid-depth velocity maximum during peak and late flood, which is discussed in more detail in section 4.2.

A comparison between the observations and model simulations highlight two main discrepancies. First, along-stream tidal straining only explains the vertical structure of salinity and velocity satisfactorily during early flood since the peak flood currents mix the entire water column. Furthermore, the interaction of the external and internal pressure gradient in GOTM results in the greatest near-bed velocities during flood, which creates the classical estuarine circulation pattern. However, observed near-bed velocities are stronger during ebb than during flood (Figure 5). This variation in near-bed currents may change the dynamics of vertical stratification and modify the vertical current structure. Semi-realistic scenarios are run to investigate the effect of the observed near-bed velocities on the vertical structure. In addition, the contribution of cross-stream advection of salinity on the generation of vertical stratification during late flood is investigated.

4.2. Semi-realistic scenarios

The asymmetry in near-bed velocities was incorporated using the observed near-bottom along-stream velocities (2 m above the bottom) of *Spring* as a model forcing for neap and spring tide conditions (runs 4-7, Table 2). Neap and spring tide conditions are simulated with a uniform salinity of 28 psu over the entire water column. Alternatively, the effect of vertical stratification generated by non-along-stream processes is incorporated by imposing a two-layer vertical

1 stratification. In Figure 12, the scenarios are depicted for neap and spring tide conditions with
2 and without a two-layer vertical stratification.

3 Neap tide conditions are characterized by a mid-depth velocity maximum during the entire
4 flood phase, driven merely by the along-stream advection of salinity (run 4, Figure 12a,e). The
5 small two-layer vertical stratification increases the strength of the mid-depth velocity maximum
6 (run 5, Figure 12a,b;e,f). Figure 12i-j shows that the vertical stratification is strongest during
7 slack before flood, around 131 hours, as a result of tidal straining. However, around 140 hours,
8 the second slack before flood, a strong decrease in vertical stratification is observed as a
9 consequence of the high near-bed velocities during the late ebb phase. The greater near-bed
10 velocities during ebb counteract tidal straining and decrease the vertical stratification during the
11 late ebb phase.

12 Spring tide conditions without a two-layer vertical stratification are characterized by a well-
13 mixed water column during the complete tidal cycle (run 6, Figure 12c,g,k). It implies that
14 vertical stratification during spring tide is not only generated by along-stream processes for an
15 along-stream salinity gradient of $2 \cdot 10^{-4}$ psu/m. Surprisingly, the superposition of vertical
16 stratification results in the strongest vertical stratification during late flood, in combination with
17 the occurrence of a mid-depth velocity maximum (run 7, Figure 12d,h,l). During ebb, the
18 vertical stratification is destroyed (Figure 12l). The stronger ebb currents and the elevated
19 vertical mixing rates both seem to contribute to the destruction of vertical stratification during
20 ebb. This mechanism, and its effect on the vertical current structure, is most pronounced during
21 spring tide conditions. To investigate if cross-stream processes are able to generate vertical
22 stratification during late flood, as already hypothesized by *Van Haren* [2010] and *de Vries et*
23 *al.* [2014], simulations 8 and 9 were run.

24 The velocity field of *Spring* in along-stream and cross-stream direction over the entire water
25 column is used to force a neap and spring tide scenario with a constant salinity gradient of $2 \cdot 10^{-4}$
26 psu/m in along-stream and cross-stream (x and y, resp.) direction. Figure 13 demonstrates that
27 the addition of a cross-stream component has a minor impact on the vertical current structure
28 during neap tide (run 8). However, it results in an increase of vertical stratification during the
29 late flood of spring tide (run 9, Figure 13e,f). Apparently, the rate of salinity advection by cross-
30 stream processes from neap to spring tide increases more strongly than the rate of vertical
31 mixing, which results in an increase of vertical stratification from neap to spring tide conditions.
32 It also explains the presence of a mid-depth velocity maximum during the late flood phase by
33 cross-stream advection of salinity. It suggests a spring neap tidal modulation, and asymmetry,
34 in vertical stratification during late ebb and late flood.

35 Concluding, the asymmetry in near-bed velocities results in the destruction of vertical
36 stratification during ebb, which counteracts the tidal straining mechanism. Cross-stream
37 advection of salinity is important during the late flood phase, which creates vertical
38 stratification and generates a mid-depth velocity maximum. Both processes appear to increase
39 in importance in our model runs from neap to spring tide.

5. Discussion

5.1. Near-bed dynamics

Generally, the drag coefficient is assumed constant in the depth-averaged along-channel momentum balance. A constant drag coefficient or a constant eddy viscosity both represent constant vertical mixing rates, which enables a simplification of estuarine dynamics in order to compute the residual circulation by the solution proposed by *Pritchard* [1956] and *Hansen and Rattray* [1966]. However, several studies have observed asymmetries in the drag coefficient (and eddy viscosity), invalidating the assumption of a constant C_D under certain conditions.

Geyer et al. [2000] observed a constant bed roughness during most of the tidal cycle in the Hudson, an estuary characterized by a uniform bathymetry. However, their observations displayed small but persistent differences between neap and spring tide, also observed in the James River estuary by *Li et al.* [2004]. *Seim et al.* [2002] observed variations in drag coefficients between $1.5 \cdot 10^{-3}$ and $2.5 \cdot 10^{-3}$ on the ebb phase depending on the presence of vertical stratification generated by cross-stream currents. *Fugate and Chant* [2005] observed variations in bed roughness between ebb and flood related to variations in vertical stratification. *Fong et al.* [2009] observed large variations in C_D not driven by asymmetries in cross-stream currents or vertical stratification but driven by asymmetric bedforms. The drag coefficient was found to be significantly greater during flood than during ebb.

All these studies relate differences in C_D to 1-D processes in the bottom boundary layer. At several locations on the continental shelf and in Puget Sound, studies have shown that form drag is another important mechanism which is able to dissipate tidal energy [*Chriss and Caldwell*, 1982; *Moum and Nash*, 2000; *Warner et al.*, 2013]. Form drag is the drag imposed on the fluid by pressure differences generated by currents traversing non-uniform bathymetry, which may be up to 10-50 times greater than drag generated by bed friction [*Edwards et al.*, 2004; *Warner et al.*, 2013]. Furthermore, *Warner et al.* [2013] showed that the presence of form drag produces elevated values of C_D , when it is estimated from the depth-averaged along-stream momentum balance.

With the available data, it is impossible to isolate the different contributors to drag. Empirically, many different parameterizations for bed roughness have been formulated, e.g. the Chézy and Manning coefficient, which incorporate pressure and frictional differences in the drag coefficient by inclusion of the slope of the seabed and/or a roughness length [*van Rijn*, 2011]. It is outside the scope of this research to investigate the factors that contribute to the magnitude of the drag coefficient. However, this study shows that the assumption of a constant drag coefficient is not valid in the Marsdiep basin and that the values are greater than the canonical value of $2.5 \cdot 10^{-3}$. The latter implies that other processes, i.e. vertical stratification, cross-stream advection of momentum and/or form drag influence the near-bed vertical shears. The persistent asymmetry under a wide range of conditions suggests that form drag is the dominant process.

It remains the question to what degree the different spatial scales (sandwave-scale and channel-scale water depth variations) contribute to the drag. The seabed is sloping at the study site, which results in a decrease (increase) in water depth in downstream direction during ebb (flood)

1 and creates a force opposing the ebb current. Furthermore, there is an upstream obstruction
2 during ebb (Figure 1b), which might be a source of form drag which would produce elevated
3 values of C_D during ebb. Both characteristics of the bathymetry correspond with the observed
4 asymmetry in C_D , and potentially explain the elevated values.

5 This study only treats observations at one location. However, the complicated bathymetry is
6 certainly not atypical for the Marsdiep basin. Figure 1b shows that the channels in the Marsdiep
7 and Vlie basins are characterized by strong variations in water depth. It is therefore
8 hypothesized that the magnitude, and asymmetry, of the drag coefficient is spatially highly
9 variable. This hypothesis is supported by the observations in *Buijsman and Ridderinkhof*
10 [2007a] who observed different values of the friction velocity and drag coefficient in the
11 shallower middle of the Marsdiep inlet w.r.t. the values presented in this study.

12 By modifying the intra-tidal vertical mixing characteristics, the asymmetric drag may have
13 implications for the residual circulation. As a result, the residual circulation may therefore be
14 highly spatially variable in complex bathymetries like the Marsdiep basin. *Geyer and*
15 *MacCready* [2013] already propose in their review on the estuarine circulation that the along-
16 stream variability of the estuarine circulation requires more research. Here, we suggest that
17 more knowledge on the spatial variability of the drag coefficient is important for a better
18 understanding of the spatial variability in estuarine circulation for estuaries with a complex
19 bathymetry. Furthermore, numerical models might benefit from the inclusion of a drag
20 coefficient not only dependent on the grain size diameter, but which also depends on e.g. a
21 spatial derivative of water depth.

22 **5.2. Mid-depth velocity maximum**

23 To the authors' knowledge, the observation of a mid-depth velocity maximum occurring
24 separately during both early and late flood has not been made in previous studies. It is
25 interesting that a mid-depth velocity maximum, characteristic of strongly stratified estuaries, is
26 important in the periodically, and weakly stratified Marsdiep basin. The simulations have
27 shown that the presence of vertical stratification is a requirement for the development of a mid-
28 depth velocity maximum. In the Marsdiep, the peak current conditions are characterized by
29 well-mixed conditions, whereas the early and late phase of the tide are influenced by density-
30 driven processes. The alternation of these regimes results in different generation mechanisms
31 of the mid-depth velocity maxima.

32 The model simulations imply that vertical stratification generated by tidal straining is sufficient
33 to facilitate the occurrence of a mid-depth velocity maximum during early flood. Cudaback and
34 Jay [2001] demonstrated that strong bed friction is required to decrease the current velocities
35 close to the bed, which applies to the Marsdiep basin. The well-mixed conditions during peak
36 flood inhibit the late flood mid-depth velocity maximum to originate from the classical tidal
37 straining. The addition of a realistic cross-stream current and a salinity gradient in the model
38 simulations show that cross-stream tidal straining is a likely candidate to explain vertical
39 stratification generated during late flood.

40 To further substantiate the claim of the relevance of along- and cross-stream straining in the
41 Marsdiep basin, a scaling of the tidal straining terms is obtained from the dynamic potential

energy anomaly equation. For a detailed explanation of all the terms is referred to *Burchard and Hofmeister* [2008] and *de Boer et al.* [2008]. The along-stream (S_x) and cross-stream (S_y) tidal straining component are scaled by

$$S_x = \frac{g}{H} \int_{-H}^{\eta} \tilde{u} \frac{\partial \rho}{\partial x} z dz, \quad (12)$$

and

$$S_y = \frac{g}{H} \int_{-H}^{\eta} \tilde{v} \frac{\partial \rho}{\partial y} z dz, \quad (13)$$

where $\tilde{u} = u - \bar{u}$ and $\tilde{v} = v - \bar{v}$ denote the vertical deviation from the mean of the along-stream and cross-stream velocities, respectively. The values of velocity are based on the data of the anchor station depicted in Figure 4. The values of $\partial \rho / \partial x$ and $\partial \rho / \partial y$ are based on the salinity gradients in Table 1, assuming thereby that the along- and cross-stream salinity gradients are of the same order of magnitude [*de Vries et al.*, 2014]. It is not possible to estimate the advective and nonlinear terms of tidal straining with the available data. The goal here is to evaluate the potential role of along-stream and cross-stream tidal straining in the stratification dynamics and their relation to the occurrence of a mid-depth velocity maximum. Using model simulations, *Burchard and Hofmeister* [2008] and *de Boer et al.* [2008] showed that the tidal straining term is one of the main mechanisms in estuarine and downstream regions of fresh water influence (ROFI), but they stress that there are great spatial differences.

Figure 14 shows that along- and cross-stream tidal straining contribute during different phases of the tide, depending on the season. In *Summer*, tidal straining was negligible because of the small salinity gradients. However in *Spring*, along-stream tidal straining has a stratifying (mixing) impact on the water column during ebb (flood). Cross-stream tidal straining stratifies the water column during distinct phases of the tide. During late flood and late ebb, cross-stream tidal straining is important. The stratifying influence of tidal straining is opposed by vertical mixing. The observations and model simulations have shown that the water column is well-mixed during ebb because of the strong currents and corresponding mixing. Therefore, tidal straining during ebb is not able to stratify the water column. The weak currents during late flood enable the generation of vertical stratification by cross-stream tidal straining, which is similar to the differential advection described by *Nunes and Simpson* [1985] and *Lacy et al.* [2003].

Differential advection during late flood might be accompanied by advective transport of momentum, which might enhance the development of the mid-depth velocity maximum. Lower momentum water from the sides of the channel is transported upwards and migrates towards the center of the channel. Simultaneously, higher momentum water is transported downwards in the center of the channel and migrates sideways. Several studies have shown that advective processes contribute to the horizontal momentum balance and impact the strength of the estuarine circulation [*Lerczak and Geyer*, 2004; *Cheng and Valle-Levinson*, 2009; *Scully et al.*, 2009a; *Burchard et al.*, 2011; *Basdurak et al.*, 2013]. It is complicated to isolate the effects of cross-stream tidal straining and lateral advection of momentum, since they are both related to the strength of the density gradients. So, advection of salinity, and possibly momentum, might both contribute to the development of a mid-depth velocity maximum, and are both dependent

1 on the density gradients. This study has shown that the presence of weak vertical stratification
2 by cross-stream tidal straining is already sufficient to create a mid-depth velocity maximum.

3 The variable current dynamics discussed in this study illustrate the importance of two crucial
4 components of the estuarine Marsdiep system. First, the presence or absence of vertical
5 stratification plays an important role in modifying the vertical structure of along-stream
6 velocity. Second, the strong bed friction, probably determined by the complex bathymetry of
7 the sandy seabed, dissipates the tidal energy near the bed and is characterized by an unexpected
8 asymmetry in ebb and flood drag coefficients.

9 **5.3. Spatial and residual current implications**

10 The horizontal circulation cell and corresponding ebb-flood asymmetry in current strength, as
11 described by *Buijsman and Ridderinkhof* [2007a], might have implications for the shape of the
12 vertical current structure. The northern part of the inlet is characterized by the strongest ebb
13 currents which might therefore be most effective at destroying vertical stratification during late
14 ebb, and thereby counteracting the effect of tidal straining. In the southern part of the inlet, tidal
15 straining might be more important. Furthermore, the observations in this study suggest that the
16 horizontal residual circulation pattern displays a strong spring neap tidal modulation.

17 The mid-depth velocity maximum during late flood initiates an earlier reversal of the flood
18 current near the surface. This mechanism could increase the estuarine circulation. Several
19 modelling studies have shown that lateral processes are capable of modifying the residual
20 circulation patterns [*Lerczak and Geyer*, 2004; *Scully et al.*, 2009b; *Burchard and Schuttelaars*,
21 2012], which has recently been supported by observations evidence [*Basdurak and Valle-*
22 *Levinson*, 2012, 2013]. Interestingly, both processes do not seem linearly related to each other
23 since the first is mainly governed by along-stream (one-dimensional) dynamics and the second
24 by along-stream and cross-stream (two-dimensional) processes. Because of these processes, the
25 variability of the residual circulation in the Marsdiep basin deserves further investigation.

6. Conclusions

Hundred days of current and salinity data and simulations with a 1-D water column model were combined to investigate the mechanisms and processes that determine the vertical profile of along-stream velocity in the periodically-stratified Marsdiep basin. The vertical current structure at the study site is characterized by strong bed friction, i.e. a large drag coefficient, which is 4-6 times greater than the canonical value of $2.5 \cdot 10^{-3}$. In addition, the friction velocity and near-bed vertical shears are greater during ebb than during flood for the same current magnitude. In estuaries, the superposition of the barotropic and baroclinic tide predicts an opposite trend. This asymmetry in friction velocity is caused by an asymmetry in bed roughness, which is most likely caused by the complex bathymetry. The simulations show that the asymmetry can result in increased mixing rates during ebb, which can destroy the vertical stratification generated by tidal straining. The importance of this mechanism seems to increase from neap to spring tide.

Higher up in the water column, the vertical shears in along-stream velocity are greater during flood than during ebb. During early and late flood, a mid-depth velocity maximum in along-stream velocity is observed. Both phenomena are generated by different mechanisms. The strong drag coefficient in the area (flood: $7.7 \cdot 10^{-3}$, ebb: $1.25 \cdot 10^{-2}$) and the periodic stratification of the water column are the conditions required to create a mid-depth velocity maximum, as already suggested by *Cudaback and Jay* [2001] for a strongly stratified estuary. Vertical stratification during early flood is a relic of tidal straining during late ebb, whereas vertical stratification during late flood is generated by advection of salinity by cross-stream straining. The observations indicate that the strength of the mid-depth velocity maximum is dependent on the strength of the baroclinic pressure gradient.

This study has shown that the baroclinic pressure gradient and the asymmetry in bed friction are both important in shaping the vertical current structure in the Marsdiep basin. The measurements were collected at only one location but similar complex bathymetry in the rest of the Marsdiep basin suggests a more ubiquitous applicability. The mechanisms that enable the destruction and formation of vertical stratification at the study site during ebb and flood, respectively, might have significant effects on the residual circulation.

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