

## LIVE-CAPTURES OF COMMON BOTTLENOSE DOLPHINS *Tursiops truncatus* AND UNASSESSED BYCATCH IN CUBAN WATERS: EVIDENCE OF SUSTAINABILITY FOUND WANTING

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**ABSTRACT:** In the period 1986-2004, 238 common bottlenose dolphins *Tursiops truncatus* were exported from Cuba, as shown by UNEP/WCMC data, more than 60% of these to facilities in Latin America and the Caribbean, some 32% to Europe and the rest to Canada and Israel. There is a very significant increase in exported numbers, reaching 28 individuals *per annum* in 2002. It is unclear how many *T. truncatus* have been used in domestic dolphinariums. A review of available information did not identify evidence to corroborate hypotheses that: (i) *T. truncatus* off Sabana-Camagüey Archipelago (where removals occur) does not show population structure; and (ii) virtually no bycatches occur in Cuban waters. Here it is argued that, considering Cuba's fully developed marine fisheries, some level of mortality from bycatch is inevitable. Other potential threats are also identified. Global phylogenetics research of *T. truncatus* is revealing unexpected and more complex, stock structures, in inshore (coastal) forms within relatively small areas. In Cuba, low mean group sizes (less than 10) suggest that one or more coastal stock(s) are exploited. Sex distribution of measured specimens suggest a significant bias towards extraction of females. It is concluded that sustainability of harvest levels of Cuban *T. truncatus* cannot be evaluated until abundance estimates become available and population structure is verified by molecular genetic methods. Pérez-Cao (2004) indicated that available density estimates should not be used to determine [safe] catch quotas. The authors strongly recommend that international trade of *T. truncatus* from Cuba ceases until no-detriment can be authenticated and that more research be developed. Similar arguments may be applicable to other unassessed but exploited populations in the Wider Caribbean.

**RESUMEN:** En el período 1986-2004, según datos obtenidos de PNUMA/WCMC, 238 delfines mular común *Tursiops truncatus* fueron exportados de Cuba; más del 60% de éstos hacia acuarios en América Latina y el Caribe, unos 32% a Europa y el resto hacia Canadá e Israel. Se distingue un aumento muy significativo en los números exportados, hasta 28 individuos *per annum* (en 2002). No hay información sobre el número de *T. truncatus* usados en acuarios domésticos en Cuba. Tras una revisión de la información disponible, no se encontraron evidencias para corroborar las hipótesis sugeridas que: (i) *T. truncatus* en el Archipiélago de Sabana-Camagüey (donde ocurre la explotación) no se presenta estructura de población y (ii) que virtualmente no ocurre ninguna captura accidental. Argumentamos que, considerando una pesquería marina plenamente desarrollada en Cuba, es inevitable algún nivel de capturas accidentales, y se identifica otras posibles amenazas. La investigación filogenética en *T. truncatus*, a nivel global, revela inesperada estructura o estructuras de stocks más complejas en la forma costera. Grupos de menor tamaño (menos de 10 individuos) sugieren que uno (o más) stocks costeros son explotados en Cuba. Distribución de frecuencia de sexos sugiere un sesgo significativo hacia las hembras. Mientras que no estén disponibles estimaciones de abundancia (absoluta) al igual que información sobre estructura genética de poblaciones, no se puede evaluar la sostenibilidad de la extracción actual en Cuba. Pérez-Cao (2004) también indicó que las estimaciones de densidad relativa no permiten determinar cuotas de captura. Los autores recomiendan que el comercio internacional de *T. truncatus* desde Cuba cese hasta que se demuestre el no detrimento, además que se desarrollen estudios adicionales. Argumentos similares pueden aplicarse a otras poblaciones explotadas pero no evaluadas en el Caribe.

**KEYWORDS:** common bottlenose dolphin, live-capture fishery, bycatch, international trade, sustainability, Cuba, Wider Caribbean.

### Introduction

Atlantic common bottlenose dolphins *Tursiops truncatus* (Montagu, 1821) have been the target of a direct live-capture fishery off Cuba's coasts since at least 1982. It is the largest and longest running such fishery in the Caribbean region and provides new animals to the global captive dolphin industry, especially in Europe, Latin America and the Caribbean. Except in a few areas, the global demand for live-caught bottlenose dolphins remains as strong as ever and has, in fact, increased in recent decades (Fisher and Reeves, 2005). Even in North American facilities, after half a century of building a

remarkable expertise in husbandry with satisfactory survival rates, as of 1996, captive-born bottlenose dolphins still constituted only 44% of the total number in captivity (Corkeron, 2002; Fisher and Reeves, 2005). None have been live-captured in the USA since 1988-89 (Randall Wells, pers. communication to KVV).

In terms of population dynamics and conservation, live-captures are equivalent to lethal removals: captured individuals are no longer available for recruitment within the wild population. The conventional view in wildlife management theory states that impact is strongest if mostly young animals and reproductive females are removed. The rationale

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for male-selective harvest of mammals is founded in the understanding that (for polygynous or promiscuous species), so long there are enough males to mate available females, it is the female component that determines population growth (Caughley, 1977; McLoughlin *et al.*, 2005). No quantitative modelling of cohort-selective harvest specifically addresses *T. truncatus*, and older animals may also be valuable to the dolphin population if learned behaviour and cultural transmission (see *e.g.* Rendell and Whitehead, 2001) would significantly contribute to survival fitness. Nonetheless, it seems unlikely that such a factor could match, in effect, the overriding importance of young, reproductive females.

The IUCN Cetacean Specialist Group (CSG) has long emphasized the need for an appropriate status assessment and independent scientific review before proceeding with takes of cetaceans. Reeves *et al.* (2003) state specifically: 'As a general principle, dolphins should not be captured or removed from a wild population unless that specific population has been assessed and it has been determined that a certain amount of culling can be allowed without reducing the population's long-term viability or compromising its role in the ecosystem. Such an assessment, including delineation of stock boundaries, abundance, reproductive potential, mortality, and status (trend) cannot be achieved quickly or inexpensively, and the results should be reviewed by an independent group of scientists before any captures are made.' The *T. truncatus* listing in CITES Appendix II<sup>6</sup> requires the exporting state to provide a non-detriment finding (NDF), *i.e.* a supporting document that demonstrates 'that such export will not be detrimental to the survival of that species' (CITES, Art.IV, § 2.a).

Import of *T. truncatus* into European Union member states moreover is subject to EU Council Regulation CE 338/97 for species that are listed in its Annex A. It requires importing EU nations to confirm sustainability of captures<sup>7</sup> and that the import must be "intended for breeding or propagation purposes from which conservation benefits will accrue to the species concerned; or it is intended for research or education aimed at the preservation or conservation of the species." To the best of our knowledge, none of the common bottlenose dolphins exported from Cuba, or any offspring, have been, or are meant to be, returned to the wild, and conservation benefits are nil. Captive breeding for conservation purposes has often been invoked prematurely and appreciation

of its limitations has grown (*e.g.* Snyder *et al.*, 1996). It should be viewed as a last resort in species recovery and not a prophylactic or long-term solution because of the inextinguishable genetic and phenotypic changes that occur in captive environments (Snyder *et al.*, 1996).

There are a few other international conventions with overlapping regulatory framework for responsible management of living marine resources, all with the purpose to ensure long-term sustainability. The Cartagena Convention (Convention for the Protection and Development of the Marine Environment of the Wider Caribbean Region), under its SPAW Protocol (Specially Protected Areas and Wildlife in the Wider Caribbean Region) has placed cetaceans on its Annex II. This requires that each Party "ensure total protection and recovery to the species of fauna listed in Annex II by prohibiting the taking, possession or killing (including, to the extent possible, the incidental taking, possession or killing) or commercial trade in such species, their eggs, parts or products." Cuba ratified the SPAW Protocol on 11 September 2003. In this paper we critically examine the exploitation of *T. truncatus* in Cuban waters for evidence of sustainability<sup>8</sup>.

## Material and Methods

Numbers of *T. truncatus* exported annually from Cuba were compiled (Table 1) and checked with import data for importing countries, based on records from the United Nations Environment Programme's World Conservation Monitoring Centre (UNEP/WCMC). Presumably all specimens originated from Cuban waters, more specifically from the western Sabana-Camagüey Archipelago (Pérez-Cao, 2004). The number of bottlenose dolphins taken for use in Cuban dolphinariums and pools where people pay for the opportunity to swim with dolphins, so-called 'swim-with programmes' (*e.g.* Acuario Nacional de Cuba, Varadero, Holguín) is unreported, but may be substantial. Also unknown is the extent to which traumatic injuries or deaths of dolphins have occurred during, or immediately after, the capture operations, although it was hinted that there were none (Anon., 2003).

The literature was searched comprehensively but merely five pertinent documents were found that discuss aspects of the status of *T. truncatus* in Cuba, only one of which was published in a peer-reviewed

<sup>6</sup> CITES (2006) Convention on International Trade in Endangered Species of Wild Fauna and Flora. Official web site. Appendices I, II, III. <http://www.cites.org/eng/app/appendices.shtml>.

<sup>7</sup> The import by a EU member state of species listed in Annex A will only be authorized if the capture 'will not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species.'

<sup>8</sup> An early draft of this paper (SC/58/SM26) was presented to the Small Cetacean Sub-Committee of the International Whaling Commission, St. Kitts & Nevis, May-June 2006.

journal (Aguayo, 1954), two conference abstracts (Cortez-Aguilar *et al.*, 2000<sup>9</sup>; Pérez-Cao *et al.*, 2001<sup>10</sup>), a master's dissertation (Pérez-Cao, 2004) and an unpublished report SRG28 Inf7' (Anon., 2003). The latter report was made available to the EU Scientific Review Group (SRG) dealing with CITES issues and international trade in wildlife, in lieu of a non-detriment finding, to justify the import of live common bottlenose dolphins into Spain and Portugal. Pérez-Cao (2004) cited two other unpublished documents, which could not be obtained, but they were prior to her dissertation.

Here we examine whether sufficient biological and management information is available, including that presented in Anon. (2003), to allow independent scientists (*sensu* CSG/IUCN, Reeves *et al.*, 2003) to determine

whether current removals of Cuban *T. truncatus* could have a harmful effect on the wild population(s).

Although adequate husbandry is also a requisite in regulatory legislation pertaining to international trade in live cetaceans, including CITES, we do not analyse this aspect. This must however not be interpreted that the imports discussed here were void of husbandry infractions, in fact some contributed to major changes in national management policy. For instance, of two dolphins exported to Chile for a travelling exhibition in 1995, one died and the abandoned second dolphin was returned, ailing, to Cuba for release but also died in custody. The public outcry that ensued urged the Chilean government to effectively freeze and, in 2005, ban all trade in live cetaceans<sup>11</sup>.

**Table 1.** International trade of 238 common bottlenose dolphins *Tursiops truncatus* exported from Cuba between 1986 and 2004 (UNEP/WCMC data).

YEAR	TOTAL NO.	IMPORTING COUNTRIES (NO. OF INDIVIDUALS, PURPOSE)
1986	8	Canada (8,Z)
1987	3	Italy (3,E)
1988	11	Spain (1,Z), France (6,Z), Italy (4,E)
1989	2	Italy (2,E)
1990	12	Switzerland (6,Z), Spain (6,Z)
1991	2	Switzerland (2,Q)
1993	4	Spain (4,E)
1994	11	Colombia (3,Q), Mexico (8,Z)
1995	15	Chile (2), Spain (6,S), Mexico (7,Q)
1996	11	Argentina (3), Dominican Republic (4,E), Mexico (4,Q+Z)
1997	15	Spain (2,E), Mexico (13,Q+Z)
1998	14	Argentina (2), Mexico (10,Q), Venezuela (2, P)
1999	24	Dominican Republic (2,T), Spain (2,E), Israel (6), Mexico (8,Q), Portugal (6,Z)
2000	24	Anguilla (6), Spain (4,E), Mexico (14,Q+Z)
2001	9	Argentina (1,Z), Spain (2), Mexico (6,Q),
2002	28	Dominican Republic (4,T), Spain (15,E), Mexico (9,Q+B)
2003	20	Malta (6, T), Mexico (10,Q), British Virgin Islands (4)
2004	25	Antigua and Barbuda (3), Jamaica (10,T), Mexico (12,Q+T)

Import destinations include Latin America and Caribbean (61.8%), Europe (32.3%), Canada (3.4%) and Israel (2.5%). Where known, import purposes are Zoos (Z), Circuses and travelling exhibitions (Q), Educational (E), Commercial Trade (T), Scientific (S) and Breeding (B). Of the six dolphins recorded for export to Portugal in 1999, only four entered Portugal; two died in Cuba before being transferred.

<sup>9</sup> Cortez-Aguilar, A. M., Pérez-Cao, H. and Akimova, V. L. (2000) Delfines tonina (*Tursiops truncatus*) en el Archipiélago Sabana-Camaguey, Cuba: primera aproximación. XXV Reunión Internacional para el Estudio de los Mamíferos Marinos, La Paz, B.C.S., México 7-11 de mayo 2000. [in Spanish]

<sup>10</sup> Pérez-Cao, H., Cortez-Aguilar, A. M. and Akimova, V. L. (2001) Zonas de mayor probabilidad de avistamientos de delfines tonina (*Tursiops truncatus*) en áreas aledañas a Cayo Coco, en el archipiélago Sabana-Camaguey, Cuba. XXVI Reunión Internacional para el Estudio de los Mamíferos Marinos. Ensenada, B.C., México, 6-10 mayo 2001. [in Spanish]

<sup>11</sup> Ministerio de Economía, Fomento y Reconstrucción, Santiago, Chile: Decreto Exento N°135 del 18 de enero de 2005, modifying Decreto N°225 of 1995.

## Summary of international trade

### Trading countries

WCMC data report the export of 238 bottlenose dolphins from Cuba between 1986 and 2004. Eighteen countries are recorded as having imported dolphins from Cuba: Anguilla, Antigua and Barbuda, Argentina, British Virgin Islands, Canada, Chile, Colombia, Dominican Republic, France, Israel, Italy, Jamaica, Malta, Mexico, Portugal, Spain, Switzerland and Venezuela.

### Indicated sources and purposes of traded animals

Of 48 separate events of import or export, covering the 238 bottlenose dolphins, the source of the animals is reported as 'wild' in 40 (83.3%) cases, while it is not given in eight cases. However, probably all dolphins were wild-caught. Seven different purposes of import were reported: 'Zoos' in 11 cases (covering 23.9% of animals), 'Educational' in 9 imports (16.8%), 'Circuses and travelling exhibitions' in 12 (29.8%), 'Commercial trade' in five (12.6%), and each 'Scientific' (2.5%), 'Breeding in captivity' (1.7%) and 'Personal' (0.8%) in one case.

Discrepancies existed between reported import and export purposes in three cases. In the 1997 and 1999 events of export to Spain, the purpose of export was recorded as 'Commercial trade', while recorded purpose of import was 'Educational'. In a 1999 export to Portugal, the recorded purpose of import 'Zoos' differed from the purpose of export 'Commercial trade'.

Similar reporting problems in the global trade of cetaceans were also pointed out by Fisher and Reeves (2005). As discrepancies demonstrate, the usefulness of non-standardized entries for 'purpose of trade' is debatable. Moreover, with the possible exception of the (single) 'Scientific' entry, all bottlenose dolphins were destined for display in primarily commercial enterprises. Whatever other uses these dolphins may or may not have, the dynamics of this trade, without doubt, are pecuniary driven.

## Status of common bottlenose dolphins in Cuba

### Distribution and population structure

*T. truncatus* is a highly polymorphic species at both large and small geographic scales, and the existence of distinct geographic races, distinguishable by morphology, molecular genetics and ecological markers, are well documented (e.g. Hersh and Duffield, 1990; Van Waerebeek *et al.*, 1990; Mead and Potter, 1995; LeDuc and Curry, 1997; Hoelzel *et al.*, 1998; Rice, 1998; Wang *et al.*, 1999; Wells and Scott, 1999, 2004; Sanino *et al.*, 2005; K.M. Parsons *et al.*, 2006). In the temperate NW Atlantic, coastal (inshore) and offshore forms of *T. truncatus* have fixed genetic differences and eventually may be assigned to different species (Curry and Smith, 1997; LeDuc and Curry, 1997; Hoelzel *et al.*, 1998).

The common bottlenose dolphin is the most frequently

encountered cetacean in the Gulf of Mexico, much of the Caribbean Sea and contiguous tropical Atlantic waters (e.g. Erdman, 1970; Schmidly, 1981; Jefferson and Lynn, 1994; Blaylock *et al.*, 1995; Mignucci-Giannoni, 1998, 1999; Waring *et al.*, 2005). Still, relatively little is published concerning its distribution around the Greater Antilles, with the exception of Puerto Rico (Erdman, 1970; Erdman *et al.*, 1973; Mignucci-Giannoni, 1998, 1999; Roden and Mullin, 2000). The species was first reported from Cuba half a century ago (Aguayo, 1954) and little was added until the recent dissertation by Pérez-Cao (2004) who studied its distribution and (relative) abundance in two areas of the Sabana-Camagüey Archipelago in northern Cuba. Population structure of *T. truncatus* off Cuba and in much of the Wider Caribbean remains on the whole undocumented (see Wells and Scott, 1999; Romero *et al.*, 2001). Waring *et al.* (2005) pointed out that the range of the Northern Gulf of Mexico continental shelf stock may extend into Mexican and Cuban territorial waters; however, 'there are no estimates available of either abundance or mortality from those countries'.

Pérez-Cao (2004) and Cortez-Aguilar *et al.* (2000)<sup>10</sup> suggested that possibly no population structure exists in the Sabana-Camagüey Archipelago. Pérez-Cao (2004) based this hypothesis on the low level of re-sightings (two) on a total, for both areas, of 92 photo-identified individuals. However, each area was surveyed only six times over a one-year period. Pérez-Cao hypothesized that *T. truncatus* in Sabana-Camagüey are exclusively transients, and may form part of one big panmictic population, with some nucleus in more productive zones. While such a scenario is surely possible, an alternative situation where several transient and/or wide-ranging semi-resident populations, with an aggregate abundance of several hundreds of animals, temporarily (seasonally?) occupy varying parts of the 463km wide Archipelago would not be incompatible with results and could hardly be excluded.

For instance, Pérez-Cao's (2004: Fig. 22) frequency distribution histogram for group size around Matanzas appeared bimodal, with one subset from the inshore Bahía de Cárdenas and Cinco Leguas areas ranging 1-10 animals/group, and another (three sightings) from the northern, exposed, ocean front, ranging 25-30 animals/group. Pérez-Cao attributed this to different foraging strategies, which is highly likely, but concurrently this difference would also be congruent with a hypothesis of segregated communities and stocks. A longer-term photo-identification effort would be welcome and molecular genetic studies indispensable. Indeed, in well-researched areas of the northwest Atlantic, findings of marked population structure and substructure in relatively small areas have been the norm. Two morphological stocks, equivalent to an offshore and a coastal ecotype, were named for Great Abaco, Bahamas (Macleod *et al.*, 2004). Further, microsatellite and mtDNA sequence variation



among coastal bottlenose dolphins from three areas separated by less than 250km on Little Bahama Bank, northern Bahamas, revealed a significant degree of subdivision (K.M. Parsons *et al.*, 2006), results which corroborate site fidelity documented through *long-term* photo-identification studies. Parsons *et al.* (2006) highlighted the need to consider independent subpopulation units for the conservation of coastal bottlenose dolphins in the Bahamas. McLellan *et al.* (2002) had argued before that inshore bottlenose dolphins off the USA Atlantic coast probably do not form a single discrete stock, confirming earlier findings by Mead and Potter (1995). A high degree of long-term site fidelity and population structuring has been documented over the past 36 years along the central west coast of Florida, including bays, sounds, estuaries, and adjacent Gulf of Mexico coastal waters, based on behavioural and genetic studies (Irvine *et al.*, 1981; Wells *et al.*, 1987; Scott *et al.*, 1990; Duffield and Wells, 1991; Wells, 1991, 2003; Sellas *et al.* 2005).

#### Abundance

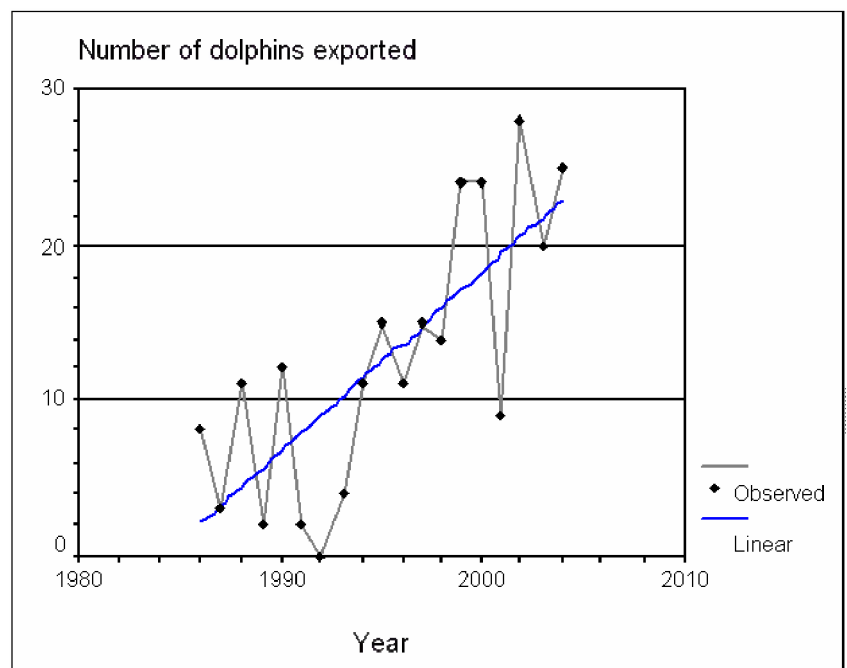
In terms of relative abundance, *T. truncatus* is reported as the most frequently encountered cetacean species shoreward of the continental shelf edge in much of the Caribbean and the Gulf of Mexico (Erdman, 1970; Schmidly, 1981; Jefferson and Lynn, 1994; Mignucci-Giannoni, 1998; Kerr *et al.*, 2005) and the second most frequently seen off the Leeward Dutch Antilles (Debrot *et al.*, 1998). In deeper waters, the species is less commonly encountered but mean group size is higher (*e.g.* Roden and Mullin, 2000). However, except for U.S. Atlantic and Gulf of Mexico waters (*e.g.* Blaylock *et al.*, 1995; Waring *et al.*, 2005) no absolute abundance estimates exist for the tropical northwestern Atlantic, and none seem to be available for Cuba. Pérez-Cao (2004) studied the relative abundance and distribution of common bottlenose dolphins in Sabana-Camaguey Archipelago, more specifically in waters adjacent to Cayo Coco and northern Matanzas. Study effort consisted of 12 small boat surveys, six in each area, for a total of 2,007.8 nmiles and 322h 79min duration. In the Cayo Coco area she reported 27 sightings for a total of 109 individuals (32 of which photo-identified) and an estimated density of 0.14 dolphins/km<sup>2</sup>. In the Matanzas area, Pérez-Cao (2004) recorded 34 sightings for a total of 253 dolphins (60 of which photo-identified) and estimated a density of 1.28

dolphins/km<sup>2</sup>. These results were summarized by Anon. (2003), albeit somewhat unclearly.

The most common behaviours observed were travelling and feeding in Cayo Coco and northern Matanzas respectively. In some sub-areas either no (Bahía de Jigüey), or very few (eastern Bahía de Perros), bottlenose dolphins were encountered, suggesting unsuitable habitat. Local fishermen had not seen dolphins in Bahía Jigüey for years. Possibly the building of a causeway and an increase in salinity was to blame (Pérez-Cao, 2004). The absence (Cayo Coco), or low rate (Matanzas), of resightings of photo-identified individuals was interpreted that no residency or closed communities exist. Hence Pérez-Cao (2004) refrained from estimating absolute abundance in the study areas and further indicated (p.72) that the [relative] abundance estimates cannot be used to establish catch quotas.

#### Live captures

Over a 19 year period (1986-2004), a reported 238 common bottlenose dolphins have been exported from Cuba, more than 60% of these to commercial facilities in Latin America and the Caribbean, some 32% to Europe and the rest to Canada and Israel. Growth in export numbers has been very significant (Figure 1), up to 28 individuals *per annum* in 2002. No information is available on the additional number of common bottlenose dolphins captured for use in Cuban dolphinaria, which may be substantial.



**Figure 1.** Linear fitting of annual live exports of common bottlenose dolphins *T. truncatus* from Cuba demonstrates a very significant, steep increase over the 19-year period 1986-2004 for which data are available ( $R^2 = 0.587$ ;  $df\ 1,17$ ;  $F = 24.12$ ,  $p < 0.0001$ ). Note that these figures are not annual catch quotas because dolphins captured for domestic dolphinaria are not included.

While it appears unlikely that IUU (Illegal, unrecorded, unreported) live captures might occur, Cuba's EEZ waters (222,204 km<sup>2</sup>) amount to a very extensive area to be patrolled and some concern seems legitimate. In May 2003, five common bottlenose dolphins were illegally live captured (four died) by foreign nationals in Senegal's Siné-Saloum National Marine Park and Biosphere Reserve, confirming the brazenness of illicit dolphin traders (Van Waerebeek *et al.*, 2003). Recently, in the Caribbean region, irregular or controversial live captures of *T. truncatus* were reported from Guyana (10-14 individuals) and Haiti (8 ind.) in 2004 (Fisher and Reeves, 2005; IWC, 2006) and the Dominican Republic (2 and 8 dolphins in 1995 and 2000 respectively) (E.C.M. Parsons *et al.*, 2006)<sup>12</sup>. In March 2005, 15 bottlenose dolphins (9 females and 6 males) were live-captured near Roatan Island, Honduras, to stock a local sea pen facility (M. Iniguez, pers. communication in IWC, 2006).

Bottlenose dolphins in inshore or coastal habitat typically form smaller groups than in offshore waters (e.g. Shane *et al.*, 1986; Wells and Scott 1999; K. Van Waerebeek, unpublished data). With inshore animals easily accessible for shallow water seine-netting expeditions, the live display industry preferentially exploits such populations. Group size data also suggest that the Cuban dolphin harvest exploits one or more inshore stocks. Anon. (2003) cited a mean group size of 8.3 ind./group off Matanzas and 4.0 ind./group off Cayo Coco, broadly comparable with other coastal areas in the region. For instance, off Puerto Rico and the Virgin Islands, group sizes averaged 5.5-8.4 (Mignucci-Giannoni, 1998) and 9.0 animals respectively (Roden and Mullin, 2000). Lowest values were found in Belize, where they ranged 2.9-3.8 in shallow water around two offshore atolls (Campbell *et al.*, 2002; Kerr *et al.*, 2005).

Inshore (coastal) *T. truncatus* are especially vulnerable to hunting, incidental catch, and habitat degradation (Curry and Smith, 1997), due to their physical proximity to people and because population abundance is typically low, e.g. 'less than 60 individuals' off NE Margarita Island, Venezuela (Oviedo and Silva, 2005) and an estimated 122 in the Drowned Cayes, Belize (Kerr *et al.*, 2005). Off northcentral Chile, a single pod of about 30 animals seemed to constitute a management unit (Sanino *et al.*, 2005).

Anon. (2003, p.11) refers to a morphometric study (14

measurements) based on 223 specimens, 89 of these males<sup>13</sup>, from three localities in Cuba, almost certainly the results from Blanco<sup>14</sup> and Olachea (2002; not seen). Presumably these comprise external measurements of live-captured animals and perhaps a few complete, fairly fresh stranded carcasses (but no by-caught ones, see below) in which case all or nearly all specimens must have been sexed. If so, this substantial sample suggests high levels of captured or dead common bottlenose dolphins, with a significant sex bias towards females (0.60; binomial test, two-sided  $p=0.0028$ ). A preference for young females is a regular feature in the global trade of live cetaceans.

### Bycatches

In 2003, the Cuban CITES administrative authority indicated<sup>15</sup> that the illegal capture and bycatch of *T. truncatus* is practically zero because there is no tradition of [dolphin meat] consumption in Cuba and no conflict exists with fisheries in areas where this species occurs. However, it is unclear whether this statement is based on independent observational data, or rather on a lack of bycatch reports from fishermen and other fisheries' stakeholders. Self-reporting is known to be very unreliable. There are no indications of an operational observer scheme (*sensu* Northridge, 1996) tasked with the monitoring and reporting of cetacean bycatches in Cuba's EEZ. In fact, on a global scale, few countries have any effective reporting system for bycatch of any species (Read *et al.*, 2006). Bycatches and other lethal takes of small cetaceans are notoriously difficult to detect, particularly if illegal. At sea, carcasses are either discarded or utilized onboard as bait, or alternatively, may be clandestinely landed for food (e.g. Northridge, 1984; IWC, 1994; Van Waerebeek and Reyes, 1994).

In 1995, about 38.9% of Cuban marine fisheries resources were in a senescent phase, 48.7% were in a mature phase at a high exploitation level and only 12.4% were still in a developing phase; none of the fisheries remained undeveloped (Baisre, 2000). Similarly, Claro *et al.* (2001) indicated that the majority of fisheries resources in Cuban waters are considered fully or over-exploited. Price pressures on fuel intensive offshore fisheries, essentially shutting down the long-distance fleets, led to a major restructuring of the fishing industry in Cuba in the 1990s (Adams *et al.*, 2000).

<sup>12</sup> Parsons, E. C. M., Bonnelly De Calventi, I., Whaley, A., Rose, N. A. and Sherwin, S. (2006) A note on illegal captures of wild bottlenose dolphins (*Tursiops truncatus*) from the coastal waters of the Dominican Republic. Paper SC/58/SM11 submitted to 58<sup>th</sup> IWC Annual Meeting, St Kitts and Nevis, May-June 2006.

<sup>13</sup> (p.11). "Se llevó a cabo el estudio morfológico de la especie basándose en el análisis de 223 ejemplares, de ellos 89 machos. En éste se abarcaron tres localidades, identificándose la masa animales en cada una de ellas. Se tomaron como matriz 14 medidas y se analizaron los resultados con diferentes estadígrafos lográndose comparaciones entre las mismas y en su composición por sexos."

<sup>14</sup> Blanco, M. and Olachea, A. (2002) Morfometría del delfín nariz de botella (*Tursiops truncatus*), en la costa norte de la zona central de Cuba. XVIII Congreso Panamericano de Ciencias Veterinarias. Memorias. CD-ROM ISBN 959-7164-32-9 [not seen].

<sup>15</sup> *In litteris*, 24 November 2003: "... y que la captura ilegal o incidental de esta especie en Cuba es prácticamente nula pues no existe tradición de consumo de su carne y no existe conflicto entre las zonas y artes de pesca con *Tursiops truncatus*." [Dra. Silvia Alvarez Rossell, Director of the Administrative Authority of CITES-Cuba, to Carmen Timermans, General Director of the Administrative Authority of CITES-Spain, on Export Permit No. C0000140].

Emphasis shifted from high-volume, but low-value pelagic fisheries to high-value, coastal fin- and shell-fish species caught primarily in nearshore waters (Adams *et al.*, 2000). A wide variety of species are being targeted by a wide range of gears (Baisre *et al.*, 2003). Where areas utilized by fisheries overlap with habitat of inshore *T. truncatus*, as in Cuba, some level of fishery-caused mortality is to be expected. Bycatches and some direct takes of *T. truncatus* have long been known to occur in the Caribbean and the contiguous western central Atlantic, mostly in gillnets but also in beach seine nets (Caldwell *et al.*, 1971; Caldwell and Caldwell, 1971; Price, 1985; Casinos, 1986; Van Waerebeek, 1990; Vidal, 1990; Vidal *et al.*, 1994; Romero *et al.*, 1997, 2001; O.Vasquez *in* IWC, 2006) as well as in much of their global range (e.g. Northridge, 1984; IWC, 1994). The fact that fisheries-caused mortality of common bottlenose dolphins occurs even in such highly regulated and monitored waters as these of the USA and western Europe (e.g. Couperus, 1997; Burdett and McFee, 2004) is indicative that some level of bycatch is unavoidable wherever fisheries operate. Northridge (1984), in recognition of this, concluded for the western central Atlantic: 'there are probably more interactions between this species [*T. truncatus*] and fishermen which are not recorded'.

## Conclusions

We were unable to locate evidence to confirm two proposed hypotheses: (1) *T. truncatus* off Sabana-Camagüey, northern Cuba, not showing any population structure, and (2) virtually no bycatches of this species occur in Cuban waters. On the other hand, analysis of the WCMC data demonstrated a very significant increase in numbers of common bottlenose dolphins caught and exported from Cuba.

From analogies with global fisheries, we deduct that some level of dolphin bycatch mortality in Cuba's fully developed marine fisheries is likely. Well-designed observer programmes on fishing vessels can yield useful bycatch estimates of small cetaceans per unit of effort (e.g. Couperus, 1997; Zeeberg *et al.*, 2006) but assessments should be regularly updated. Other potential threats, including coastal habitat encroachment such as aquaculture development (Watson-Capps and Mann, 2005), pollution (e.g. Schwacke *et al.*, 2002), propeller strikes and reduced prey supplies, considering 87.9% of Cuba's marine fishery resources are in a critical stage (Baisre, 2000), also ought to be evaluated. Such anthropogenic pressures may result in reduced foraging success, increased morbidity and diminish normal recruitment rates within the population(s), ultimately leading to a lesser capacity to cope with direct removals. The IWC Scientific Committee's Sub-committee on Small Cetaceans also concluded that habitat degradation and pollution were potential conservation issues in the Caribbean and the western

tropical Atlantic; and that there was insufficient information to assess the status of common bottlenose dolphins in the region (IWC, 2006).

As discussed above, with advancing phylogenetics research of *T. truncatus*, globally, the trend is discovery of unexpected, and considerably more complex, stock structure, strengthening the argument for higher precaution until ongoing research (cf. Anon., 2003) is completed and published.

We conclude that the available documentation is not sufficient for the international community of marine mammal scientists to assess the sustainability of current capture levels of *Tursiops truncatus* in Cuban waters. Therefore, we strongly recommend that international trade of common bottlenose dolphins from this area ceases until evidence of no detriment can be authenticated. Continued field research on stock structure, abundance, life history, habitat degradation and anthropogenic threats is also greatly encouraged (cf. Reeves *et al.*, 2003; IWC, 2006).

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